

### 香港股票交易收費

#### Charges for Hong Kong Securities Trading

費用及收費類別 Fee and Classification	比率/金額 Rate/Amount
<b>(A) 證券交易服務 Securities Trading</b>	
經紀佣金 Commission Fee	請與您的客戶服務專員接洽查詢 Please contact your Account Executive for further information.
交易徵費 (證監會收費) Transaction Levy (charged by SFC)	交易金額的 0.0027% 0.0027% of transaction amount
交易費 (交易所收費) Trading Fee (charged by SEHK)	交易金額的 0.005% 0.005% of transaction amount
印花稅 (香港特區政府徵費) Stamp duty (charged by HKG)	交易金額的 0.1% (不足 1 元亦作 1 元計算)，交易衍生工具不收取 0.1% of transaction amount (Rounded up to the nearest dollar) · Not applicable for Warrant · Bull/Bear Contract
平台使用費 Platform Fee	每單收費港幣 15 元 HK\$15 for each transaction
平台使用費 (只限衍生工具) Platform Fee (Derivatives only)	每單收費港幣 100 元 HK\$100 for each transaction
交易系統使用費 (港交所收費) OTP-C Fee (charged by HKEX)	每單收費港幣 0.5 元 HK\$0.5 for each transaction
中央結算費用 CCASS Fee (charged by CCASS)	0.002% (最低收費港幣 5 元) 0.002% of transaction amount (Minimum HK\$5)
<b>(B) 處理實物股票及交收服務 Physical Scrip Handling &amp; Settlement Services</b>	
存入實物股票費 Physical Scrip Deposit	每張股票/每張轉手紙收取港幣 5 元 HK\$5 per certificate/ for each transfer deed
提取實物股票費 Withdrawal of Physical Scrip	每手港幣 8 元 (每隻股票最低收費港幣 50 元) HK\$8 per board lot (Minimum HK\$50 for each stock)
交收指示費/投資者交收指示費(適用於轉出股票) Settlement Instruction (SI)/ Investor Settlement Instruction (ISI) (Transfer out of shares)	上日收市價總市值的 0.05% (最低收費港幣 50 元) 0.05% on gross value (Minimum HK\$50 for each stock)
強制性補購股份費 Compulsory Share Buy-In	本公司手續費港幣 200 元+結算公司買入股票有關罰款及費用 Handling charge HK\$200 and any additional charges from Clearing House & Related Party(ies)
<b>(C) 代理人服務及企業行動 Nominee Services &amp; Corporate Actions</b>	
登記過戶費 (在截止過戶日期) Scrip Fee (Book Close)	每手港幣 2.5 元 HK\$2.50 per board lot
新股認購費 IPO & EIPO shares	每單收費港幣 100 元 HK\$100 for each stock
代收現金股息費 Collection of Dividend Payment	按股息金額 0.25% 計算 (最低收費港幣 30 元) + 中央結算收費 (股息總額 x 0.12% + 每手港幣 2.5 元) 0.25% on dividend payment (Minimum HK\$30) + CCASS charge (Dividend payment x 0.12% + scrip fee HK\$2.5 per board lot)
代收紅股費 Collection of Bonus shares	每單收費港幣 30 元 + 中央結算收費 (每手港幣 2.5 元) HK\$30 for each stock + CCASS charge (scrip fee HK\$2.5 per board lot)
代收供股權收費 Received subscribed shares	每次收費港幣 30 元 + 結算公司收費 (每手收港幣 3 元) HK\$100 per transaction amount + CCASS charge (HK\$3 per lot)
代收牛熊證剩餘價值/行使備兌認股證費 Callable Bull/Bear Contracts residual value/ exercising Covered	每次收費港幣 100 元 + 結算公司收費 (每手收港幣 3 元) HK\$100 for each subscription + CCASS charge (HK\$3 per lot)
處理股票拆細、合併、公司投票費 Share Split/ Consolidation/ Voting	豁免 Waived
代客行使供股權費 Rights Subscription	每次收費港幣 100 元 + 結算公司收費 (每手收港幣 3 元) HK\$100 for each subscription + CCASS charge (HK\$3 per lot)
申請額外供股權費 Additional Rights Subscription	每次收費港幣 100 元 HK\$100 for each subscription
行使認股權證、公司私有化、收購費 Warrant Exercise/ Privatization/ Share offer	0.25% (最低收費港幣 100 元) + 中央結算收費 + 印花稅 0.25% (min. HK\$100) + CCASS charges + Stamp duty
在股份登記處辦理轉名費 Transfer of share ownership in Share registration	每手港幣 8 元, 另每隻股票收手續費港幣 300 元 HK\$8 per board lot + handling fee HK\$300 on each stock
處理追收未領取權益 Handling of unclaimed benefits entitlement	每單手續費港幣 1000 元 + 其他相關之費用 HK\$1000 per claim + any out of pocket expenses
<b>(D) 其他帳戶服務 Other Account Services</b>	
託管費 Custody Fee	豁免 Waived
不動戶口服務費 Dormant Account Fee	豁免 Waived
重印成交單/日結單/月結單 Re-print Daily/Monthly Statement	於 3 個月之內可免費重印, 超過 3 個月每份港幣 100 元 Waive if statement date within 3 months, HK\$100 each if statement date over 3 months
處理即日轉賬費 Handling of Chats	每單按金額計算 (最低收費港幣 500 元) Per claim for payment (Minimum HK\$500)

Notes 備註:

以上收費僅供參考，本公司可隨時修訂而不另行通知。客戶如有任何查詢，請聯絡本公司客戶主任。

The charges above are for reference only, charges are subject to change by the Company at any time without prior notice. Please contact your Account Executive if you have any enquiry.