## A Nippon india Mutual Fund

Wealth sets you free

## SIP ENROLLMENT DETAILS

APP No.:

MFD /RIA INFORMATION Name & ARN Code	Sub Agent ARN Code	Sub Agent Code /Bank Br	anch Code/ Internal	Code   *Emp	ployee Unique Identification Number RIA Code**
	ARN-				
					Is as this transaction is executed without any interaction or advice by the ny, provided by the employee/relationship manager/sales person of the
	icant / Guardian / ed Signatory		Applicant / d Signatory		Third Applicant / Authorised Signatory
Upfront commission shall be paid of APPLICANT DETAILS	directly by the investor to the A	AMFI registered distributor based o	on the investor's asse FOLIO NO.	ssment of va	rious factors including the service rendered by the distributor.
Name of Sole/1st holder Mr./	Ms./M/s			PAN No / P	PEKRN. M A N D A T O R Y KYC
Name of 2nd holder Mr./	Ms.			PAN No / P	PEKRN. M A N D A T O R Y D KYC
Name of 3rd holder Mr./	Ms.			PAN No / P	PEKRN. M A N D A T O R Y
INITIAL INVESTMENT DETAILS Cheque/ DD No Net Amount ₹	Chequ Bank Name:	ie / DD Date	DD Charge		City:
		sical Mode (Ref. Instruction No.	24) Demat Account (		,
	ecurities Depository Limi				al Depository Securities Limited (CDSL)
DP ID No. Beneficiary Accou	I N		Target ID No.		
Enclosures (Please tick any	one box) : Client M	Aaster List (CML) 🗌 Trans	l action cum Hold	ding Stater	ment 🗌 Cancelled Delivery Instruction Slip (DIS)
SIP DETAILS (Refer Instruction No.		st in Direct Plan please mention Dire	ect Plan against the sc		Please refer respective SID/KIM for product labeling)
Scheme / Plan / Option	Frequency (Please vany one)	Enrollment Period	SIP Date	SIP Amount	Step-Up Facility (Optional) (Refer Instruction No. 26)           Amount         Frequency         Count
	Monthly (Default)		Any date from 1 <sup>st</sup> to 28 <sup>th</sup> ₹_	(in figures)	₹       □Half-yearly       Increase SIP amount         (tublicles of ₹100 orb);       □Luc (c, (u))       Increase SIP amount
Plan: Direct Regular ** In case of Nippon India Tax Saver Fun \$"END DATE" is mandatory and should be less are eligible of every month.	d, Nippon India Retirement fund -	DR Default Date (31/12/2050) Income Generation Plan & Nippon India	Retirement fund- Wealt	h Creation Plan	(Multiples of ₹ 100 only")  Yearly (Default)  (Default 1 time) 1, the Step up minimum Amount should be ₹ 500 and in multiples of ₹ 500/ hot for Flex SIP&Pause Facility. ##For weekly frequency, only 1st, 8th, 15th & 22nd date
ECLARATION: I/We Would like to inves	t in above mentioned scheme s	ubject to terms of the statement of A	Additional Information	ı (SAI), Scheme	e Information Document (SID), Key Information Memorandum (KIM) and
commissions (in the form of trail comm nereby declare that the above informa he subscription amount and the said ( lonfirm that I am resident of India, normal banking channels or from func abroad through approved banking ch ++ I/We, have invested in the Schemel	nission or any other mode), pay titon is given by the undersigne harges shall be paid to the dist   I/We confirm that I am/We of ls in my/our Non-Resident Exter annels or from funds in my/our (s) of your Mutual Fund under D hemes Managed by you, to the	able to him for the different compe d and particulars given by me/us a ributors. are Non-Resident of Indian Nationali nal /Ordinary Account/FCNR Accou NRE/FCNR Account. irect Plan. I/We hereby give you my, o above mentioned Mutual Fund Dis	ting Schemes of varior re correct and comple ity/Origin and I/We her int. I/We undertake tho /our consent to share/ trributor / SEBI-Registe	us Mutual Fund ete. Further, I ag reby confirm tl at all additiono /provide the tra ered Investme	licable from time to time. The ARN holder has disclosed to me/us all the ids from amongst which the Scheme is being recommended to me/us. gree that the transaction charge (if applicable) shall be deducted from that the funds for subscription have been remitted from abroad through al purchases made under this folio will also be from funds received from ransactions data feed/ portfolio holdings/ NAV etc. in respect of my/our ent Adviser. I hereby authorize the representatives of Nippon Life Indic as the case may be.
SIGNATURE sy signing this SIP enrolment form I/V	Ve understand that the amou	nt will be debited from the Bank ac	count mentioned in O	)ne Time Bank	k Mandate / Invest Easy - Individuals Mandate Form.
	licant / Guardian /		Applicant /		Third Applicant /
	ed Signatory		ed Signatory mum amount that you	u would like to i	Authorised Signatory invest in schemes of NIMF on any transaction day.
			,		·\$
א <b>iponi חסמוש M</b>	Wealth sets you free			(Applicable	ONE TIME BANK MANDATE (NACH / Direct Debit Mandate Form) le for Lumpsum Additional Purchases as well as SIP Registration Date:
Sponsor Bank Code (Fo	r Office Use Only)				Create X Modify X Cancel
Jtility Code (For Office Us	e Only)	I/We herek	oy authorize		Nippon India Mutual Fund
to debit (tick√) SB / CA	/ CC / SB-NRE / SB-NF	RO / Other Bank a/c nu	Imber (Destin	nation Bank Ac	ccount Number)
With Bank (Name o	f Destination Bank)				IFSC / MICR
an amount of Rupees		(Amount in wor	d)		₹ (Amount in figure)
DEBIT TYPE Fixed Amou	unt 🖌 Maximum Am	nount <u>FREQUENCY</u> : X	Monthly X Qu	arterly 🛛	× Half Yearly Xearly ✓ as & when presented
he declaration has been carefu	processing charges by th Ily read, understood & ma od that I am authorized to c	de by me/us. I am authorising	the user entity/Co	rporate to d	est schedule of charges of the bank. 2. This is to confirm that debit my account, based on the instructions as agreed and ng the cancellation / amendment request to the user entity
	50	re of Account Holder		of Accoun	
Phone No:	l Name	as in Bank Record	2 Name a	s in Bank R	Record 3 Name as in Bank Record

- Auto Debit facility is offered only to the investors maintaining their bank accounts with Bank of Baroda / Bank of India / HDFC Bank / CICI Bank / AXIS Bank / State Bank of India / Union Bank Of India / Allahabad Bank / Punjab National Bank / Central Bank of India / Bank of Maharashtra. The above list is subject to change from time to time. National Automated Clearing House (NACH) is Direct lectronic Debit mode implemented by National Payments Corporation of India (NPC). Jist of banks is available on NPCI website www.ppciorgin. The said list is subject to modifications. The investor agrees to abide by the terms and conditions of NACH Debit / Judo Debit facility of Reserve Bank of India / Banks. If any city / bank is renoval from the above mentioned list NACH Debit / Jiscreton may accept Post Dated Cheques (PDCs) from the investors for the balance period.
- discretion may accept restricting of neuropes (FOCs) more than an accept and a particular provider service providers shall not be held responsible or will not be liable for any damages and will not compensate for any loss, damage etc. incurred to the investor. The investor assumes the entire risk of using this facility and takes full responsibility. Investor will not hold NMF / NAM india, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of NACH Debit / Auto Debit / local holidays. (2)
- Investors are required to submit One Time Bank Mandate Form and SIP Enrolment Form along with a photo copy/cancelled cheque of Debit Bank Account (as mentioned on the One Time Bank Mandate Form) atleast 25th calendard rays before the first SIP Installment date for NACH Debit & Auto Debit Clearing. In case One time Bank Mandate Form is already registered in the folio then the subsequent SIP registration request provided in the same folio will be processed with in 12 calendar days. (3)
- (4) An investor can opt for Daily, Weekly, Monthly, Quarterly and Yearly frequency for SIP. In case the investor has not specified the frequency then by default the frequency will be treated as Monthly. If an investor does not mention SIP start date appropriately, the SIP will by default start from the next month after meeting the minimum registration requirement of 26th calendar days or 12 calendar days as applicable (Refer point 3).

SIP start date should be within 6 months from the date of submission of SIP application for all frequencies. In case the SIP start date is more than 6 months from the date of submission, such SIP application shall be liable for rejection. If an investor does not mention SIP end date appropriately the tenure of SIP shall be considered same as OTBM end date.

- SIP end date appropriately the tenure of SIP shall be considered same as O IBM end date. An investor shall have the option of choosing for I or more than ISIP in the same scheme same plan and in the same month. The investor can choose the SIP Dates from Ist to 28th of any given month for SIP registered through One Time Bank Mandate. Only for Daily SIP frequency in case of mandates received with dates between 28th to 3lst of a month, the SIP would commence from 1st of the subsequent month. For Datily SIP frequency option SIP will be executed on Daily basis and for Weekly SIP frequency plotion SIP will be executed on 1st, 8th, 15th and 22nd of every month. More than one SIP for the same debit date shall be acceptable. If an investor does not mention SIP Date in the opplication form or multiple SIP dates are methoned in the SIP Mandate or the SIP Date is unclear in the application form / SIP Mandate, the default SIP date shall be treated as 10th as per the frequency defined by the investor, in case the criteria are not met the SIP would start on the same date from the next month. Investors should check the some ot the Designated Investor Service Centre of Nippon India Mutual Fund before investing. Units will not be created in case of Non Transaction Day / Holiday in case of Daily SIP. Daily SIP Frequency would not be available in case of Daily IDCW Plans of the Schemes. (5) Schemes
- For details about the Scheme and its facility please refer the SID, SAI & KIM of the respective schemes / Addendum issued from time to time carefully before investing. (6)
- In case of three consecutive failures due to insufficient balance in bank account while processing request for SIP, NAM India shall reserve the right to terminate the SIP without any written request from the investor. In the meantime, if any debits for subsequent installments is effected by banker, then Units would get created for the same. (7)

## (8) SIP Amount:

<u>&</u>-----

SIP Frequency SIP Period		Minimum Amount		
Daily	Minimum 3 months	100 per Instalment and in multiples of Rs.1 thereafter		
Dully	Minimum 1 month	500 per Instalment and in multiples of Rs.1 thereafter		
Weekly	Minimum 1 year	100 per Instalment and in multiples of Rs.1 thereafter		
WEEKIY	Minimum 3 months	500 per Instalment and in multiples of Rs.1 thereafter		
e an investor wishes to change the bank account details for the existing SIP registered through Auto debit / NACH Debit				

- In case an investor wishes to change the bank account details for the existing SIP registered through Auto debit / NACH Debit mode, then he has to provide Change of bank details for One Time Bank Mandate. The existing SIP registration will not get cancelled. The investor has to submit the Change of bank details for One Time Bank Mandate atleast 21 business days prior to the next SIP Debit date. (9)
- In case the Investor wishes to cancel the One Time Bank Mandate / SIP . Investor will have to submit an One Time Bank Mandate (10) Cancellation Form or SIP cancellation form, at least 10 business days prior to next SIP due date
- Investors may note that all the transactions executed through invest Easy such as "Online Transactions" (whether on our website or through only other application using the internet) "Transactions through call center," Transactions through SMS, "Transactions through Mobile Phone" ar any other facility as offered by NIME from time to time using the IPIN / One Time Password (OTP) will be considered as transaction through the mentioned broker (ARN) mentioned on this "SIP Enrollment Details" Form. (11)
- The Broker Code given in this mandate will be applicable for all the transactions done through Invest Easy mode. In case there is a change of Broker Code then the investor are requested to cancel the existing mandate and register a fresh mandate with us. (12)
- For Direct Investment Please Mention "Direct in the Column "Name & Broker Code/ARN. (13) (14)
- Investors are required to clearly indicate the plans/options in the application form of the scheme. Investor may note that following shall be applicable for default plan

Sr	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured	
1	Not mentioned	Not mentioned	Direct Plan	
2	Not mentioned	Direct Plan	Direct Plan	
3	Not mentioned	Regular Plan/Other than Direct Plan	Direct Plan	
4	Mentioned	Direct Plan	Direct Plan	
5	Direct	Not mentioned	Direct Plan	(
6	Direct Regular Plan/Other than Direct Plan Direct Plan		Direct Plan	
7	Mentioned	entioned Regular Plan/Other than Direct Plan Regular Plan/Other than Direct		
8	Mentioned	Not mentioned	Regular Plan/Other than Direct Plan	(

In cases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under In cases of windig invalid, incomplete Ank Codes in Interfactore of the opplication in the opplication is laid. By processed and en-Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load. Similarly, in the absence of clear indication as to the choice of option (Growth or Payout of Income Distribution cum capital withdrawal option), by default, the units will be allotted under the Growth Option of the default /selected plan of the scheme.

- Applications should be submitted at any of the Designated Investor Service Centre (DISCs) of NAM India or KFin Technologies Limited (Formerly KFin Technologies Private Limited). (15)
- Existing unit holders should note that unit holders' details and mode of holding (single, jointly, anyone or survivor) will be as per the (16) existing Account.
- NAM India reserves the right to reject any application without assigning any reason thereof. NAM India in consultation with Trustees reserves the right to withdraw these offerings, modify the procedure, frequency, dates, load structure in accordance with the SEBI Regulations and any such change will be applicable only to units transacted pursuant to such change on a prospective basis. (17)

- No entry load will be charged wi enrolment of SIP will be applicable vith effect from August 1, 2009. Exit Load as applicable in the respective Sch
- In order to transact through Call Center, SMS, online mode whether through NIMF website or any other application (19) usina the In order to transact introdgh Call center, SM, online mode whether through Niuki website of any other application Using the internet and for through Mobile or any other device, the investor needs to have the IPN, issued by NAM India. By IIIIing this form the investor will be issued IPIN by default in case he has not opted for the same earlier. This IPN can also be used by the investor to transact Online. If only the enail I do the investor is registered with NAM India / NIMF, investor can execute Transaction through Call Center, Transaction through mobile WAP (Web Access Portal) Site, Transaction through NIMF website. If only the mobile number of the investor is registered with NAM India/NIMF, investor can execute transaction only through SMS. For further details investors are requested to refer SAL.
- Kindly note that in case of a follow kith joint Unitholders, having mode of operations as "either or survivor" or "anyone or survivor any one of the Investor(s) can transact through SMS, provided that such instruction is received vide an SMS from the mobile number registered with NAM India with respect to the concerned folo. (20)
- manent Account Number (PAN): SEBI has made it mandatory for all applicants (in the case of application in joint names, each (21) Permanent Account Number (PAN): SEBI has made it mandatory for all applicants (in the case of application in joint names, each of the applicants) to mention his/her permanent account number (PAN) irrespective of the amount of purchase. Where the guardian, signing on behalf of the minor, as the case may be in order to verify that the PAN of his/ her father or mother or the guardian, signing each of the applicants, the applicants shall attach along with the purchase applications (in case of application in joint names, each of the applicants), the applicants shall attach along with the purchase applications, and protocopy of the PAN card duly self-certified along with the original PAN Card. The original PAN Card will be returned intermediately across the counter after verification. Micro SPA investors residing in the state of Sikkim are exempted from the mandatory requirement of PAN proof submission however they are required to mandatorily submit KYC Acknowledgement copy. Applications not complying with the above requirement may not be accepted/processed. Additionally, in the event of any Application Form being subsequently rejected for mismatch / non-verification of applicant's PAN details with the details on the website of the income Tax Department, the investment transaction will be cancelled and the amount may be redeemed at the applicable htys.//mf.nipponindiam.com for further details.
- further details. Prevention of Money Laundering and Know Your Client (KYC): SEBI has prescribed uniform uniform KYC compliance procedure for all the investors dealing with them. SEBI also issued KYC Registration Agency ( 'KRA') Regulations 2011 and the guidelines in pursuance of the soid Regulations and for In-Person Verification (TPV'). All investors (Individual and non- individual) are required to be KYC compliant. However, applicants should note that minors cannot apply for KYC and any investment in the name of minors should be through a Guardian, who should be KYC compliant for the purpose of investing with a Mutual Fund. Should the applicant desire to change KYC related information, PGS will extend the services of effecting such changes. In case of an existing investor of NIMF who is already KYC compliant under the enstwhile centralized KYC with CVL (CVLMF) then there will be no effect on subsequent Purchase/Additional Purchase (or oraging SIFs). Fist, on the existing fois/accounts which are KYC compliant. Existing Folio holder can also open a new folio with Nippon India Mutual Fund with the enstwhile centralized KYC. (22)

  - Existing Folio holder can also open a new folio with Nippon India Mutual Fund with the erstwhile centralized KYC
    (i) In case of an existing investor of Nippon India Mutual Fund and who is not KYC Compliant as per our records, the investor will
    have to submit the standard KYC Application forms available in the website www.cvikra.com along with supporting
    documents at any of the SEBI registered intermediaries at the time of purchase / additional purchase / new registration of
    SIP/STP etc. In Person Verification (IPV) will be mandatory at the time of KYC Submission.
    (ii) Investors who have compiled with KYC process before December 31, 2011 (KYC status with CVL-KRA as "MF VERIFIED BY
    CVLMF") and not invested in the schemes of Nippon India Mutual Fund ie not opened a folio earlier, and wishes to invest on
    or dfar December 01, 2012, such investors will be required to submit! "missing/not available' KYC information and complete
    the left equipments.

the IPV requirements. Updation of 'missing / not available' KYC information along with IPV is currently a one-time requirement and needs to be completed with any one of the mutual funds i.e. need not be done with all the mutual funds where investors have existing investments. The said form is available on NIMF's website i.e. <a href="https://mtnipponindiam.com">https://mtnipponindiam.com</a> or on the website of any submitted in the website of any submitted in the website of Association of Nutual Funds in India i.e. www.amfinidic.com or on the website of any submitted in the mutual funds again. at CVL-KRA will change to Verified by CVL KRA' offer due verification. In such a scenario, where the KYC status at CVL-KRA will change to Verified by CVL KRA' offer due verification. In such a scenario, where the KYC status changes to Verified by CVL KRA, investors need not submit the 'missing/not available' KYC Information to mutual funds again. Communication for the investors: In accordance with SEIR Circular No. Cir/ (IND) DF/I6/ 2011 dated September 8, 2011 and SEBI Circular no. CiR/(MRD)/DP/31/2014 dated November 12, 2014 the investor whose transaction has been accepted by the NAM India/NIMF Abil receive a confirmation by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request, same will be sent to the Unit holders registered e-mail address and/or mobile number. Thereafter, a Consolidated Account Statement ('CAS') shall be issued in line with the following procedure: 1. Consolidation of account statement table body on the basis of PAN. In case of multiple holdina, it shall be PAN of the first

- Consolidation of account statement shall be done on the basis of PAN. In case of multiple holding, it shall be PAN of the first holder and pattern of holding.
- 2.
- Induce in a patient of induing. The CAS shall be generated on a monthly basis and shall be issued on or before 10th of the immediately succeeding month to the unit holder(s) in whose folia(s) transaction(s) has/have taken place during the month. In case there is no transaction in any of the mutual fund folias then CAS detailing holding of investments across all schemes of all Mutual Funds will be issued on half yearly basis [at the end of every six months (ia. September/ March)] З. 4
- Investors having MF investments and holding securities in Demat account shall receive a Consolidated Account Statement containing details of transactions across all Mutual Fund schemes and securities from the Depository by email / physical mode
- mode. Investors having MF investments and not having Demat account shall receive a Consolidated Account Statement from the MF industry containing details of transactions across all Mutual Fund schemes byemail /physical mode. The word transaction's hall include purchase, redemption, switch, Proyout of Income Distribution cum capital withdrawal applian, Reinvestment of Income Distribution cum capital withdrawal optic, systematic investment plan, systematic withdrawal plan and systematic transfer plan transactions. CAS shall not be received by the furth holders for the folio(s) wherein the PAN details are not updated. The Unit holders are therefore requested to ensure that the folio(s) are updated with their PAN. For Micro SIP and Sikitm based investors whose PAN details are not mandatorily required to be updated Account Statement will be dispatched by NAM India/NIMF for each calendar month on or before 10th of the immediately succeeding month. 5. month

In case of a specific request received from the Unit holders, NAM India / NIME will provide the account statement to the investors within 5 Business Days from the receipt of such request

- 1st Oct 2023 / Ver Units held in the dematerialized form: Unitoders can have a option to hold the units in dematerialized form in terms of the guidelines / procedural requirements as laid by the Depositories (NSDL/CDSL) / Stock Exchanges (NSE / BSE). Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with any one of the Depositive diverticing. (24) ository Participant.
- (25)
- OTBM + SIP Form / Depository Participant. Employee Unique Identification Number (EUIN) would assist in tackling the problem of mis-selling even if the employee / relationship manager/sales person leave the employment of the distributor. Nippon India STEP-UP Facility: Under this facility the Investor can increase the SIP installment (excluding MICRO SIP) at pre-defined intervals by a fixed amount. This facility is available for all categories of investors. For availing the solid facilities, investors are required to note the following: (26)

1. Investor willing to register STEP-UP should provide the STEP-UP details along with the SIP enrolment details and is also required to L Investor willing to register STEP-UP should provide the STEP-UP details along with the SIP enrolment details and is also required to fill up °One Time Bank Mandate Form' from which the amount shall be debited. Investors who are currently registered under invest Easy – Individuals facility may avail this facility without registering the One Time Bank Mandate. The minimum amount for Nippon India STEP-UP facility is 100/- and in multiples of 100/- [sceep for Nippon India Tax Saver (ELSS) Fund. Nippon India Retirement fund – Income Generation Plan & Nippon India Retirement fund- Wealth Creation Plan for which the minimum amount for shall be †500/- and in multiples of t500/- 3. Daily, Weekly and Monthly SIP offers STEP-UP frequency is not indicated, it will be considered as 'tearly' by Default. 4. There should be clear indication about STEP-UP Faculeus, is not indicated, it will be considered as 'tearly' by Default. 4. There should be clear indication about STEP-UP Count i.e. the number of times the SIP considered as 'tearly' by Default. 5. The date for Nippon india STEP-UP Facility will correspond to the registered SP. The encomment period specified in the Nippon India STEP-UP form should be less than or equal to the enrolment period mentioned on the SIP. 6. In case of any deviation in period, the tenure of the SIP shall be considered.

\*I/We hereby declare that the particulars given on this mandate are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Nippon India Mutual Fund, their representatives, service providers, participating banks & other user institutions responsible. I/We have read the Terms & Conditions and agree to discharge the responsibility expected of me/us as a participant/s under the scheme. I/We authorize use of above mentioned contact details for the purpose of this specific mandate instruction processing. I/We hereby confirm adherence to terms on this mandate. I hereby authorize the representatives of Nippon Life India Asset Management Limited and its Associates to contact me through any mode of communication. This will override registry on DND / DNDC, as the case may be.

INSTRUCTIONS cum TERMS AND CONDITIONS

(23)

Authorisation to Bank: I/We wish to inform you that I/we have registered with Nippon India Mutual Fund for NACH / Direct Debit through their authorised Service Provider(s) and representative for my/our payment to the above mentioned beneficiary by debit to my/our above mentioned bank account. For this purpose I/We hereby approve to raise a debit to my/our above mentioned account with your branch. I/We hereby authorize you to honor all such requests received through to debit my/our account with the amount requested, for due remittance of the proceeds to the beneficiary.

FOR OFFICE USE ONLY (Not to be filled in by Investor)				
Affix Barcode	Date and Time Stamp No.			