



# Ohio Utilities Protection Service

## 2016 Safety and Legislative Presentation

**Ohio Utilities Protection Service is  
the contact before you dig notification  
center for the state of Ohio.**

O.U.P.S. is the communication link  
between those who own and operate  
underground utility facilities and those  
with a desire to excavate.

# Excavator Responsibilities

- Definition of an Excavation
  - “...penetrate or bore or drill into the earth...”
  - ORC 3781.25(l)
- Plan Work
- Premark in White
- Click, Call or Tap the App
  - 48 hours but not more than 10 days before you plan to dig
- Each Excavator Has to Have Their Own Ticket
  - “the person or persons responsible for making the actual excavation” ORC 3781.25(K)
- Locate Work Order

# Excavator Responsibilities Cont.

- CSR Verification – Listen Closely
- Ticket Number
- We Notify Our Members But Not All Utilities Are Members With O.U.P.S.
- Wait 48 Hours Before You Begin Excavation
- Check Positive Response
  - Excavator has an obligation to check positive response
    - 800-445-3894
- Perform Site Assessment - Observe tolerance zone
- Dig With Care

# **Senate Bill 378**

**The Enforcement of Ohio's Damage  
Prevention Laws**

**Became Active January 1, 2016**

# **What will be enforced?**

- 153.64 – The protection of underground facilities during public improvement projects
- 3781.26 (A) – Requires all utilities who own and operate underground facilities to register with a protection service.
- 3781.26 (B) – Requires protection services, utilities, excavators, equipment dealers, PUCO, etc. to publicize the importance of contacting a protection service prior to excavation.
- 3781.27 – Developer or designer notifying protection service of intended excavation.

# What will be enforced? Cont.

- 3781.28 – Excavator notifying protection service.
- 3781.29 – Marking location of facilities or give indication of no facilities at site.
- 3781.30 – Duties of excavator.
- 3781.31 – Notifying of commencement of excavation or of removal of markings.
- 3781.32 – Corrections or tie-ins within right-of-way.
- 4913 – Rules surrounding enforcement process.

# How will the enforcement process be funded?

All utilities, excavators, developers and designers who participate in the one-call system will pay an annual registration fee not to exceed \$50 to the PUCO

# How will the enforcement process work?

- Complaint driven process
- “Aggrieved party” may file request for inquiry with the PUCO within 90 days
- PUCO will notify the alleged responsible party and gather information
- PUCO will forward all information to the Underground Technical Committee (UTC)

# **What are the fines/penalties for non-compliance?**

- 1<sup>st</sup> time violations – training/education requirement, fine of up to \$2500 or combination of both
- 2<sup>nd</sup> time violations – training/education requirement, fine of up to \$5000 or combination of both
- “Persistent Noncomplier” – PUCO may impose fine of up to \$10000

# What if I disagree with the finding of the UTC?

- The aggrieved party or the alleged responsible party may file for reconsideration with the PUCO within 30 days
- If a reconsideration is filed, the PUCO will review the finding of the UTC and may hold a hearing
- PUCO will affirm, reject or modify finding

# **What happens to the monies collected as a result of the fines?**

All fines collected will be deposited into the underground facilities protection fund and used solely to fund grants for underground utility damage prevention education awareness programs

# **Additional Resources...**

**Visit [www.oups.org](http://www.oups.org) for valuable  
resources**

**Senate Bill 378**

**FAQs**

**Enforcement Process Flow Chart**