**Application and Scoping for Certification**

**Leading Harvest Farmland Management Standard**

***\*Note:*** *All information provided in this application is intended for the purposes of estimating suitability of applicants, estimating cost of audit approaches, and determining required samples. All information is to be considered confidential and is not to be shared outside of select Averum personnel and the parties listed in this application.*

1. **Is the applicant a Leading Harvest Program User with currently enrolled acreage?**

Yes ☐ No ☐

1. **Applying Entity Information**

|  |  |
| --- | --- |
| Company Name: |  |
| Company Address: |  |
| Company Website: |  |
| Applicant Name: |  |
| Applicant Phone:  |  |
| Applicant email: |  |
| Signatory party for proposals/service agreements: |  |
| Position with applicant: |  |
| Phone: |  |
| Email:  |  |
| Project Coordinator for certification: |  |
| Position with applicant: |  |
| Phone: |  |
| Email: |  |
| Is this a joint or group application? | Yes ☐ No ☐ |
| If YES:[ ]  The primary applicant is responsible for the management of all sub entities / group members[ ]  Multiple sub entities are to be certified separately |
| Scope Expansion? *Note\* Scope expansions are deliberately increasing the range of activities, processes, or locations that are included within the audit scope, and may be conducted in conjunction with a surveillance audit.* | Yes ☐ No ☐ |
| If yes, please describe the nature of expansion. |

1. **Assurance Level (Report Type) Requested**

|  |
| --- |
| Readiness Review (Required in first year) |[ ]
| Certification to Leading Harvest FMS |[ ]
| Surveillance Audit |[ ]
| Recertification to Leading Harvest FMS |[ ]
| Did Averum provide previous readiness/certifications/surveillance audits? | Yes ☐ No ☐ |

1. **Other parties / management office(s) included in scope of audit**

|  |  |
| --- | --- |
| Name of company: |  |
| Address: |  |
| Phone: |  |
| Person(s) responsible for conformance: |  |
| Email: |  |
| Nature of responsibilities: |  |

\*Attach further information if complexity requires

1. **Has the applicant employed any consultants in demonstrating their conformance to the Leading Harvest Farmland Management Standard?**

Yes ☐ No ☐

Please describe the nature of the consulting arrangement, if possible.

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|  |

1. **Has the applicant employed the services of Pinion, LLC or its subsidiaries (K·Coe Isom, Pinion Advisory, ResourceMAX, AgKnowledge, Next GEN, K·Coe Capital, K·Coe Wealth Management)?**

Yes ☐ No ☐

Please describe the services provided, if possible.

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| --- |
|  |

1. **Scope of Audit (Information on acreage enrolled in Leading Harvest)**

*\*Note: Detailed enrollment information, including site names, acreage, state, crop type, and region (if applicable) must be provided to facilitate appropriate sampling. Multi-site sampling is extremely effective at reducing the time required to develop site visit plans and . Please attach this information with the return of this application.*

|  |  |
| --- | --- |
| Gross acres enrolled in Leading Harvest |  |
| Management divisions (regions, states, property clusters, etc.) |  |
| Number of properties under direct management |  |
| Number of properties under tenant management |  |
| Do you use third-party contract management providers? | Yes ☐ No ☐ |
| Management personnel and roles: *Please describe management structure and identify individuals who are responsible for Leading Harvest implementation (e.g. Regional Managers, Vineyard Managers, Farm Managers).* |
| Focus of portfolio (Commodity, permanent, horticulture, etc) |  |
| Crop types within enrolled acreage (please list or provide in attachment) |  |
| Existing certifications and audit schemes on properties in portfolio (Organic, Global G.A.P., etc.) |  |

1. **Confidentiality**

As in the above note, all information provided in this application is considered strictly confidential. Information in this application only concerns Averum and the named parties of the applicant. All information is intended for the purposes of estimating suitability of applicants, estimating cost of audit approaches, and determining required samples. Averum is prohibited from sharing, distributing, or otherwise using this information for any other purposes.

1. **Use of information and communication technology (ICT)**

ICT: Throughout the audit engagement, ICT will be employed for a variety of tasks. An online portal will be provided and made available for clients to supply documentation for review, provide feedback on observation and notes, and for multiple levels of audit team reviews and signoffs. Throughout the audit engagement, conference calling technology (including Zoom, Team, etc.) will be leveraged for meeting with clients, conducting management interviews, follow-up interviews, and Opening and Closing meetings. As Leading Harvest engagements are geographically decentralized, the use of ICT will be necessary.

1. **Applicant Signature**

I, the undersigned, have the relevant authority to present this application for Certification to the Leading Harvest Farmland Management Standard. The information given in this application is true and may be used as part of the agreement with Averum.

Name:

Position:

Signature:

Date:

1. **Application**

Send the completed and signed application to matt.armstrong@averum.co. We will reach out and begin the certification with you right away!