

## CUSTOMER RELATION SUMMARY

### WAYPOINT ASSET MANAGEMENT, LLC

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This brochure provides information about the qualifications and business practices of Waypoint Asset Management LLC (“Waypoint”). If you have any questions about the content of this brochure, please contact us at 203-658-7002 or [adacorte@waypointam.com](mailto:adacorte@waypointam.com). The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission (“SEC”) or by any state securities authority.

Waypoint is registered with the U.S. Securities and Exchange Commission as a Registered Investment Advisor. Registration with the U.S. Securities and Exchange Commission does not imply a certain level of skill or training. There are free simple tools available to research firms and financial professionals at <https://www.investors.gov/CRS> which provide educational materials about broker-dealers, investment advisers, and investing.

Waypoint was established in 2012 and commenced operations on January 1, 2013. Waypoint is solely owned by Juan Jose Pedreira.

Our firm specializes in identifying investment opportunities in Emerging Markets. The term “emerging markets” as it is used in this Brochure generally means those countries that are considered developing countries by the World Bank, the International Finance Corporation or the United Nations or its agencies.

*What investment services and advice can you provide me?* Our firm primarily provides investment advice to clients that are unregistered investment companies, commonly known as hedge funds, and Family Offices.

*Given my financial situation, should I choose an investment advisory service?* Waypoint tailors its advisory services to the needs of its clients. Waypoint enters into investment advisory agreements with its clients in which they agree to the type of advisory services Waypoint will perform.

*How will you choose investments to recommend for me?* Our firm provides investment advice to clients both on a discretionary basis, where the investment team has full authority and discretion on the investment decision, within a previously discussed risk parameters of the client, and on a non-discretionary basis, where the investment team makes investment recommendations but invests solely under specific instructions of the client. Our firm only offers advisory services to clients with a minimum initial amount to invest of US\$ 1,000,000 (one million US Dollars)

*What is your relevant, including your licenses and other qualifications?* Mr. Jose Pedreira is the Chief Investment Officer and final decision maker of all the investments in the discretionary funds or accounts being managed. Mr. Pedreira has over 35 years of experience in managing risk in Emerging Markets and 25 years in portfolio management. Mr. Pedreira holds the Series 65 license.

#### **Fees and Compensation**

*What fees will I pay?* The firm charges each of its hedge fund clients a monthly management fee based on the amount of assets that it manages. The more assets the investor has, the more fees he/she will pay. The firm charges its hedge fund clients a quarterly performance-based fee, which is payable in arrears, paid from client assets, and is subject to a loss carryforward. Waypoint bills its advisory clients directly for fees incurred, (management fee and performance-based fees) and does not have the authority to deduct them from client assets. You will pay fees and costs whether you make money or lose money on your investments.

*Help me understand how much will go to fees and costs and how much will be invested for me?*

Waypoint will charge 2% per annum management fee on the amount of your investment, and 20% on the profit amount of your investment.

*What are your legal obligations to me when acting as my investment adviser?*

As an investment adviser, we have a fundamental obligation to act in the best interests of our clients and to provide investment advice in their best interests. We owe our clients a duty of undivided loyalty and utmost good faith. We should not engage in any activity in conflict with the interest of any client, and we should take steps reasonably necessary to fulfill our obligations. Departure from this fiduciary standard may constitute “fraud” upon our clients (under Section 206 of the Advisers Act).

*How else does your firm make money and what conflicts of interest do you have?*

When we act as your investment adviser, we must act in your best interest and not put our interest ahead of yours. At the same time, the way we make money may create some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice, we provide you with. Here are some examples to help understand what this means.

Performance-based compensation arrangements may create an incentive for Waypoint to recommend investments that may be riskier or more speculative than those that would be recommended under a different fee arrangement. If Waypoint is entitled to receive a higher percentage of the net profits of the account of one client than the percentage that Waypoint receives from another client, then Waypoint may have an incentive to favor, or to allocate certain riskier or more speculative investments to the client that is subject to the higher percentage. However, Waypoint will allocate all investment opportunities among its clients in a manner that it considers fair and equitable to all clients, considering all factors applicable to each client. Among the factors that may be considered by Waypoint in allocating trades among its client accounts are: (i) investment policies, (ii) guidelines or (iii) restrictions applicable to each specific client.

*How might your conflict of interest affect me and how will you address it?* When we act as your investment advisor, we must act in your best interest and not put our interest ahead of yours. Our firm is not affiliated to any broker-dealer, or investment bank, and does not have a proprietary desk, which would create conflicts of interest with our investors.

*How do your financial professionals make money?* The financial professional in the firm is compensated based on performance results.

### **Disciplinary History**

*Do you or your financial professionals have legal or disciplinary history?*

None of the firm’s professionals has ever had any disciplinary action by any of the authorities in the financial industry. Please visit <https://www.investor.gov/CRS> for a free and simple search tool to research any of our financial professionals.

### **Additional information**

*Who is my primary contact? Is he a representative of an investment adviser?*

For additional information on our firm and services provided, please send an email to [info@waypointam.com](mailto:info@waypointam.com) and request a copy of the Client Relationship Summary. You may also contact **Americo Da Corte**, C.O.O at [adacorte@waypointam.com](mailto:adacorte@waypointam.com) or J. Jose Pedreira, C.I.O at [jpedreira@waypointam.com](mailto:jpedreira@waypointam.com)

*Who can I talk to if I have concerns about how this person is treating me?* You may contact Americo Da Corte, C.O.O at [adacorte@waypointam.com](mailto:adacorte@waypointam.com) or J. Jose Pedreira, C. I O.at [jpedreira@waypointam.com](mailto:jpedreira@waypointam.com)