

Pharmacy Compliance Risk Scorecard



GREGG — ESTD
CONSULTING 2019

For Healthcare Facilities

Medication storage and handling issues are the #1 reason medical facilities get cited by state boards and regulatory agencies. Most facilities think they're compliant... until they aren't.

This quick scorecard gives you a 5-minute snapshot of your medication storage compliance level so you know exactly where you stand and where your biggest risks are.

If you operate in South Carolina, additional pharmacy-consultant requirements may apply. — see the final page.

Instructions: For each item, circle 0, 1, or 2.

0 = Not in place

1 = Partially in place

2 = Fully in place

SECTION 1 – Medication Storage & Security (0-20 points)

Item	Score (0-2)
All medications stored in a locked or access-controlled room(s)	0 / 1 / 2
Expired medication removal routine exists	0 / 1 / 2
Medication samples separated and labeled with designated sample log	0 / 1 / 2
Refrigerated meds kept in a medical-grade fridge	0 / 1 / 2
Syringes, sharps, vials, and injectables secured, unopened and not pre-filled with medication	0 / 1 / 2

Subtotal: _____ / 10 → x2 = _____ / 20

SECTION 2 – Documentation & Logs (0-20 points)

Item	Score (0-2)
Temperature logs completed daily for each fridge	0 / 1 / 2
Inventory logs maintained regularly	0 / 1 / 2
Self inspection reports documented properly	0 / 1 / 2
Medication disposal documented	0 / 1 / 2
Policies & Procedures binder present	0 / 1 / 2

Subtotal: ____ / 10 → x2 = ____ / 20

SECTION 3 – Staff Practices (0-20 points)

Item	Score (0-2)
Only licensed staff administer medications	0 / 1 / 2
Staff trained on compounding, aseptic technique and medication safety	0 / 1 / 2
Proper labeling used on all medications (includes MDV's with proper BUD stickers)	0 / 1 / 2
No pre-drawn syringes (unless fully compliant with USP 797)	0 / 1 / 2
No standing orders in place (every patient has GFE with practitioner before receiving therapy)	0 / 1 / 2

Subtotal: ____ / 10 → x2 = ____ / 20

SECTION 4 – Facility Culture (0-20 points)

Item	Score (0-2)
Regular internal audits occur	0 / 1 / 2
Issues corrected promptly	0 / 1 / 2
Designated compliance lead	0 / 1 / 2
Staff knows where logs/P&P are stored	0 / 1 / 2
Records kept for proper retention period	0 / 1 / 2

Subtotal: _____ / 10 → x2 = _____ / 20

SECTION 5 – High-Risk Areas (0-20 points)

Item	Score (0-2)
Controlled substances handled correctly	0 / 1 / 2
Emergency medications maintained	0 / 1 / 2
Multi-location consistency	0 / 1 / 2
Refrigerated medication backup plan	0 / 1 / 2
Compounding performed safely (if applicable)	0 / 1 / 2

Subtotal: _____ / 10 → x2 = _____ / 20

TOTAL SCORE: _____ /100

SCORE INTERPRETATION

0-40: SEVERE RISK

You are likely out of compliance in multiple areas. High chance of citation during inspection. Immediate action recommended.

41-70: MODERATE RISK

You have compliance gaps that need to be addressed. These are the types of issues that lead to fines or inspection deficiencies.

71-100: LOW RISK

Your system is functional. Maintain your routines, update logs regularly, and keep your P&P current. Fine tune anything that slightly out of compliance.

NATIONAL GUIDANCE + SC-SPECIFIC NOTE

GENERAL GUIDANCE (applies to all states):

- Identify weak areas and correct within 7-14 days
- Keep all logs in a single binder
- Ensure all staff know where documents are stored
- Remove expired meds monthly
- Make one staff member “compliance lead”
- Audit your med room monthly

IMPORTANT FOR SOUTH CAROLINA FACILITIES

South Carolina has **unique legal requirements** under §40-43-86 of the Pharmacy Practice Act including:

- Mandatory monthly inspections
- A consultant pharmacist for most facilities storing/administering prescription drugs
- Documentation and corrective action standards
- Storage and labeling requirements
- Increased scrutiny of med-spas and IV hydration practices

If you operate in SC, you likely need pharmacy consulting services. If you scored below an 85, we suggest immediate action be taken to correct any known issues. Visit www.greggconsultingrx.com to schedule your free permit assessment today.