RAILROAD TECHNOLOGY FAILURE REPORT

POSITIVE TRAIN CONTROL (PTC) - TRIP OPTIMIZER / LEADER

To better understand and evaluate the use of certain technologies being implemented by the Carrier, the Organization is seeking information regarding their operation. In order for the Organization to formulate a plan to protect our members, the general public, and ensure the safety of the nation's infrastructure, we are asking members to provide information when incidents or events occur regarding these technologies.

Did the event involve: ☐ PTC; ☐ Trip Optimizer/Leader; or ☐ Both
In what state did the event occur?
Railroad property involved:
Date of incident: Train ID / Symbol:
Territory where the event occurred (Division/Subdivision/Yard/etc.):
Please briefly describe in detail what occurred. Please state the facts as they occurred with as much detail as possible:
(add additional sheet, if needed
If PTC, was communication lost: ☐ YES or ☐ NO Was communication regained: ☐ YES or ☐ NO
If PTC communication was lost at an area commonly known to lose communication, please list what is thought to be the cause (e.g. tunnel, mountain, etc.):
During the event, was it necessary for the crew to intervene to operate the train: ☐ YES or ☐ NO
Excluding a penalty brake application; during the event, was the train/equipment capable of stopping itself without human intervention: \Box YES or \Box NO
During the event, was the train/equipment capable of controlling its own speed without human intervention: ☐ YES or ☐ NO

_	s without human intervention	• •	and properly traversing over specific d restriction, work authority, switch,
Did a human have to c	omplete the trip/assignment:	☐ YES or ☐ NO	
Was a call made for as	sistance:	If yes, date & t	ime:
If no, did railroad (RR)	rules/regulations prevent you	from calling:	□NO
Was a report of the ev	ent provided to the RR: \Box YE	S or □ NO	If yes, date:
On-duty milepost:		On-duty date & time:	
Off-duty milepost:		Off-duty date & time:	
TRAIN MAKE-UP			
Loads:	Empties:		Tons:
LOCOMOTIVES			
Initials	Number	On-line	DP Unit
		☐ YES or ☐ NO	☐ YES or ☐ NO
		☐ YES or ☐ NO	☐ YES or ☐ NO
		☐ YES or ☐ NO	☐ YES or ☐ NO
		☐ YES or ☐ NO	☐ YES or ☐ NO
		☐ YES or ☐ NO	☐ YES or ☐ NO
		☐ YES or ☐ NO	☐ YES or ☐ NO
Employee submitting:		Craft (EN/CO/FO/SW/BK/etc.):	
Contact Number:		Email Address:	
Local Numbers		Date	