

# Biologics for Asthma What to Use and When?

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HARVARD  
MEDICAL SCHOOL

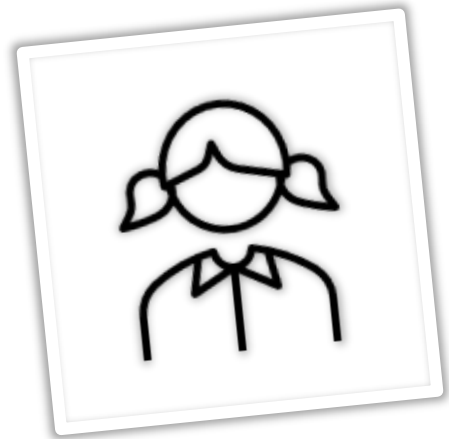
# Learning Objectives

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- Review the Landscape of Biologics in Asthma
- Discuss Strategies on Choice
- Discuss immune based personalized approaches and consideration of prevention/disease modification as future approaches

**PATIENT  
ASSESSMENT**

Age at asthma diagnosis (years)	3
Exacerbations in past year requiring OCS burst	5
Serious exacerbations in past year requiring hospitalization	3
FEV <sub>1</sub> (% predicted)	70%
Body mass index	22 kg/m <sup>2</sup>
ACT score	12
ACQ score	2.8
Symptoms	>3 days/week



**Sophie**  
6 y/o female

**LAB RESULTS**

Blood eosinophils (cells/ $\mu$ L)	500
Sputum eosinophils (%)	Not evaluated
FeNO (ppb)	31
<b>IgE (IU/mL)</b>	<b>400</b>
<b>Allergen-specific IgE<sup>†</sup></b>	<b>House dust mites</b>

**MEDICATION HISTORY**

- High-dose ICS + LABA
- Intranasal corticosteroids
- OCS – 5 bursts/y

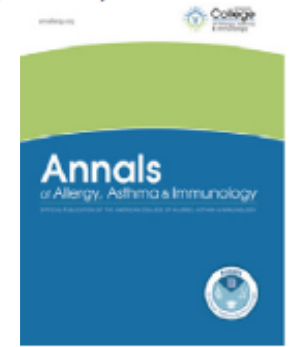
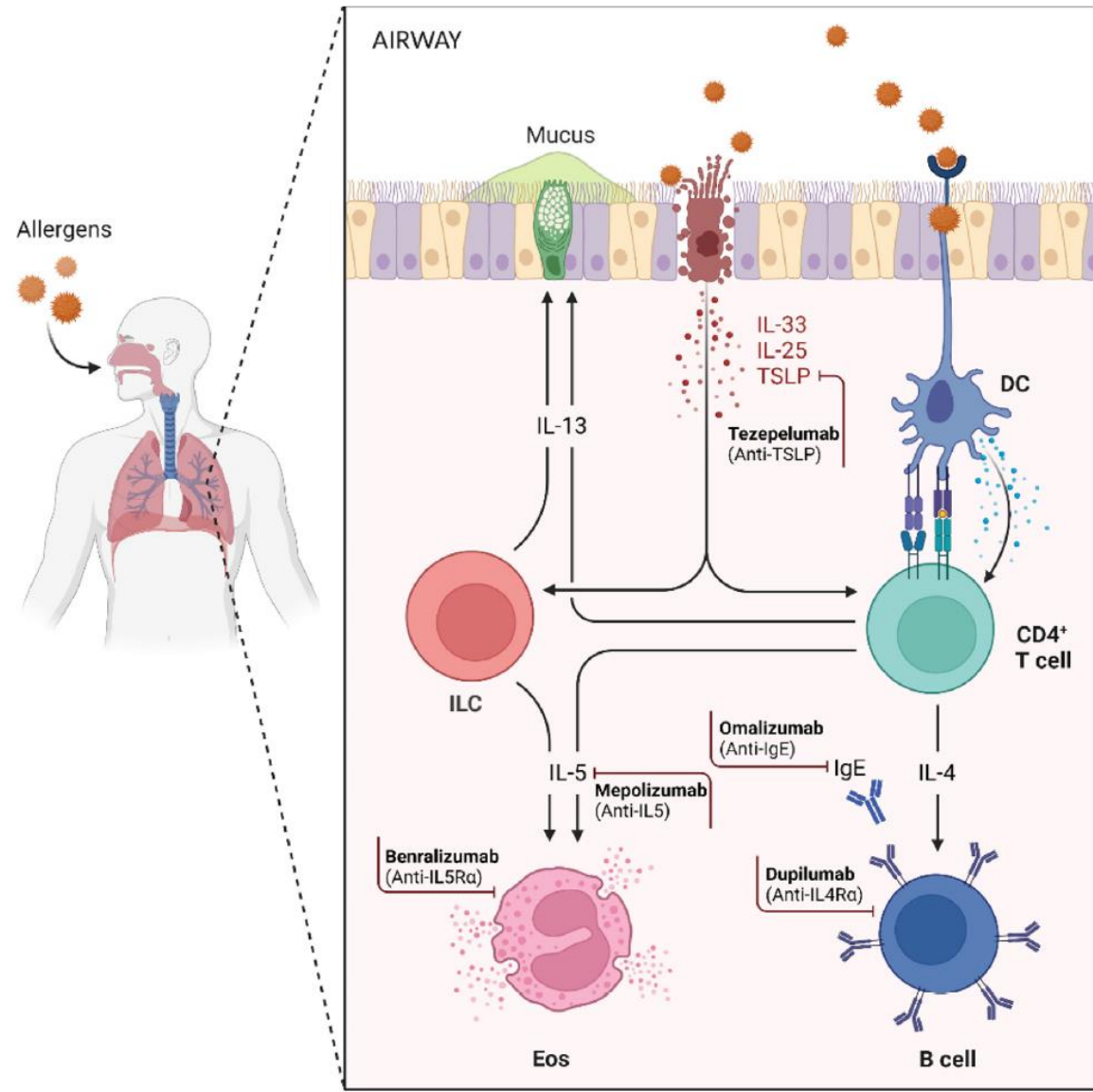
**What can we do for this  
patient?**

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# Future of biologics in pediatric asthma

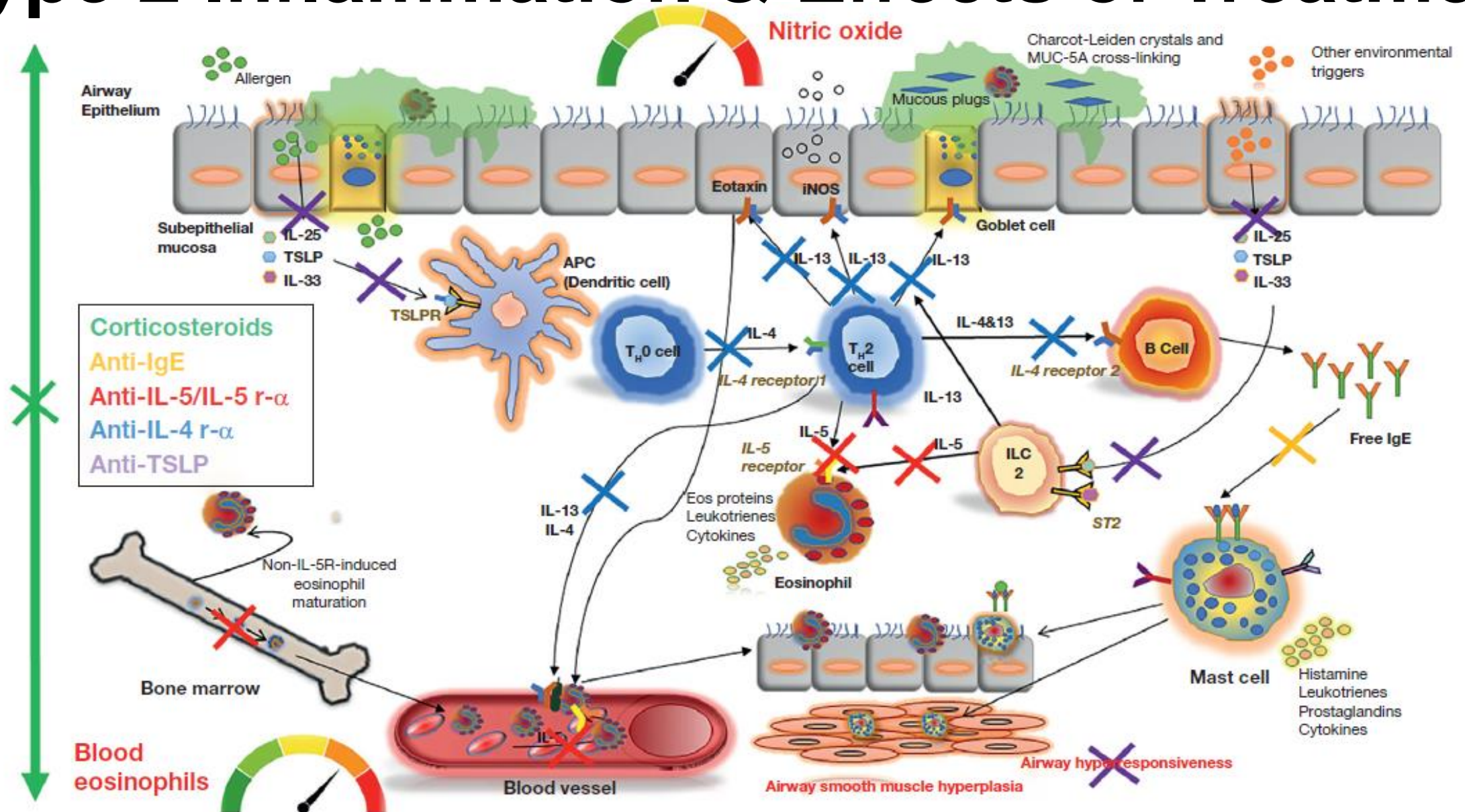
Optimizing response, early introduction, and equitable access to treatment

Ianthe R.M. Schepel, BMBCh, MPhil, MS<sup>\*</sup>; Tina M. Banzon, MD<sup>†</sup>; Wanda Phipatanakul, MD, MS<sup>†</sup>



2023

# Type 2 Inflammation & Effects of Treatment



# And a number of questions arise:

- What are the opportunities that these new agents offer?
- In which patients should we really use these expensive agents?
- What do we need to do before starting a biological?
- ...and also, which one to choose...

	Omalizumab(Xolair)	Mepolizumab (Nucala)	Dupilumab(Dupixent)	Benralizumab(Fasenra)	Tezepelumab(Tezspire)	Depemokimab (Exdensur)
<b>Age</b>	≥6 years old	≥6 years old	≥6 years old	≥6 years old	≥12 years old	≥12 years old
<b>Asthma Indication</b>	moderate-to-severe asthma with perennial aeroallergen sensitization	severe asthma with an eosinophilic phenotype	moderate-to-severe asthma with an eosinophilic phenotype or OCS-dependent asthma	severe asthma with an eosinophilic phenotype	severe asthma with no specific phenotype	severe asthma with an eosinophilic phenotype
<b>Mechanism</b>	binds free IgE	binds IL-5	binds IL-4 receptor (IL-4Rα)	binds IL-5 receptor (IL-5Rα)	binds TSLP	binds IL-5
<b>Biomarkers</b>	IgE = 30-700 IU/mL (or 30-1300 IU/mL) aeroallergen sensitization	No strict eosinophil cutoff; generally ≥150-300 cells/μL used	No strict eosinophil cutoff; generally ≥150-300 cells/μL used	No strict eosinophil cutoff; generally ≥300 cells/μL used	No biomarker cutoff	No strict eosinophil cutoff; generally ≥150-300 cells/μL used
<b>Dosing</b>	Every 2 weeks or every 4 weeks	Every 4 weeks	Every 2 weeks	Every 4 weeks (x 3), then every 8 weeks	Every 4 weeks	Every 6 months
<b>Location</b>	office or home	office or home	office or home	office or home	office or home	office
<b>Other FDA Indications and Dosing</b>	CIU (≥12 years old); Nasal Polyps (≥18 years old) Food allergy ≥ 1 y/o	HES (≥12 years old) w/o CA; CRSwNP (≥18 years old); EGPA (≥18 years old), COPD adults	AD (≥6 months); CRSwNP (≥12 years old); EoE (≥1 years old) CSU, prurigo nodularis & COPD &bullousP ≥18	EGPA adults	CRS w/ NP (≥12 years old)	NA
<b>Common side effects</b>	Headache, upper abdominal pain, pyrexia, injection site reaction (pain, swelling, erythema, pruritus)	Headache, injection-site reaction; back pain; fatigue	Conjunctivitis, oral herpes, eosinophilia, arthralgia, injection-site reactions	Headache, pharyngitis, injection-site reactions.	Pharyngitis, arthralgia, back pain	injection-site reaction; upper respiratory infections; pharyngitis

# How do we choose a biologic?

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# Factors to Consider in Prescribing Asthma Biologic Therapies to Children

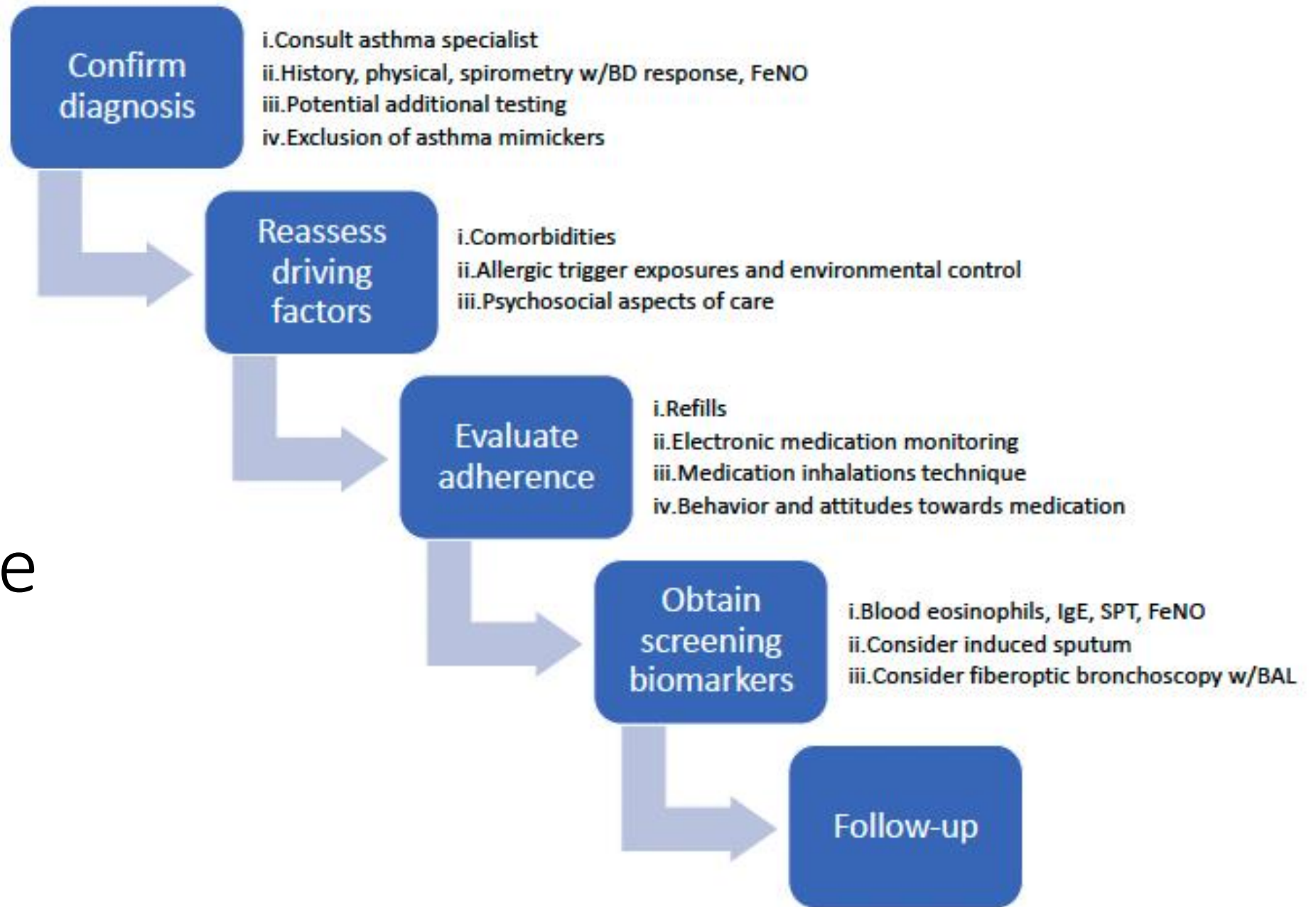
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William C. Anderson III, MD<sup>a</sup>, Tina M. Banzon, MD<sup>b</sup>, Bo Chawes, MD, PhD, DMSc<sup>c</sup>, Nikolaos G. Papadopoulos, MD, PhD<sup>d</sup>, Wanda Phipatanakul, MD, MS<sup>e</sup>, and Stanley J. Szefler, MD<sup>f</sup> *Aurora, Colo; Boston, Mass; Copenhagen, Denmark; and Athens, Greece* JACI Practice 2023

- Clinical history: control (impairment & risk), current therapy step
- Basic labs CBC w/ Diff (eos count), total IgE
- Aeroallergen sensitization, skin prick testing and/or specific Ige
- Spirometry, FENO
- Family consideration (adherence, schedule) office vs. home, dosing frequency
- # of injections, fear of needles
- Comorbid conditions/competing diagnosis
  - Atopic dermatitis, chronic idiopathic urticarial, chronic rhinosinusitis with nasal polyps, other skin conditions (prurigo nodularis, bullous pemphigoid)

# A stepwise approach



# Safety Considerations for Biologic Therapies

Omalizumab	Mepolizumab	Reslizumab	Benralizumab	Dupilumab
<ul style="list-style-type: none"><li>• Anaphylaxis</li></ul>	<ul style="list-style-type: none"><li>• Hypersensitivity (rare)</li><li>• Herpes zoster infection</li></ul>	<ul style="list-style-type: none"><li>• Anaphylaxis</li></ul>	<ul style="list-style-type: none"><li>• Hypersensitivity (rare)</li></ul>	<ul style="list-style-type: none"><li>• Hypersensitivity (rare)</li><li>• Injection site reactions</li><li>• Hypereosinophilia</li></ul>

Consider giving all age appropriate vaccines prior to starting biologics

The package insert suggests avoid live vaccines during treatment with biologics but there is no data

One suggestion - hold biologic for 12 weeks & wait to restart for 4 weeks after vaccination.

Limited available evidence suggests that holding biologic for 4 weeks or more before immunization may also lead to safe and effective vaccination.

Safety overall well tolerated- Give time for a few months to determine efficacy

McGregor MC et al. *Am J Respir Crit Care Med*. 2019;199:433-445

Pelaia C et al. *Ther Adv Resp Dis*. 2018;12:1-6.

Holguin F et al. *Eur Resp J*. 2019; in press (<https://doi.org/10.1183/13993003.00588-2019>)..

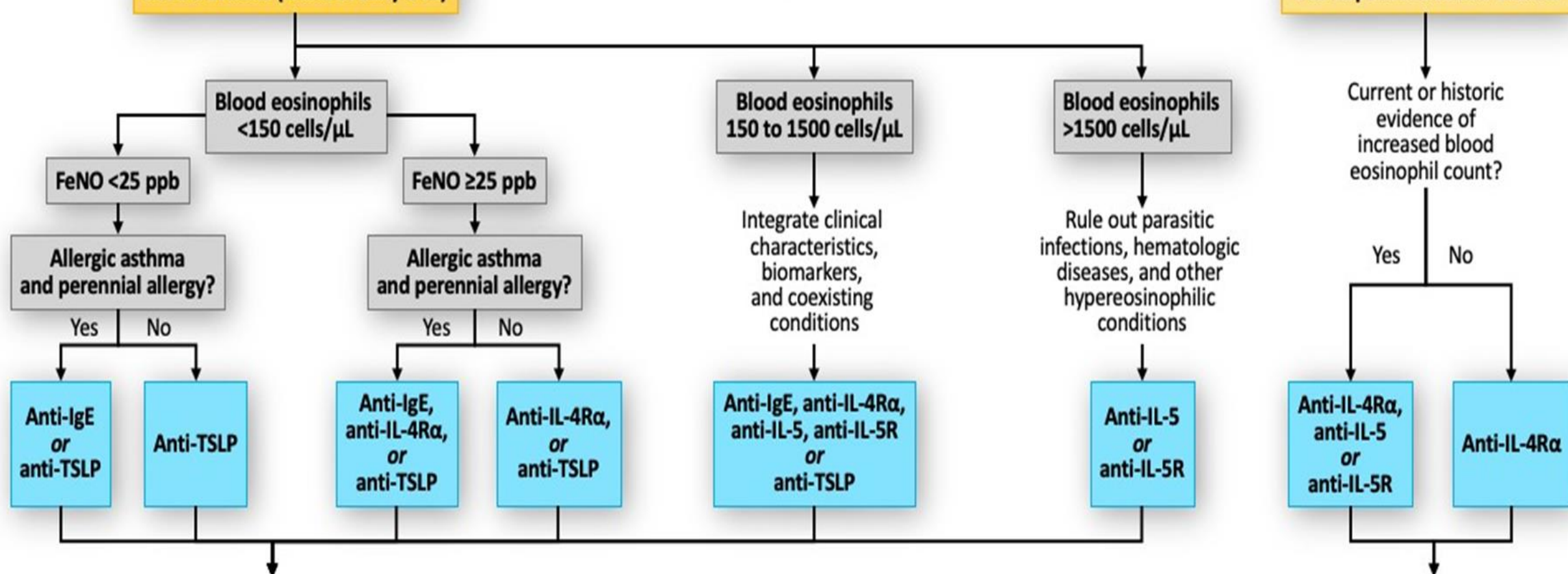
**Severe asthma despite high-dose ICS + LABA and adequate management**

- Determine blood eosinophil count and FeNO
- Assess coexisting conditions (eg, severe atopic dermatitis CRSwNP, allergic rhinitis, eosinophilic pneumonia, EGTPA)

**Severe asthma (without daily OCS)**

**OCS-dependent severe asthma**

**BIOMARKERS**



**CLINICAL FEATURES**

How can we predict response??

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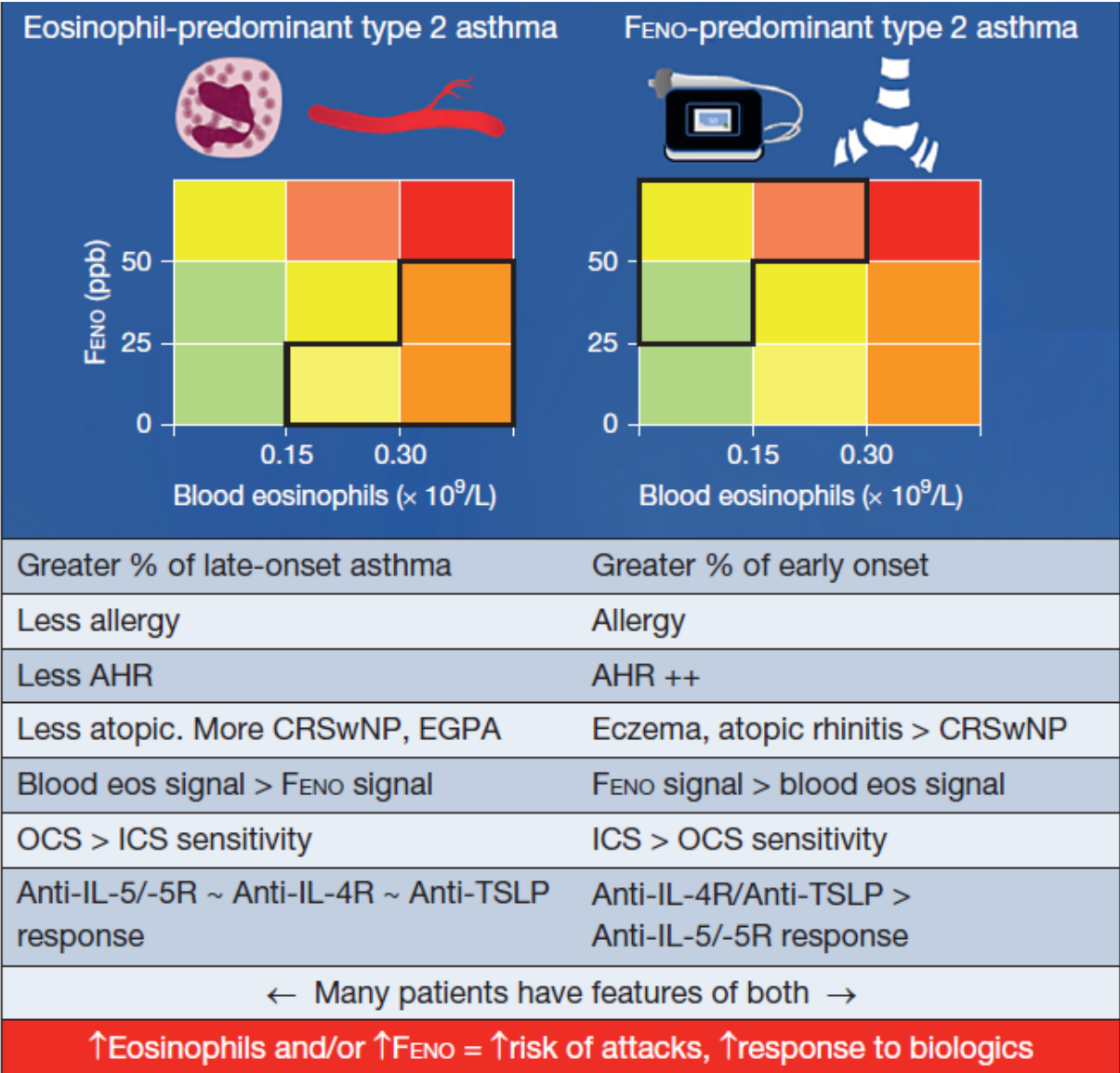
# FeNO and Eosinophils and Increased Airway Bronchial Hyperresponsiveness- HOT/HOT



Ian Pavord

“Measure eos and FeNO in all patients with severe asthma”

- Type II biomarkers are additive with patients that have more than one biomarkers have high T2 inflammation and more BHR/exacerbations
- Isolated elevations in FeNO can indicate ongoing type II inflammation



## 1. Workup

### Woman, 35 y of age

Asthma diagnosis confirmed



Asthma is uncontrolled



Environmental triggers optimized



Adherence and inhaler technique



Comorbidities reviewed



Phenotyped



- Allergic/childhood onset

- Corticosteroid dependence: 0

Biomarkers measured



- IgE 350 kU/L (↑)

- F<sub>ENO</sub> 45 ppb (↑)

- Blood Eos  $0.35 \times 10^9/L$  (↑)

Physiologic features/Imaging reviewed



- FEV<sub>1</sub> 65%, FEV<sub>1</sub> to FVC ratio 0.57

- Normal findings on chest radiograph

## 2. Considering which biologic

- All options below are reasonable choices - status quo is not.
- The following features should be discussed and weighed with the patient
- Payer reimbursement criteria may need to be considered

Options	Features	Comments
Omalizumab	<ul style="list-style-type: none"><li>• Young woman of child-bearing age</li><li>• Fits prescription criteria</li><li>• Allergic / childhood onset</li></ul>	<ul style="list-style-type: none"><li>• Most data in pregnancy</li><li>• IgE↑, sensitised</li><li>• Modest effect on attacks</li></ul>
Dupilumab or tezepelumab	<ul style="list-style-type: none"><li>• Eos and F<sub>ENO</sub> raised</li><li>• Spirometry results obstructive</li><li>• History of severe asthma attacks</li><li>• Childhood onset</li></ul>	<ul style="list-style-type: none"><li>• Only mAbs to ↓↓ F<sub>ENO</sub> and ↑↑ FEV<sub>1</sub></li><li>• Large effect on attacks</li><li>• First choice here if no plans for children</li></ul>
Mepolizumab or benralizumab	<ul style="list-style-type: none"><li>• Eos raised</li><li>• History of severe asthma attacks</li></ul>	<ul style="list-style-type: none"><li>• Large effect on attacks</li></ul>
Reslizumab	<ul style="list-style-type: none"><li>• Eos raised</li></ul>	<ul style="list-style-type: none"><li>• Intravenous therapy, no subcutaneous option</li></ul>

## 3. Making a choice

- Shared decision-making is essential.
- If no short-term plans for pregnancy, dupilumab or tezepelumab are preferred for their broad clinical impacts (Attacks, FEV<sub>1</sub>)
- Failure to achieve optimal response within 6 mo should prompt reevaluation

# PEDIATRIC ASTHMA MONITORING PLAN

## 1 IN EVERY VISIT, EVALUATE AS PRIORITY:

- Symptoms
- Control
- Comorbidities
- Adherence
- Growth



## 4 IF INDICATED, CONSIDER:

- Irritant exposures
- Allergen exposures
- Psychological evaluation
- Nutritional evaluation
- Tests for steroid adverse events
- Smoking cessation advice to parents



## 2 EVERY VISIT OR TWO, PERFORM:

- Lung function
- QoL
- FeNO (if feasible)



## 5 PLAN NEXT VISIT

**2-6 months ahead**  
(sooner in  
severe/uncontrolled disease)



## 3 ONCE OR TWICE A YEAR:

- Do reversibility
- Review biomarkers



## 6 BETWEEN VISITS CONSIDER:

- eHealth apps
- Smart inhalers



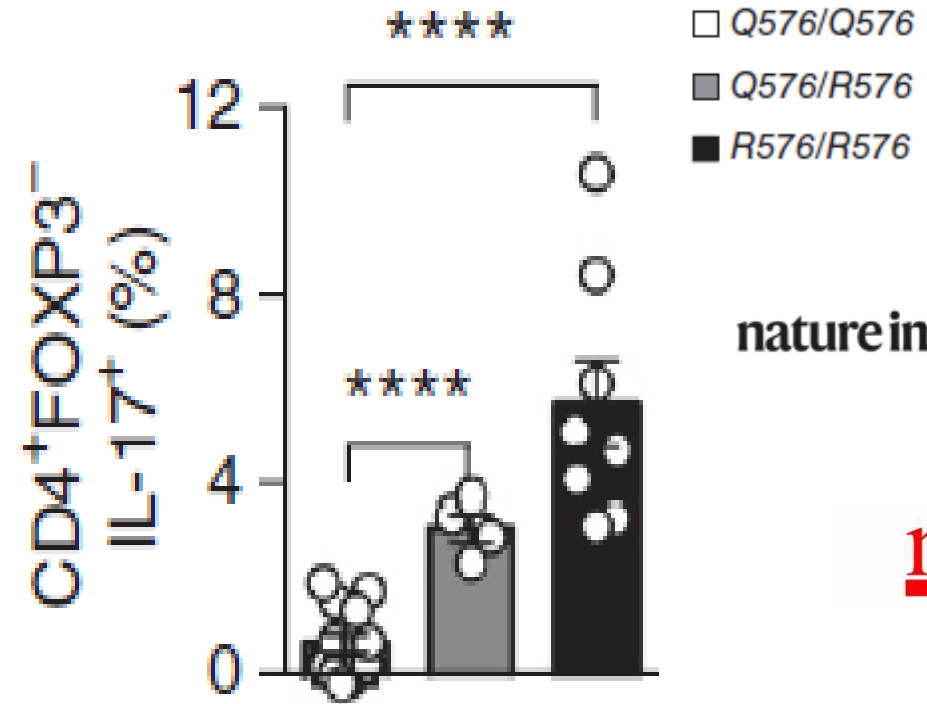
# **Future-Precision Biomarker Driven Therapy**

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# An asthma associated IL4R polymorphism Increases Airway Inflammation by Conversion of regulatory T cells to Th<sub>17</sub>-like Cells

- IL-4R $\alpha$ -Q576R polymorphism- (glutamine (Q) to arginine R substitution at position 576 of the IL-4R $\alpha$ )
  - R allele frequency 68% (blacks/hispanics); 20% (whites)
  - R allele associated with severe asthma
  - Unique among *IL4R* polymorphisms, directly drives T<sub>H</sub>2 to T<sub>H</sub>17 inflammatory response in the airways
  - Dose response relation with severity
  - Augmented by obesity

nature  
medicine



nature immunology

nature  
International weekly journal of science

Massoud et al, Nat Med 2016; 22(9):1013-22  
Hani H, et al Nature Immunol November, 2020  
Babat, S, et al Nature March 2021



## Cohort

*IL4R*<sup>Q576/Q576</sup>  
*IL4R*<sup>Q576/R576</sup>  
*IL4R*<sup>R576/R576</sup>



*Il4ra*<sup>R576</sup> *Foxp3*<sup>YFP-Cre</sup>  
*Il4ra*<sup>R576</sup> *Foxp3*<sup>YFP-Cre</sup> *Notch4*  $\Delta/\Delta$   
*Il4ra*<sup>R576</sup> *Foxp3*<sup>YFP-Cre</sup> *Grb2*  $\Delta/\Delta$   
*Il4ra*<sup>R576</sup> *Foxp3*<sup>YFP-Cre</sup> *Il6ra*  $\Delta/\Delta$

## Study design

HDM/UFP

1 2 3

HDM/UFP

15 16 17 18

Lung function analysis

FACS analysis



## Therapy



1  
OVA i.p.  
Sensitization

Anti-Notch4 mAb  
treatment 2h before  
Sensitization i.p.

14

OVA i.p.  
Challenge

Anti-Notch4 mAb  
treatment 2h before  
Challenge i.p.

26

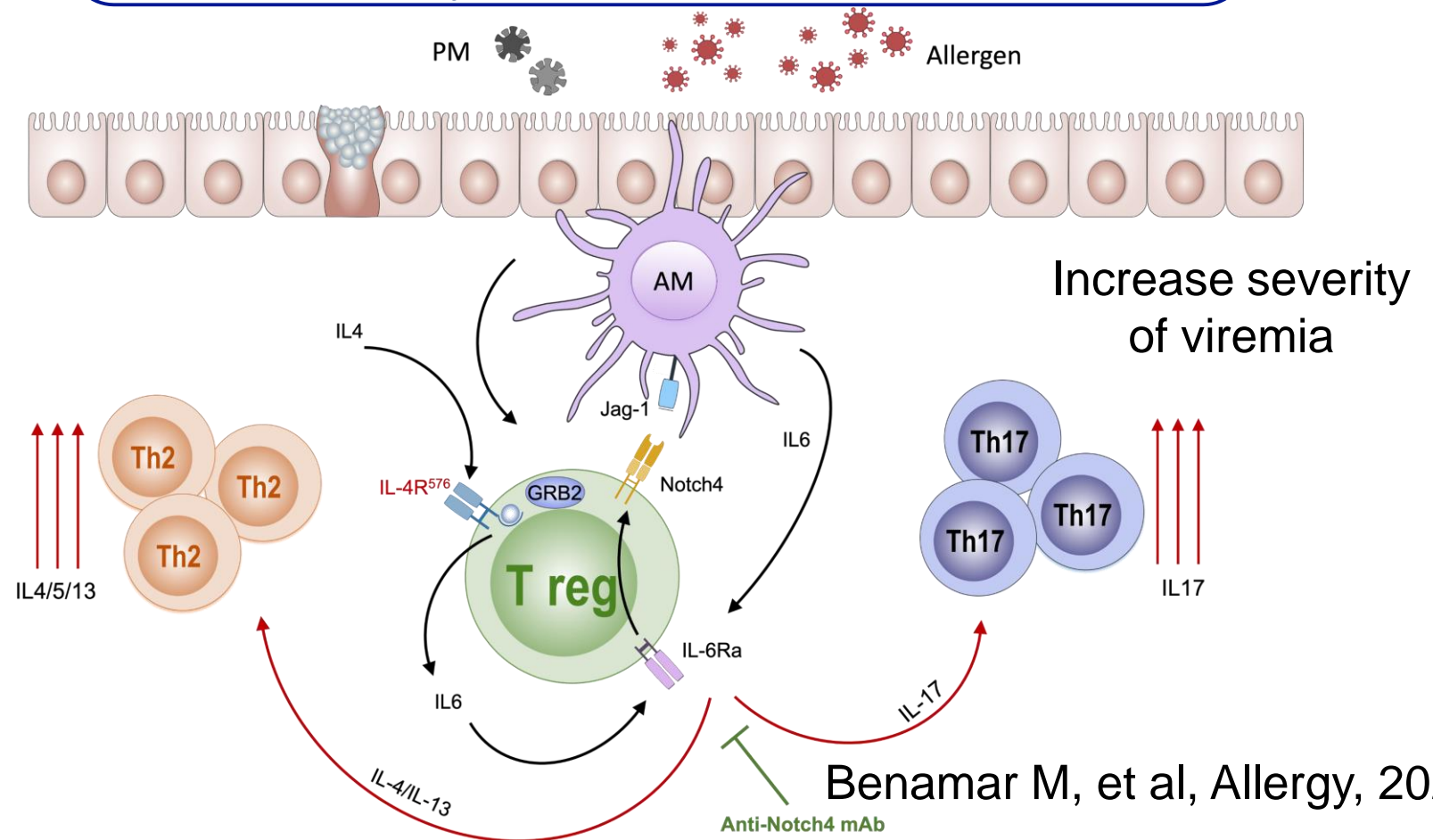
27

28

29 Lung function

30 FACS analysis



The *IL4-Ra-R576* variant exacerbates asthmatic inflammation via a  
*T<sub>reg</sub>* Cell GRB2-IL-6-Notch4 Circuit





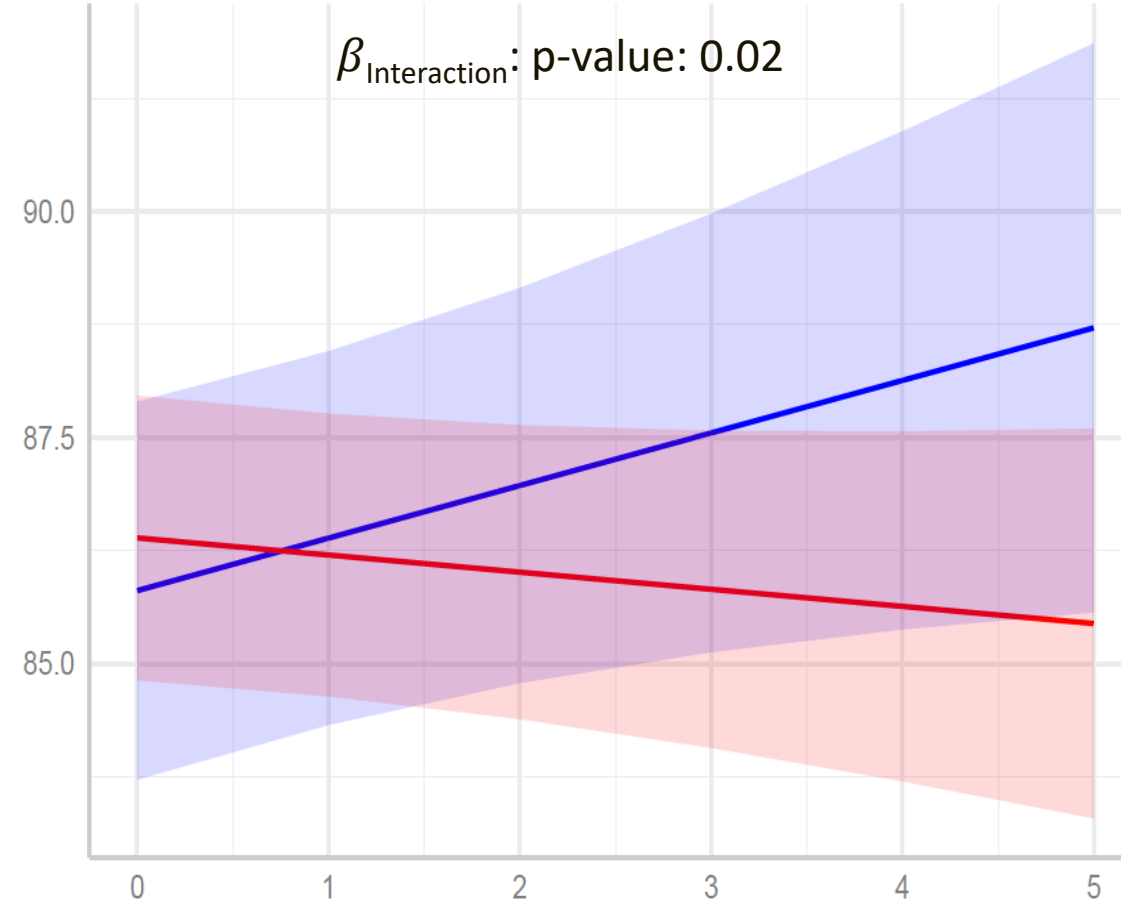
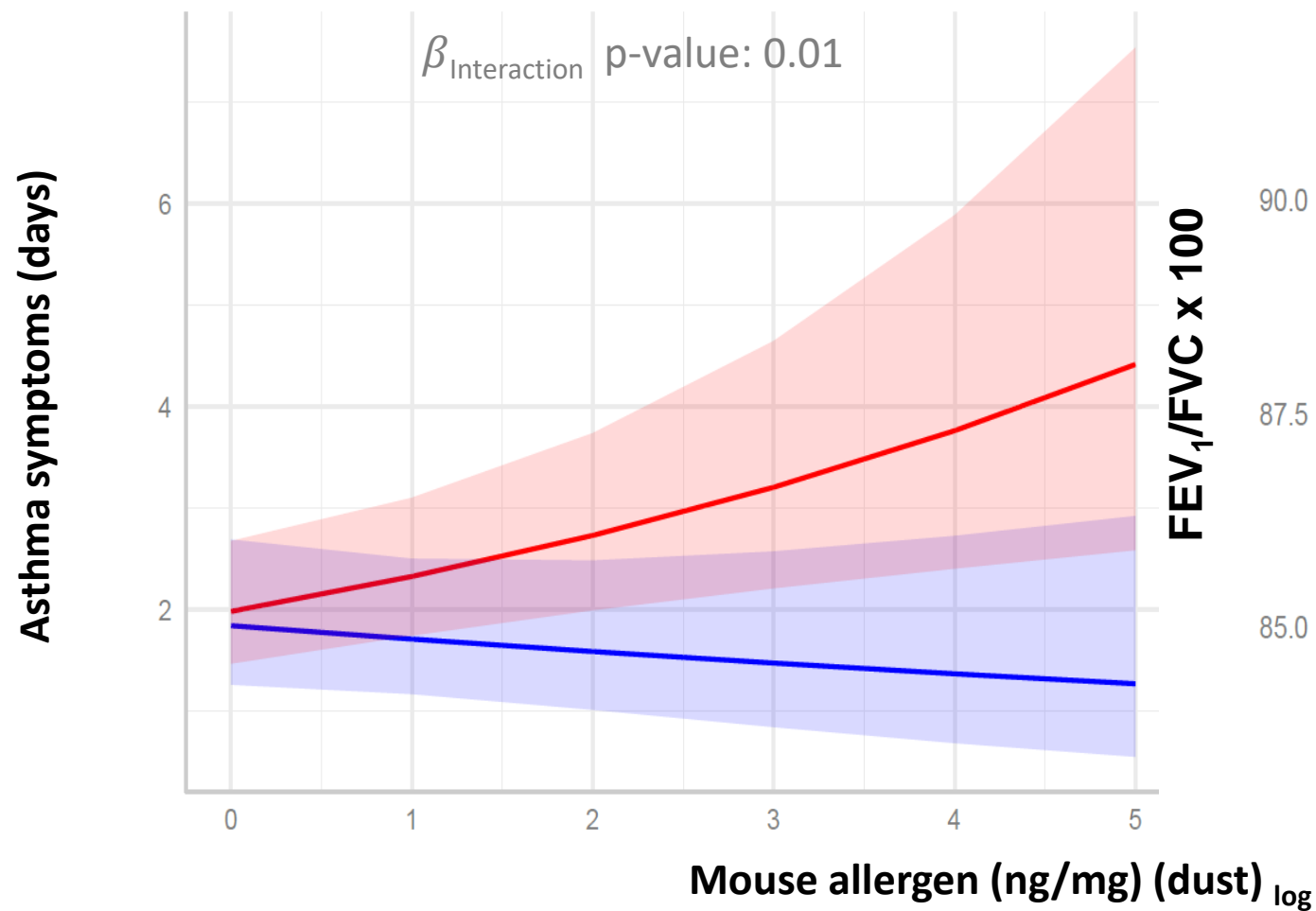
Benamar M, et al, Allergy, 2022

# Gene by Environment Interactions with School Mouse Exposure and Asthma Symptoms & Lung Function



Genotype  QQ  QR/RR

genotype  QQ  QR/RR





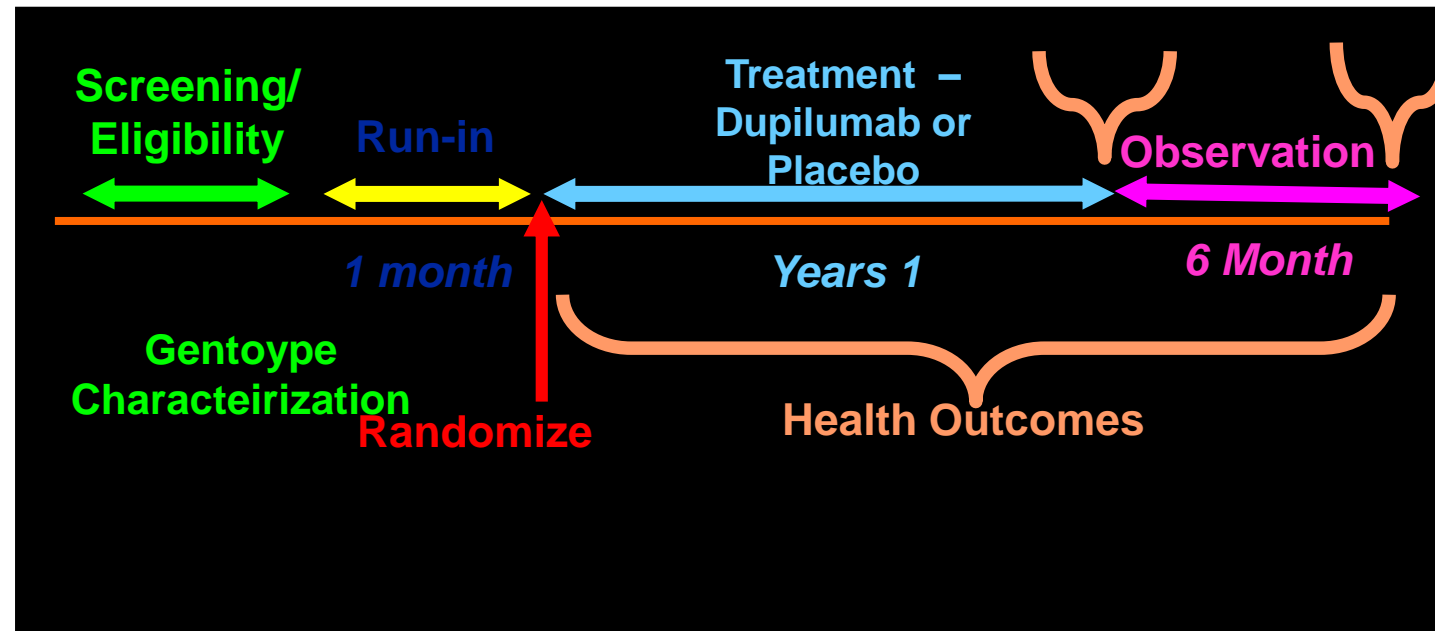
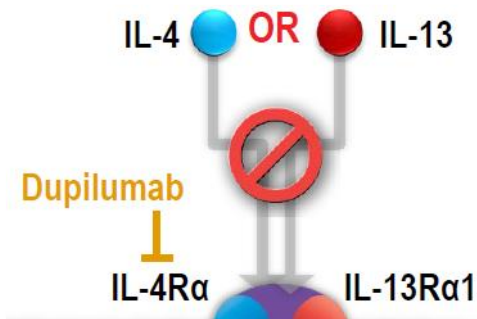
# Investigating Dupilumab's Effect in Asthma by Genotype

## IDEA Trial

<https://ideaasthma.org>

Boston- Phipatanakul/Israel  
New Jersey- Oppenheimer  
Michigan- Kim/Zoratti  
Cleveland-Kaelber  
NY-Montefiore- Jarwali  
U Penn- Banerjee

U01 AI143514 – Phipatanakul/Chatila  
3 Groups by Genotype 1: 1 Dupilumab vs. Placebo



Will investigate genotype driven (personalized) response to therapy and study preliminary mechanisms in disease modification

# Trial for severe asthma targets a mutation common in children of color



<https://answers.childrenshospital.org/duplimab-asthma/>



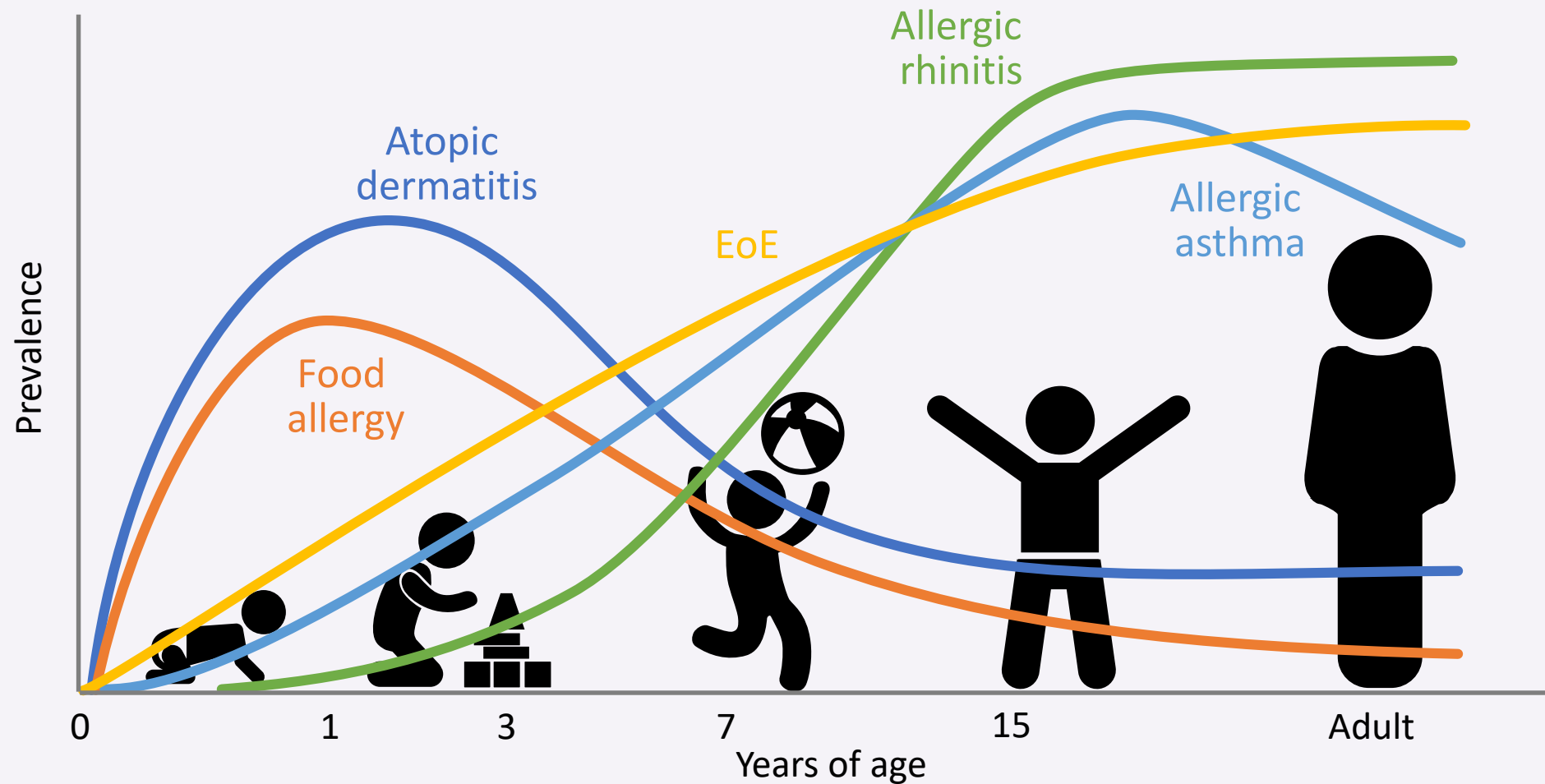
[hospital.org/duplimab-asthma/](https://answers.childrenshospital.org/duplimab-asthma/)

<https://ideaasthma.org>

# **Biologics in Disease Modification and Prevention**

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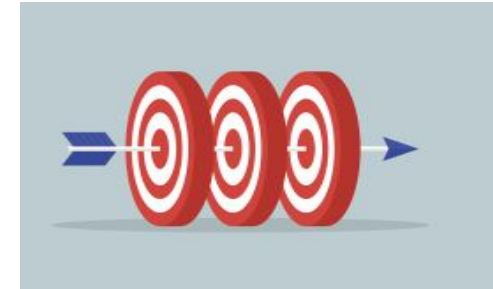
# IgE Mediated “Allergic/Atopic March”<sup>1,2</sup>



# Triple Threat: Important in the Development of Asthma



**Can we hypothesize  
immune based  
treatment in young  
children that acts on  
the “triple threat”  
will prevent the atopic  
allergic asthma march**

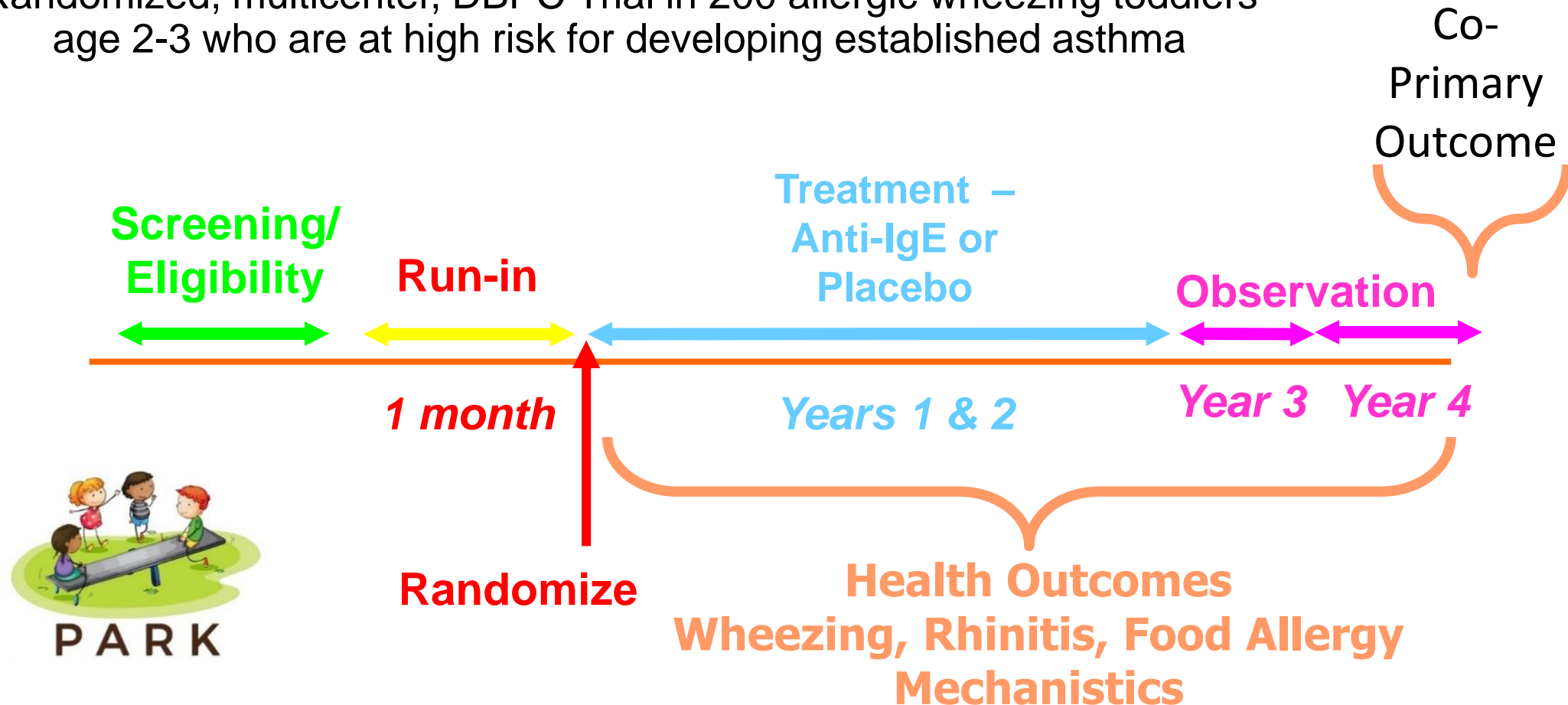


# Preventing Asthma in High Risk Kids- PARK

<https://answers.childrenshospital.org/asthma-prevention-xolair/>

U01AI126614/ U01 AI179563- Principal Investigator Phipatanakul- NCT02570984

Randomized, multicenter, DBPC Trial in 200 allergic wheezing toddlers age 2-3 who are at high risk for developing established asthma



# Can asthma be nipped in the bud?

Posted on August 2, 2016 by Nancy Fliesler | Posted in Pediatrics, Therapeutics

More On: [asthma](#), [clinical trials](#), [Division of Allergy and Immunology](#), [Wanda Phipatanakul](#)



A multicenter randomized trial is testing omalizumab (Xolair) in wheezy toddlers. (FDA/Wikimedia Commons)

# Will early intervention prevent asthma in school-age children?

Posted on September 30, 2024 by Nancy Fliesler | Clinical, Research

Tags: [allergy](#), [asthma](#), [clinical trials](#), [research](#)



The randomized, double-blind **Preventing Asthma in High Risk Kids (PARK)** trial is supported by a seven-year, \$20 million Asthma Prevention Grant from the NIH's National Institute of Allergy and Infectious Diseases (NIAID). By its end, it will enroll 250 wheezy 2- and 3-year-olds with a positive allergy test. The children will receive either Xolair or placebo and will be followed for four years — two years on the treatment, then two years off — to see what proportion of each group develops active asthma as defined by NIAID.



<https://answers.childrenshospital.org/preventing-asthma/>

<https://www.youtube.com/watch?v=PQrdNB7IM5U>

# Conclusions

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- We now have an increasing list of biologics, targeting the key pathways in asthma, necessitating Precision-Biomarker Driven Approaches
- Critical steps, such as diagnosis confirmation, adherence, phenotyping and evaluation of alternative treatments are steps that need to be taken before biological initiation
- Choice of agent should be guided by indication, phenotype, outcome expectations and shared decision making,
  - Logistical considerations (availability, cost, center experience) should also be taken into account

# Future Directions

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- Approved therapies for non type 2 asthma lacking- many in pipeline
- Better predictive biomarkers are needed
- Head to head studies would be useful (but limited)
- NIH has several ongoing major efforts in Precision Medicine 1)genotype stratified precision therapy (IDEA), 2) novel therapies in an adaptive trial design (PreCISE)
- Understanding the role of IgE targeted biologics in allergic and rhinovirus induced disease have paved the way to consider immune based strategies to prevention (PARK)
- SOON, we will have major advancements in understanding what may work in prevention and modifying disease progression
- Stay tuned!

# Acknowledgements/Funding

## COLLABORATORS

- Talal Chatila, MD-Immunology/Genetics
- Diane Gold, MD, MPH-Environment
- Elliot Israel, MD-Clinical Trials
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- Susan Redline, MD, Sleep, EASY
- Hans Oettgen, MD, PhD- IgE mechanism
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- K-R from Lab
- Jon Gaffin, MD, MMSc R01 ES030100
- Peggy Lai, MD, MPH, R01AI144119, R21 AI 17965/ R21178155
- Melody Duvall, MD, PHD R01 NHLBI HL 171279
- Michelle Trivedi, MD, MS R01 HL 169229
- Mary Rice, MD R01HL 031352

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- Medina Jackson-Browne, PhD-- K01 HL 171354
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- Lisa Bartnikas, MD, K23 AI125732 , LRP
- Elena Crestani, MD K23 AI146289
- Marieke Rosenbaum, DVM, K23 ES 035460



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R01HL137192 (Phipatanakul) EASY-SLEEP  
U01AI126614/U01AI179563(Phipatanakul) PARK  
Mech-Oettgen- Genentech  
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U10HL146002 (Levy/Israel SARP)  
U19AR069526- PEPR (Lai/Paller)  
IOF GIS/Activity FitBit IOF  
U01 HL 1300045 (Martinez)- ORBEX  
UG1 HL139124 (Israel- PreCISE)  
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U01 AI 160087- Phipatanakul/Chatila-CAUSE SICAS 3  
R01HL179094- Phipatanakul/Koutrakis- ROME trial

## FELLOWS

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- Saleh Alsulami, MD Allergy
- Anna Gray, MD, Allergy
- Hannah Seay, MD, Pulmonary
- Ye Sun, MD Pulmonary
- Ellen Conroy, MD, Allergy/Immunology
- Seyni Gueye-Ndiaye, MD- Sleep Fellow/ Faculty



# Preventing Asthma in High Risk Kids (PARK) [asthma@childrens.harvard.edu](mailto:asthma@childrens.harvard.edu) PI: Phipatanakul U01AI126614/U01AI 179653 [clinicaltrials.gov](https://clinicaltrials.gov) NCT02570984 [parkstudy.org](http://parkstudy.org)

- Elliot Israel, MD
- DCC-Penn State University- Dave Mauger, PhD
- Hans Oettgen, MD, PhD, Pui Lee, MD, PhD Mechanistic Lead

<https://bchasthmaaresearch.com>



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- Chicago- Elizabeth Lippner, MD
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- Denver- Andy Liu, MD
- Hartford- Craig Lapin, MD
- Houston- Meera Gupta, MD
- Indianapolis- Kirsten Kloefer, MD
- Madison –Daniel Jackson, MD
- San Diego-Sydney Leibel, MD
- St. Louis – Jeffrey Stokes, MD
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