

EX PARTE DEPARTMENT
Hearing Date: April 20, 2023
Hearing Time: 10:30 AM

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Hearing Time: 10:30 AM

SUPERIOR COURT OF WASHINGTON FOR KING COUNTY

CHARLES A. HAROLD, JR., OBO VA
SHARON M. HAROLD,

Petitioner,

v.

DAVID ALLEN PAICE,

Respondent.

No. 23-2-03980-7 KNT

**DECLARATION OF DAVID A.
PAICE, TRUSTEE, IN SUPPORT OF
SUPPLEMENTAL OPPOSITION TO
PETITION FOR PROTECTION
ORDER**

I, David A. Paice, declare as follows:

1. I am a party in the above-entitled action. I have personal knowledge of the facts set forth herein. I make this declaration in support of the Supplemental Opposition to Petition for Protection Order ("Supplemental Opposition") and in opposition to the Reissuance of Temporary Protection Order and Hearing Notice ("Temporary Order") and the Petition for Protection Order filed by Charles Harold ("Petition").

2. Accountings of Harold Trust. I am the Trustee of the Sharon M. Harold Irrevocable Trust dated November 12, 2004 ("Harold Trust" or "Trust"). Attached as **Exhibit A** is a true and correct copy of trust accountings for the time period of January 1, 2022 to December 31, 2022. Attached as **Exhibit B** are true and correct copies of the Harold Trust's BECU bank and financial statements for 2023.

3. There are no funds owned by Ms. Harold or the Harold Trust in any personal bank account of mine. The accidental deposit of any Trust-owned funds into my account occurred

DECLARATION OF DAVID A. PAICE, TRUSTEE, IN SUPPORT OF
SUPPLEMENTAL OPPOSITION TO PETITION FOR PROTECTION
ORDER - 1

LANE POWELL PC
1420 FIFTH AVENUE, SUITE 4200
P.O. BOX 91302
SEATTLE, WASHINGTON 98111-9402
206.223.7000 FAX: 206.223.7107

1 several years ago and were immediately restored to the Trust at the time the inadvertent comingling
2 occurred. There has been no commingling of any Trust funds with my personal funds in several
3 years, and I have never deliberately comingled funds or taken the Trust's funds for my own use.

4 4. No "Missing" Checks or Secret Checkbooks. Charles Harold has alleged the
5 "missing" checks, duplicate checks, and checks with check numbers that are not in numerical order
6 are somehow proof that I financially exploited Sharon, but he is wrong. Historically, when I would
7 write a check to Sharon for a distribution from the Harold Trust, I would simply grab a check out
8 of one of two checkbooks that I had for the Trust's BECU bank accounts. I never paid attention to
9 whether the check I was writing was the next numerical check number from the previous check I
10 had written or the next check number in numerical sequence. Also, historically I had multiple
11 check books for the Harold Trust's BECU bank accounts. I have already explained the history of
12 the Harold Trust's bank accounts in my declaration dated December 1, 2022, which was submitted
13 in support of my petition for the approval of the Harold Trust's accountings.

14 5. No Motive to Defraud, Hurt, Steal From, Abuse, or Exploit Sharon Harold. I have
15 never purposefully comingled funds belonging to the Harold Trust with my or my wife's personal
16 funds, and I have never stolen from the Harold Trust. As I explained in my previous declarations,
17 Sharon and I have known each other for over two decades, and we have always—up until late
18 summer or early fall of 2022, when Charles Harold became involved in his mother's affairs—
19 enjoyed a productive and positive extended-family relationship. Sharon asked me in early 2010 if
20 I would be willing to serve as successor trustee of the Harold Trust, and I agreed to serve. I only
21 agreed to serve to help Sharon; I did not agree to serve because I wanted to exploit or abuse Sharon,
22 nor did I ever do so. In fact, I am personally disgusted and deeply hurt that those abhorrent
23 allegations of abuse of a vulnerable adult are being leveled against me when all I was trying to do
24 was help my wife's grandmother.

25 6. I have paid attorney fees from the Trust to assist with preparation of the
26 accountings, to file my TEDRA Petition in December 2022, and to defend myself against false
27 attacks, as the Trust authorizes me to do. Additionally, in August 2022 Sharon herself told me that

1 she wanted me to pay my attorney fees from the Trust and wanted to sue her children for “parent
2 abuse.” Attached as **Exhibit C** is a true and correct text-message thread between Sharon and me
3 from August 2022. These messages were also part of my declaration filed in support of my motion
4 to appoint a guardian ad litem for Sharon in the TEDRA Proceeding. Again, my payment of
5 attorney fees from the Trust is one of the issues before Judge Yip and, of course, I will abide by
6 whatever his ruling on that issue is. Attorney fees are also going to be addressed in our upcoming
7 mediation. I have refrained from paying attorney fees from the Trust pending resolution of these
8 disputes and have not done so since February 2023.

9 7. Following this Court’s unfreezing of the Trust’s checking account, I immediately
10 replaced the \$20,740.50 distribution that I had originally sent to Sharon on March 2, 2023 and
11 which had bounced because of the March 6, 2023, Court-ordered freeze that had been imposed
12 without notice to me. The check I wrote to Sharon for the \$20,740.50 distribution listed my old
13 mailing address (PO Box 48212, Seattle, WA 98148) and not my current mailing address.

14 8. Additionally, I have resumed Sharon’s regular monthly distributions and have
15 made clear through my attorney’s letters to Charles Harold that I stand ready to make whatever
16 distributions are requested for Sharon’s benefit for her care and alternative housing needs. This is
17 nothing new for me, I have always been ready to make such distributions, but Sharon has never
18 previously requested them and had historically insisted that she did not want to move from her
19 house to assisted living and did not need additional assistance from the Trust. I understand that has
20 now changed, but Sharon’s children have never presented a plan or budget for any new care needs
21 she might have. Instead, they simply have accused me of elder abuse because I would not give
22 them Sharon’s money to spend as they choose.

23 9. The Petition that I filed in December 2022, which is pending before Judge Yip,
24 sought approval of my accountings and approval of my resignation and discharge. I continue to
25 believe in good faith that I am entitled to both of those approvals. Based on the Notice for
26 Mediation that my attorneys served on Charles Harold, Sharon and Sharon’s other children, the
27 disputes that are before Judge Yip (which Charles Harold has wrongly recharacterized as “elder

1 abuse" in this Court) should be going to mediation soon. I hope that in mediation we can resolve
2 our disputes about my service as Trustee and reach an agreement with Sharon and her children on
3 a suitable successor trustee.

4 I declare under penalty of perjury under the laws of the State of Washington that the
5 foregoing is true and correct to the best of my knowledge.

6 Executed on this 14th day of April, 2023, at Seattle, WASHINGTON

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9 _____
10 David A. Paice
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CERTIFICATE OF SERVICE

I certify, under penalty of perjury under the laws of the United States and the State of Washington, that on April 14, 2023, I served a copy of the foregoing document on all counsel of record as indicated below:

Charles A. Harold, <i>Pro Se</i> 1455 N. Tomahawk Road Apache Junction, AZ 85119 chuckharold@gmail.com Petitioner	<input type="checkbox"/> by CM/ECF <input checked="" type="checkbox"/> by Electronic Mail <input type="checkbox"/> by Facsimile Transmission <input checked="" type="checkbox"/> by First Class Mail <input type="checkbox"/> by Hand Delivery <input type="checkbox"/> by Overnight Delivery
Sharon M. Harold, <i>Pro Se</i> 100 River Bend Rd. #103 Reedsport, OR 97467 smharold7@gmail.com	<input type="checkbox"/> by CM/ECF <input checked="" type="checkbox"/> by Electronic Mail <input type="checkbox"/> by Facsimile Transmission <input checked="" type="checkbox"/> by First Class Mail <input type="checkbox"/> by Hand Delivery <input type="checkbox"/> by Overnight Delivery

Executed at Seattle, Washington this 14th day of April, 2023.

/s/ Silvia Webb
Silvia Webb

SUPERIOR COURT OF WASHINGTON FOR KING COUNTY

CHARLES A. HAROLD, JR., OBO VA
SHARON M. HAROLD,

Petitioner,

v.

DAVID ALLEN PAICE,

Respondent.

No. 23-2-03980-7 KNT

GR 17 DECLARATION RE
ELECTRONIC DOCUMENT

I, Aleksander Schilbach, declare under penalty of perjury under the laws of the State of Washington as follows:

1. I am an attorney with the law offices of Lane Powell PC located at 1420 Fifth Avenue, Suite 4200, Seattle, Washington 98101, attorneys for Trustee David A. Paice, and make this declaration pursuant to GR 17(a)(2).

2. I received the foregoing electronic signature page and attached to the document entitled *Declaration of David A. Paice, Trustee, In Support of Supplemental Opposition to Petition for Protection Order* to which this declaration is attached via electronic mail at the following address: schilbacha@lanepowell.com.

3. I have personally examined the electronic document and confirm that it is a complete and legible image.

4. The electronic document consists of fifty-one (51) pages including this declaration.

1
2 Dated at Seattle, Washington on April 14, 2023.

3 LANE POWELL PC

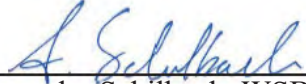
4 By 
5 Aleksander Schilbach, WSBA No. 51693
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Exhibit A

Trust Accounting from January
to December 2022

Sharon M Harold Irrevocable Trust
Prepared Financial Statements
December 31, 2022

Llewellyn Accountancy Corporation
1150 Foothill Boulevard, Suite G
La Cañada, California 91011
(818) 952-1040

To David Paice, Trustee
Sharon M Harold Irrevocable Trust
Burien, Washinton

The accompanying financial statements of the Sharon M Harold Irrevocable Trust as of and for the year ended December 31, 2022, were not subjected to an audit, review, or compilation engagement by us and we do not express an opinion, a conclusion, nor provide any assurance on them.

The Trustee has elected to omit substantially all of the disclosures required by accounting principles generally accepted in the United States of America. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the trust's financial statements. Accordingly, the financial statements are not designed for those who are not informed about such matters.


La Cañada, California

April 7, 2023

SHARON M HAROLD IRREVOCABLE TRUST
Accounting of Trustee
For Period January 1, 2022 to December 31, 2022

SUMMARY OF ACCOUNT

CHARGES

Property on Hand at Beginning of Accounting Period (<u>Schedule A</u>)	\$ 708,055.19
Receipts During Period of Accounting (<u>Schedule C</u>):	<u>26,046.42</u>
Total Charges:	<u><u>\$734,101.61</u></u>

CREDITS

Disbursements (<u>Schedule G</u>):	\$ 69,877.18
Losses on Sale of Assets (Schedule H)	4,272.34
Distributions to Beneficiaries/Trustee (<u>Schedule J</u>):	36,284.25
Other Credits (Schedule K):	83,338.61
Property on Hand at End of Accounting Period (<u>Schedule L</u>):	<u>540,329.23</u>
Total Credits:	<u><u>\$734,101.61</u></u>

SHARON M HAROLD IRREVOCABLE TRUST
Accounting of Trustee
For Period January 1, 2022 to December 31, 2022

SCHEDULE A - PROPERTY ON HAND AT BEGINNING OF ACCOUNTING PERIOD

<u>DESCRIPTION</u>	<u>AMOUNT</u>
Cash in Boeing Employees' Credit Union (BECU) (account [REDACTED] 9232)	\$ 41.55
Cash in BECU (account [REDACTED] 9307)	1,427.66
LPL Financial account [REDACTED]-4662 Cash Account	54,044.66
LPL Financial account [REDACTED]-4662 Investments	<u>652,541.32</u>
 TOTAL Property on Hand as of January 1, 2022	 <u>\$ 708,055.19</u>

SHARON M HAROLD IRREVOCABLE TRUST

Accounting of Trustee

For Period January 1, 2022 to December 31, 2022

**SCHEDULE C - RECEIPTS RECEIVED DURING THE ACCOUNTING PERIOD
(Other Than Items Listed On Schedule A & B)**

<u>Date</u>	<u>Payor</u>	<u>Description</u>	<u>Amount</u>
12-31-2022	BECU	Interest	\$ 10.11
12-31-2022	LPL Financial	Dividends, Interest	26,011.31
6-24-2022	David Paice	Reimbursement	<u>25.00</u>
TOTAL RECEIPTS			\$ <u>26,046.42</u>

SHARON M HAROLD IRREVOCABLE TRUST
Accounting of Trustee
For Period January 1, 2022 to December 31, 2022

SCHEDULE G - DISBURSEMENTS

<u>Date of Payment</u>	<u>Check No.</u>	<u>Payee and Purpose of Payment</u>	<u>Amount</u>
4/8/2022	85537844	Llewellyn Accountancy - Tax Preparation	\$ 625.00
6/6/2022	EFT	Lane Powell PC - Legal Fees	3,500.00
6/14/2022	EFT	Integrity Law Firm - Legal Fees	200.00
7/29/2022	EFT	Lane Powell PC - Legal Fees	1,258.50
8/9/2022	995025	Llewellyn Accountancy - Accounting	4,944.00
8/19/2022	EFT	Lane Powell PC - Legal Fees	23,112.15
10/5/2022	21577968	Llewellyn Accountancy - Accounting	2,090.00
10/17/2022	EFT	Lane Powell PC - Legal Fees	20,210.03
11/25/2022	EFT	Lane Powell PC - Legal Fees	13,167.50
12/27/2022	42222187	Llewellyn Accountancy - Accounting	770.00
			<u><u>\$69,877.18</u></u>

SHARON M HAROLD IRREVOCABLE TRUST
Accounting of Trustee
For Period January 1, 2022 to December 31, 2022

SCHEDULE H - LOSSES ON SALES OR OTHER DISPOSITIONS

<u>DATE</u>	<u>DESCRIPTION</u>	<u>BEGINNING VALUE</u>	<u>GROSS SALES PRICE</u>	<u>LOSS</u>
08/03/22	Fidelity Advisor Equity Inc.	30,689.11	30,000.00	\$689.11
11/15/22	Fidelity Advisor Equity Inc.	33,583.23	30,000.00	3,583.23
	TOTAL			<u><u>\$4,272.34</u></u>

SHARON M HAROLD IRREVOCABLE TRUST
Accounting of Trustee
For Period January 1, 2022 to December 31, 2022

SCHEDULE J - DISTRIBUTIONS TO BENEFICIARY

<u>Date of Payment</u>	<u>Check No.</u>	<u>Name</u>	<u>Amount</u>
1/25/2022	Zelle	Sharon Harold - Distribution	\$ 2,500.00
2/25/2022	Zelle	Sharon Harold - Distribution	2,500.00
3/15/2022	Zelle	Sharon Harold - Distribution	336.00
3/25/2022	Zelle	Sharon Harold - Distribution	2,500.00
4/25/2022	Zelle	Sharon Harold - Distribution	2,500.00
5/25/2022	Zelle	Sharon Harold - Distribution	2,500.00
6/25/2022	Zelle	Sharon Harold - Distribution	2,500.00
6/27/2022	EFT	Oregon Revenue Department - Income Taxes	2,749.87
7/12/2022	Zelle	Sharon Harold - Distribution	1,129.00
7/25/2022	Zelle	Sharon Harold - Distribution	2,500.00
8/25/2022	Zelle	Sharon Harold - Distribution	2,500.00
9/25/2022	Zelle	Sharon Harold - Distribution	2,500.00
10/25/2022	Zelle	Sharon Harold - Distribution	2,500.00
11/6/2022	Zelle	Sharon Harold - Distribution	805.38
11/6/2022	Zelle	Sharon Harold - Distribution	1,264.00
11/25/2022	Zelle	Sharon Harold - Distribution	2,500.00
12/20/2022	41188224	Sharon Harold - Distribution	2,500.00
TOTAL			<u><u>\$36,284.25</u></u>

SHARON M HAROLD IRREVOCABLE TRUST
Accounting of Trustee
For Period January 1, 2022 to December 31, 2022

SCHEDULE K - OTHER CREDITS

<u>Date of</u> <u>Payment</u>	<u>Check No.</u>	<u>Name</u>	<u>Amount</u>
		Decrease in Fair Value Investments	<u>\$ 83,338.61</u>
TOTAL			<u>\$ 83,338.61</u>

SHARON M HAROLD IRREVOCABLE TRUST
Accounting of Trustee
For Period January 1, 2022 to December 31, 2022

**SCHEDULE L - PROPERTY ON HAND AT END
OF ACCOUNTING PERIOD**

<u>DESCRIPTION</u>	<u>AMOUNT</u>
Cash in BECU (account [REDACTED] 9232)	\$ 42.11
Cash in BECU (account [REDACTED] 9307)	17,564.56
LPL Financial account [REDACTED]-4662 Cash Account	17,792.19
LPL Financial account [REDACTED]-4662 Investments	504,930.37
TOTAL Property on Hand as of December 31, 2022	<u>\$ 540,329.23</u>

Exhibit B

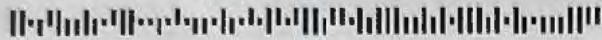
BECU Bank and Financial Statements for 2023

STATEMENT OF ACCOUNTS



*****AUTO**ALL FOR AADC 980
The Sharon M Harold Irrevocable Trust
16644 Marine View Dr SW
Burien, WA 98166-3210

006054



Statement Period: 02/11/2023 - 03/10/2023

2118918

Summary of Deposit Account Activity

	Account #	Beginning Balance	Withdrawals/ Fees*	Deposits	Dividends/ Interest	Ending Balance
Member Advantage Savings	9232	42.33			0.10	42.43
Member Advantage Checking	9307	20,429.14	(8,901.10)	21,133.46	2.77	32,664.27

Including the following Fees

Statement Period Total

2023 Year-to-Date Total

Overdraft Fees	0.00	0.00
Non-sufficient Funds (NSF) Fees	0.00	0.00

Deposit Account Activity

Member Advantage Savings - 9232

If your account converted to Member Advantage during the statement period, you earned the rate effective for the Member Share Savings Account up to the date of conversion.

3.12% Annual Percentage Yield Earned for 28 day period
Average Daily Balance: \$42.33
Year-to-date dividends: \$0.32

Deposits

Date	Amount	Transaction Description
03/10	0.10	Dividend/Interest

Member Advantage Checking - 9307

If your account converted to Member Advantage during the statement period, you earned the rate effective for the Checking Account up to the date of conversion.

0.17% Annual Percentage Yield Earned for 28 day period
Average Daily Balance: \$21,599.98
Year-to-date dividends: \$8.92

Deposits

Date	Amount	Transaction Description
03/02	21,000.00	External Deposit LPL - CREDIT 87020459
03/02	133.46	External Deposit LPL - CREDIT
03/10	2.77	Dividend/Interest



Deposit Account Activity (continued)**Withdrawals**

Date	Amount	Transaction Description
02/17	(2,500.00)	External Withdrawal SHARON HAROLD - ONLINE PMT
02/23	(6,401.10)	External Withdrawal LANE POWELL PC 206-223-7000 - WEB PMTS

Computation of Annual Percentage Yield Earned (APYE) and Interest/Dividend Paid

APYE is the annualized rate calculation based on the amount of interest/dividends earned (not credited) and the average daily balance in the account during the statement period. Interest/dividends are credited at the end of the account's crediting period as reflected in the activity column on the periodic statement.

Negative Information Reporting Notice; and Address for Disputing Information on Consumer Reports

We may report information about your account(s) to credit bureaus. Late payments, missed payments, or other defaults on your account may be reflected in your credit report.

If you think information about your BECU account in a credit report or other consumer report is wrong, then please write to BECU - Credit Report Disputes, Mailstop 1082-2, P.O. Box 97050, Seattle WA 98124. Please provide your full name and mailing address, the account number of the account being disputed, the specific information that you dispute, the name of the credit bureau or other consumer reporting agency from which the information came, and any supporting documentation that might substantiate your dispute.

STATEMENT OF ACCOUNTS



*****AUTO**ALL FOR AADC 980
The Sharon M Harold Irrevocable Trust
16644 Marine View Dr SW
Burien, WA 98166-3210

006058



Statement Period: 01/14/2023 - 02/10/2023

2118918

Summary of Deposit Account Activity

	Account #	Beginning Balance	Withdrawals/ Fees*	Deposits	Dividends/ Interest	Ending Balance
Member Advantage Savings	9232	42.23			0.10	42.33
Member Advantage Checking	9307	21,673.28	(31,406.33)	30,159.33	2.86	20,429.14

*Including the following Fees

	Statement Period Total	2023 Year-to-Date Total
Overdraft Fees	0.00	0.00
Non-sufficient Funds (NSF) Fees	0.00	0.00

Deposit Account Activity

Member Advantage Savings - 9232

If your account converted to Member Advantage during the statement period, you earned the rate effective for the Member Share Savings Account up to the date of conversion.

3.13% Annual Percentage Yield Earned for 28 day period
Average Daily Balance: \$42.23
Year-to-date dividends: \$0.22

Deposits

Date	Amount	Transaction Description
02/10	0.10	Dividend/Interest

Member Advantage Checking - 9307

If your account converted to Member Advantage during the statement period, you earned the rate effective for the Checking Account up to the date of conversion.

0.16% Annual Percentage Yield Earned for 28 day period
Average Daily Balance: \$22,762.38
Year-to-date dividends: \$6.15

Deposits

Date	Amount	Transaction Description
01/18	30,000.00	External Deposit LPL - CREDIT
02/02	159.33	External Deposit LPL - CREDIT
02/10	2.86	Dividend/Interest



Deposit Account Activity (continued)**Withdrawals**

Date	Amount	Transaction Description
01/20	(2,500.00)	External Withdrawal SHARON HAROLD - ONLINE PMT
01/20	(28,906.33)	External Withdrawal LANE POWELL PC 206-223-7000 - WEB PMTS

Computation of Annual Percentage Yield Earned (APYE) and Interest/Dividend Paid

APYE is the annualized rate calculation based on the amount of interest/dividends earned (not credited) and the average daily balance in the account during the statement period. Interest/dividends are credited at the end of the account's crediting period as reflected in the activity column on the periodic statement.

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STATEMENT OF ACCOUNTS



*****AUTO**ALL FOR AADC 980
The Sharon M Harold Irrevocable Trust
16644 Marine View Dr SW
Burien, WA 98166-3210

006047



Statement Period: 12/10/2022 - 01/13/2023

2118918

Summary of Deposit Account Activity

	Account #	Beginning Balance	Withdrawals/ Fees*	Deposits	Dividends/ Interest	Ending Balance
Member Advantage Savings	9232	42.11			0.12	42.23
Member Advantage Checking	9307	20,834.56	(3,270.00)	4,105.43	3.29	21,673.28
*Including the following Fees		Statement Period Total	2023 Year-to-Date Total		2022 Year-to-Date Total	
Overdraft Fees		0.00	0.00		0.00	
Non-sufficient Funds (NSF) Fees		0.00	0.00		0.00	

Deposit Account Activity

Member Advantage Savings - 9232

If your account converted to Member Advantage during the statement period, you earned the rate effective for the Member Share Savings Account up to the date of conversion.

3.01% Annual Percentage Yield Earned for 35 day period
Average Daily Balance: \$42.11
Year-to-date dividends: \$0.12

Deposits

Date	Amount	Transaction Description
01/13	0.12	Dividend/Interest

Member Advantage Checking - 9307

If your account converted to Member Advantage during the statement period, you earned the rate effective for the Checking Account up to the date of conversion.

0.17% Annual Percentage Yield Earned for 35 day period
Average Daily Balance: \$19,825.83
Year-to-date dividends: \$3.29

Deposits

Date	Amount	Transaction Description
01/04	4,105.43	External Deposit LPL - CREDIT
01/13	3.29	Dividend/Interest

Withdrawals

Date	Amount	Transaction Description
12/20	(2,500.00)	External Withdrawal SHARON HAROLD - ONLINE PMT
12/27	(770.00)	External Withdrawal LLEWELLYN ACCOUN - ONLINE PMT



Computation of Annual Percentage Yield Earned (APYE) and Interest/Dividend Paid

APYE is the annualized rate calculation based on the amount of interest/dividends earned (not credited) and the average daily balance in the account during the statement period. Interest/dividends are credited at the end of the account's crediting period as reflected in the activity column on the periodic statement.

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HE SHARON M HARO D
RREVO CAB E RUS D D 11 12 04
DAV D A PA CE EE
16644 MAR NE V EW DR SW
BUR EN WA 98166

Investment Account

Account Number [REDACTED]-4662
Activity Statement

Values as of January 31, 2023



Investment Objective

Growth with Income

Your Account Executive: Brian Locke
206-812-5190
PO Box 97050
Seattle, WA 98124-9750

A copy of this statement was sent to: SHARON HAROLD

Value on January 1, 2023
\$522,722.⁵⁶

Value on January 31, 2023
\$508,160.⁴⁵

Account Summary

	Quarter to Date 01/01 - 01/31/2023	Year to Date 01/01 - 01/31/2023
Starting Value	\$522,722.56	\$522,722.56
Total Change in Value of Assets	(\$14,562.11)	(\$14,562.11)
Inflows	—	—
Outflows	(\$34,105.43)	(\$34,105.43)
Net Investment Returns	\$19,543.32	\$19,543.32
Total Ending Value (January 31, 2023)	\$508,160.45	\$508,160.45

Account Holdings As of January 31, 2023

Cash and Cash Equivalents

Description	Interest / Dividend Paid in January	Interest / Dividend Rate ²	Current Balance
Insured Cash Account ³			
Citibank NA			\$849.48
Total Insured Cash Account	\$3.39	0.399%	\$849.48
Total Cash and Cash Equivalents			\$849.48

² Bank Deposit Sweep interest is the current rate. Money Market Sweep dividend is a 30-day yield.

³ Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes. See message section for further information.



Account Holdings *As of January 31, 2023 Continued*

ETPs, Mutual Funds, Exchange-Traded Closed-End Funds and Interval Funds

Security ID / Description	Quantity Price (\$)	Market Value (\$)	Cost Basis (\$) Purchase Cost (\$) ⁴	Unrealized Gain / Loss (\$)	Estimated Annual Income (\$) ^a Est 30-Day Yield ^a
FEIAX FIDELITY ADVISOR EQUITY INCOME CL A ^c	1,085.002 \$31.13	33,776.11	35,920.40 35,920.40	(2,144.29)	633 1.88%
FUGAX FIDELITY ADVISOR UTILITIES CL A ^c	1,815.956 \$36.98	67,154.05	35,647.22 35,647.22	31,506.83	952 1.42%
FSTAX FIDELITY ADVISOR STRATEGIC INCOME CL A ^c	3,139.44 \$11.05	34,690.81	39,472.46 39,472.46	(4,781.65)	1,166 3.36%
FNIAX FIDELITY ADVISOR NEW INSIGHTS CL A ^c	1,611.074 \$27.29	43,966.20	36,104.17 36,104.17	7,862.03	182 0.42%
FASDX FIDELITY ADVISOR STRATEGIC DIVIDEND & INCOME CL A ^c	20,546.947 \$15.95	327,723.80	268,208.05 268,208.05	59,515.75	7,609 2.32%
Total of ETPs, Mutual Funds, Exchange-Traded Closed-End Funds and Interval Funds		507,310.97	415,352.30 415,352.30	91,958.67	10,542

^c Dividends and/or capital gains distributed by this security will be distributed as cash.

Total Account Holdings	Market Value (\$)	Cost Basis (\$) Purchase Cost (\$) ⁵	Unrealized Gain / Loss (\$)	Estimated Annual Income (\$) ^a
	508,160.45	416,201.78 416,201.78	91,958.67	10,542

Cash Activity Summary

	Since last statement 01/01 - 01/31/2023	Year to Date 01/01 - 01/31/2023
Securities Purchased	—	—
Securities Sold	\$17,000.00	\$17,000.00
Cash Inflows	—	—
Cash Outflows	(\$34,105.43)	(\$34,105.43)
Dividends	\$159.33	\$159.33
Interest	\$3.39	\$3.39
Capital Gains	—	—

Activity Summary continued on next page...

⁴ Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.

^a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

⁵ Purchase Cost equals Cost Basis less any reinvested dividends, interest, Fixed Income and Alternative Investments.

Cash Activity Summary *Continued*

	Since last statement 01/01 - 01/31/2023	Year to Date 01/01 - 01/31/2023
Other Distributions	—	—
Reinvestments	—	—

Account Activity *January 1 - January 31, 2023 (Since last statement)*

Date	Transaction Type	Description/Security ID	Price(\$) Quantity	Amount
01/03/2023	Cash Dividend	FIDELITY ADVISOR STRATEGIC INCOME CL A 123022 4,679.29500 FSTAX AS OF 12/30/22	— —	\$159.33
01/03/2023	Sweep (Withdrawal) ⁶	INSURED CASH ACCOUNT	— —	(\$3,946.10)
01/03/2023	ACH Funds	INCOME DISTRIBUTION TRACE # 021000010027299	— —	(\$4,105.43)
01/12/2023	Sale	FIDELITY ADVISOR STRATEGIC INCOME CL A SALE VS PURCHASE TRADE FSTAX	\$11.04 (1,539.855)	\$17,000.00
01/13/2023	Sweep (Deposit) ⁶	INSURED CASH ACCOUNT	— —	\$17,000.00
01/17/2023	Sweep (Withdrawal) ⁶	INSURED CASH ACCOUNT	— —	(\$30,000.00)
01/17/2023	ACH Funds	ACH DIRECT DEPOSIT TRACE # 021000010011357 THE SHARON M HAROLD IR	— —	(\$30,000.00)
01/31/2023	Interest	INSURED CASH ACCOUNT 013123 849	— —	\$3.39
01/31/2023	Sweep (Interest Deposit) ⁶	INSURED CASH ACCOUNT	— —	\$3.39

⁶ Bank Deposit and Money Market Sweep transactions reflect the net of all transfers of free cash balance to and from your sweep on the date referenced.

Messages From LPL Financial

ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY)

EAI is calculated by taking the indicated annualized dividend and multiplying by the number of shares owned. EY is calculated by taking the EAI and dividing by the aggregate value of the shares owned. If no dividend information is available, no EAI or EY numbers will be generated. EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. Additionally the actual dividend or yield may vary depending on the security issuer's approval of paying the dividends. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

NON TRANSFERABLE SECURITIES THAT ARE WORTHLESS

As part of our continuing effort to provide exceptional service, please be advised that LPL Financial will remove any non-transferable securities that are worthless from customer accounts. Your account may or may not be affected. Should you have any questions or concerns, please contact your financial professional.

SETTLEMENT FEE

LPL passes through certain regulatory fees incurred by LPL as a result of executing the transaction on your behalf. This includes fees charged under Section 31 of the Securities Exchange Act for sell transactions in equities and options.

POLICY FOR BENEFICIARY ACCOUNTS

Beneficiaries are required to open an account to receive any securities or cash from a deceased client's account. LPL generally will divide all securities and cash proportionately among the designated beneficiaries based on the allocations indicated by the account holder. However, this policy and procedure address specific situations, such as the treatment of securities remaining after the proportionate division of assets. You may visit lpl.com to learn more.

IMPORTANT INFORMATION ABOUT LIBOR AND INVESTMENT PRODUCTS TIED TO LIBOR

The interest rate for a limited number of fixed income and credit-based packaged products will be impacted by changes to the London Interbank Offered Rate (LIBOR). LIBOR is a forward-looking benchmark variable interest rate average used in many bonds and other fixed income pooled products, and will be discontinued or will no longer be widely relied upon by market participants, starting at the end of 2021 through June 2023. If you would like additional information, please see <https://www.lpl.com/disclosures.html> under "Other Important Disclosures." You may wish to review the prospectus of any existing positions in fixed income or credit-based investments with your financial professional.

ICA INFORMATION

Your balances in the Insured Cash Account (ICA) Program are allocated to each depository institution on the Priority Bank List in increments of \$246,500 for individual and trust accounts and \$493,000 for joint accounts. As always, you should review your cash positions with various depository institutions to determine whether your cash is within the FDIC insurance coverage limits. For more information about FDIC insurance limits, please contact your financial professional or go to www.fdic.gov

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LPL RELATIONSHIP SUMMARY AVAILABLE ONLINE

LPL financial professionals offer brokerage services, investment advisory services, or both, depending on their licenses. Brokerage and investment advisory services, and the fees we charge for them, differ, and it's important that you understand the differences. Our Relationship Summary explains the various services we offer, how we charge for those services, and conflicts of interest that exist when we provide our services. Please visit lpl.com/CRS to learn more.

Disclosures and Other Information

ACCOUNT PROTECTION LPL Financial is a member of the Securities Investor Protection Corporation (SIPC). SIPC provides protection for your account up to \$500,000, of which \$250,000 may be claims for cash, in the unlikely event that LPL fails financially. SIPC protection limits apply to all accounts that you hold in a particular capacity. For example, if you hold two accounts at LPL as a sole account holder and third as a joint account holder, the two individual accounts are protected under SIPC up to a combined limit of \$500,000, and the joint account is protected under SIPC separately up to \$500,000. LPL Insured Cash Account (ICA) and LPL Deposit Cash Account (DCA) are not protected by SIPC. More information on SIPC, including obtaining an explanatory SIPC Brochure, may be obtained by calling SIPC directly at (202) 371-8300 or by visiting www.sipc.org. The account protection applies when an SIPC member firm fails financially and is unable to meet its obligations to securities clients, but it does not protect against losses from the rise and fall in the market value of investments.

ADJUSTED COST The cost basis of securities sold, matured, redeemed or exercised is adjusted for return of principal, original issue discount, accrual and partnership distributions for CMO, CDO, RFMIC, and MLP transactions. Eligible securities on the Realized Gains and Losses Statement have not been adjusted for bond amortization, return of capital, liquidating distributions, wash sales or similar items. N/A displays when the information is incomplete or missing and is treated as zero when calculating totals.

ADJUSTMENTS TO OPTION CONTRACTS As a general rule, corporate actions can result in an adjustment in the number of shares underlying an options contract or the exercise price, or both. Please review any adjustment to an option position. Contact your financial professional for further information with respect to option contract adjustment or visit the OCC website at <http://www.optionsclearing.com/webapps/inform.htm>.

AGENCY If LPL Financial acts as your agent or as agent for both you and another person in a transaction, the transaction details, including the identity of the seller or buyer and the source and amount of any fees or payments will be supplied upon written request.

ASSET-BACKED SECURITIES The actual yield from transactions in asset-backed securities (e.g., CMO, FNMA, FHLMC OR GNMA transactions) may vary according to the rate at which the underlying assets or receivables are repaid. Information about yield factors is available from your financial professional on request.

CALLED SECURITIES In the event of a partial call of corporate or municipal bonds, or preferred stock held in bulk segregation, the securities to be called will automatically be selected on a random basis, as is customary in the securities industry. The probability that your securities will be selected is proportional to the amount of your holdings relative to the bulk holdings. A detailed description of the random selection procedure is available upon request.

CHANGE OF ADDRESS Please notify your financial professional or LPL Financial promptly in writing of any change of address.

COST BASIS Transactions are automatically paired against holdings on a "First-In/First-Out" basis (unless manually adjusted). Designating liquidations as "versus purchase" on a trade will cause the trade confirmation or other closed tax lot notification to reflect the selected closed tax lots. For assets not purchased in the LPL account, you or the previous broker/dealer upon transfer may have provided the Date Acquired and Purchase Cost of the position. If no such data was submitted, N/A is listed as the Purchase Cost, and is treated as zero when calculating Gain or Loss totals. Since the cost basis on certain securities may have been provided by another source, the cost basis information on your statement may not reflect accurate data or correspond to data on your trade confirmations. This information should not be relied upon for tax reporting purposes. Please refer to your tax reporting statement, if applicable. For accounts electing average cost, the total cost may be computed using a combination of averaged and non-averaged unit prices for eligible securities.

DISCREPANCIES Please notify your financial professional and LPL Financial immediately of any discrepancies on your statement. If your financial professional is affiliated with another broker/dealer, you must notify them as well. Please contact your financial professional for the broker/dealer's contact information. Your financial professional's address and telephone number can be found on the bottom of each page of this statement. LPL Financial's telephone number is (800) 558-7567 and address can be found on the first page of this statement. Additionally, any verbal communications should be re-confirmed in writing to each of the above parties to further protect your rights, including rights under Securities Investor Protection Act (SIPA).

FRACTIONAL SHARE LIQUIDATION For information on fractional share transactions, please refer to LPL.com>Disclosures>Market & Trading Disclosures>Fractional Share Transactions.

FREE CREDIT BALANCES LPL Financial may use your free credit balances subject to the limitations of 17 CFR Section 240.15c-3 under the Securities Exchange Act of 1934. You have the right to receive from us, upon demand in the course of normal business, the delivery of any free credit balances to which you are entitled, any fully paid securities to which you are entitled, and any securities purchased on margin upon full payment of any indebtedness to LPL Financial. Balances in Client Cash Account are free credit balances.

INVESTMENT RISK LPL Financial is not a bank, savings and loan, or credit union. Securities and insurance offered through LPL and its affiliates are not FDIC, NCUA or government insured, not endorsed or guaranteed by LPL, its affiliates or any other financial institution are not a deposit and involve investment risk including possible loss of principal.

INVESTMENTS HELD OUTSIDE LPL FINANCIAL Information on investments held outside LPL is provided for informational purposes only. Values for investments not held in your LPL account are based on the market value of priced securities at the end of the statement period. Values for annuities reflect a pricing date approximately three business days prior to the statement date. Values for alternative investments such as Managed Futures and REITs (Real Estate Investment Trusts) reflect a pricing date three to five business days prior to the statement date, depending on the availability of the data. The account registration for investments held outside LPL may not be the same as the registration for the LPL account with which it is affiliated. For example, an outside investment with a joint registration may be reflected on an LPL account with an individual registration.

LPL INSURED BANK DEPOSIT SWEEP PROGRAMS Cash in the Insured Cash Account (ICA) and LPL Deposit Cash Account (DCA) programs is protected by the Federal Deposit Insurance Corporation (FDIC). LPL Financial allocates your money to the ICA program to banks in the order of the Priority Bank List and to the DCA program to any bank on the Available Bank list in increments up to the programs disclosed amounts until your balance in each of the ICA and DCA programs is allocated to the program max. All banks are FDIC members. FDIC coverage is \$250,000 per depositor per bank (\$500,000 for joint account

holders). More information on FDIC insurance is available on request, or by visiting the FDIC website at www.fdic.gov. LPL Financial is not a bank. Unless otherwise disclosed, securities and other investments obtained through LPL Financial ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED AND MAY LOSE VALUE.

MARGIN ACCOUNT If you use margin, this statement combines information about your investment account(s) and a special miscellaneous account maintained for you under Section 220.6 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account required by Regulation T is available for your inspection upon request.

MONEY MARKET FUNDS Money market fund transactions, if any, are displayed chronologically. The 30-day yield for the fund is also reflected as of the statement date.

MUNICIPAL MATERIAL DISCLOSURE Copies of any material disclosures for municipal bonds are available at www.emma.msrb.org. To obtain specific municipal bond information, enter the nine digit CUSIP number in the search field within the EMMA web site. If you do not have access to the Internet, or would prefer a physical copy of the material disclosure, please contact your financial professional. Additional municipal bond information that may be available on www.emma.msrb.org includes, but is not limited to advance refunding documents, continuing disclosures, including annual financial statements and notices of material events, real-time and historical trade data, daily market statistics and education material.

N/A OR "-" DATA Information that displays as N/A or "-" is unavailable, missing, or incomplete and is treated as zero when calculating account totals, market values and performance.

OPTION CLIENTS Information on commissions and other charges incurred in connection with the execution of options transactions has been included in the confirmations of these transactions furnished to you. A summary of this information will be made available upon request. In accordance with the Option Agreement and Approval form you signed, you must promptly advise the firm of any material change in your investment objectives or financial situation.

ORDER ROUTING Quarterly Order Routing information for equities and options can be found on LPL.com>Disclosures>Market & Trading Disclosures>SEC Rule 605 Report Disclosure. This information is also available upon request.

PAYMENT FOR ORDER FLOW LPL Financial acts as your agent and does not receive any compensation in the form of payment for order flow.

PRICING Securities prices shown on this statement may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. We receive prices from various services, which are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt instruments including, but not limited to, bills, notes, bonds, banker's acceptances, certificates of deposit, or commercial paper, estimated prices may be generated by a matrix system or market driven pricing model taking various factors into consideration. These prices may not be the actual price you would receive if you sold before the maturity of a certificate of deposit. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, their values have not been included in the Portfolio Summary information at the beginning of this statement.

PRINCIPAL If your broker-dealer is acting as principal in a transaction, your broker-dealer has sold to or bought from you the security, and may have received a profit from the transaction.

PURCHASE COST Original cost including fees, commissions and less accrued interest of the quantity sold or redeemed. For transferred securities, this could be the purchase amount you or the former institution provided to us. Purchase Cost may be adjusted to reflect corporate actions, such as stock splits, mergers, spinoffs, or other events. N/A is displayed when the information is incomplete or missing and is treated as zero when calculating totals. Transferred securities may not be included in Purchase Cost.

REGULATION All transactions are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market and its clearing house, if any where the transactions are executed, and of the Financial Industry Regulation Authority (FINRA).

REINVESTMENT The dollar amount of mutual fund distributions, money market fund income, or dividends on other securities on your statement may have been reinvested in additional shares. You will not receive confirmations for these reinvestment transactions. However, you may request information on these transactions by writing to LPL Financial. LPL will also, if requested, furnish you with the time of execution and the name of the person from who your security was purchased.

REVENUE SHARING LPL may have a fee arrangement with the investment advisor or distributor ("sponsor") of the mutual fund you have purchased, called revenue sharing. In such case, the sponsor pays LPL a fee based on the amount of your purchase, and LPL provides marketing support to the sponsor and allows the sponsor to access your financial professional so that the sponsor can promote such mutual funds. This arrangement gives LPL a financial incentive to have LPL clients invest in participating mutual funds instead of funds whose sponsors do not make such payments to LPL. Although your financial professional does not share in this compensation, this conflict of interest affects the ability of LPL to provide you with unbiased, objective investment advice concerning the selection of mutual funds for your account. This could mean that other mutual funds, whose sponsors do not make revenue sharing payments, may be more appropriate for your account than the mutual funds whose sponsors make revenue sharing payments to LPL. For a complete list of the participating sponsors, and the range of fee payments, please visit LPL.com>Disclosures>Account Disclosures, Agreements, Fee Schedules & Conflicts of Interest>Third Party Compensation and Related Conflicts of Interest.

STATEMENT OF FINANCIAL CONDITION You may call the LPL Client Service line at (800) 877-7210 to request a copy of LPL's audited and unaudited financial statements at no cost. These statements are available for inspection at LPL's office or online at <https://www.lpl.com/disclosures.html> in the LPL LLC Financial Reports section.

SWEEP OPTION Your account may provide for a daily sweep in an insured bank deposit sweep program (either LPL Insured Cash Account—ICA—or LPL Deposit Cash Account—DCA) or a money market mutual fund. The balance in the ICA, DCA or money market mutual fund sweep may be liquidated on the customer's order and the proceeds returned to the securities account, or remitted to the customer. If you have any questions about your sweep option, including rates of the depository institutions currently participating in the sweep option, or you would like to change your sweep option, please contact your financial professional.

TRADING AWAY POLICY Additional information regarding trading practices of equity portfolio managers on Manager Select and Manager Access Select is available on Third-Party Portfolio Manager Trading Practices page online at <https://www.lpl.com/disclosures.html> in the Market & Trading Disclosures section.

LPL FINANCIAL LLC is an affiliate of LPL Financial Holdings Inc.

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RREVOCAB E RUS D D 11 12 04
DAV D A PA CE EE
16644 MAR NE V EW DR SW
BUR EN WA 98166

Investment Account

Account Number: [REDACTED]-4662
Activity Statement

Values as of February 28, 2023



Investment Objective

Growth with Income

Your Account Executive: Brian Locke
206-812-5190
PO Box 97050
Seattle, WA 98124-9750

A copy of this statement was sent to: SHARON HAROLD

Value on January 1, 2023
\$522,722.56

Value as of last statement 01/31/2023
\$508,160.45

Value on February 28, 2023
\$493,822.27

Account Summary

	Quarter to Date 01/01 - 02/28/2023	Year to Date 01/01 - 02/28/2023
Starting Value	\$522,722.56	\$522,722.56
Total Change in Value of Assets	(\$28,900.29)	(\$28,900.29)
Inflows	—	—
Outflows	(\$34,264.76)	(\$34,264.76)
Net Investment Returns	\$5,364.47	\$5,364.47
Total Ending Value (February 28, 2023)	\$493,822.27	\$493,822.27

Account Holdings As of February 28, 2023

Cash and Cash Equivalents

Description	Interest / Dividend Paid in February	Interest / Dividend Rate ²	Current Balance
Insured Cash Account ³			
Citibank NA			\$22,460.64
Total Insured Cash Account	\$0.66	0.399%	\$22,460.64
Total Cash and Cash Equivalents			\$22,460.64

² Bank Deposit Sweep interest is the current rate. Money Market Sweep dividend is a 30-day yield.

³ Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes. See message section for further information.



Account Holdings *As of February 28, 2023 Continued*

ETPs, Mutual Funds, Exchange-Traded Closed-End Funds and Interval Funds

Security ID / Description	Quantity Price (\$)	Market Value (\$)	Cost Basis (\$) Purchase Cost (\$) ⁴	Unrealized Gain / Loss (\$)	Estimated Annual Income (\$) ⁵ Est 30-Day Yield ⁵
FEIAX FIDELITY ADVISOR EQUITY INCOME CL A ^c	1,085.002 \$30.33	32,908.11	35,920.40 35,920.40	(3,012.29)	596 1.81%
FUGAX FIDELITY ADVISOR UTILITIES CL A ^c	1,815.956 \$34.87	63,322.38	35,647.22 35,647.22	27,675.16	942 1.49%
FSTAX FIDELITY ADVISOR STRATEGIC INCOME CL A ^c	3,139.44 \$10.82	33,968.74	39,472.46 39,472.46	(5,503.72)	1,147 3.38%
FNIAX FIDELITY ADVISOR NEW INSIGHTS CL A ^c	1,611.074 \$26.30	42,371.24	36,104.17 36,104.17	6,267.07	168 0.40%
FASDX FIDELITY ADVISOR STRATEGIC DIVIDEND & INCOME CL A ^c	19,202.517 \$15.56	298,791.16	252,142.11 252,142.11	46,649.05	6,721 2.25%
Total of ETPs, Mutual Funds, Exchange-Traded Closed-End Funds and Interval Funds		471,361.63	399,286.36 399,286.36	72,075.27	9,574

^c Dividends and/or capital gains distributed by this security will be distributed as cash.

Total Account Holdings	Market Value (\$)	Cost Basis (\$) Purchase Cost (\$) ⁵	Unrealized Gain / Loss (\$)	Estimated Annual Income (\$) ⁵
	493,822.27	421,747.00 421,747.00	72,075.27	9,574

Cash Activity Summary

	Since last statement 02/01 - 02/28/2023	Year to Date 01/01 - 02/28/2023
Securities Purchased	—	—
Securities Sold	\$21,000.00	\$38,000.00
Cash Inflows	—	—
Cash Outflows	(\$159.33)	(\$34,264.76)
Dividends	\$133.46	\$292.79
Interest	\$0.66	\$4.05
Capital Gains	\$636.37	\$636.37

Activity Summary continued on next page...

⁴ Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.

⁵ Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

⁵ Purchase Cost equals Cost Basis less any reinvested dividends, interest, Fixed Income and Alternative Investments.

Cash Activity Summary *Continued*

	Since last statement 02/01 - 02/28/2023	Year to Date 01/01 - 02/28/2023
Other Distributions	—	—
Reinvestments	—	—

Account Activity February 1 - February 28, 2023 *(Since last statement)*

Date	Transaction Type	Description/Security ID	Price(\$) Quantity	Amount
02/01/2023	Cash Dividend	FIDELITY ADVISOR STRATEGIC INCOME CL A 013123 3,139.44000 FSTAX AS OF 01/31/23	— —	\$130.24
02/01/2023	Sweep (Withdrawal) ⁶	INSURED CASH ACCOUNT	— —	(\$29.09)
02/01/2023	ACH Funds	INCOME DISTRIBUTION TRACE # 021000010021218	— —	(\$159.33)
02/06/2023	Long-Term Capital Gain	FIDELITY ADVISOR NEW INSIGHTS CL A 020623 1,611.07400 FNIAX	— —	\$636.37
02/06/2023	Cash Dividend	FIDELITY ADVISOR NEW INSIGHTS CL A 020623 1,611.07400 FNIAX	— —	\$3.22
02/06/2023	Sweep (Deposit) ⁶	INSURED CASH ACCOUNT	— —	\$639.59
02/27/2023	Sale	FIDELITY ADVISOR STRATEGIC DIVIDEND & INCOME CL A SALE VS PURCHASE TRADE FASDX	\$15.62 (1,344.43)	\$21,000.00
02/28/2023	Interest	INSURED CASH ACCOUNT 022823 22,460	— —	\$0.66
02/28/2023	Sweep (Interest Deposit) ⁶	INSURED CASH ACCOUNT	— —	\$0.66
02/28/2023	Sweep (Deposit) ⁶	INSURED CASH ACCOUNT	— —	\$21,000.00

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Messages From LPL Financial

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LPL RELATIONSHIP SUMMARY AVAILABLE ONLINE

LPL financial professionals offer brokerage services, investment advisory services, or both, depending on their licenses. Brokerage and investment advisory services, and the fees we charge for them, differ, and it's important that you understand the differences. Our Relationship Summary explains the various services we offer, how we charge for those services, and conflicts of interest that exist when we provide our services. Please visit lpl.com/CRS to learn more.

Messages From LPL Financial *(continued)*

SEC FEE RATE CHANGE

The Securities and Exchange Commission (SEC) levies transaction fees on certain client liquidations (sells) that are transacted on national securities exchanges and self-regulatory organizations (i.e., over-the-counter markets). This charge offsets certain costs the federal government incurs in supervising and regulating securities markets. The SEC periodically recalculates the rate at which this fee is assessed pursuant to its authority under Section 31 of the Securities Exchange Act of 1934. Impacted sell transactions include both equity and option securities. The fee amount applied is determined by the dollar amount of a sell (liquidation) transaction.

Effective February 27, 2023, the current fee rate applicable to these securities liquidation transactions on national securities exchanges and over-the-counter markets will be changing from \$22.90 per million dollars to \$8.00 per million dollars. These fees will be reflected on customer confirms as "Settlement Fees."

Disclosures and Other Information

ACCOUNT PROTECTION LPL Financial is a member of the Securities Investor Protection Corporation (SIPC). SIPC provides protection for your account up to \$500,000, of which \$250,000 may be claims for cash, in the unlikely event that LPL fails financially. SIPC protection limits apply to all accounts that you hold in a particular capacity. For example, if you hold two accounts at LPL as a sole account holder and third as a joint account holder, the two individual accounts are protected under SIPC up to a combined limit of \$500,000, and the joint account is protected under SIPC separately up to \$500,000. LPL Insured Cash Account (ICA) and LPL Deposit Cash Account (DCA) are not protected by SIPC. More information on SIPC, including obtaining an explanatory SIPC Brochure, may be obtained by calling SIPC directly at (202) 371-8300 or by visiting www.sipc.org. The account protection applies when an SIPC member firm fails financially and is unable to meet its obligations to securities clients, but it does not protect against losses from the rise and fall in the market value of investments.

ADJUSTED COST The cost basis of securities sold, matured, redeemed or exercised is adjusted for return of principal, original issue discount, accrual and partnership distributions for CMO, CDO, RFMIC, and MLP transactions. Eligible securities on the Realized Gains and Losses Statement have not been adjusted for bond amortization, return of capital, liquidating distributions, wash sales or similar items. N/A displays when the information is incomplete or missing and is treated as zero when calculating totals.

ADJUSTMENTS TO OPTION CONTRACTS As a general rule, corporate actions can result in an adjustment in the number of shares underlying an options contract or the exercise price, or both. Please review any adjustment to an option position. Contact your financial professional for further information with respect to option contract adjustment or visit the OCC website at <http://www.optionsclearing.com/webapps/informenr>.

AGENCY If LPL Financial acts as your agent or as agent for both you and another person in a transaction, the transaction details, including the identity of the seller or buyer and the source and amount of any fees or payments will be supplied upon written request.

ASSET-BACKED SECURITIES The actual yield from transactions in asset-backed securities (e.g., CMO, FNMA, FHLMC OR GMNA transactions) may vary according to the rate at which the underlying assets or receivables are repaid. Information about yield factors is available from your financial professional on request.

CALLED SECURITIES In the event of a partial call of corporate or municipal bonds, or preferred stock held in bulk segregation, the securities to be called will automatically be selected on a random basis, as is customary in the securities industry. The probability that your securities will be selected is proportional to the amount of your holdings relative to the bulk holdings. A detailed description of the random selection procedure is available upon request.

CHANGE OF ADDRESS Please notify your financial professional or LPL Financial promptly in writing of any change of address.

COST BASIS Transactions are automatically paired against holdings on a "First-In/First-Out" basis (unless manually adjusted). Designating liquidations as "versus purchase" on a trade will cause the trade confirmation or other closed tax lot notification to reflect the selected closed tax lots. For assets not purchased in the LPL account, you or the previous broker/dealer upon transfer may have provided the Date Acquired and Purchase Cost of the position. If no such data was submitted, N/A is listed as the Purchase Cost, and is treated as zero when calculating Gain or Loss totals. Since the cost basis on certain securities may have been provided by another source, the cost basis information on your statement may not reflect accurate data or correspond to data on your trade confirmations. This information should not be relied upon for tax reporting purposes. Please refer to your tax reporting statement, if applicable. For accounts electing average cost, the total cost may be computed using a combination of averaged and non-averaged unit prices for eligible securities.

DISCREPANCIES Please notify your financial professional and LPL Financial immediately of any discrepancies on your statement. If your financial professional is affiliated with another broker/dealer, you must notify them as well. Please contact your financial professional for the broker/dealer's contact information. Your financial professional's address and telephone number can be found on the bottom of each page of this statement. LPL Financial's telephone number is (800) 558-7567 and address can be found on the first page of this statement. Additionally, any verbal communications should be re-confirmed in writing to each of the above parties to further protect your rights, including rights under Securities Investor Protection Act (SIPA).

FRACTIONAL SHARE LIQUIDATION For information on fractional share transactions, please refer to LPL.com>Disclosures>Market & Trading Disclosures>Fractional Share Transactions.

FREE CREDIT BALANCES LPL Financial may use your free credit balances subject to the limitations of 17 CFR Section 240.15c-3 under the Securities Exchange Act of 1934. You have the right to receive from us, upon demand in the course of normal business, the delivery of any free credit balances to which you are entitled, any fully paid securities to which you are entitled, and any securities purchased on margin upon full payment of any indebtedness to LPL Financial. Balances in Client Cash Account are free credit balances.

INVESTMENT RISK LPL Financial is not a bank, savings and loan, or credit union. Securities and insurance offered through LPL and its affiliates are not FDIC, NCUA or government insured, not endorsed or guaranteed by LPL, its affiliates or any other financial institution are not a deposit and involve investment risk including possible loss of principal.

INVESTMENTS HELD OUTSIDE LPL FINANCIAL Information on investments held outside LPL is provided for informational purposes only. Values for investments not held in your LPL account are based on the market value of priced securities at the end of the statement period. Values for annuities reflect a pricing date approximately three business days prior to the statement date. Values for alternative investments such as Managed Futures and REITs (Real Estate Investment Trusts) reflect a pricing date three to five business days prior to the statement date, depending on the availability of the data. The account registration for investments held outside LPL may not be the same as the registration for the LPL account with which it is affiliated. For example, an outside investment with a joint registration may be reflected on an LPL account with an individual registration.

LPL INSURED BANK DEPOSIT SWEEP PROGRAMS Cash in the Insured Cash Account (ICA) and LPL Deposit Cash Account (DCA) programs is protected by the Federal Deposit Insurance Corporation (FDIC). LPL Financial allocates your money to the ICA program to banks in the order of the Priority Bank List and to the DCA program to any bank on the Available Bank list in increments up to the programs disclosed amounts until your balance in each of the ICA and DCA programs is allocated to the program max. All banks are FDIC members. FDIC coverage is \$250,000 per depositor per bank (\$500,000 for joint account

holders). More information on FDIC insurance is available on request, or by visiting the FDIC website at www.fdic.gov. LPL Financial is not a bank. Unless otherwise disclosed, securities and other investments obtained through LPL Financial ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED AND MAY LOSE VALUE.

MARGIN ACCOUNT If you use margin, this statement combines information about your investment account(s) and a special miscellaneous account maintained for you under Section 220.6 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account required by Regulation T is available for your inspection upon request.

MONEY MARKET FUNDS Money market fund transactions, if any, are displayed chronologically. The 30-day yield for the fund is also reflected as of the statement date.

MUNICIPAL MATERIAL DISCLOSURE Copies of any material disclosures for municipal bonds are available at www.emma.msrb.org. To obtain specific municipal bond information, enter the nine digit CUSIP number in the search field within the EMMA web site. If you do not have access to the Internet, or would prefer a physical copy of the material disclosure, please contact your financial professional. Additional municipal bond information that may be available on www.emma.msrb.org includes, but is not limited to advance refunding documents, continuing disclosures, including annual financial statements and notices of material events, real-time and historical trade data, daily market statistics and education material.

N/A OR "-" DATA Information that displays as N/A or "-" is unavailable, missing, or incomplete and is treated as zero when calculating account totals, market values and performance.

OPTION CLIENTS Information on commissions and other charges incurred in connection with the execution of options transactions has been included in the confirmations of these transactions furnished to you. A summary of this information will be made available upon request. In accordance with the Option Agreement and Approval form you signed, you must promptly advise the firm of any material change in your investment objectives or financial situation.

ORDER ROUTING Quarterly Order Routing information for equities and options can be found on LPL.com>Disclosures>Market & Trading Disclosures>SEC Rule 606 Report Disclosure. This information is also available upon request.

PAYMENT FOR ORDER FLOW LPL Financial acts as your agent and does not receive any compensation in the form of payment for order flow.

PRICING Securities prices shown on this statement may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. We receive prices from various sources, which are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt instruments including, but not limited to, bills, notes, bonds, banker's acceptances, certificates of deposit, or commercial paper, estimated prices may be generated by a matrix system or market driven pricing model taking various factors into consideration. These prices may not be the actual price you would receive if you sold before the maturity of a certificate of deposit. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, their values have not been included in the Portfolio Summary information at the beginning of this statement.

PRINCIPAL If your broker-dealer is acting as principal in a transaction, your broker-dealer has sold to or bought from you the security, and may have received a profit from the transaction.

PURCHASE COST Original cost including fees, commissions and less accrued interest of the quantity sold or redeemed. For transferred securities, this could be the purchase amount you or the former institution provided to us. Purchase Cost may be adjusted to reflect corporate actions, such as stock splits, mergers, spinoffs, or other events. N/A is displayed when the information is incomplete or missing and is treated as zero when calculating totals. Transferred securities may not be included in Purchase Cost.

REGULATION All transactions are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market and its clearing house, if any where the transactions are executed, and of the Financial Industry Regulation Authority (FINRA).

REINVESTMENT The dollar amount of mutual fund distributions, money market fund income, or dividends on other securities on your statement may have been reinvested in additional shares. You will not receive confirmations for these reinvestment transactions. However, you may request information on these transactions by writing to LPL Financial. LPL will also, if requested, furnish you with the time of execution and the name of the person from who your security was purchased.

REVENUE SHARING LPL may have a fee arrangement with the investment advisor or distributor ("sponsor") of the mutual fund you have purchased, called revenue sharing. In such case, the sponsor pays LPL a fee based on the amount of your purchase, and LPL provides marketing support to the sponsor and allows the sponsor to access your financial professional so that the sponsor can promote such mutual funds. This arrangement gives LPL a financial incentive to have LPL clients invest in participating mutual funds instead of funds whose sponsors do not make such payments to LPL. Although your financial professional does not share in this compensation, this conflict of interest affects the ability of LPL to provide you with unbiased, objective investment advice concerning the selection of mutual funds for your account. This could mean that other mutual funds, whose sponsors do not make revenue sharing payments, may be more appropriate for your account than the mutual funds whose sponsors make revenue sharing payments to LPL. For a complete list of the participating sponsors, and the range of fee payments, please visit LPL.com>Disclosures>Account Disclosures, Agreements, Fee Schedules & Conflicts of Interest>Third Party Compensation and Related Conflicts of Interest.

STATEMENT OF FINANCIAL CONDITION You may call the LPL Client Service line at (800) 877-7210 to request a copy of LPL's audited and unaudited financial statements at no cost. These statements are available for inspection at LPL's office or online at <https://www.lpl.com/disclosures.html> in the LPL LLC Financial Reports section.

SWEEP OPTION Your account may provide for a daily sweep in an insured bank deposit sweep program (either LPL Insured Cash Account—ICA—or LPL Deposit Cash Account—DCA) or a money market mutual fund. The balance in the ICA, DCA or money market mutual fund sweep may be liquidated on the customer's order and the proceeds returned to the securities account, or remitted to the customer. If you have any questions about your sweep option, including rates of the depository institutions currently participating in the sweep option, or you would like to change your sweep option, please contact your financial professional.

TRADING AWAY POLICY Additional information regarding trading practices of equity portfolio managers on Manager Select and Manager Access Select is available on Third-Party Portfolio Manager Trading Practices page online at <https://www.lpl.com/disclosures.html> in the Market & Trading Disclosures section.

LPL FINANCIAL LLC is an affiliate of LPL Financial Holdings Inc.

511PLN0N - REV 05/20

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RREVOCAB E RUS D D 11 12 04
DAV D A PA CE EE
16644 MAR NE V EW DR SW
BUR EN WA 98166

Investment Account

Account Number: [REDACTED]-4662
1st Quarter 2023 Statement

Values as of March 31, 2023



Investment Objective

Growth with Income

Your Account Executive: Brian Locke
206-812-5190
PO Box 97050
Seattle, WA 98124-9750

A copy of this statement was sent to: SHARON HAROLD

Value on January 1, 2023

\$522,722.⁵⁶

Value as of last statement 02/28/2023

\$493,822.²⁷

Value on March 31, 2023

\$477,841.⁰⁷

Account Summary

	1st Quarter 01/01 - 03/31/2023	Year to Date 01/01 - 03/31/2023
Starting Value	\$522,722.56	\$522,722.56
Total Change in Value of Assets	(\$44,881.49)	(\$44,881.49)
Inflows	—	—
Outflows	(\$55,398.22)	(\$55,398.22)
Net Investment Returns	\$10,516.73	\$10,516.73
Total Ending Value (March 31, 2023)	\$477,841.07	\$477,841.07

Purchasing Power

Available Cash (March 31, 2023)	\$1,429.67
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Gain/Loss Summary

	Realized		Unrealized
	1st Quarter 01/01 - 03/31/2023	Year to Date 01/01 - 03/31/2023	As of 03/31/2023
Short Term Gain	—	—	—
Short Term Loss	—	—	—
Net Short Term Gain or Loss	—	—	—
Long Term Gain	\$4,934.06	\$4,934.06	\$86,055.98
Long Term Loss	(\$2,360.73)	(\$2,360.73)	(\$8,930.94)
Net Long Term Gain or Loss	\$2,573.33	\$2,573.33	\$77,125.04
Unknown Purchase Date	—	—	—
Total	\$2,573.33	\$2,573.33	\$77,125.04

Asset Allocation *As of 03/31/2023*



3

1.	Equities & Options	—	—
2.	Fixed Income	—	—
3.	ETPs, Mutual, Closed-End & Interval Funds	99.70%	\$476,411.40
4.	Alternative Investments	—	—
5.	Annuities	—	—
6.	Cash & Cash Equivalents	0.30%	\$1,429.67
7.	Other	—	—
Total Ending Value		100.00%	\$477,841.07

Account Holdings As of March 31, 2023

Cash and Cash Equivalents

Description	Interest / Dividend Paid in March	Interest / Dividend Rate ²	Current Balance
Insured Cash Account ^a			
<i>Citibank NA</i>			\$1,429.67
Total Insured Cash Account	\$0.48	0.399%	\$1,429.67
Total Cash and Cash Equivalents			\$1,429.67

ETPs, Mutual Funds, Exchange-Traded Closed-End Funds and Interval Funds

Security ID / Description	Quantity	Market Value (\$)	Cost Basis (\$)	Unrealized Gain / Loss (\$)	Estimated Annual Income (\$) ^a
	Price (\$)		Purchase Cost (\$) ⁴		Est 30-Day Yield ^a
FEIAX FIDELITY ADVISOR EQUITY INCOME CL A ^c	1,085.002 \$29.74	32,267.95	35,920.40 35,920.40	(3,652.45)	607 1.88%
FUGAX FIDELITY ADVISOR UTILITIES CL A ^c	1,815.956 \$36.66	66,572.94	35,647.22 35,647.22	30,925.72	979 1.47%
FSTAX FIDELITY ADVISOR STRATEGIC INCOME CL A ^c	3,139.44 \$10.95	34,376.86	39,472.46 39,472.46	(5,095.60)	1,211 3.52%
FNIAX FIDELITY ADVISOR NEW INSIGHTS CL A ^c	1,611.074 \$27.68	44,594.52	36,104.17 36,104.17	8,490.35	174 0.39%
FASDX FIDELITY ADVISOR STRATEGIC DIVIDEND & INCOME CL A ^c	19,202.517 \$15.55	298,599.13	252,142.11 252,142.11	46,457.02	6,860 2.30%
Total of ETPs, Mutual Funds, Exchange-Traded Closed-End Funds and Interval Funds		476,411.40	399,286.36 399,286.36	77,125.04	9,831

^c Dividends and/or capital gains distributed by this security will be distributed as cash.

Total Account Holdings

	Market Value (\$)	Cost Basis (\$) Purchase Cost (\$) ⁵	Unrealized Gain / Loss (\$)	Estimated Annual Income (\$) ^a
	477,841.07	400,716.03 400,716.03	77,125.04	9,831

² Bank Deposit Sweep interest is the current rate. Money Market Sweep dividend is a 30-day yield.

³ Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes. See message section for further information.

⁴ Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.

^a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

⁵ Purchase Cost equals Cost Basis less any reinvested dividends, interest, Fixed Income and Alternative Investments.

Cash Activity Summary

	Since last statement 03/01 - 03/31/2023	1st Quarter 01/01 - 03/31/2023	Year to Date 01/01 - 03/31/2023
Securities Purchased	—	—	—
Securities Sold	—	\$38,000.00	\$38,000.00
Cash Inflows	—	—	—
Cash Outflows	(\$21,133.46)	(\$55,398.22)	(\$55,398.22)
Dividends	\$102.01	\$394.80	\$394.80
Interest	\$0.48	\$4.53	\$4.53
Capital Gains	—	\$636.37	\$636.37
Other Distributions	—	—	—
Reinvestments	—	—	—

Account Activity March 1 - March 31, 2023 (Since last statement)

Date	Transaction Type	Description/Security ID	Price(\$) Quantity	Amount
03/01/2023	Cash Dividend	FIDELITY ADVISOR STRATEGIC INCOME CL A 022823 3,139.44000 FSTAX AS OF 02/28/23	—	\$102.01
03/01/2023	Sweep (Withdrawal) ⁶	INSURED CASH ACCOUNT	—	(\$21,031.45)
03/01/2023	ACH Funds	ACH DIRECT DEPOSIT TRACE # 021000010023786 THE SHARON M HAROLD IR	—	(\$21,000.00)
03/01/2023	ACH Funds	INCOME DISTRIBUTION TRACE # 021000010023787	—	(\$133.46)
03/31/2023	Interest	INSURED CASH ACCOUNT 033123 1,429	—	\$0.48
03/31/2023	Sweep (Interest Deposit) ⁶	INSURED CASH ACCOUNT	—	\$0.48

⁶ Bank Deposit and Money Market Sweep transactions reflect the net of all transfers of free cash balance to and from your sweep on the date referenced.

Realized Gain/Loss Year-to-date January 1 - March 31, 2023

Security/Description	Quantity	Proceeds (\$)	Short-Term Gain / Loss (\$)	Long-Term Gain / Loss (\$)
	Cost Basis (\$)			
FSTAX FIDELITY ADVISOR STRATEGIC INCOME CL A	(1,539.855) 19,360.73	17,000.00	—	(2,360.73)
FASDX FIDELITY ADVISOR STRATEGIC DIVIDEND & INCOME CL A	(1,344.43) 16,065.94	21,000.00	—	4,934.06
Total	35,426.67	38,000.00	—	2,573.33

Messages From LPL Financial

ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY)

EAI is calculated by taking the indicated annualized dividend and multiplying by the number of shares owned. EY is calculated by taking the EAI and dividing by the aggregate value of the shares owned. If no dividend information is available, no EAI or EY numbers will be generated. EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. Additionally the actual dividend or yield may vary depending on the security issuer's approval of paying the dividends. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

NON TRANSFERABLE SECURITIES THAT ARE WORTHLESS

As part of our continuing effort to provide exceptional service, please be advised that LPL Financial will remove any non-transferable securities that are worthless from customer accounts. Your account may or may not be affected. Should you have any questions or concerns, please contact your financial professional.

SETTLEMENT FEE

LPL passes through certain regulatory fees incurred by LPL as a result of executing the transaction on your behalf. This includes fees charged under Section 31 of the Securities Exchange Act for sell transactions in equities and options.

POLICY FOR BENEFICIARY ACCOUNTS

Beneficiaries are required to open an account to receive any securities or cash from a deceased client's account. LPL generally will divide all securities and cash proportionately among the designated beneficiaries based on the allocations indicated by the account holder. However, this policy and procedure address specific situations, such as the treatment of securities remaining after the proportionate division of assets. You may visit lpl.com to learn more.

IMPORTANT INFORMATION ABOUT LIBOR AND INVESTMENT PRODUCTS TIED TO LIBOR

The interest rate for a limited number of fixed income and credit-based packaged products will be impacted by changes to the London Interbank Offered Rate (LIBOR). LIBOR is a forward-looking benchmark variable interest rate average used in many bonds and other fixed income pooled products, and will be discontinued or will no longer be widely relied upon by market participants, starting at the end of 2021 through June 2023. If you would like additional information, please see <https://www.lpl.com/disclosures.html> under "Other Important Disclosures." You may wish to review the prospectus of any existing positions in fixed income or credit-based investments with your financial professional.

ICA INFORMATION

Your balances in the Insured Cash Account (ICA) Program are allocated to each depository institution on the Priority Bank List in increments of \$246,500 for individual and trust accounts and \$493,000 for joint accounts. As always, you should review your cash positions with various depository institutions to determine whether your cash is within the FDIC insurance coverage limits. For more information about FDIC insurance limits, please contact your financial professional or go to www.fdic.gov

Please be advised that the Priority Bank List for the ICA Program may change from time to time. These changes include the order of priority in which banks are listed as well as the addition and removal of banks. Please be sure to consult your financial professional or LPL.com periodically throughout the month for recent updates and information regarding how these changes may impact your account.

LPL RELATIONSHIP SUMMARY AVAILABLE ONLINE

LPL financial professionals offer brokerage services, investment advisory services, or both, depending on their licenses. Brokerage and investment advisory services, and the fees we charge for them, differ, and it's important that you understand the differences. Our Relationship Summary explains the various services we offer, how we charge for those services, and conflicts of interest that exist when we provide our services. Please visit lpl.com/CRS to learn more.

Messages From LPL Financial *(continued)*

STATEMENT OF FINANCIAL CONDITION NET CAPITAL

The Company is subject to the SEC's Net Capital Rule (Rule 15c3-1 under the Exchange Act), which requires the maintenance of minimum net capital. The net capital rules also provide that the Company's capital may not be withdrawn if resulting net capital would be less than minimum requirements. Additionally, certain withdrawals require the approval of the SEC and FINRA to the extent they exceed defined levels, even though such withdrawals would not cause net capital to be less than minimum requirements. Net capital and the related net capital requirement may fluctuate on a daily basis. The Company is a clearing broker-dealer and, as of December 31, 2022, had net capital of \$49.5 million, which was \$36.2 million in excess of its minimum net capital requirement of \$13.3 million. As of December 31, 2022, LPL Financial has met all capital adequacy requirements to which it is subject.

Statement of Financial Condition filed pursuant to Rule 17a-5(e)(3) under the Securities Exchange Act of 1934 is available for inspection at the principal office of the Company and at the Atlanta Regional Office of the Commission.

You may call the LPL Client Service line at (800) 877-7210 to request a copy of LPL's audited and unaudited financial statements at no cost. These statements are available for inspection at LPL's office or online at www.lpl.com/disclosures.

NOTICE OF AMENDMENT TO YOUR ACCOUNT AGREEMENT

We are writing to notify you, as required by the terms of your account agreement, that certain changes have been made to that agreement. Those changes will not affect the way your account is managed or the fees you pay to LPL. The primary change is that your agreement will be amended to reflect that periodic account statements may be sent to you on either a quarterly or monthly basis. Your account statements will continue to contain the same holdings, performance, fee and other information but, if your account statements are switched from monthly to quarterly, you will receive account statements less frequently and that cover longer periods of account activity. You should carefully review account statements and notify us as soon as possible if you identify any errors.

To view the complete updated Account Agreement, please search "Account Agreements and Account Packets" on lpl.com.

NOTICE FOR RECENTLY CONVERTED ACCOUNTS

If your account has recently converted to a new advisory program, your new platform fee data may not be available on your statement. This may include fees paid YTD, Advisory Fee rate, and Manager Fee rate.

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CHANGE OF ADDRESS Please notify your financial professional or LPL Financial promptly in writing of any change of address.

COST BASIS Transactions are automatically paired against holdings on a "First-In/First-Out" basis (unless manually adjusted). Designating liquidations as "versus purchase" on a trade will cause the trade confirmation or other closed tax lot notification to reflect the selected closed tax lots. For assets not purchased in the LPL account, you or the previous broker/dealer upon transfer may have provided the Date Acquired and Purchase Cost of the position. If no such data was submitted, N/A is listed as the Purchase Cost, and is treated as zero when calculating Gain or Loss totals. Since the cost basis on certain securities may have been provided by another source, the cost basis information on your statement may not reflect accurate data or correspond to data on your trade confirmations. This information should not be relied upon for tax reporting purposes. Please refer to your tax reporting statement, if applicable. For accounts electing average cost, the total cost may be computed using a combination of averaged and non-averaged unit prices for eligible securities.

DISCREPANCIES Please notify your financial professional and LPL Financial immediately of any discrepancies on your statement. If your financial professional is affiliated with another broker/dealer, you must notify them as well. Please contact your financial professional for the broker/dealer's contact information. Your financial professional's address and telephone number can be found on the bottom of each page of this statement. LPL Financial's telephone number is (800) 558-7567 and address can be found on the first page of this statement. Additionally, any verbal communications should be re-confirmed in writing to each of the above parties to further protect your rights, including rights under Securities Investor Protection Act (SIPA).

FRACTIONAL SHARE LIQUIDATION For information on fractional share transactions, please refer to LPL.com>Disclosures>Market & Trading Disclosures>Fractional Share Transactions.

FREE CREDIT BALANCES LPL Financial may use your free credit balances subject to the limitations of 17 CFR Section 240.15c-3 under the Securities Exchange Act of 1934. You have the right to receive from us, upon demand in the course of normal business, the delivery of any free credit balances to which you are entitled, any fully paid securities to which you are entitled, and any securities purchased on margin upon full payment of any indebtedness to LPL Financial. Balances in Client Cash Account are free credit balances.

INVESTMENT RISK LPL Financial is not a bank, savings and loan, or credit union. Securities and insurance offered through LPL and its affiliates are not FDIC, NCUA or government insured, not endorsed or guaranteed by LPL, its affiliates or any other financial institution, are not a deposit and involve investment risk including possible loss of principal.

INVESTMENTS HELD OUTSIDE LPL FINANCIAL Information on investments held outside LPL is provided for informational purposes only. Values for investments not held in your LPL account are based on the market value of priced securities at the end of the statement period. Values for annuities reflect a pricing date approximately three business days prior to the statement date. Values for alternative investments such as Managed Futures and REITs (Real Estate Investment Trusts) reflect a pricing date three to five business days prior to the statement date, depending on the availability of the data. The account registration for investments held outside LPL may not be the same as the registration for the LPL account with which it is affiliated. For example, an outside investment with a joint registration may be reflected on an LPL account with an individual registration.

LPL INSURED BANK DEPOSIT SWEEP PROGRAMS Cash in the Insured Cash Account (ICA) and LPL Deposit Cash Account (DCA) programs is protected by the Federal Deposit Insurance Corporation (FDIC). LPL Financial allocates your money to the ICA program to banks in the order of the Priority Bank List and to the DCA program to any bank on the Available Bank list in increments up to the programs disclosed amounts until your balance in each of the ICA and DCA programs is allocated to the program max. All banks are FDIC members. FDIC coverage is \$250,000 per depositor per bank (\$500,000 for joint account

holders). More information on FDIC insurance is available on request, or by visiting the FDIC website at www.fdic.gov. LPL Financial is not a bank. Unless otherwise disclosed, securities and other investments obtained through LPL Financial ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED AND MAY LOSE VALUE.

MARGIN ACCOUNT If you use margin, this statement combines information about your investment account(s) and a special miscellaneous account maintained for you under Section 220.6 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account required by Regulation T is available for your inspection upon request.

MONEY MARKET FUNDS Money market fund transactions, if any, are displayed chronologically. The 30-day yield for the fund is also reflected as of the statement date.

MUNICIPAL MATERIAL DISCLOSURE Copies of any material disclosures for municipal bonds are available at www.emma.msrb.org. To obtain specific municipal bond information, enter the nine digit CUSIP number in the search field within the EMMA web site. If you do not have access to the Internet, or would prefer a physical copy of the material disclosure, please contact your financial professional. Additional municipal bond information that may be available on www.emma.msrb.org includes, but is not limited to advance refunding documents, continuing disclosures, including annual financial statements and notices of material events, real-time and historical trade data, daily market statistics and education material.

N/A OR "-" DATA Information that displays as N/A or "-" is unavailable, missing, or incomplete and is treated as zero when calculating account totals, market values and performance.

OPTION CLIENTS Information on commissions and other charges incurred in connection with the execution of options transactions has been included in the confirmations of these transactions furnished to you. A summary of this information will be made available upon request. In accordance with the Option Agreement and Approval form you signed, you must promptly advise the firm of any material change in your investment objectives or financial situation.

ORDER ROUTING Quarterly Order Routing information for equities and options can be found on LPL.com>Disclosures>Market & Trading Disclosures>SEC Rule 606 Report Disclosure. This information is also available upon request.

PAYMENT FOR ORDER FLOW LPL Financial acts as your agent and does not receive any compensation in the form of payment for order flow.

PRICING Securities prices shown on this statement may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. We receive prices from various services, which are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt instruments including, but not limited to, bills, notes, bonds, banker's acceptances, certificates of deposit, or commercial paper, estimated prices may be generated by a matrix system or market driven pricing model taking various factors into consideration. These prices may not be the actual price you would receive if you sold before the maturity of a certificate of deposit. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, their values have not been included in the Portfolio Summary information at the beginning of this statement.

PRINCIPAL If your broker-dealer is acting as principal in a transaction, your broker-dealer has sold to or bought from you the security, and may have received a profit from the transaction.

PURCHASE COST Original cost including fees, commissions and less accrued interest of the quantity sold or redeemed. For transferred securities, this could be the purchase amount you or the former institution provided to us. Purchase Cost may be adjusted to reflect corporate actions, such as stock splits, mergers, spinoffs, or other events. N/A is displayed when the information is incomplete or missing and is treated as zero when calculating totals. Transferred securities may not be included in Purchase Cost.

REGULATION All transactions are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market and its clearing house, if any where the transactions are executed, and of the Financial Industry Regulation Authority (FINRA).

REINVESTMENT The dollar amount of mutual fund distributions, money market fund income, or dividends on other securities on your statement may have been reinvested in additional shares. You will not receive confirmations for these reinvestment transactions. However, you may request information on these transactions by writing to LPL Financial. LPL will also, if requested, furnish you with the time of execution and the name of the person from who your security was purchased.

REVENUE SHARING LPL may have a fee arrangement with the investment advisor or distributor ("sponsor") of the mutual fund you have purchased, called revenue sharing. In such case, the sponsor pays LPL a fee based on the amount of your purchase, and LPL provides marketing support to the sponsor and allows the sponsor to access your financial professional so that the sponsor can promote such mutual funds. This arrangement gives LPL a financial incentive to have LPL clients invest in participating mutual funds instead of funds whose sponsors do not make such payments to LPL. Although your financial professional does not share in this compensation, this conflict of interest affects the ability of LPL to provide you with unbiased, objective investment advice concerning the selection of mutual funds for your account. This could mean that other mutual funds, whose sponsors do not make revenue sharing payments, may be more appropriate for your account than the mutual funds whose sponsors make revenue sharing payments to LPL. For a complete list of the participating sponsors, and the range of fee payments, please visit LPL.com>Disclosures>Account Disclosures, Agreements, Fee Schedules & Conflicts of Interest>Third Party Compensation and Related Conflicts of Interest.

STATEMENT OF FINANCIAL CONDITION You may call the LPL Client Service line at (800) 877-7210 to request a copy of LPL's audited and unaudited financial statements at no cost. These statements are available for inspection at LPL's office or online at <https://www.lpl.com/disclosures.html> in the LPL LLC Financial Reports section.

SWEEP OPTION Your account may provide for a daily sweep in an insured bank deposit sweep program (either LPL Insured Cash Account—ICA—or LPL Deposit Cash Account—DCA) or a money market mutual fund. The balance in the ICA, DCA or money market mutual fund sweep may be liquidated on the customer's order and the proceeds returned to the securities account, or remitted to the customer. If you have any questions about your sweep option, including rates of the depository institutions currently participating in the sweep option, or you would like to change your sweep option, please contact your financial professional.

TRADING AWAY POLICY Additional information regarding trading practices of equity portfolio managers on Manager Select and Manager Access Select is available on Third-Party Portfolio Manager Trading Practices page online at <https://www.lpl.com/disclosures.html> in the Market & Trading Disclosures section.

LPL FINANCIAL LLC is an affiliate of LPL Financial Holdings Inc.

51LPLNON - REV 05/20

Exhibit C

Text Message Thread Between
Sharon and David

9:52



SH

Sharon

Wed, Aug 3 at 9:47 PM

Do you have the address for
Charlie, Amy, Angel, John, and
Joie?

If you do can you send them to
me?

Thu, Aug 4 at 7:13 AM

Of course. What for? Joie isn't
involved in this in any way at all.

I'm going to send the accountings
to all the kids since all are
beneficiaries.

Joie not Joie

Of course
Give me a couple of hours. Not
up yet

Has Amy written any more
letters? I think I only have only the
one.
I want you to charge any money
from your attorney to my trust



Text Message



9:52



SH

Sharon

Jole not Join

Of course
Give me a couple of hours. Not
up yet

Has Amy written any more
letters? I think I only have only the
one.
I want you to charge any money
from your attorney to my trust
You are NOT TO PAY anything out
of your own pocket. Did you get
a bill from Jeanne at Hathaway
Law?

Tue, Aug 6 @ 10:13 AM

Charly: 5301 So Superstition Mt.
Dr
#103-350
Gold canyon, AZ [Google](#)

John Harold
230 Westmont Dr. Reedsport, OR
97467

Angel Harold

Angel Harold



App Store



9:52



SHaron

Aug 8, 8:28 AM

Charly: 5301 So Superstition Mt.
Dr
#103-350
Gold canyon, AZ 85118

John Harold
230 Westmont Dr, Reedsport, OR
97467

Angel Harold

Angel Harold
27411 Anthony Lane, #101
Canyon Country, 91387

Amy Jane Small
P.O. Box 352
Graeagle, CA 96103

Josette Harold/Ramirez
11319 Playa St
Culver City, CA 90230

Jenifer Harold Sawyer
1819 74th st E
Tacoma, WA 98404

Mon, Aug 8, 8:28 AM



Send Message



9:52



SH

Sharon

Josette Harold/Ramirez
11319 Playa St
Culver City, CA 90230

Jenifer Harold Sawyer
1819 74th st E
Tacoma, WA 98404

Mon, Aug 8 at 8:38 PM

Please send me your attorney's
statement

I think mentioned if you can print out
a when I received

🙏 thanks

Thu, Aug 11 at 8:04 AM

Check out page 7 of my trust.
D. NO CONTEST CLAUSE

Sun, Aug 14 at 6:03 PM

IF4156871907 Deposited a new
message:
"Yeah. Hi, it's Gibby. Uh give me a
call when you have a chance. I'm
back in town, moved across the state."



Send Message



9:52



SH

Sharon

Thu, Aug 11 at 11:24 AM

Check out page 7 of my trust.
D. NO CONTEST CLAUSE

Sun, Aug 14 at 8:03 PM

[View Photo 201817](#) Deposited a new message:
"Yeah. Hi, it's Gibby. Uh give me a call when you have a chance. I'm just going over some of this paperwork and didn't quite understand some of it. Okay. Love you. Bye."
Click here: [1-800-9-2-3000](#) to listen to full voice message.

Thu, Aug 18 at 9:03 PM

I want to sue my 4 kids for parent abuse.

I have deleted Charly, John, Angel & Amy from phone.

Sat, Aug 20 at 8:29 PM

I will mail the attorney bill to you.
It does not photograph well.



Text Message

