

Ocean Base Introduction

A highly available, scalable and multiinfra Database

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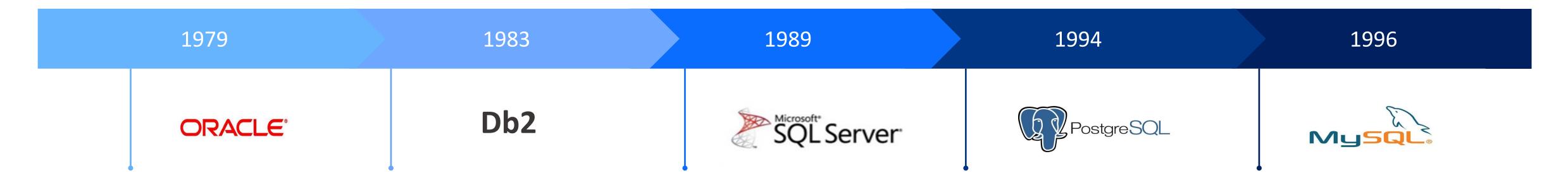


- 01. About OceanBase
- 02. Key Features
- 03. Success Stories
- 04. Q & A

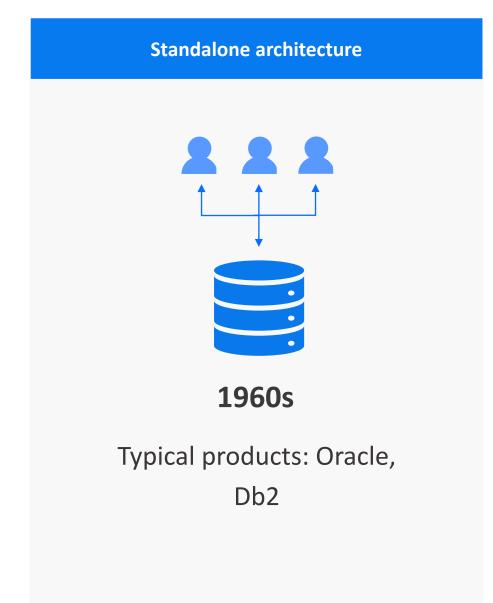
Intro to OceanBase

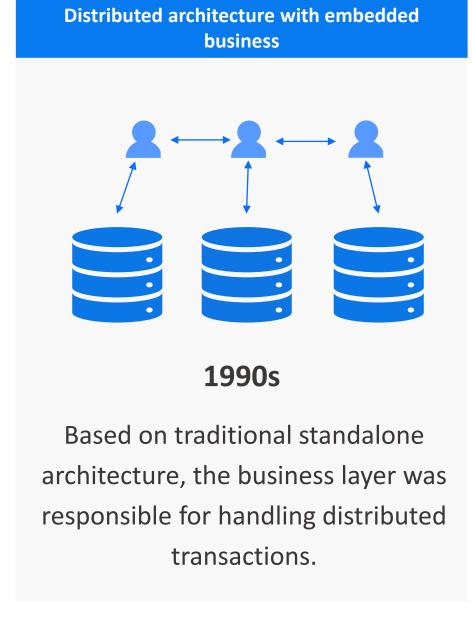


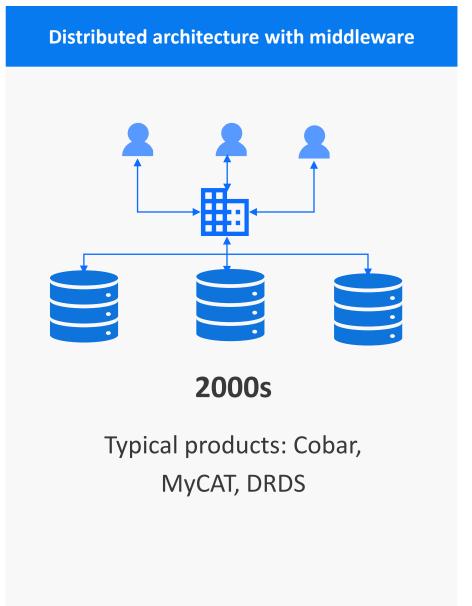
The Ultimate Direction of Distributed Architecture Evolution

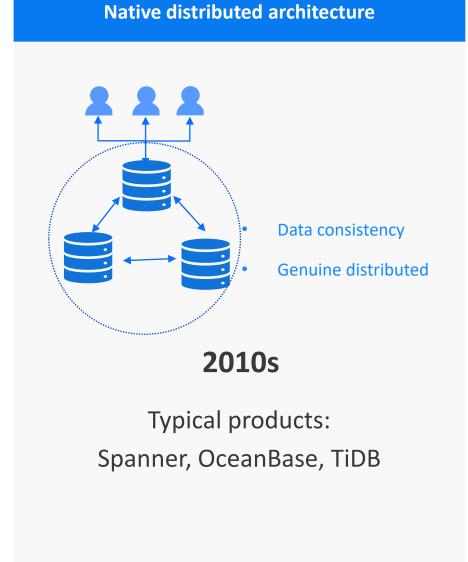


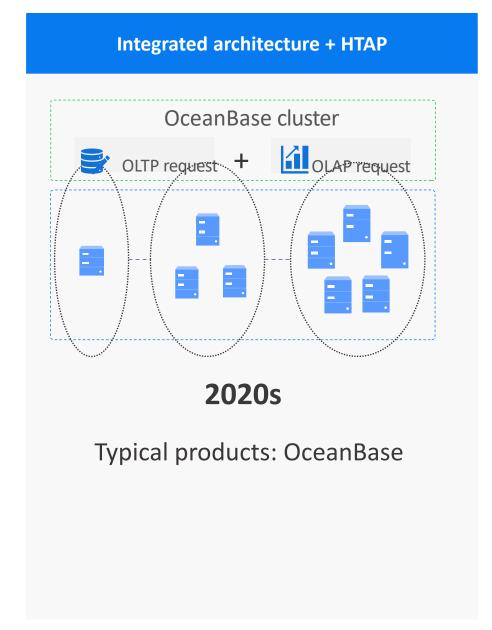
Since 1996, there have been no new mainstream OLTP database in the world











Source: White Paper on Big Data (2018) from CAICT



OceanBase Milestones

Period 1.0

Distributed engine

Period 2.0

Native distributed database

Period 3.0

HTAP Engine

Period 4.0

Integrated architecture, multi-cloud deployment

Distributed KV storage			SQL engine, high availability with multiple replicas			Compatibility, sca multi-site disaster		Batch processing, enterprise-level features, HTAP		
2010	2013	2014	2016	2017	2019	2020	2021	2022	2023	
Product initiation	Expansion of scope	Core transactions go live	Full business coverage	Multiple financial clients	Breaks world records	Independent commercialization	Large-scale expansion	Public cloud released, Expands overseas	Integrated product for single-server distribution	
OceanBase's first customer	Served dozens of eCommerce platforms of Alibaba Group	Supported Alipay's core transaction system, handling 10% of Singles' Day transaction volume	Launched Alipay's core accounting and payment systems	Completed the final Oracle core replacement for Ant Group's core systems	Oracle compatible Public cloud in service	TPC-C 7.07 tpmC, breaking its own world record, surpassing Oracle by 23 times	Releasing the HTAP engine TPC-H 1,526 tpmC	Single-server distributed integrated architecture Public cloud launched, North	Number of customers surpasses 1,000 Ranked No. 1 by market	
		Achieved RPO=0 and RTO < 30s for the first time	Supporting a payment peak of 120,000 transactions per second and transaction peak of	Nanjing Bank became the first online user Singles' Day set a	TPC-C 60.88 million tpmC topped the list. Singles' Day sets a	Launches core systems for top customers	topped the list, becoming the only distributed database to top both TPC-C and TPC-H	American site goes live share in the finance industry o Listed on AWS Marketplace		
		<mark> </mark>	175,000 transactions per second	peak record of 42 million transactions per second	peak record of 61 million transactions per second 沙招商证券 China Merchants Securities	中国移动 China Mobile	Community edition released, access to 3 million lines of core code	中国 本 寿	平安银行 BANK OF BEIJING	
海宝 Taobao	海宝 Taobao TMALL天猫		○ 借呗 ○ 借呗 ○ 余额宝 ○ 芝麻信用 ○ 図商银行	sichuan rural credit 南京銀行 Bank of Nanjing 顺德农商银行 Shunde rural commercial bank	常塾农商银行 PICC 中国人民保险 一 あ安銀行 BANK OF SUZHOU	China Mobile 中国工商银行 HOUSTRIAL AND COMMERCIAL BANK OF CHINA	Piloting overseas customers		I 图联合航空 20 翼支付 HINA UNITED 20	
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Cost-Effective and High Performance SQL Database at Scale

OceanBase is a high-performance, scalable distributed database designed for intensive transaction and real-time operational analytics workloads. It is the #1 Database on Modb DB Ranking, serving more than 1000 customers globally and supports Alipay in all its mission critical workloads.

World #1

- •TPC-C and TPC-H world record
- Achieved 61 MillionQPS during 11.11

> 70% TCO Reduced

- Cutting edge compression technology reduce storage by up to 90%
- Multi-tenancy architecture

RTO <8s

- City-level disaster
 recovery capability deploy
 "Five IDCs across Three
 Cities" and ensures RPO =
 0, RTO < 30s.
- •v4.0 promises RTO <8s

>1000

Customers including
 market leaders within
 Banking, Finance Services,
 Insurance, Enterprises,
 Fintech, Digital Natives.



Trusted by over 1,000 brands globally



























































































































































































































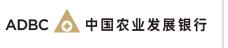
























Oceanbase Recognized as a Leader in Distributed Database









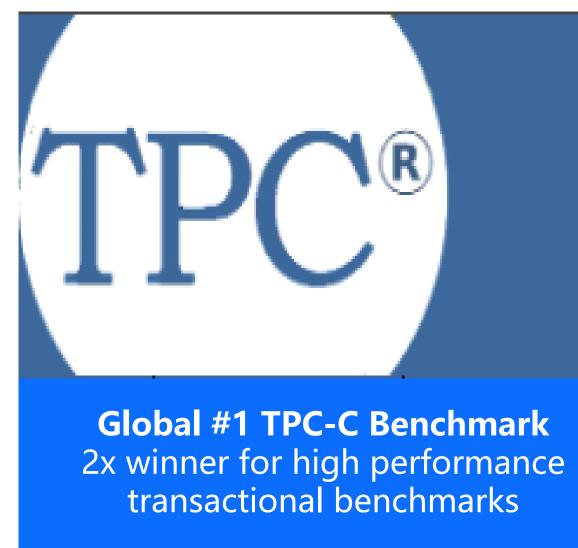








Worldwide Certifications
Oceanbase commitment towards data
protection and international guidelines





Leader in Distributed DBIDC Marketscape Award







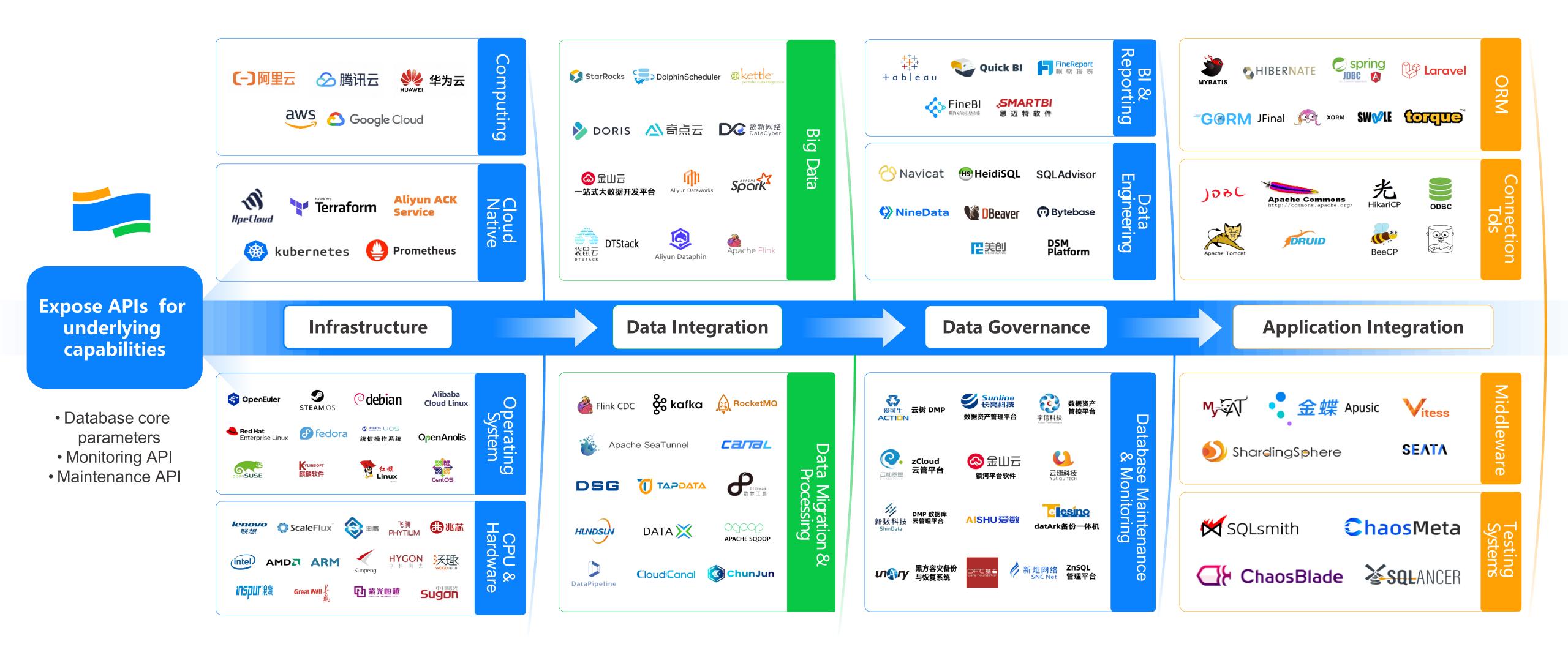
APAC Customer Choice
Gartner Peer Insights 2023





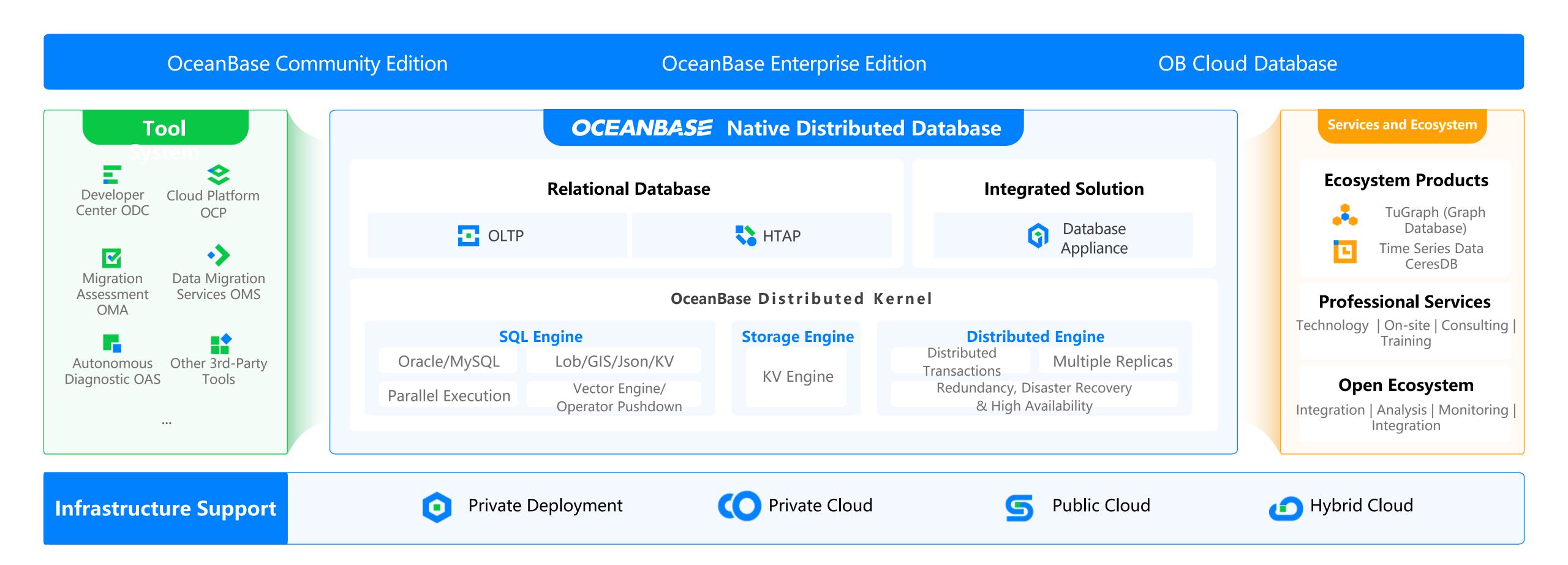
OceanBase Landscape: Open Technology Ecosystem

Over 750 mainstream products jointly built the open technology ecosystem





A single, resilient and unified database for multiple scenarios

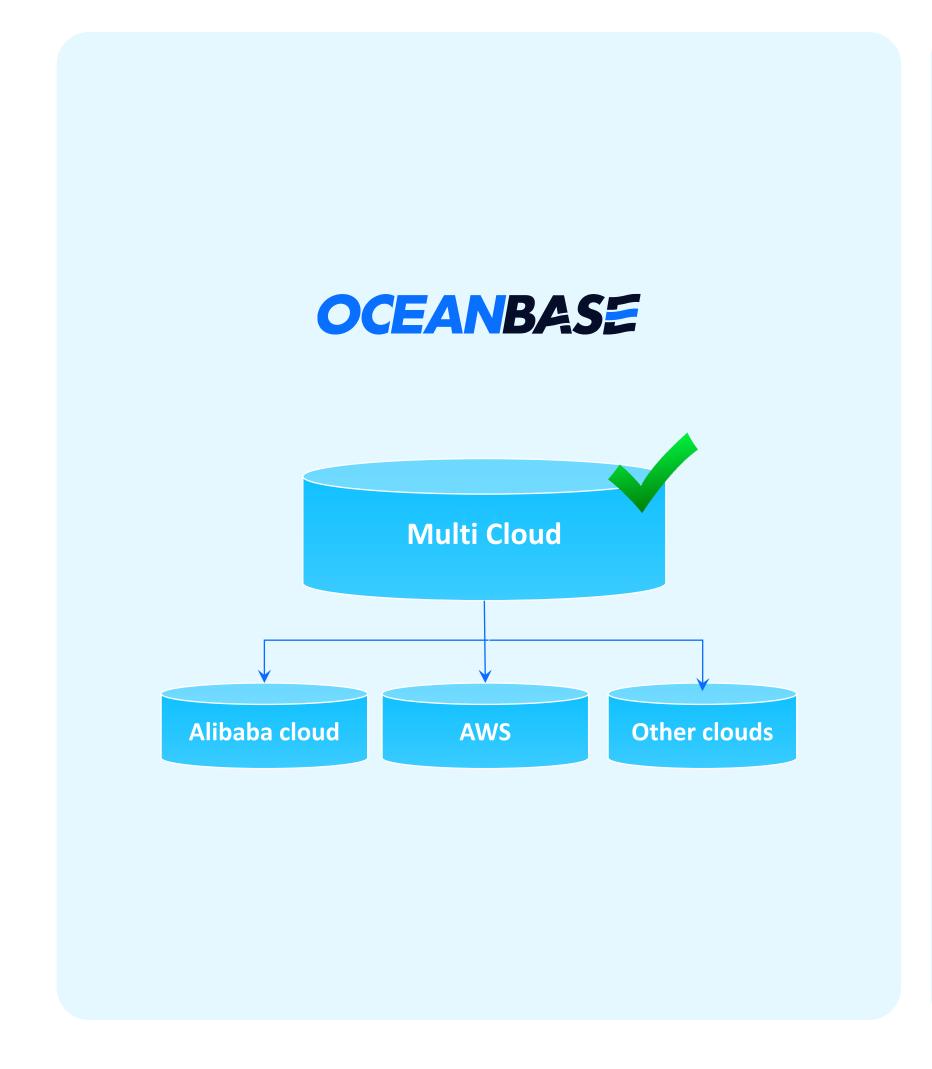




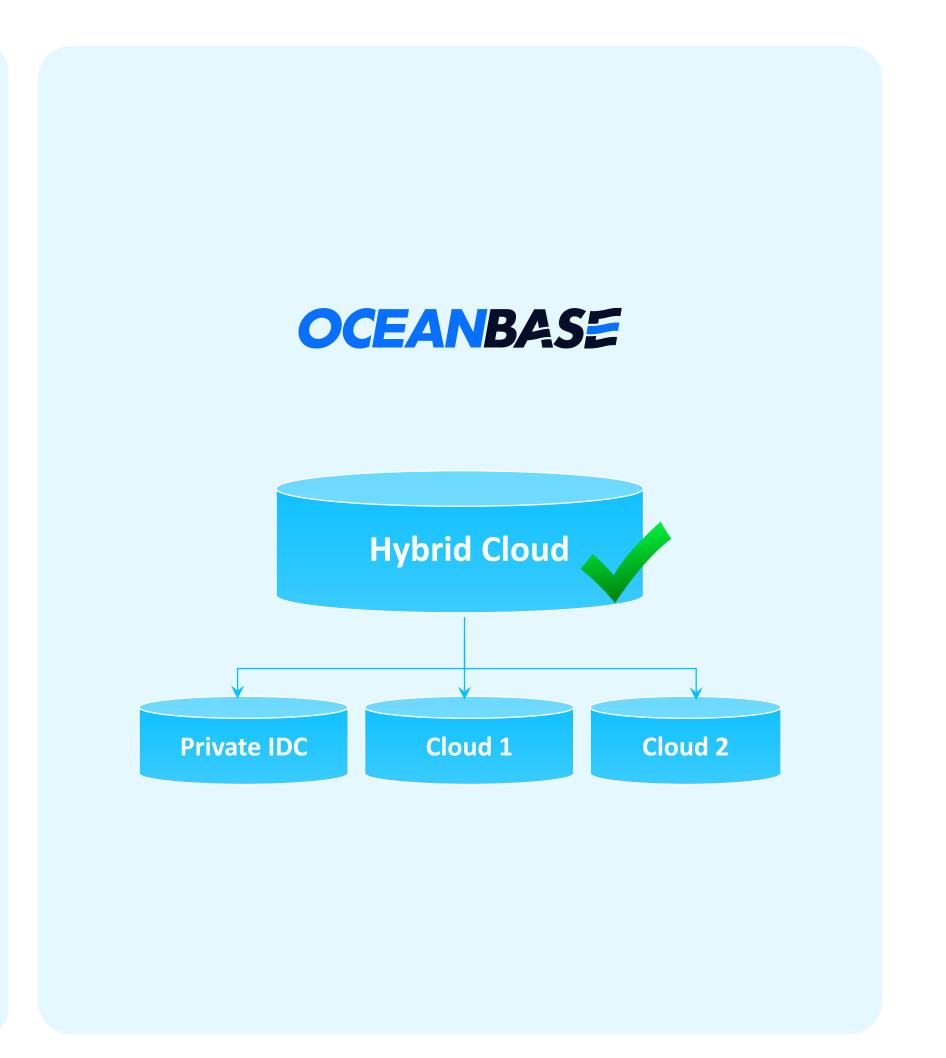
O2 Key Features



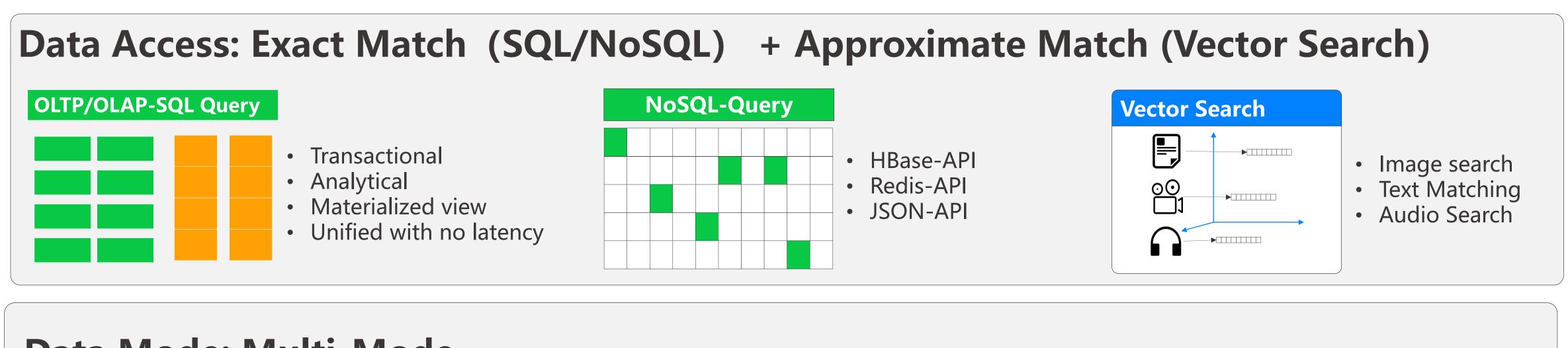
OceanBase @ Multi-Infra: On-Premises / Multi Cloud / Hybrid Cloud

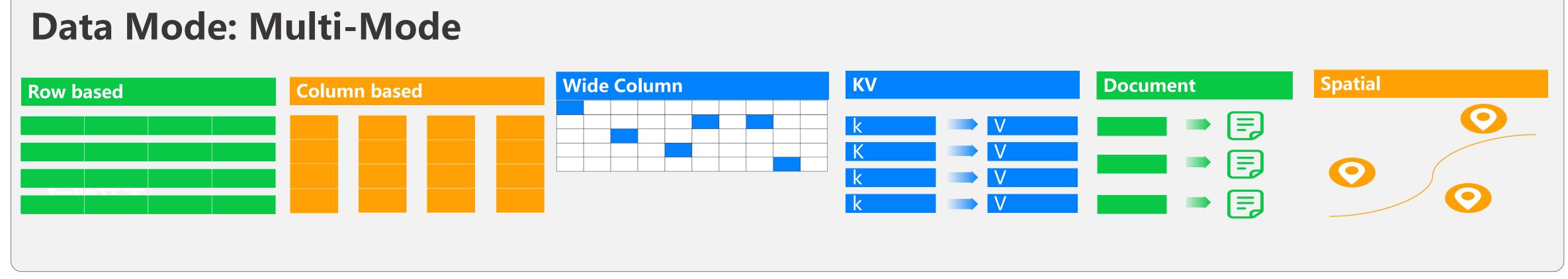






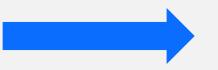
Data&Al Era: Converged Computing Capabilities for Gen-Al





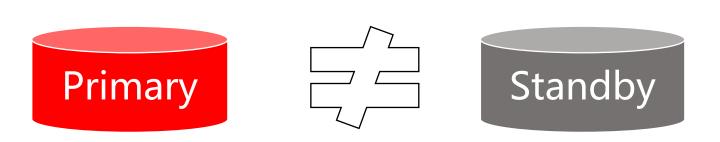


Block Storage(EBS/Local SSD)



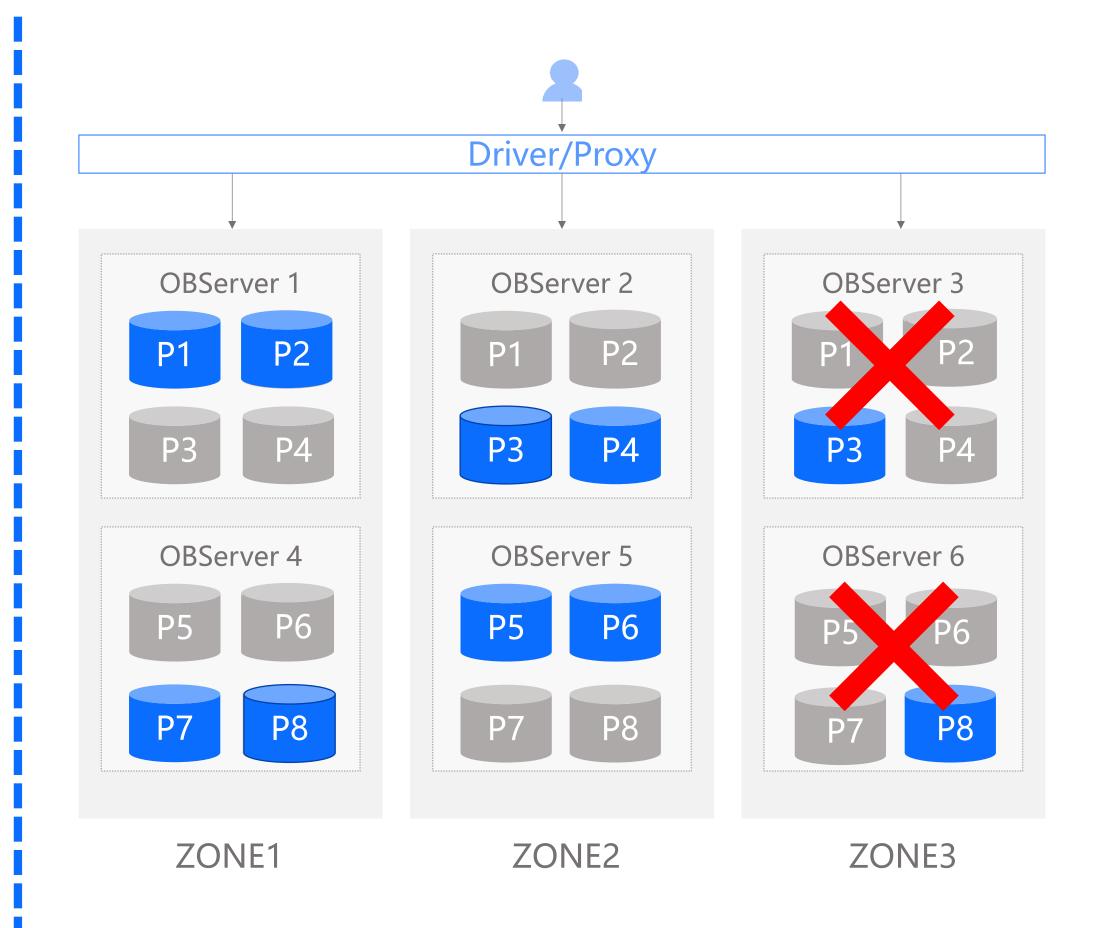
Object Storage(OSS/S3)

OceanBase Key Feature: High Availability



Monolithic database

- Data Loss: Cannot guarantee consistency in case of failure
- FO cost: High maintenance cost for cold stand-by

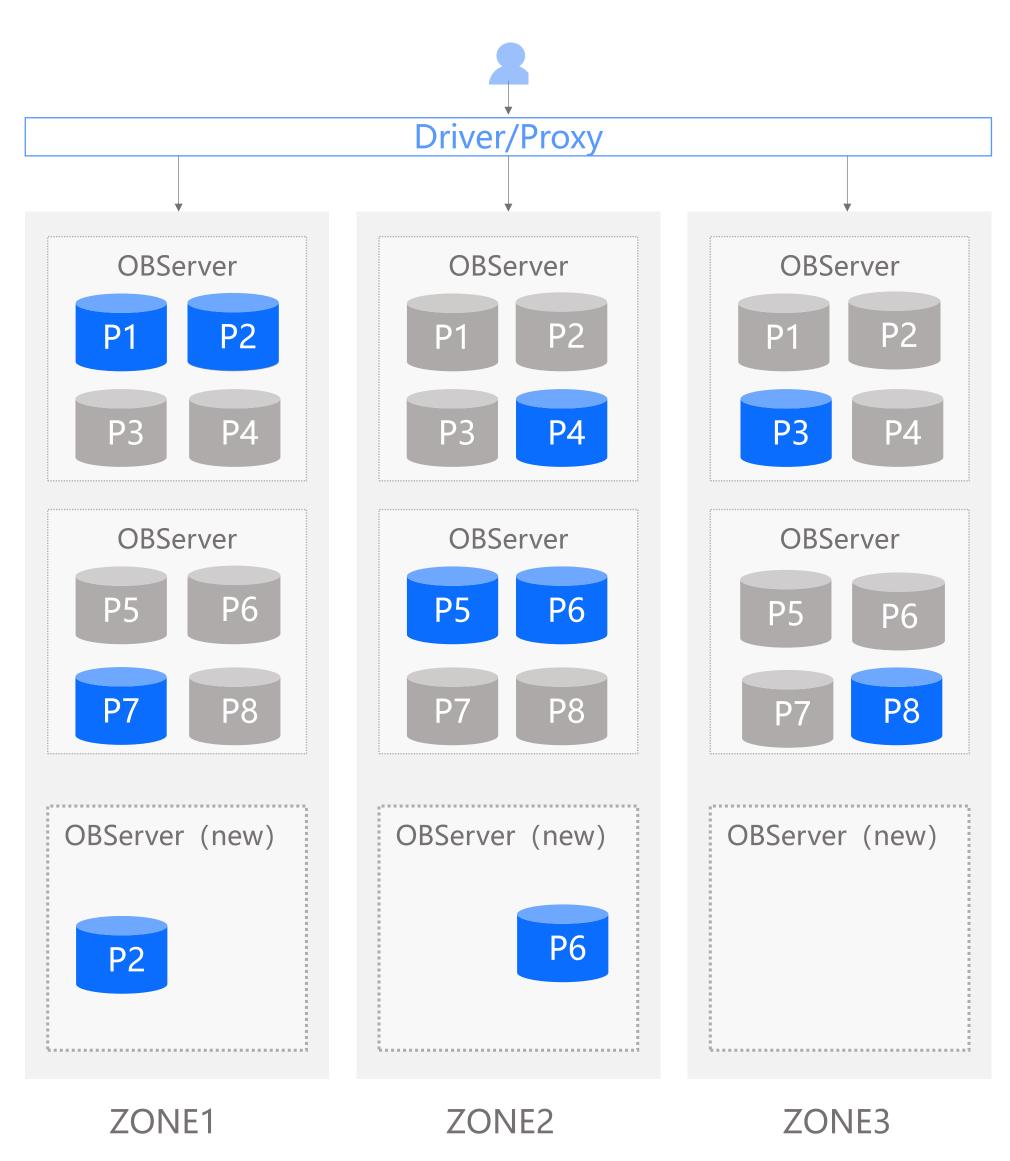


- Zero data loss
 Consensus protocol based on Multi-Paxos
- Unattended HA
 Highly available when minority of nodes fails
 RPO = 0, RTO <8s</p>
- Rolling Upgrade
 Symmetric nodes
 all replicas are active
 Easy for maintenance





OceanBase Key Feature: Transparent Scalability



- Data automatically rebalanced to different IDC and Cloud VPC
- Application-unaware, no need for data sharding refactoring
- Supports online scale down

During 11.11 every year, more than PB size data in Alipay can be elastic scale to Cloud IDC in few hours. And this process can be completed by 2-3 DBAs



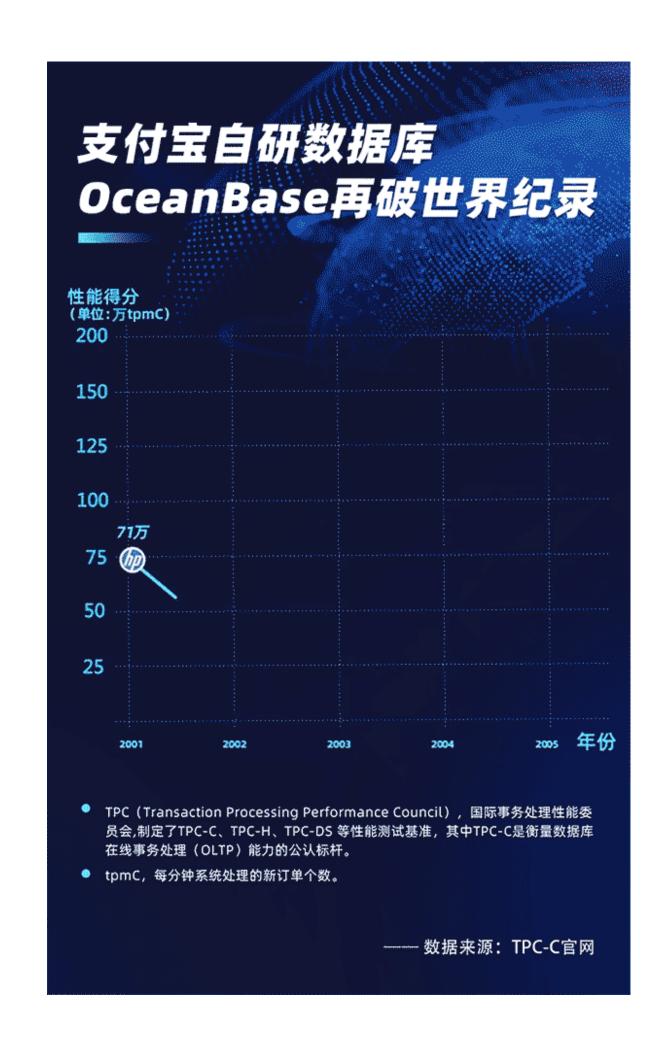


OceanBase Key Feature: High Performance

TPC Transaction Processing Performance Council

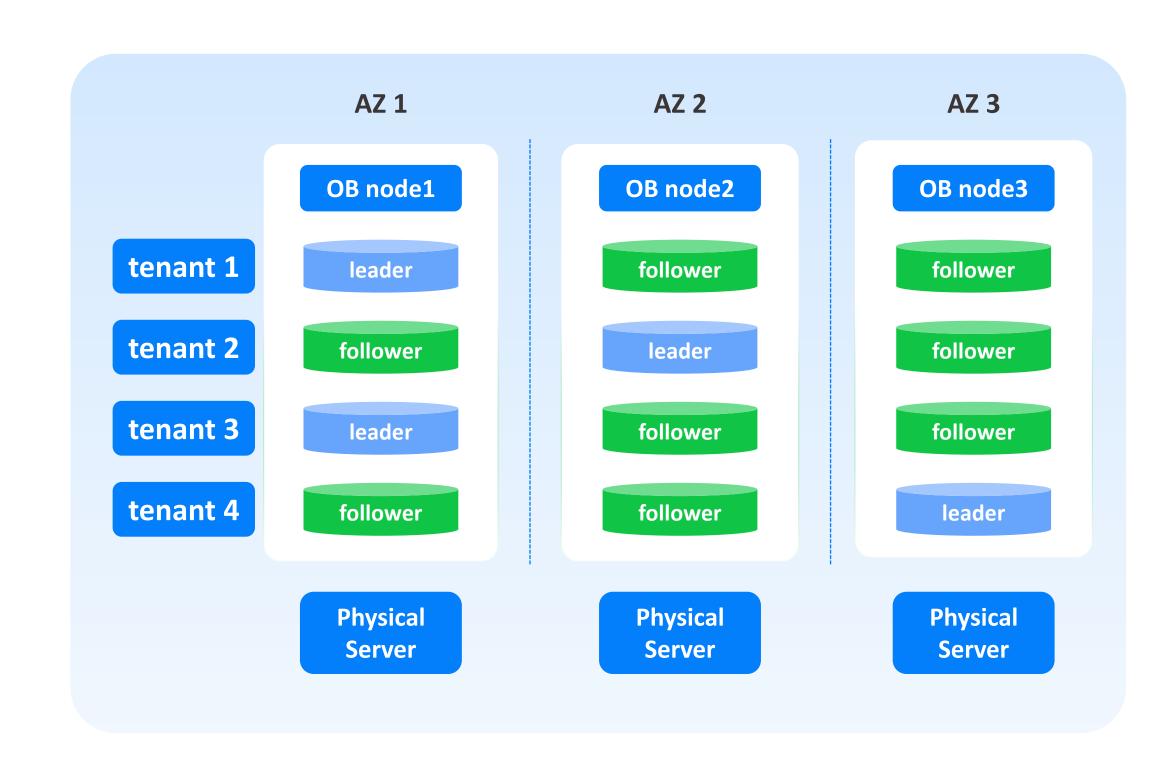
- TPC-C official world record 2020 (20 times tpmc than Oracle)
- TPC-H official world record in 2021 (30TB data size Analyze)

<u>Hardwarg</u> <u>Vendor</u>	<u>e</u>		<u>Syster</u>	m_	v <u>tpn</u>	1 <u>C</u> !	Price/tpmC	Watts/Ktpm	C Svatem Availabilit					
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ORACLE	≣.		ARC SuperCli 4 Servers	uster with	30,249,	688	1.01 USD	NR	06/01/11					
IBM			M Power 780 S del 9179-MHE		10,366,	254	1.38 USD	NR	10/13/10					
ORACLE	Ξ'	SPA	ARC T5-8 Sei	rver	8,552,	523	.55 USD	NR	09/25/13					
ORACLE		T54	SPARC Enter 140 Server Class B Results	erprise uster	7,646,	486	2.36 USD	NR	03/19/10					
IBM	Ra	ank	Company	Syste	em	QphH	Price/kQphH	Watts/KQphH	System Availability		Database	Operating System	Date Submitted	Cluster
=======		1	OCEANBASE	Cloud OceanBa	ase	15,265,305	4,542.13 CNY	NR	07/81/21	Ocea	anBase V3.2	Alibaba Cloud Linux Server 2.19	05/19/21	Y
Bull		2	Hewlett Packard Enterprise	HPE Superdon Server	ie Flex 260	1,446,701	744.13 USD	NR	03/25/21		osoft SQL Server 2019 rprise Edition 64 bit	Red Hat Enterprise Linux 8.2	03/25/21	N
ORACLE		3	cisco.	Cisco UCS C48 Server	30 M5	1,278,277	936.73 USD	NR	11/04/19	Enter	osoft SQL Server 2019 rprise Edition 64 bit	Red Hat Enterprise Linux 8	11/01/19	N

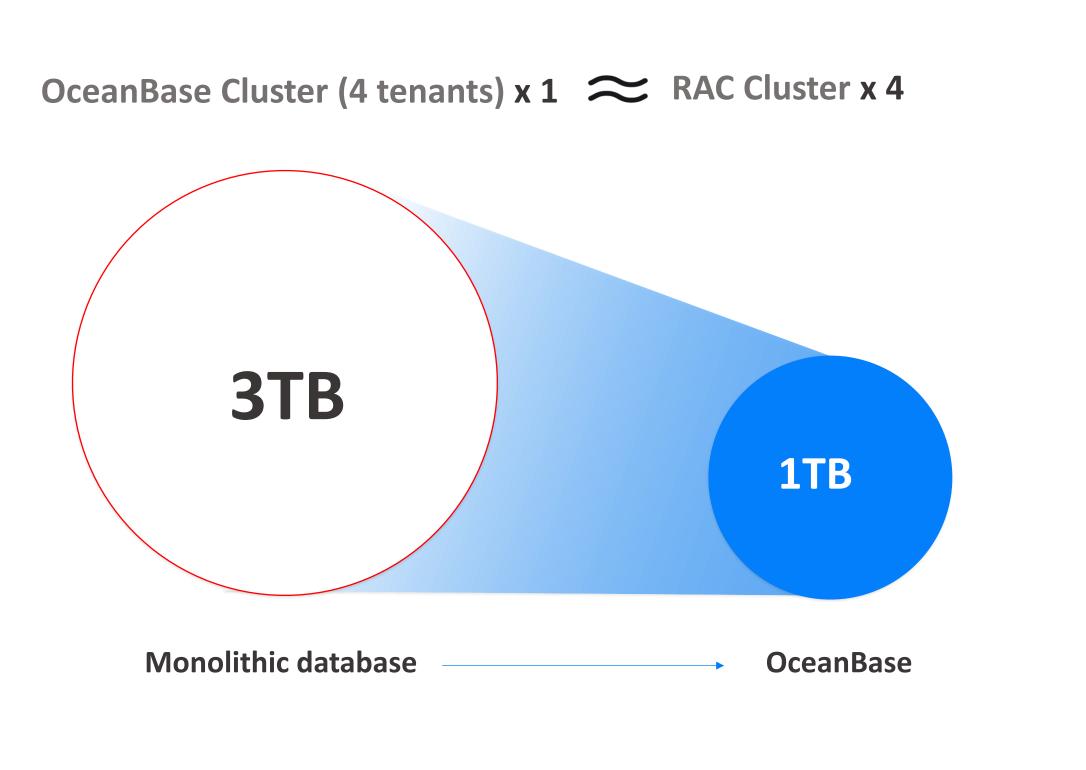




OceanBase Key Feature: Cost Effectiveness



- Multi-tenancy (CPU/Mem resource isolated)
- Max resource utilization
- Instance on-demand configuration (effective immediately)
- Read-write separation on follower node



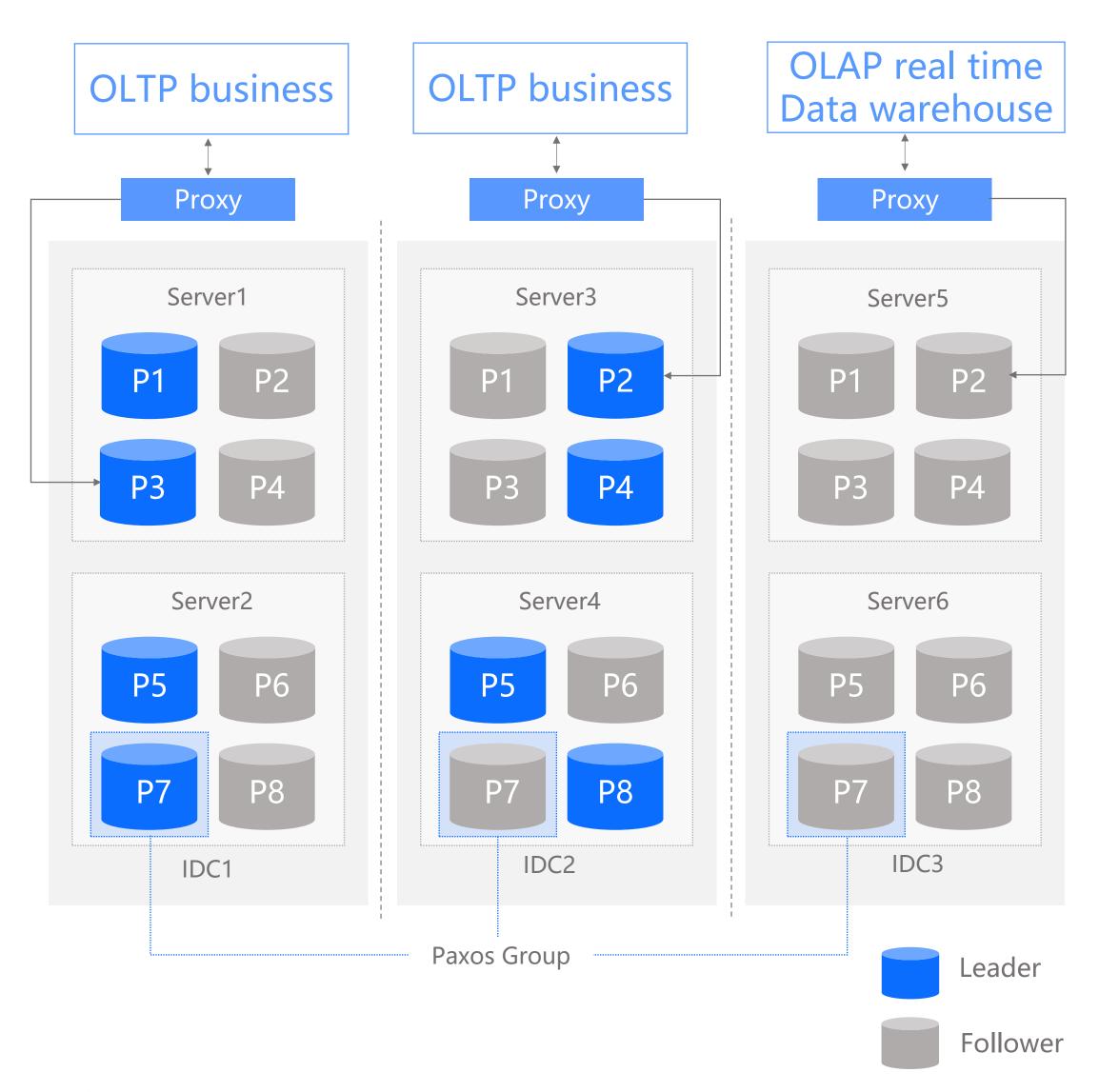
- Storage saves 70%+ on OceanBase
- High compression ratio/Storage encoding engine
- No performance trade off

OceanBase Key Features: HTAP(Transactional + Analytical Load)

One unified system for both TP & AP AP app TP app TP app AP app Standby cluster Leader node Follower node Primary cluster One cluster Two clusters Row store/PAX+Column store table sync High cost, low cost-effectiveness Low cost, high cost-effectiveness Significant latency and data inconsistency Millisecond-level latency and consistency between primary and standby clusters between leader and follower nodes



HTAP in one OceanBase cluster(MPP x PX engine)



HTAP Integration Provide services for real-time data warehouse scenarios

- Used as a classic database, no need to distinguish OLTP/AP, saving additional construction cost
- OLAP can be achieved through different types of OB data replica, which far exceeds heterogeneous data replication solutions in terms of realtime and efficiency



OceanBase Key Feature: Highly Compatible with Oracle/MySQL

OceanBase @ Oracle-mode

•95% compatible with Oracle

- Data types: char, varchar2, number, date, timestamp, blob, clob, binary_float, binary_double, raw and other 21 basic data types
- •Common SQL statement: select, update, insert, delete, merge, etc.
- Data objects: triggers, views, DBLink (OB to OB), foreign keys, constraints, sequences, custom functions
- •Stored procedures: support common functions, system packages
- Built-in functions (105/131), dictionary views (40/414)
- Analysis functions: CTE, window functions, hierarchical queries, pivot, grouping sets/rollup
- •Oracle-compatible security features such as permission control, auditing, encryption, etc.
- •OceanBase's current Oracle-compatible model is mainly based on Oracle 11.2

OceanBae @ MySQL-mode

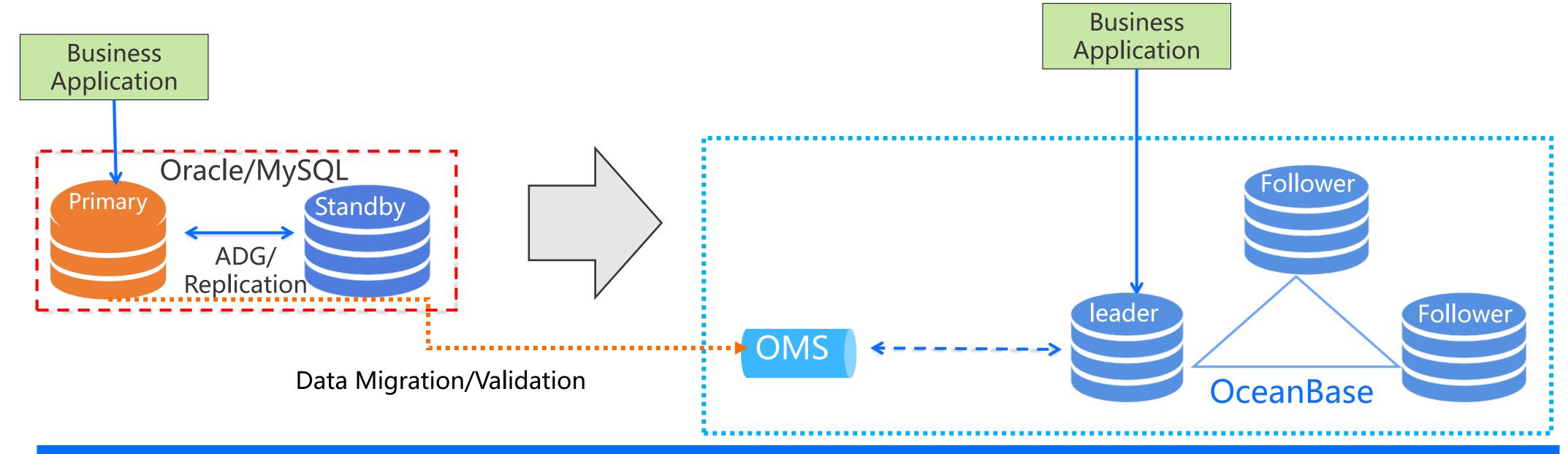
•99%+ compatible with MySQL

- Data types: char, varchar, number, timestamp, blob, text, and most other basic data types
- •Common SQL statement: select, update, insert, delete, multi-table DML, etc.
- Data objects: views, custom functions
- Most of the built-in functions, information schema
- Analysis functions: window functions, etc.
- •All privilege management capabilities, secondary partitioning, updatable views



How to conduct Seamless Migration?

Mock OceanBase cluster as a standby instance of Oracle/MySQL using OMS



Oracle/MySQL to OceanBase Migration Steps

- Step 1: Use OMA tool to assess the migration effort;
- Step 2: Use OMS tool to perform data migration from Oracle/MySQL to OceanBase;
- Step 3: Data validation and incremental data replication both included in OMS project;
- Step 4: During cutover, switch the user traffic from Oracle/MySQL to OceanBase;
- Step 5: (If needed) one-click lossless rollback solution via reverse data sync replication.



03 Success Stories



Digital Transformation and Upgrades

4 Key Benefits



Cost-Effectiveness

Reduces storage costs by 70%-90%



Multi-level Scaling

Support vertical, horizontal, and tenant-level scalability



Hybrid Cloud Deployment

Support private cloud, hybrid cloud, and public cloud



Real-time Analysis

One engine supports both OLTP and OLAP

6 Application Scenarios

Reduce Database Costs

Customers aim to minimize database costs, optimize resource utilization, and enhance overall organizational efficiency.

Advantage: Advanced compression, public cloud, native multitenancy, auto-scaling, and more.

Managing Traffic Peaks

Cloud services offer rapid scaling capabilities, enabling quick responses to sudden traffic peaks like promotions or product launches. This ensures seamless scaling and high scalability to meet business demands.

Advantage: Shared-nothing architecture, transparent horizontal scaling, multi-server auto-scaling, temporary scaling, and serverless product capabilities.

Unified Infrastructure Management

Supports large-scale multi-infrastructure deployments, simplifying underlying technology complexity and avoiding vendor lock-in.

Advantage: Compatible with AWS, Tencent Cloud, and other major cloud providers, 24/7 professional maintenance, flexible MaaS and DBaaS management modes.

Enhancing Business Availability

Critical businesses require high database availability to ensure business continuity and reduce pressure on high availability guarantees.

Advantage: Paxos algorithm, tolerates data center-level failures, RPO=0, RTO<8s, supports online DDL, multi-data center/single data center/dual data center multi-copy disaster recovery solution.

Overcoming Sharding Performance Bottlened

Distributed database capabilities effectively address performance issues during rapid business expansion and significant data growth, eliminating the need for sharding and its associated challenges.

Advantage: Supports distributed transactions, eliminates single points of failure enables smooth scaling and automatic load balancing, with applications unaware of the underlying architecture.

Resolving MySQL Analysis Limitations

MySQL's analysis capabilities are limited. HTAP capabilities eliminate the need for additional synchronization links, reducing costs and enhancing real-time analysis efficiency.

Advantage: HTAP enables data for transaction processing and realtime analysis, supporting vectorized engines to address slow SQL issues.



Cases of Digital Transformation and Upgrade



Leading Intelligent Manufacturing System

Integrating Li-MOS and warehouse management systems with OceanBase, including car and autonomous driving systems, on the OB Cloud enhances stability in intelligent manufacturing and safety in autonomous driving.

Car Cloud Business Achieves
Cross-Cloud Active-Active

Improve Li-MOS Production
Line Continuity

Reduce Database Failures by 80%



Next-Gen Distributed Membership Systems Database

Key membership and inventory management systems migrate to the OB Cloud significantly reduce costs, increase efficiency, and more effectively manage traffic peaks.

Stable Support for Surge in Holiday Demand

HTAP Boosts Real-Time
Analysis Computing Power
by 45%

Overall **Database Cost**Savings of 50%



Next-Gen Distributed Vending Systems Database

Core vending system migrate to the OB Cloud for a smoother user experience

Tenant-Level Scalability
Reduces Scalability Time by
90%

Cluster-Level Scalability Easily
Handles Surge During
Promotions

System Continuity Reaches 99.999% During Promotions and New Product Launches

飞排程抗行

High Availability Upgrade To Accommodate Massive Data

Upgrading from **MySQL to OceanBase** for **horizontal scalability**, strong consistency, high availability, and reduced operational costs.

Average **Read Performance** Improved by 2x

Average **Write Performance** Improved by 3x

Saved **Storage Resources** by



Digital Service Platform for Residential Industries

Upgrading from HBase to OceanBase for higher performance and stability at lower costs in real-time fact and dimension table scenarios.

Performance Improved by 3-4x

50% Hardware Cost Savings

Significant Reduction in Operations and Maintenance Costs



Global Data Achieves Cross-Cloud Integration

Product, order, user, and warehouse management systems migrate to the OB Cloud for real-time synchronization, integration, and analysis of data across multiple infrastructures and regions.

Zero Data Loss in Extreme
Data Centers

Real-Time Analysis
Performance Increased by
Over 200%

Greatly Improved
Operations Efficiency



Improving Disaster Recovery for ICBC: City-level Resilience with 3 IDCs across 2 Cities



Business Challenges

- •High Disaster Recovery Standards: Supporting trillions in corporate assets, the wealth management business required uninterrupted 24/7 service and Level 5 disaster recovery capabilities.
- •Costly Infrastructure: Reliant on traditional large servers and closed DB2 database architecture, the original systems incurred high costs for disaster recovery setup.
- •Resource Inefficiency: Increasing business concurrency highlighted the issue of insufficient database processing capacity. The standby cold backup room remained unused, leading to low resource utilization.

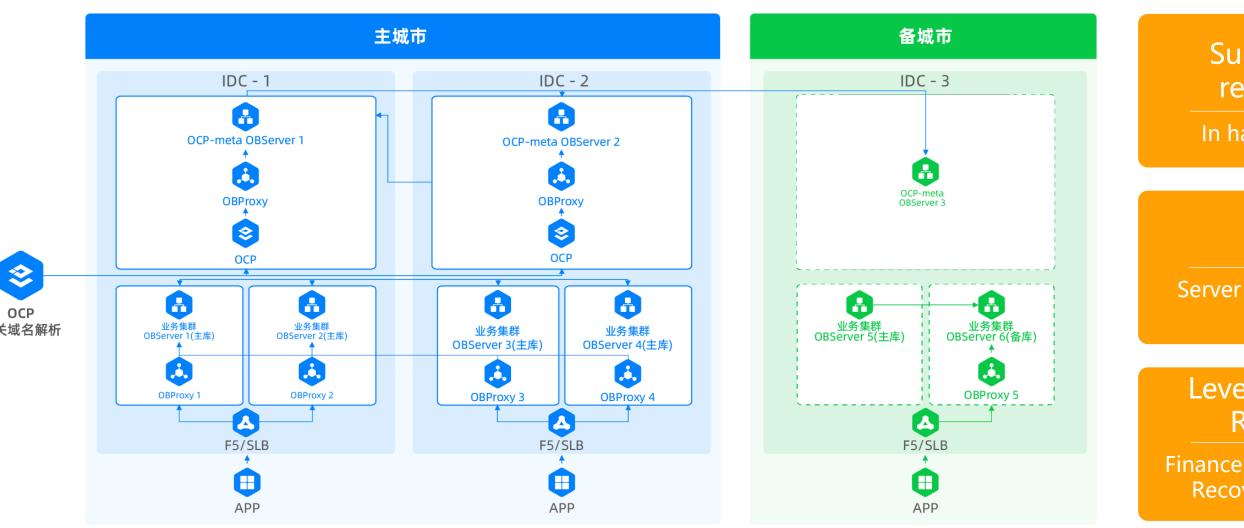
•Enhanced Disaster Recovery: Achieve database dual-active set up in the same city, with remote RPO=0. Attain data center-level disaster recovery with RPO=0 and RTO<30s, meeting Level 5 disaster recovery standards for ICBC and ensuring 24/7 service requirements.

Customer Benefits

- •Cost-Effectiveness: Improve high availability, provide strong continuity for business, and support trillions in fund transactions. The system transitioned from large mainframes to ARM servers, using locally manufactured servers, operating systems, and self-developed distributed databases. The transformation to distributed systems maintains system performance and stability while reducing overall investment costs.
- •Increased Server Resource Utilization: Achieve a 75% database server resource utilization rate, enabling easy horizontal scaling to increase cluster computing resources and improve processing capacity when system processing encounters bottlenecks.

Solution

- •3 IDCs Across 2 Cities: OceanBase supports multiple data copies, synchronizing nodes through the Paxos protocol for high availability clusters and multi-site disaster recovery. ICBC's setup includes a distributed cluster of 3 IDCs across 2 cities deployed in a "five-replica + a primary/backup" model.
- •Large Cluster Mode for Automatic Recovery: The cluster centrally manages and schedules all server resources, dynamically calculating and scheduling business loads on the most idle and reasonable servers in real time. The fault management service automatically diagnoses faulty servers, schedules transactions to healthy servers for execution, ensures strong consistency of global transactions, and requires no manual intervention.



Substantial reduction

In hardware costs

75% Server Utilization Rate

Level 5 Disaster Recovery

Finance Industry Disaster Recovery Standards

GCash: Overhauling Distributed Architecture for National Wallet App



Business Challenges

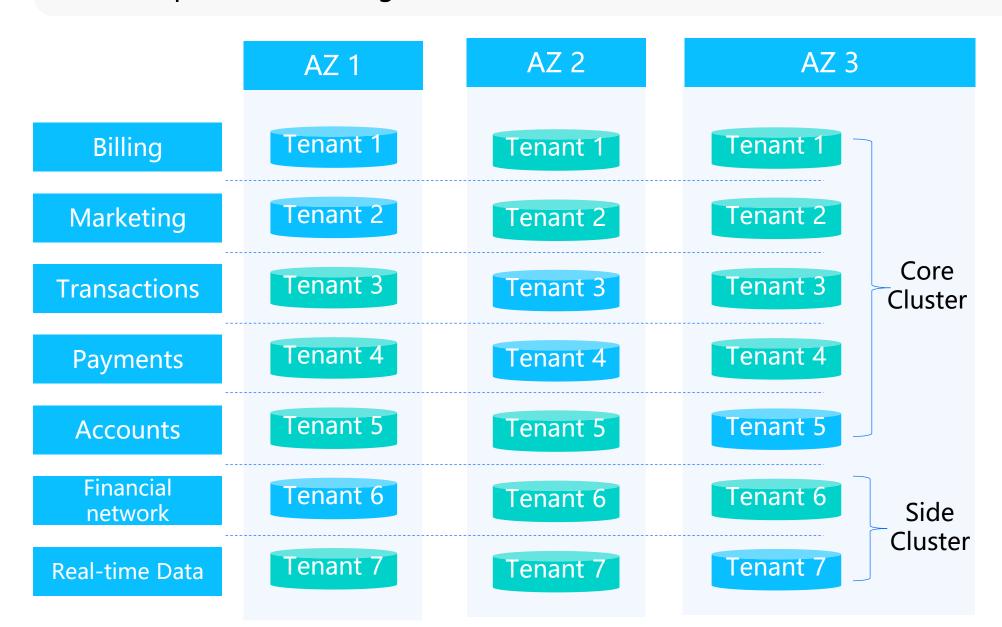
- •**High operational costs:** With GCash's daily transaction volume in the millions, the original database's 10% monthly data volume growth required CPU upgrades for storage expansion, leading to resource waste and high storage costs.
- •Business continuity risks: Issues in the original database's architecture design become apparent as data accumulated, especially in critical business links, increasing GCash's business continuity risks.
- •Poor user experience: GCash's transaction system must handle high-concurrency scenarios for smooth user transactions. Delays as small as 1 millisecond in SQL requests could cause poor user experiences, highlighting the system's sensitivity to high-concurrency requests and the potential for instability.

Solution

- •Data migration and compression: OceanBase developed a tailored data migration solution, leveraging Ant Group's SRE team's best practices. This solution uses OMS to automate reducing source permissions, aligning incremental data, and reestablishing connections on the target end. OceanBase's LSM-Tree-based compression technology helps GCash compress massive data without loss.
- •Architecture reorganization: OceanBase cloud supports multitenancy, allowing GCash to create about 10 clusters to accommodate hundreds of legacy MySQL instances as tenants. Core and general business systems are hosted in separate clusters, ensuring that issues in one cluster only affect 10% of the database system.
- •A 3-zone high availability architecture: OceanBase's architecture ensures business continuity if a failure occurs in any single zone, preventing data transaction loss. After the upgrade, the number of connections to a single-node of Oceanbase Cloud is approximately 5-8x that of MySQL, supporting larger traffic fluctuations.

Customer Benefits

- •Storage space and cost savings: GCash smoothly migrated hundreds of databases to OceanBase without downtime, reducing the single database storage space to 1/10 of the original, achieving 70% savings in data storage space and 40% savings in database costs.
- •Improved O&M efficiency: OceanBase aggregated the previous hundreds of instances into just over 10 clusters, reducing data nodes by 80% and significantly simplifying O&M. OCP supports online DDL operations and intelligent diagnostics based on the Oceanbase kernel, greatly improving DBA O&M efficiency.
- •Multi-zone financial-grade disaster recovery: GCash's three-zone high availability architecture ensures business continuity without interruption if any single data center fails. RPO=0 and RTO <30 seconds, giving GCash multi-availability zone financial-grade disaster recovery capabilities. The system uses data encryption to protect data security, with a less than 5% performance degradation.









POP MART: Next-Gen Distributed Checkout System Database



Business Challenges

- **Difficulty handling traffic peaks:** POP MART's product releases caused sudden surges in business traffic due to popularity. Estimating capacity was challenging, leading to over-provisioning and operational pressure, requiring upgrades and adjustments during the early hours of each day.
- **Complexity in cluster scaling**: POP MART's database needed flexible scaling to adjust for traffic changes. However, traditional databases like MySQL required changing server specifications, impacting the business.
- Managing multiple database instances: POP MART's various businesses required numerous database instances, leading to uneven resource utilization and cost challenges.

Customer Benefits

- **Tenant-level scalability**: POP MART can adjust tenant specifications to handle most small business traffic peaks. Scaling for core business tenants takes effect in seconds, reducing scaling time by 90% without additional costs and ensuring application performance.
- Cluster-level scalability: POP MART achieves vertical and horizontal scaling by adjusting server specifications and quantities, ensuring system continuity during traffic spikes with 99.999% uptime during flash sales.
- Improved operational efficiency: Consolidating dozens of database instances into three OceanBase clusters has significantly improved POP MART's operations team efficiency. Operations personnel can adjust tenant CPU cores and memory sizes anytime, smoothly increasing the maximum TPS for the entire tenant.

Multi-Level Scalability Solution

OceanBase supports triple-level scalability: tenant-level, server specification-level, and server quantity-level. With flexible strategies at these levels, POP MART's operations team can effectively and cost-efficiently address traffic spikes of any size.







90% reduction

In time required for

scaling

Hundredfold Traffic

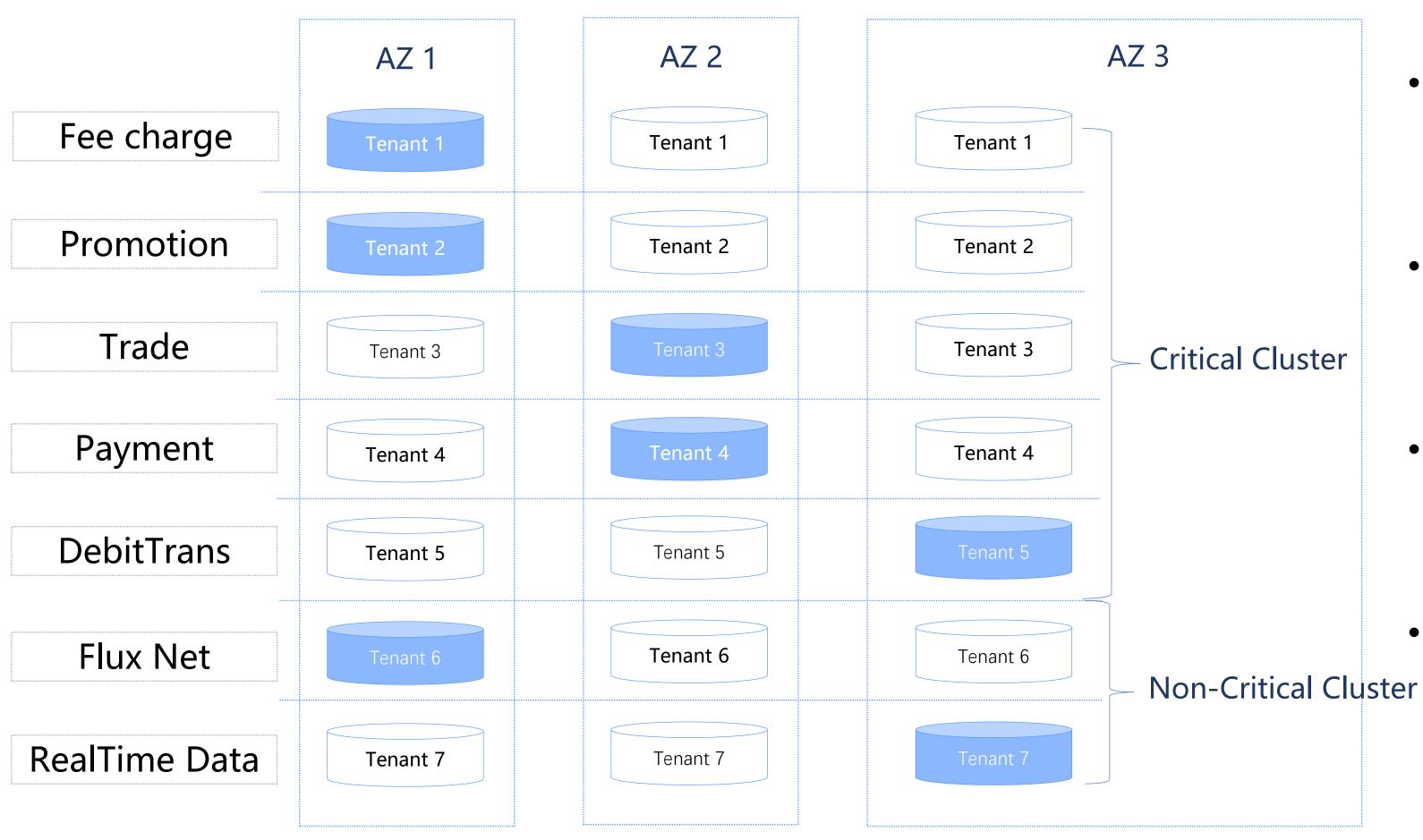
Cluster-Level scalability to cope with Flash Sales

99.999%

System Continuity During
New Product Launches



Customer Stories: GCash E-Wallet System



Customer Benefits

- After migrated to OB, with highly compressed storage, customer storage decreased by 70%+.
- OceanBase on cloud with 3 AZ high availability architecture, providing IDCgrade disaster tolerance
- 100 + applications and 200 + MySQL instances are migrated to 10 OB clusters.
- After migration to OB, the TCO of database is **reduced by more than**30% on average.



Customer Stories: DANA E-Wallet system



Hybrid cloud deployment enables DANA to make business breakthroughs

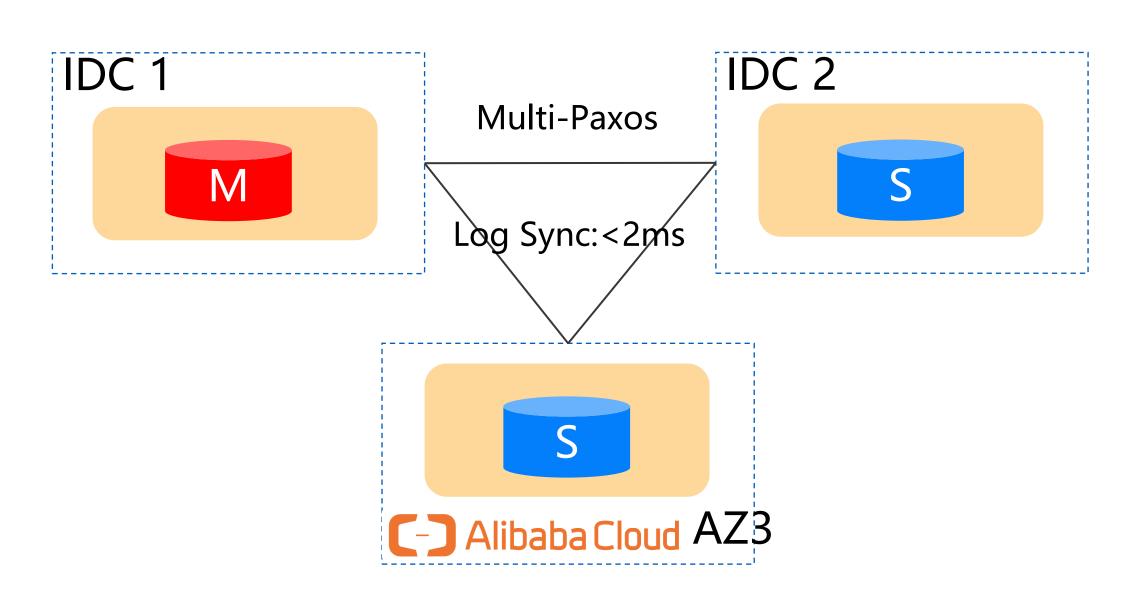
Introduction

DANA is one of the leading wallet providers in Indonesia. DANA provides digital payment and financial services to more than 50 million users of BBM, the second largest chat tool in Indonesia.

	Benefits	
0	99.99%	0
DB failure	Service availability	Data loss

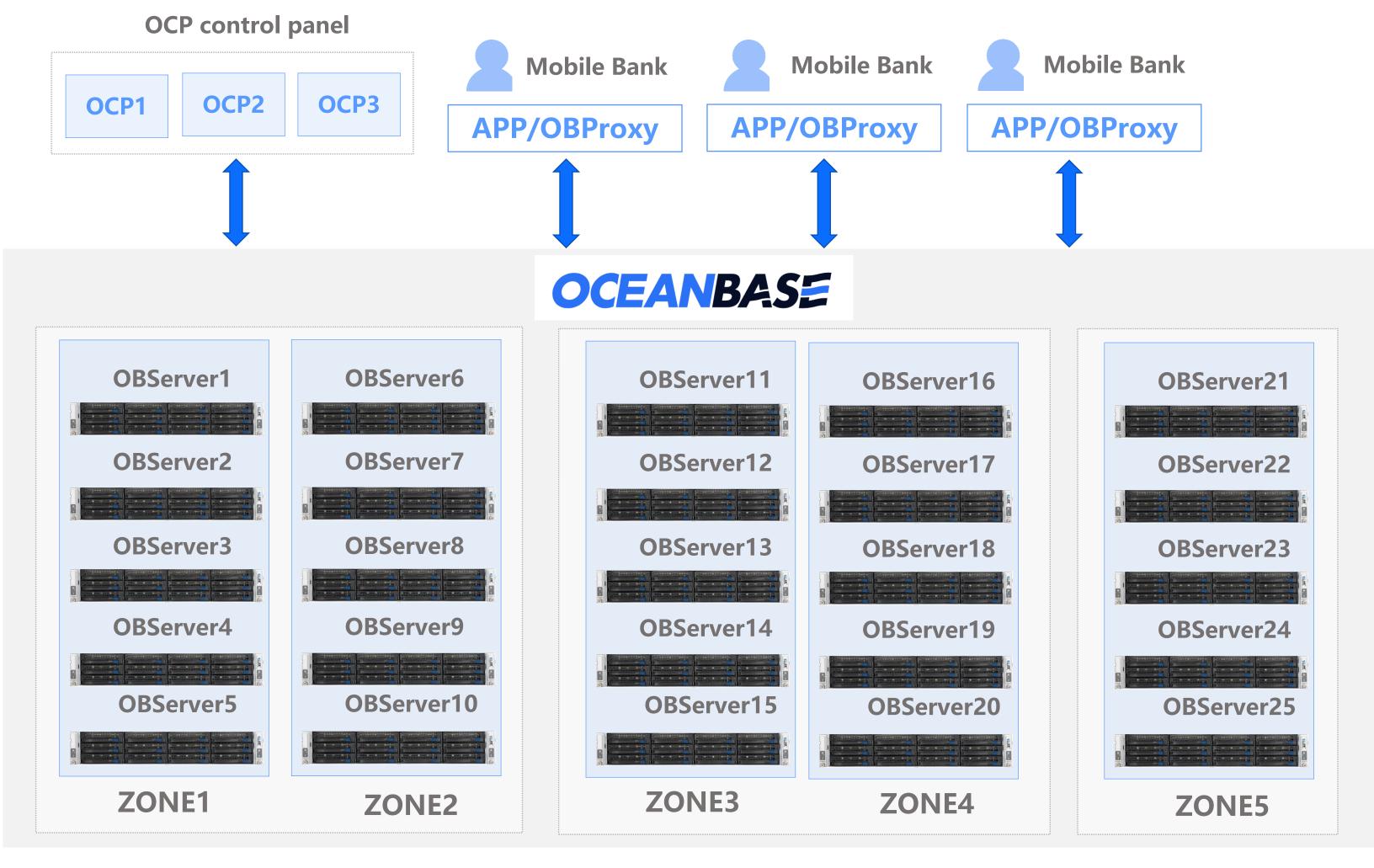
Challenges

- Increase database capacity along with rapid business growth
- MySQL architecture cannot guarantee data consistency upon failure





Customer Stories: ****(Asia) Mobile Banking



IDC 1 IDC 3

Customer Benefits

- 5-replica OB architecture. IDC1 and IDC2 can carry 95% of business traffic
- ZONE 5 in IDC3 for small business. Exactly matches the current ICBC (Asia) Oracle use case.
- When single zone or cross-zone failure occurs in each IDC business operations will not be affected.
- IDC3 provides NFS services for the storage of backup sets and data recovery in extreme cases.
- When IDC1 and IDC2 all failing, IDC3 can take over business traffic, the overall architecture is highly available.



Customer Stories: Ant Bank in Singapore



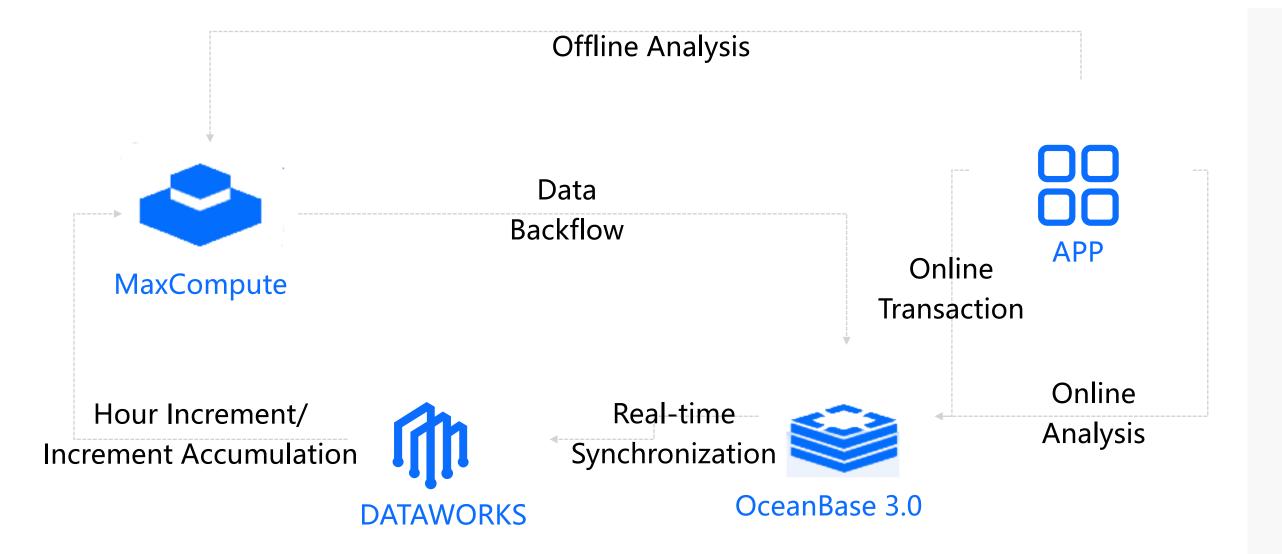
Efficient operation and maintenance management of large cluster can be achieved by the flexible deployment mode and multi-tenant architecture of OceanBase.

Business Challenges

- Private deployment leads to huge human input and time costs in station construction.
- The separation of TP+AP tech stack increases data security risks.
- A large amount of projects increase operation and maintenance costs.

Solutions

• The out-of-the-box OceanBase cloud services shorten the deployment cycle and reduce operational and maintenance costs. The OB 3.0 HTAP engine optimizes the overall database link architecture and unifies the tech stack.



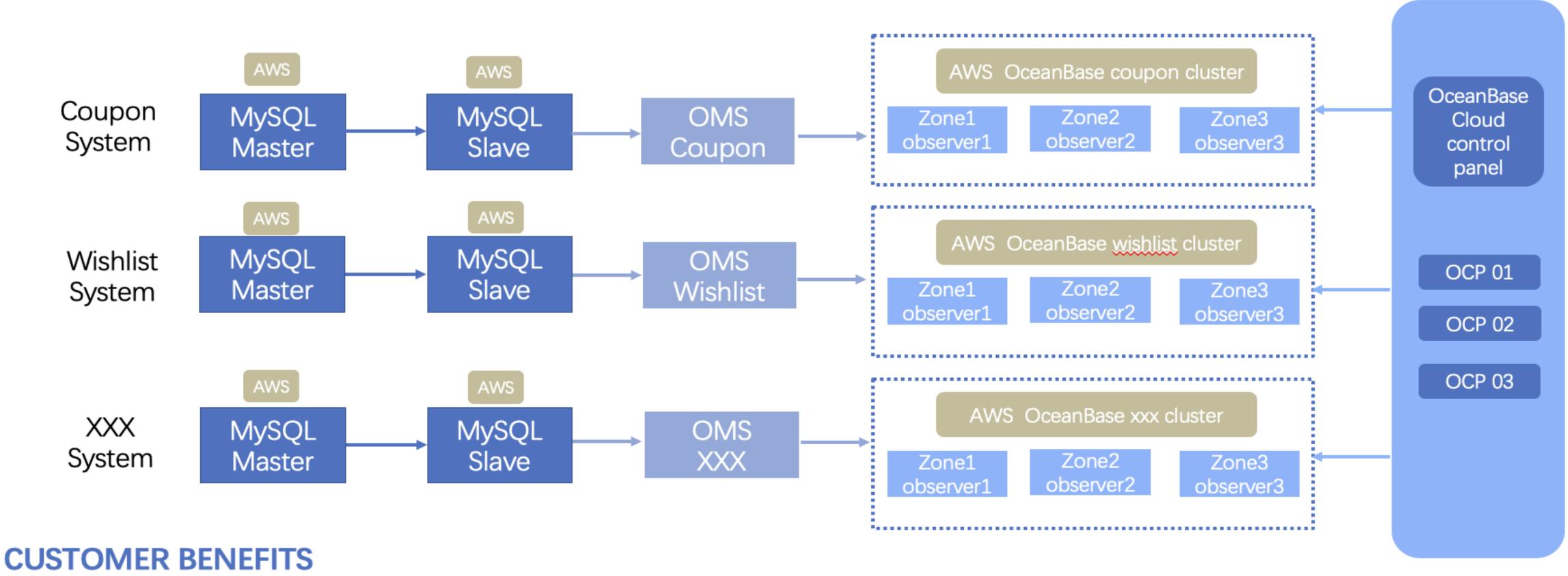
Public Cloud Increase Efficiency
Reduce Costs HTAP

Benefits to Customers

- OceanBase's SaaS-based replication capabilities speed up station construction.
- Loosely-coupled distributed architecture can be applied to different business needs, so customers don't have to change their habits.
- OceanBase's real-time analysis capabilities improve the efficiency and accuracy of online verification and control capital risks.



Customer Stories: ** Cross-border e-commerce system



Massive business data growth

Online business with high concurrency

Completely solved issues of business impact caused by AWS EBS IO periodic stalls

OCP providing complete intelligent maintenance product

Multi-cloud deployment: AWS + Alibaba Cloud Cloud IAAS resource max utilization



Takeaways: Why OceanBase?

■ **High Performance:** 61M qps in Double 11;TPCC/TPCH benchmark world record in 2020.

Easy to Scale; MPP architecture with parallel engine.

■ Unattended HA: Automatic disaster recovery in 8s, Multi-Active in 3+ data centers.

Zero data loss: No data loss when in disaster recovery

■ Cost Effective: 30%+ cost optimization comparing with Oracle/MySQL for the same scale.

High Compatibility: Less than 5%* application change required on Oracle/MySQL

Smooth Migration: Easily migrate various kinds of database to OceanBase using OMS Tool.

Battle-tested:

- * Support Alibaba Double 11 shopping event over the past 10+ years,
- * OceanBase has been widely used in core systems of various industries.
- * Well proved in Bank& Insurance, Transportation and Government customers.



^{*5%} is based on the statistics of previous use cases. Migration may involve application modification depends on customer actual use case

