

KAYAN SECURITIES, INC.
NOTICE OF PRIVACY POLICY

As your broker/dealer, we take your privacy seriously. It is our policy to respect the privacy of all accounts that we maintain as your broker/dealer and to protect the security and confidentiality of nonpublic personal information relating to those accounts. Please note that this policy applies to former customers as well as current customers.

Personal Information Collected

We collect and use nonpublic personal information from our new account forms to help us determine suitability for certain investments, in obtaining your investment objectives, and to satisfy the requirements of various regulatory agencies.

The information we collect will be provided to Wedbush Securities, Inc. who carries your account as a clearing broker. The information collected may come from the following sources:

- Information received from you, such as your name, address, telephone number, social security numbers, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity; and
- Information which may be received from consumer reporting agencies, such as credit bureau reports and other information relating to your creditworthiness.

Sharing of Nonpublic Personal Information

Kayan does not disclose nonpublic personal information relating to current or former customers to non-exempt third parties, except as required or permitted by law and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Security

Kayan maintains physical and electronic safeguards to protect your nonpublic information. Wedbush also follows appropriate standards of security and confidentiality to ensure that their systems are secure and meet industry standards.

How to Get in Touch with Kayan about this Privacy Policy

For more information relating to Kayan's privacy policy, please contact:

Kayan Securities, Inc.
Compliance Department
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(213) 739-0998