

DEPARTMENT OF THE TREASURY  
**ALCOHOL AND TOBACCO TAX AND TRADE BUREAU (TTB)**  
**Alcohol Dealer Registration – For Use On and After July 1, 2008**  
*(Please read instructions carefully before completing this form)*

**SECTION 1 – IDENTIFYING INFORMATION**

*Complete all fields in section 1 to correctly identify your business*

|   |   |       |          |
|---|---|-------|----------|
| NAME (Last, First, Middle) or CORPORATE NAME (If Corporation) | EMPLOYER IDENTIFICATION NUMBER (See Instructions) |       |          |
| MAILING ADDRESS (Street address or P.O. Box)                  | CITY  | STATE | ZIP CODE |

SELECT BOX a, b, or c:

a.  NEW BUSINESS

b.  OUT OF BUSINESS

c.  EXISTING BUSINESS WITH CHANGE IN: *(complete items below)*

|   |   |
|---|---|
| <input type="checkbox"/> NAME / TRADE NAME  | <input type="checkbox"/> OWNERSHIP INFO                 |
| <input type="checkbox"/> ADDRESS / LOCATION | <input type="checkbox"/> EMPLOYER IDENTIFICATION NUMBER |
| <input type="checkbox"/> BUSINESS CLASS     | (OLD: - )   |
| <input type="checkbox"/> PHONE              | (NEW: - )   |

DATE OF CHANGE, OR OF ENTRY INTO BUSINESS, OR OF TERMINATION OF BUSINESS (mm/dd/yyyy)

**SECTION 2 – BUSINESS CLASS(ES) AND PREMISES LOCATIONS**

*Enter information below for each business location, using the appropriate class code*

| DEALER CLASS   | SUBCLASS  | CLASS CODE |
|--|---|------------|
| RETAIL DEALER (Anyone who sells, or offers for sale, beverage alcohol products to any person other than a dealer. Examples are package stores, restaurants, bars, private clubs, fraternal organizations, grocery stores or supermarkets which sell such beverages.) | Liquors (Distilled Spirits, Wine or Beer)             | 11         |
|  | Beer Only   | 12         |
|  | Liquors (Distilled Spirits, Wine or Beer) – At Large* | 15         |
|  | Beer Only – At Large*                                 | 16         |
| WHOLESALE DEALER (Anyone who sells, or offers for sale, beverage alcohol products to another dealer. An IMPORTER must register as a wholesaler if he or she sells beverage alcohol products to other dealers.)   | Liquors (Distilled Spirits, Wine, or Beer)            | 31         |
|  | Beer Only   | 32         |

\* A retail dealer at large is one whose business requires him to move from place to place, such as a circus or carnival.

| CLASS CODE | TRADE NAME | PREMISES ADDRESS STREET NUMBER AND NAME | CITY, STATE, ZIP CODE | TELEPHONE NUMBER |
|------------|------------|---|-----------------------|------------------|
|            |            |   |                       | (   )            |
|            |            |   |                       | (   )            |
|            |            |   |                       | (   )            |
|            |            |   |                       | (   )            |
|            |            |   |                       | (   )            |

Under penalties of perjury, I declare that the statements in this registration are true and correct to the best of my knowledge and belief; that this registration applies only to the specified business and location or, where the registration is for more than one location, it applies only to the businesses at the locations specified on the attached list.

|           |       |      |
|-----------|-------|------|
| SIGNATURE | TITLE | DATE |
|-----------|-------|------|

**SECTION 3 – OWNERSHIP INFORMATION**

INDIVIDUAL OWNER    PARTNERSHIP    CORPORATION    LLC    OTHER (Specify)

|           |                   |          |
|-----------|-------------------|----------|
| FULL NAME | RESIDENCE ADDRESS | POSITION |
| FULL NAME | RESIDENCE ADDRESS | POSITION |
| FULL NAME | RESIDENCE ADDRESS | POSITION |
| FULL NAME | RESIDENCE ADDRESS | POSITION |
| FULL NAME | RESIDENCE ADDRESS | POSITION |

**INSTRUCTIONS****GENERAL INSTRUCTIONS**

This registration is for use on and after July 1, 2008. If you are engaged in one or more of the alcohol activities listed on this form, you are required to file this form before beginning business. If there is a change in your business, you need to report it on or before the next July 1 (see CHANGES IN OPERATIONS, below). You may file one registration to cover several locations or several types of activity operating under the same Employer Identification Number (EIN).

NOTE: The special (occupational) tax on producers and marketers of alcohol beverages was repealed by Section 11125 of Public Law 109-59, effective July 1, 2008. However, tax liability and the registration requirement for periods before that date remain. If you need to file a delinquent or amended registration for a period through June 30, 2008, please use TTB Form 5630.5a, Alcohol Special (Occupational) Tax Registration and Return – For Periods Ending On or Before June 30, 2008.

**SIGNING YOUR REGISTRATION**

This form must be signed by the individual owner, a partner, or, in the case of a corporation or LLC, an individual authorized to sign on behalf of the corporation or LLC.

**SECTION 1 – IDENTIFYING INFORMATION**

Complete Section 1, Identifying Information, as specified on the form. Your registration must contain a valid Employer Identification Number (EIN). The EIN is a unique number for business entities issued by the Internal Revenue Service (IRS). You must have an EIN whether you are an individual owner, partnership, corporation, LLC, or a government agency. If you do not have an EIN, contact the Internal Revenue Service immediately to obtain one. While TTB may assign a temporary identification number (beginning with XX) to allow initial processing of a return which lacks an EIN, do not delay submission of your registration pending receipt of your EIN. If you have not received a number by the time you file this return, write "number applied for" in the space for the number. Submit your EIN by separate correspondence after receipt from the IRS.

**SECTION 2 – PREMISES LOCATIONS**

Enter the requested information in Section 2 for each premises location even if this repeats the business information listed in Section 1. If you are reporting a change, enter the date of the change in the appropriate space in Section 1. If additional sheets are needed, make a copy of page 1 of this form or enter the requested information on a separate sheet of paper with your EIN and Company's name.

**SECTION 3 – OWNERSHIP INFORMATION**

Please complete the ownership information in Section 3. Supply the information specified for each individual owner, partner or responsible person. For a corporation, partnership or association, a responsible person is anyone with the power to control the management policies or buying or selling practices pertaining to alcohol. For a corporation, association, or similar organization, it also means any person owning 10 percent or more of the outstanding stock in the business.

**CHANGES IN OPERATIONS**

If there is a change of your company's name, trade name, address, premises location, telephone number, ownership information, type of business, or EIN, complete TTB F 5630.5d and submit it no later than the next July 1 after the change. Check the box, Existing Business with Change(s), complete all fields in Section 1, and complete Sections 2 and 3 as necessary to show any changes there. Upon going out of business, submit TTB F 5630.5d within 30 days, checking box b in Section 1. If you are still in business but there are no changes since your last registration, this form does not need to be submitted.

**MAILING INSTRUCTIONS**

Please sign and date this registration and mail it to:

Alcohol and Tobacco Tax and Trade Bureau  
550 Main Street, Suite 8002  
Cincinnati, OH 45202-5215.

**CONTACT INFORMATION**

For further assistance, contact TTB National Revenue Center at 1-800-937-8864 or 1-877-882-3277; or email to [ttbtaxstamp@ttb.gov](mailto:ttbtaxstamp@ttb.gov). Additional information is also available at our Web site, [www.ttb.gov](http://www.ttb.gov).

**PAPERWORK REDUCTION ACT NOTICE**

This request is in accordance with the Paperwork Reduction Act of 1995. This information is used to ensure compliance with Section 11125 of Public Law 109-59, and the Internal Revenue Laws of the United States.

The estimated average burden associated with this collection of information is .8 hour per respondent or record keeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to the Reports Management Officer, Regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, 1310 G Street, NW., Box 12, Washington, DC 20005.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a current, valid OMB control number.