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## Post-Operative Rehabilitation Protocol "Small-Medium (<180° circumference) Capsular Stabilization Procedures " [Labral Repair 1-4 Anchors (Ant/Post Bankart), Capsular Shift, Capsular Plication] Revised 2012

There are many descriptions of the glenohumeral joint. Often it is described as a golf ball and tee. The glenoid surface is surprisingly shallow in which case the circumferential labral structure doubles the actual depth of the joint itself. Alone, the depth of the glenoid is approximately 2.5 mm deep with the labrum adding an additional 2.5mm to the overall structure. A proper functioning rotator cuff and a complex structure of ligaments add to the stability of the glenohumeral joint and in many views the labrum serves a "bumper."

A **Bankart lesion** is an injury of the anterior (inferior) glenoid labrum of the shoulder due to repeated (anterior) shoulder dislocation. When this happens, a pocket at the front of the glenoid forms that allows the humeral head to dislocate into it. It is an indication for surgery and often accompanied by a Hill-Sachs lesion, damage to the posterior humeral head. A **Posterior Bankart Lesion** is an injury to the posterior rim of the glenoid labrum. This injury can be from posterior dislocations or repetitive posterior directed trauma. Often during arthroscopic exploration, the labral injury is identified, subsequent capsular laxity can be identified which can be addressed with either a posterior capsular shift or plication. A good review of many principles involved in the preoperative planning, surgical intervention techniques, and post operative rehabilitation course are summarized thoroughly in the following citation:

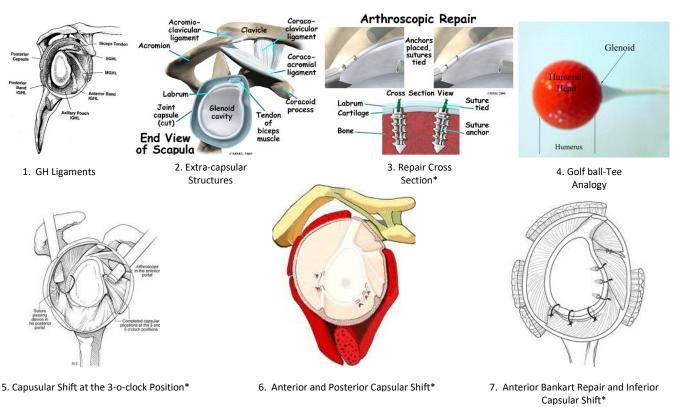
Gaunt BW, Shaffer MA, Sauers EL, et al, The Americn Society of Shoulder and Elbow Therapists' Consensus Rehabilitation Guideline for Arthroscpic Anterior Capsulolabral Repair of the Shoulder. JOSPT. 2010;3:40.

Surgical Techniques, rational, and longitudinal outcome following capsulolabral repairs are well described with the following references:

1. Stein T, Linke RD, Buckup J, et al. Shoulder Sport-Specific Impairments After Arthroscopic Bankart Repair: A Prospective Longitudinal Assessment. Am. Jou. Sports Med. 2011;11:2404-2414.

2. Tjoumakaris FP, Bradley JP. The Rational for an Arthroscopic Approach to Shoulder Stabilization. Jou. Arthr. Rel. Sur. 2011;10:1422-1433.

3. Castanga A, Borroni M, Delle Rose G, et al. Effects of Posterior-Inferior Plications in Range of Motion in Arthroscopic Anterior Bankart Repair: A Prospective Randomized Clinical Study. Knee Surg. Sports Traum Arthr. 2009;17:188-194.



\*These are examples surgical procedures. These are to give the rehabilitation specialist an idea of what structures are addressed. Joshua E. Pniewski DPT – <u>Joshua.e.pniewski@gmail.com</u> – 803.296.198



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**General Considerations:** This rehabilitation program will vary in time and intensity based on multiple factors including the age of the patient, the extent of the repair, history of prior surgery, instability and laxity prior to surgery (as well as in the contra lateral upper extremity) and individual functional readiness in all stages. Early range of motion and progressive strengthening is valuable to prevent arthrofibrosis, promote circulation and facilitate return to prior activity level.

Physical therapy will begin immediately following surgery. The initial focus will be on regaining motion before emphasizing resistance exercises. Progression through the different phases of rehab will be individually based. A variety of factors will be considered including control of pain and inflammation, recovery of normal motion, strength, endurance and generally accepted tissue healing guidelines. In addition to activities in the physical therapy, the patient will also receive a home exercise program to complement his physical therapy plan of care.

**Shoulder Stabilization Repair Considerations:** Some repairs and surgeon philosophy may indicate 1-4 anchor repairs for the labrum and may include some type of capsular shift. It is not uncommon for shoulder stabilization procedures to accompany other repairs of procedures. It is wise to understand the methods used by the surgeons you come in contact with. The below rehabilitation protocol takes into consideration that most techniques involve some type of bioabsorbable anchor and suture technique. Further reading on the above can be found in the literature at the end of this protocol.

**DDEAMC DOR S.Wea.P. (Sling Weaning Philosophy):** There are few procedures that would dictate sling use 24/7 for 6 weeks. If this were the case, special considerations would be made. The time frames depicted below are approximate. Patient **compliance**, **tolerance**, **level of pain**, **function**, **and physiological factors** all play a role in the sling use with a patient. The sling should be worn in high traffic areas out of the house (e.g. the mall, grocery store). When inside the house the patient can begin trials of being out of the sling in intervals depicted in the below chart. If pain goes up with these trials, the patient goes back in the sling. If the patient appears non-complaint and is at risk of rupturing the repair, the patient goes back in the sling. However, if the patient's pain is lessening over time, tolerates trials out of the sling, and is compliant, it is reasonable to continue the progression.

Hire JM, Pniewski JE, Dickston ML, Jacobs JM, Mueller TL, Abell BE, Bojescul JA. A criterion based sling weaning progression (sweap) and outcomes following shoulder arthroscopic surgery in an active duty military population. Int J Sports Phys Ther. 2014 Apr;9(2):179-86.

	Week 1	Week 2	Week 3	Week 4	Week 5	Week 6
Sport Post-op Sling						
30 <sup>5</sup> .45 <sup>6</sup> ABD 0 <sup>5</sup> .5 <sup>7</sup> HABD 0 <sup>6</sup> ER/IR						
	May come out of the					
Small-Medium (<180°	sling for hygiene	May begin trials out	May begin trials of	Continue to wear		
circumference) Capsular	purpose. May come	of the slings 1-2	being out of the sling	sling while		
Stabilization Procedures	out of the sling to	hours at a time 5-6 x	throughout the entire	sleeping if 6-7		
[Labral Repair 1-4 Anchors	perform exercises. 1	day. May need it for	day in controlled	hours of		
(Ant/Post Bankart), Capsular	hour trials out of the	sleeping. Continue	environments.	uninterrupted		
Shift, Capsular Plication]	sling if no increased	to wear in public	Continue to wear in	sleep is not		
	pain with the above.	places and work.	public places/work.	achieved.	Discharge	
	May come out of the					
Medium-Large (>180° - 360°	sling for hygiene	May begin trials out	May begin trials of		Continue to wear	
circumferential) Capsular	purpose. May come	of the slings 1-2	being out of the sling	May continue to	sling while	
Stabilization Procedures	out of the sling to	hours at a time 5-6 x	throughout the entire	wean if pain	sleeping if 6-7	
[Labral Repair >=5 Anchors	perform exercises. 1	day. May need it for	day in controlled	persists out of the	hours of	
(Ant/Post Bankart), Capsular	hour trials out of the	sleeping. Continue	environments.	sling and requires	uninterrupted	
Shift, Capsular Plication]	sling if no increased	to wear in public	Continue to wear in	the sling with	sleep is not	
-	pain with the above.	places and work.	public places/work.	sleeping.	achieved.	Discharge



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## **Pre-op Instructions:**

Exercises	<ul> <li>Instruct in Phase I post-op exercises:</li> <li>Elbow PASSIVE range of motion,</li> <li>Supported (With hand under elbow) pendulum and/or pendulum/Codman's</li> <li>Hand squeezes with ball</li> </ul>	
Sling	<ul><li>Wear sling until initial visit with physical therapist.</li><li>Follow DOR SWeaP</li></ul>	
Education	<ul> <li>Understand the need of compliance in rehabilitation, timelines, and goals</li> <li>Educate on sleep hygiene in recliner or bed with back support</li> <li>Review Precautions</li> <li>Schedule follow-up appointment 3-5 days post op</li> </ul>	
nase I (weeks 1-3)		
Precautions	<ul> <li>No running until 8-10 wks.</li> <li>No active open chained movement x 4-6 wks.</li> <li>No lifting anything greater than fork for 4-5 wks</li> <li>Watch for signs of infection</li> <li>May Shower after post op dressing removed by PT or Ortho (do not scrub over sutures or soak in bath)</li> <li>Sling per SWeaP</li> </ul>	
Suggested Therapeutic Exercise	<ul> <li>HEP for 2 weeks unless pain and/PROM an issue</li> <li>Begin early scar massage if wound closed</li> <li>Pendulums/Codman's</li> <li>Fixed Humeral/Elbow Supported Flexion.</li> <li>Fixed Humeral/Elbow Supported ER</li> <li>Scapular Retraction with depression (focus on early lower trap activation)</li> <li>Soft tissue mobilization of upper trap and deltoid (if problematic for the patient)</li> <li>PROM supine if unable to meet PROM goals with Supported elbow flexion</li> <li>Hand/Wrist/Elbow therex</li> </ul>	Sapular Retraction Winn Trap Actuation
Modalities	<ul> <li>Ice (Polar Care) 3-5 times daily for 20 minutes</li> <li>Other pain relieving modalities prn (e.g. TENS)</li> </ul>	
Cardiovascular Fitness	May do stationary or recumbent bike at own pace in sling	



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Education	<ul> <li>Understand the need of compliance in rehabilitation, timelines, and goals</li> <li>Educate on sleep hygiene in recliner or supported with pillows</li> <li>Review Precautions</li> <li>Posture re-education</li> </ul>	
Rehabilitation	PROM shoulder flexion between 90-120	
Goals	<ul> <li>PROM 20-35 Degrees of ER from Neutral with elbow at</li> </ul>	
Progression	side	
Criteria	2-4 hours of uninterrupted sleep	
	Decrease pain/inflammation	
	Maintain wrist, hand, elbow ROM	

Phase I notes on exercise intervention:

• Repetitions of PROM should be based on quality, not quantity (10-15 reps per day if PROM progresses daily)

• Overactive upper trapezius and deltoid (trap/delt hike) can be problematic when progressing PROM  $\rightarrow$  AAROM  $\rightarrow$  AROM

• Particular attention needs to be directed on proper scapularhumeral rhythm.

Phase II (weeks 3-6)

Precautions	Walk to Jog Progression can begin at 6-10 weeks	
	No independent running until 10-12 weeks	
	<ul> <li>No active open chained movement x 6-8 wks</li> </ul>	
	<ul> <li>No PROM ER @90° ABD</li> </ul>	
	No excessive horizontal ABD until 8 weeks (Posterior Repair of any	
	kind)	
	• Sling per SWeaP	
	<ul> <li>Limit the use of IR towel stretch (posterior repair)</li> </ul>	
Suggested Therapeutic	Continue Phase Lexercises as needed	
Exercise		
Exercise	• UBE Light resistance progress to forward and retro in $2 \rightarrow 4 \rightarrow 6$	
	minute intervals (each interval split between forward and retro)	
	AAROM (pain free ROM)     Finger ledder (no tree (delt bile))	
	Finger ladder (no trap/delt hike)	
	Weighted Bar in supine into shoulder flexion	
	Pulleys (no trap/delt hike)	
	A/AROM in all shoulder planes	
	Light Pec stretching (if appropriate)	
	Supine rhythmic stabilization	
	Serratus punches in supine	
	PROM into Flexion (Gentle with Posterior repairs)	
	• PROM ER/IR at 45° ABD in supine	
	Hand up the back slowly progress as tolerated	
	• Shoulder Isometrics sub-maximal (2 sec phase in $\rightarrow$ 2 sec maintain	
	$\rightarrow$ 2 sec phase out @ 60%-80% max)	
	Closed chain weight shifting	
	Closed chain isometric lower trap strengthening (Standing prone	
	cobra)	
Modalities	Ice (Polar Care) PRN	
	<ul> <li>Other pain relieving modalities prn (e.g. TENS)</li> </ul>	
	Joint mobilizations I-II per the PT	
Cardiovascular Fitness	<ul> <li>May do stationary or recumbent bike at own pace in sling as long as</li> </ul>	
	pain continues to decrease	
	<ul> <li>May walk at own pace and distance in sling as long as pain continues</li> </ul>	
	to decrease	



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Education	<ul> <li>Understand the need of compliance in rehabilitation, timelines, and goals</li> <li>Assess Sleep Hygiene</li> </ul>	
	Review Precautions	
Rehabilitation Goals	PROM 120-Full Forward flexion	
Progression Criteria	AROM 120° Forward flexion	
	• 6-7 hours of sleep in bed or recliner or normal for patient.	
	<ul> <li>ADL's below elbow height pain free or 0-2/10</li> </ul>	
	Decrease pain and inflammation	
	<ul> <li>Minimize shoulder atrophy and deconditioning</li> </ul>	

Phase II notes on exercise intervention:

• Sling should be discharged within this phase depending on size of the repair, tolerance of the patient, and pain regression.

• The next phase will focus on strengthening with proper scapular placement therefore attention should be paid towards proper early scapular stabilization.

Precautions	<ul> <li>Walk to Jog Progression can begin at 6-10 weeks if pain free</li> <li>No independent running until 10-12 weeks</li> <li>No excessive horizontal ABD until 8 weeks (Posterior Repair of any kind)</li> <li>No unsupervised strengthening of the involved shoulder unless instructed by PT for HEP</li> </ul>	
Suggested Therapeutic Exercise	<ul> <li>Continue Phase II exercises as needed</li> <li>Pec stretching (doorway → supine small foam roll → large foam roll)</li> <li>IR Towel Stretch vs. Sleeper stretch</li> <li>Supine Rhythmic Stabilization with arm at 90° (Scap Stab)</li> <li>Serratus Anterior Punches (Scap Stab)</li> <li>Begin light RTC Therex below 90° [(See Citations) none above 60° shoulder abd)</li> <li>PROM in ER @ 90° abd 0-45°</li> <li>Shoulder height proprioceptive/endurance training: Wall ball dribble/drawing Body blade</li> <li>Ball toss with arm at side</li> <li>Prone Cobra and Scapular Stabilization W's, T's, Y's</li> <li>Open chain lower trap strengthening</li> <li>Active Duty: Sit ups with arms on chest</li> </ul>	
Modalities	Other pain relieving modalities prn (e.g. TENS)	
Cardiovascular Fitness	<ul> <li>May do stationary/recumbent bike or elliptical at own pace as long as pain continues to decrease</li> <li>May walk at own pace and distance as long as pain continues to decrease</li> </ul>	
Education	<ul> <li>Emphasis on high reps low weight with all therex</li> <li>Understand the need of compliance in rehabilitation, timelines, and goals</li> </ul>	
Rehabilitation Goals Progression Criteria	<ul> <li>90% - Full Forward Flexion AROM when compared to contra lateral UE</li> <li>ER @ 90° ABD 45° at 9 wks</li> <li>ADL's below shoulder height pain free or 0-2/10</li> <li>RTC Strengthening all directions 30 reps with T-Band</li> <li>30 Wall pushups</li> </ul>	

Phase III notes on exercise intervention:



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- Proper form during rotator cuff strengthening with scapulas set in retracted depressed state.
- If the patient does not have the available A/PROM to accommodate prone scapular stabilization regress to standing.

• There should be reports of nil-minimal pain with exercise and any pain post exercise should subside within 2-3 hours post cool down.

## Phase IV (Weeks 9-12)

Precautions	May begin walk to jog progression as tolerated in week 8.	
	No contact sports x 6 months	
Suggested	Continue Phase III exercises as needed	
Therapeutic	<ul> <li>Joint Mobilizations as directed by PT as necessary</li> </ul>	
Exercise	• 90/90 active ER/IR stretching	
	At 90° ABD 0-75° 9-10 wks	
	At 90° ABD 0-90° 10-12 wks	
	<ul> <li>Progressive RTC Therex (See Citations)</li> </ul>	
	• Ball toss at rebounder (lightest ball) with arm at side $\rightarrow$ shoulder height $\rightarrow$	
	90/90	
	Body Blade/Ball Toss at rebounder	
	<ul> <li>Quad ped stabilization on Bosu/Fitter/Foam</li> </ul>	
	Active Duty: Sit up and Push up progression	
Modalities	Pain relieving modalities PRN	
Cardiovascular	<ul> <li>May do Stairmaster, elliptical, treadmill at own pace and distance</li> </ul>	
Fitness		
Education	<ul> <li>Caution patient on progressing extracurricular activities too quickly</li> </ul>	
Rehabilitation Goals	Full PROM WNL/Full AROM 90% non involved side	
Progression Criteria	RTC Strength WFL, 4+/5 MMT	
	• 30 high table pushups (>30 <sup>o</sup> incline) w/o increasing c/o pain or 0-2/10	
	• Can complete higher than phase 5 walk to jog w/o increasing c/o pain or 0-	
	2/10	

Phase IV notes on exercise intervention:

• 90/90 active ER/IR strengthening shoulder scapulas set in retracted depressed state.

• There should be reports of nil-minimal pain with exercise and any pain post exercise should subside within 2-3 hours post cool down.

Phase V (Months 3-6)

Precautions	<ul> <li>No contact sports x 6 months</li> </ul>	
	<ul> <li>No Pull-ups/Dips x 6-9 months</li> </ul>	
Suggested Therapeutic Exercise	<ul> <li>Continue Phase IV exercises as needed</li> <li>Plyometric therex (pushup stepping → clap, bosu, stair climbing, fitter on hands, etc)</li> <li>Pushup Progression</li> </ul>	
	<ul> <li>90/90 IR/ER Strengthening</li> <li>Progress supine rhythmic stabilization → standing (see image to right)</li> <li>Sport Specific Training drills (pitching, batting, shooting, etc.)</li> <li>May begin independent transition back into gym</li> </ul>	Or with body blade
Modalities	Pain relieving modalities PRN	
Cardiovascular Fitness	APFT Run training or alternate event	
Education	<ul> <li>Caution patient on progressing extracurricular activities too quickly</li> </ul>	
Rehabilitation Goals	AROM Symmetrical	
Progression Criteria	<ul> <li>Resume all introduction back to sport/job</li> </ul>	
	Pass APFT at 6 months	

Phase V notes on exercise intervention:

• Exercise prescription should focus on endurance with above shoulder height activities.

• Specific job/sport related therex should be completed with proper form and endurance intervals for higher repetitions.



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## "Rotator Cuff and Scapular Stabilization Exercise Progression" Muscle fiber initiation to progressive EMG activity Progression from isometrics to open chain advanced

Progression from isometrics to open chain advanced						
RC/Scapular Stabilization Exercise Progression	Early Phase (Isometrics) Sub Max (2 sec phase in $\rightarrow$ 2 sec maintain $\rightarrow$ 2 sec phase out @ 60%-80% max	Early-Mid Phase	Mid-Late Phase	Late Phase	Advanced	
	Lowest	Low	Mid-Level	High	Highest	
Infraspinatus/ Teres Minor	R.			44		
Supraspinatus						
Subscapularis		T.				
Serratus Anterior	J.					
Lower Trapezius	Scapular Retraction Lower Trap Activation		In Scapular Plane			
Rhomboids				A B		