



Red Wolf Risk LLC

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Cannabis Federal Readiness Assessment

Confidential Self-Assessment

Prepared by Red Wolf Risk

Helping Cannabis Businesses Become Federal-Ready, GMP-Ready, Insurance-Ready, and Investment-Ready

Company Information

Company Name:

Primary Contact:

Title:

Email:

Phone:

State(s) of Operation:

License Type(s):

- Cultivation
- Manufacturing
- Extraction



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Distribution

Retail

Consumption Lounge

Other

Annual Revenue:

Under \$1M

\$1M-\$5M

\$5M-\$25M

\$25M-\$100M

\$100M+

Number of Employees:

1-10

11-50

51-100

101-250

250+



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Section 1 – Leadership & Governance

1. Is operational compliance assigned to a specific individual?

Yes No

2. Is quality management assigned to a specific individual?

Yes No

3. Does leadership regularly review operational performance?

Monthly Quarterly Annually Never

4. Does the organization maintain documented business objectives?

Yes No

5. Are KPIs reviewed regularly?

Yes No

Score: _____ / 5

Section 2 – Quality Management Systems (ISO 9001)

1. Does the company have a documented Quality Policy?

Yes No

2. Are core business processes documented?

Yes No

3. Is there a document control system?

Yes No

4. Are internal audits performed?

Yes No



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5. Is continuous improvement formally tracked?

Yes No

6. Are quality objectives established?

Yes No

7. Are management reviews conducted?

Yes No

8. Is risk management integrated into operations?

Yes No

Score: _____ / 8

Section 3 – Cultivation Readiness (GACP)

1. Are cultivation SOPs documented?

Yes No

2. Is environmental monitoring documented?

Yes No

3. Are irrigation procedures documented?

Yes No

4. Is pest management documented?

Yes No

5. Are harvest procedures documented?

Yes No



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6. Are drying and curing procedures documented?

Yes No

7. Can products be traced through all cultivation stages?

Yes No

8. Are cultivation employees trained and documented?

Yes No

Score: _____ / 8

Section 4 – Manufacturing Readiness (GMP)

1. Are manufacturing SOPs documented?

Yes No

2. Are batch records maintained?

Yes No

3. Are cleaning procedures documented?

Yes No

4. Are sanitation activities documented?

Yes No

5. Is non-conforming product controlled?

Yes No

6. Are deviations documented?

Yes No

7. Are retention samples maintained?



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Yes No

8. Is product release documented?

Yes No

Score: _____ / 8

Section 5 – Documentation & Record Control

1. Are SOP revisions controlled?

Yes No

2. Are obsolete procedures removed from use?

Yes No

3. Are training records maintained?

Yes No

4. Are maintenance records maintained?

Yes No

5. Are audit records maintained?

Yes No

6. Are CAPA records maintained?

Yes No

Score: _____ / 6



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Section 6 – Employee Training

1. Is new hire training documented?

Yes No

2. Is role-specific training documented?

Yes No

3. Is refresher training conducted?

Yes No

4. Is training effectiveness verified?

Yes No

5. Are competency evaluations documented?

Yes No

6. Are training gaps tracked?

Yes No

Score: _____ / 6

Section 7 – CAPA Program

1. Are incidents formally documented?

Yes No

2. Is root cause analysis performed?

Yes No

3. Are corrective actions assigned?

Yes No



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4. Are corrective actions verified?

Yes No

5. Are recurring issues tracked?

Yes No

6. Are preventive actions documented?

Yes No

Score: _____ / 6

Section 8 – Supplier Qualification

1. Is there an approved vendor process?

Yes No

2. Are licenses verified?

Yes No

3. Are COIs collected and reviewed?

Yes No

4. Is supplier performance evaluated?

Yes No

5. Are supplier issues documented?

Yes No

6. Is there an approved supplier list?

Yes No



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Score: ____ / 6

Section 9 – Equipment Management

1. Is preventive maintenance documented?

Yes No

2. Are maintenance schedules established?

Yes No

3. Are calibration records maintained?

Yes No

4. Are equipment failures investigated?

Yes No

5. Are maintenance responsibilities assigned?

Yes No

6. Are equipment inspections documented?

Yes No

Score: ____ / 6

Section 10 – Internal Audits

1. Is there an audit schedule?

Yes No

2. Are audits documented?

Yes No



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3. Are findings tracked?

Yes No

4. Are corrective actions assigned?

Yes No

5. Does leadership review audit findings?

Yes No

6. Are audit trends analyzed?

Yes No

Score: _____ / 6

Section 11 – Insurance & Risk Management

1. Has your insurance program been reviewed within the past 12 months?

Yes No

2. Are claims investigated and documented?

Yes No

3. Are facility inspections performed regularly?

Yes No

4. Are emergency response plans documented?

Yes No

5. Are business interruption exposures evaluated?

Yes No

6. Are certificates of insurance collected from critical vendors?



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Yes No

Score: _____ / 6

Federal Readiness Scorecard

Maximum Score: 71

0-25 Points

High Risk

Significant operational gaps exist that may impact regulatory readiness, insurability, lender confidence, and long-term scalability.

26-45 Points

Developing

Foundational systems are present but require substantial improvement to support federal readiness and institutional expectations.

46-60 Points

Advanced

Your organization demonstrates many characteristics of a mature operation but may have important gaps that should be addressed.

61-71 Points

Federal Ready

Your organization has established many of the systems commonly associated with ISO 9001, GACP, GMP, lender due diligence, and operational excellence programs.

Recommended Next Steps

If your score is below 60, consider conducting a formal Federal Readiness Assessment.

A Red Wolf Risk assessment evaluates:

- ISO 9001 Readiness



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- GACP Readiness
- GMP Readiness
- CAPA Programs
- Training Systems
- Supplier Qualification
- Equipment Management
- Internal Audits
- Insurance Readiness
- Lender Due Diligence Readiness
- Operational Governance

The result is a prioritized roadmap for becoming Federal-Ready, GMP-Ready, Insurance-Ready, and Investment-Ready.

Schedule Your Assessment

Red Wolf Risk

Smarter. Safer. More Profitable.

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