

## **Compliance Associate**

You will drive the following responsibilities:

- Partner with our Director of Legal and Compliance and our External Legal and Compliance Partners to assist with administering the Firm's Compliance program, including the initial vetting and ongoing review of the organization's groups against the firm's Policies
- Utilize a third-party surveillance system to conduct post-trade reviews
- Prepare and conduct compliance trainings
- Assist with regulatory examinations, inquiries, investigations and projects, as necessary
- Assist with drafting and amending compliance related policies and procedures
- Monitor regulatory changes, updates and industry-wide compliance initiatives

### Qualifications/Skills Required

- 4+ years of experience
- Experience at a regulatory authority, bank/broker or buy-side firm addressing compliance issues within Algorithmic/Systematic trading is preferred
- Bachelor's degree in Computer Science, Finance, Economics or similar with a strong academic record is preferred
- Strong analytical / conceptual problem-solving skills with great attention to detail
- Strong sense of ownership and personal responsibility, with the ability to prioritize, multi-task, and manage deadlines
- Strong written and verbal communication skills with the ability to coordinate efforts across teams
- Strong project management skills with an understanding of financial markets and the investment lifecycle
- Good team player with a strong willingness to participate and help others

**Apply by sending your resume and a 1-page summary on your candidacy to [HR@fivedimensionsinvest.com](mailto:HR@fivedimensionsinvest.com)**