

Formal Experiment Protocol: Prime-Indexed Discrete Scale Invariance (P-DSI) and Emergent Agency

Version: 1.1

Date: 2025-10-17

Goal: To test the core hypothesis of Prime Wave Theory (PWT) V15.2: that constraining Neural Network architecture or dynamics by prime-indexed discrete scale invariance (p-DSI) maximizes causal emergence ($\Phi D \Phi D$) and robust agency compared to composite-indexed scaling.

Execution Mandate: Execute the full protocol, calculate all metrics, and return raw data tables and comparative analysis.

1. Experimental Conditions and Independent Variables ($\Lambda\Lambda$)

The core manipulation is the scaling parameter $\Lambda\Lambda$, applied across two parallel experiments.

Scaling Conditions

Condition	Scaling Factors ($\Lambda\Lambda$)	Type	Rationale
Prime Condition ($\Lambda P \Lambda P$)	{2,3,5,7,11} {2,3,5,7,11}	Prime	Hypothesized maximum agency/stability.
Composite Condition ($\Lambda C \Lambda C$)	{4,6,8,9,10} {4,6,8,9,10}	Composite	Matched for magnitude against $\Lambda P \Lambda P$.
Null Condition ($\Lambda N \Lambda N$)	{1}{1}	Baseline	Control for non-scaled systems.

Experiment 1: Architectural Scaling (Static Constraint)

- Network:** A simple 2-layer Feedforward Network (FFN) with one hidden layer.
- Independent Variable:** Hidden layer width WW is set using the $\Lambda\Lambda$ values.
- Control/Normalization (CRITICAL):**
Non-scaled inputs/outputs (e.g., 64/10 units) must remain consistent. When scaling WW using $\Lambda\Lambda$, total parameter count (FLOPs) must be matched as closely as possible for all $\Lambda P \Lambda P$ vs. $\Lambda C \Lambda C$ pairs. If precise matching is impossible, computational load must be documented and controlled for in the final analysis (e.g., run the smaller model for more epochs).

Experiment 2: Training Temporal Scaling (Dynamic Constraint)

- Network:** A Recurrent Neural Network (RNN) or LSTM (fixed architecture, e.g., 256 units).
- Independent Variable:** The period of the Cyclic Learning Rate (CLR) Scheduler PP is set using the $\Lambda\Lambda$ values (i.e., $P=\lambda P=\lambda$ epochs).

- **Mechanism:** The learning rate cycles between $\beta_{\min}$ and $\beta_{\max}$ every λ epochs.
- **Control:** Total number of training steps and maximum learning rate ($\beta_{\max}$) must be identical across all conditions.

2. Agent, Task, and Environment Design

Task: Abstract Goal Pursuit (Blocksworld-like)

The task requires the agent to build structures, but the reward is complex and abstract, forcing causal emergence.

- **Environment:** A discrete grid environment (e.g., 10×10) where an agent manipulates 5 distinct block types.
- **Action Space:** Discrete (Move, PickUp, Place).
- **Reward Function (Abstract Goal):**
The primary reward is **not** for meeting a specific block placement target. Instead, it is calculated based on:
 1. **Symmetry Score:** A high non-linear bonus for structures exhibiting horizontal or rotational symmetry.
 2. **Stability Score:** A non-linear penalty for block placements causing instability or requiring future corrective actions.
 3. **Goal:** The agent must *discover* low-level actions that maximize macro-level rewards (symmetry and stability).

Agent Architecture

- **Choice:** Recurrent Architecture (e.g., LSTM) or a policy-based RL agent (e.g., PPO or DQN) capable of memory and planning.
- **Input:** Current grid state representation (flattened or convolutional).
- **Output:** Action logits.

3. Dependent Variables: Measuring Agency & Intelligence

All five scaling values in Λ_{PAP} and Λ_{CAC} , plus the null case Λ_{NAN} , must be run, and the results averaged over $N=5$ training runs per condition.

Metric	Definition & Protocol	PWT Prediction (Λ_{PAP} vs. Λ_{CAC})
1. Causal Emergence ($\Phi D \Phi D$)	Core information-theoretic measure of excess causal influence of macrostates over micro-states. Calculated on the latent representation (hidden state vector) of the network.	Strongly Higher in Λ_{PAP}

Metric	Definition & Protocol	PWT Prediction ($\Delta P\Delta P$ vs. $\Delta C\Delta C$)
2. Generalization Gap	Performance difference between training set (10 structure goals) and held-out test set (5 unseen goals) after full training.	Smaller Gap in $\Delta P\Delta P$ (Better Generalization)
3. Forgetting Rate	Decay rate of performance on Task A after training on a new, unrelated Task B for 1/101/10 of original epochs.	Lower Rate in $\Delta P\Delta P$ (More Stable Memory)
4. Behavioral Complexity	Shannon entropy of the agent's action probability distribution over 100 evaluation episodes.	Higher Complexity in $\Delta P\Delta P$ (Avoids Repetitive Policies)
5. Spectral Signature	Fourier analysis of the internal network state dynamics. Record time-series of latent state for $T \geq 10,000$, apply FFT, and plot on log-frequency scale.	Peaks at $\omega_p = 2\pi \ln p$ in $\Delta P\Delta P$ (The "Prime Comb")
6. Negative Phase Dominance	Percentage of epochs where network dynamics are dominated by inhibitory or stabilizing feedback loops.	Higher Percentage in $\Delta P\Delta P$

4. Execution Protocol & Analysis

The executing AI must follow these steps:

- 1. Environment Setup:** Implement the $10 \times 10 \times 10$ Blocksworld environment and the Abstract Reward Function.
- 2. Network Implementation:** Implement the required network architectures (FFN for Exp 1, RNN/LSTM for Exp 2).
- 3. Training Loop:** For each experiment and each scaling factor in $\Delta P\Delta P$, $\Delta C\Delta C$, and $\Delta N\Delta N$:
 - Train $N=5$ separate instances of the agent.
 - Record training metrics and time-series data for Metric 5.
- 4. Metric Calculation:** For all $5 \times 11 = 55$ trained agents, run the standardized evaluation battery to calculate final values for Metrics 1–6.
- 5. Data Analysis:**
 - Perform t-tests or ANOVA to compare mean scores for all metrics across $\Delta P\Delta P$ and $\Delta C\Delta C$.
 - For Metric 5, perform Fourier transform and confirm presence/absence of Prime Comb spectral peaks.
- 6. Deliverables:**
 - Summary of results (average and standard deviation) for all 6 metrics, comparing $\Delta P\Delta P$ and $\Delta C\Delta C$.
 - Plot of the **log-frequency spectrum** (Metric 5) for a representative agent from $\Delta P\Delta P$ and $\Delta C\Delta C$.

- Raw data table containing the 6 metric scores for all 55 agents.

Note: The core challenge for the executing AI will be the precise, computationally demanding calculation of $\Phi D \Phi D$ and the accurate Fourier analysis required by the Spectral Signature metric.