

**Brown & Brown Securities, Inc.**  
**Brown & Brown Financial Services, Inc.**  
Member FINRA/SIPC

**Customer Privacy Policy Notice**

At **Brown & Brown** we are committed to protecting your privacy and the confidentiality of your personal and financial information. We are required, by federal law, to provide you with this notice, which outlines our business practices to protect your privacy, as well as how we collect and share personal information about you. This policy applies to both current and former clients. The measures we take to keep your personal information private and secure are outlined below.

All of our clients will receive our Customer Privacy Policy upon account opening and thereafter annually. Should our Customer Privacy Policy change at any time regarding the treatment of your information you will receive our New Customer Privacy Policy in advance and be given the opportunity to Opt-Out of any new disclosure.

**What Information is Collected:** Brown & Brown collects confidential client information from you in a number of ways including information provided on our new account applications and other forms we receive from you, consumer reporting agencies, information obtained through our website, as well as information obtained from other sources. Examples of personal data include your name, address, email address, telephone number, social security number, and internet protocol (IP) address and/or domain, as well as other data you may provide us related to a financial product or service; these include, but are not limited to, investment preferences, demographic information, or financial information.

**Use of this Information:** The information is used to allow us to provide a securities account, as well as enabling us to provide the appropriate financial services to you. Your information is not sold by us to any outside party. Your information is not shared in joint marketing arrangements with other financial institutions by us. Your personal information is kept strictly confidential.

**How We Protect Your Confidential Personal Information about You:** We have internal policies and procedures which govern the handling of client information by employees. Third parties who provide account service and support on our behalf may also receive personal information about you, and we require them to adhere to appropriate security standards with respect to such information. Under no circumstances will Brown & Brown sell confidential client information to anyone, for any reason, at any time.

**Access to Information:** Your personal information is shared on a limited need to know basis in order to allow us to provide you with financial services. Personal or financial information may be shared with affiliates (such as your registered representative) and with third parties, again solely to allow us to be efficient and to provide you with financial services. Third parties are limited to administrative functions only (such as filing monthly statements or new account forms) and are prohibited from sharing or using your personal, non-public information. Financial information may also be shared with our clearing firm and mutual fund and insurance companies with which you open an account. You will receive a Customer Privacy Policy Statement from these firms as well. Other third parties include governmental agencies, the Securities and Exchange Commission, the National Association of Securities Dealers and State securities regulators, our accountants and attorneys, and those entities given authority through a legal proceeding.

**Internet Security:** We do not retrieve account or personal information from visitors who browse the public areas of our website. Clients who have the ability to access their accounts online are required to log on to our secure websites with their user name and individually selected password. Your password is known solely to you and should never be shared with anyone. Each time you access your account, please log off when you are finished. This will prevent someone else from accessing your account if you leave your computer unattended.

**Cookies:** A cookie is a text file that is stored by a web browser on a user's machine. Websites use cookies for authentication, storing website/information preferences, and other browsing information that can help the web browser while accessing web servers. Brown & Brown uses cookies and similar technologies to track usage of the website and to address security issues. We may also use cookies to store your preferences relating to the use of our website. If you do not want to allow our website to place a cookie on your computer, you can change your browser's settings to deny cookies; however, this may affect your experience with certain features of our website. Our websites do not respond differently when accessed by a browser that uses a do-not-track signal or similar mechanism.

**Personal Data on Children:** The privacy of children online is an important issue to Brown & Brown, and we encourage parents to supervise their children's activities online. Brown & Brown does not seek or knowingly collect personal information from children under the age of 13. We request that children under the age of 13 not disclose or provide any personal information through our online services. If we learn that we have received information directly from a child who is under the age of 13, we will take appropriate action in accordance with applicable law. To learn more about the Children's Online Privacy Protect Act (COPPA) please visit the Federal Trade Commission's website at <https://www.ftc.gov/>.

**Opt-Out Notice:** In the future, if we arrange a joint marketing arrangement with another financial institution or non-affiliated third parties, we will notify you in writing and allow you to Opt-Out of the sharing of your personal, non-public financial information. If you decide to Opt-Out, your request may be made in writing addressed and mailed to: Brown & Brown Securities Inc., 6440 North Central Expressway, Suite 107 Dallas, TX 75206 or you may call our main office and request that we send you our Opt-Out Request Form.

**Updating/Correcting Your Personal Data:** If your personal data or account information is incomplete, inaccurate, or outdated, please contact your registered representative or our main office by calling (214) 696-1768.

**Questions about Your Privacy:** If you have any questions about our Customer Privacy Policy, you may contact your registered representative or our main office by calling (214) 696-1768.

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