

Brown & Brown Financial Services, Inc.
Brown & Brown Securities, Inc.

Member FINRA / SIPC

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Customer Significant Business Disruption (SBD) Disclosure

In accordance with regulatory requirements and professional business practices, our firm has developed procedures in the event of a SBD. We provide these to you for your information.

A significant business disruption (SBD) is a situation which may cause stress to our clients as we provide our clients with financial services in the areas of transaction order receipt and order entry.

SBDs may take the following forms as a result of weather events, fire, or terrorist actions. They may affect our firm and our customers in varying degrees: a firm-only business disruption, a disruption to a single building, a disruption to a business district, a city-wide business disruption, and a regional disruption

It is our intention to be in a position to continue to serve our customer's needs in any of these SBD events.

The two most serious challenges we will over come is a regional loss of power and telecommunications.

To accomplish the goal of continuing to provide service to our customers in the event that we experience a SBD, we have developed and installed a Business Continuity Plan which we feel will provide a seamless relocation of our office to an alternate location.

In a SBD situation:

1. Your primary contact method will remain our main telephone number: 214-696-1768. Calls will be forwarded to a cell phone. If cell towers are out, call 214-242-9069.
2. For customers with general securities accounts, in the event that our office and cellular telephone services are unavailable, you may contact our Clearing Firm, Hilltop Securities, Inc. at 866-797-5227.
3. For customers that own the American Funds at the American Funds Group, call 800-421-4225.

We are committed to providing every customer with updates to this disclosure should we add improvements or make any changes to our Business Continuity Plan for possible significant business disruptions.

While it is highly recommended that each customer maintain all statements from our Clearing Firm and all mutual fund companies, both our firm and associated firms with which we conduct business have established similar BCPs that contain alternate business locations and back up of all records.