

**Brescor Wealth Advisory LLC**  
**Client Privacy Notice**

| <b>FACTS</b>   | <b>WHAT DOES ADVISER BRESCOR WEALTH ADVISORY LLC (“BRESCOR”) DO WITH YOUR PERSONAL INFORMATION?</b>   |                                    |
|--|---|------------------------------------|
| <b>WHY?</b>  | Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.  |                                    |
| <b>WHAT?</b>   | <p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>▪ Social security number and Income</li> <li>▪ Investment Experience and Risk Tolerance</li> <li>▪ Assets</li> <li>▪ Account Balance and Transaction History</li> <li>▪ Wire transfer instructions</li> </ul> <p>When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p> |                                    |
| <b>HOW?</b>  | All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons Brescor chooses to share; and whether you can limit this sharing.  |                                    |
| <b>Reasons we can share your personal information</b>  | <b>Does Brescor Share?</b>  | <b>Can you limit this sharing?</b> |
| <b>For our everyday business purposes</b> - such as to process your transactions, maintain your accounts(s), respond to court orders and legal investigations, or report to credit bureaus | Yes   | No                                 |
| <b>For our marketing purposes</b> - to offer our products and services to you  | Yes   | No                                 |
| <b>For joint marketing with other financial companies</b>  | No  | We don’t share                     |
| <b>For our affiliates' everyday business purposes</b> - information about your transactions and experiences  | No  | We don’t share                     |
| <b>For our affiliates' everyday business purposes</b> – information about your creditworthiness  | No  | We don’t share                     |
| <b>For our affiliates to market to you</b>   | No  | We don’t share                     |
| <b>For nonaffiliates to market to you</b>  | No  | We don’t share                     |
| <b>To limit our sharing</b>  | Call 1-781-444-2630 or Email us: <a href="mailto:info@brescorwealth.com">info@brescorwealth.com</a><br>Please note: If you are a new customer, we can begin sharing your information 30 days from the date we sent this notice. When you are no longer our customer, we continue to share information about you as described in this notice. However, you can contact us at any time to limit our sharing.  |                                    |
| <b>Questions?</b>  | Call Joel M. Roberts at 1-781-444-2630 OR Email us at <a href="mailto:info@brescorwealth.com">info@brescorwealth.com</a>  |                                    |
|  |   |                                    |

# PAGE 2

## Who we are

**Who is providing this notice?** Brescor Wealth Advisory LLC

## What we do

**How does Brescor protect my personal information?** To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

**How does Brescor collect my personal information?** We collect your personal information, for example, when you

- Enter into an investment advisory contract or open an account
- Seek financial advice
- Buy or sell securities
- Make deposits or withdrawals from your account
- Tell us about your investments, financial accounts, or other financial information
- Provide us your employment history

We may also collect your personal information from others such as credit bureaus, affiliates, or other companies.

**Why can't I limit all sharing?** Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

**What happens when I limit sharing for an account I hold jointly with someone else.** We limit sharing by individuals, not accounts. Your choices will apply to you individually and to everyone with whom you hold an account jointly at the time you make your choices. You must tell us at or after the time you open or hold an account jointly with someone else if you wish to apply your choices to them.

## Definitions

**Affiliates** Companies related by common ownership or control. They can be financial and nonfinancial companies.

- *Brescor does not have any affiliates.*

**Nonaffiliates** Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *Brescor does not share with nonaffiliates so they can market to you.*

**Joint Marketing** A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *Brescor does not jointly market.*

## Other important information

Additional information concerning our privacy policies can be found at <http://www.brescorwealth.com>