# **COVER SHEET**

		-   4   0   9   3   8   -
		SEC Registration Number
UNITE	D PARAGON	MINING
	CORPORATI	ON
	OORIORAII	
	(Company's Full Name)	
6 t h / F Q U	A D A L P H A	CENTRUM,
1 2 5 P I O N E E	R ST MAND	ALUYON CITY
(Business	Address: No., StreetCity / Town	r / Province)
Mr. Gilbert V. Rabago		8631-5139
Contact Person		Company Telephone Number
D. L.U., C	\1'_ D(C11	20 2020
<u>Public C</u>	Ownership Report Septemb	Ser 30, 2020
1 2 3 1		
Month Day Fiscal Year	FORM TYPE	Month Day
riscal real	•	Annual Meeting
S	econdary License Type, If Application	able
	Coordan'y Electrice Type, 11 Tippine	T
Dept Requiring this Doc	Amended	d Articles Number / Section
Dept Requiring this Doc		mount of Borrowings
	Total Al	mount of Borrowings
Total No. of Stockholders	Domestic	Foreign
		× 1
To be ac	complished by SEC Personnel	concerned
File Number	LCU	
Document ID	Cashier	
STAMPS		
	Remarks: Please use BLACK	ink for scanning purposes



October 14, 2020

## **Securities and Exchange Commission**

Attn: Rachel Esther J. Gumtang-Remalante

Officer-in-Charge, Corporate Governance & Finance Department

Secretariat Building, PICC Complex

Roxas Boulevard, Metro Manila Philippines

#### Gentlemen:

In compliance with the alternative filing of reports and/or documents through electronic mail with the Securities and Exchange Commission through Corporate Governance and Finance Department (CGFD) issued on June 24, 2020 in light of the imposition of all Community Quarantine due to COVID-19, we are submitting the Company's report on the Company's public ownership report as of September 30, 2020 which was earlier submitted and approved by the Philippine Stock Exchange.

Thank you.

Very truly yours,

IRIS MARIE U. CARPIO-DUQUE

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Corporate Secretary / Compliance Officer /

Corporate Information Officer

CR07004-2020

The PSE makes no representation on the accuracy, validity, correctness and completeness of the information stated in the respective PORs of listed companies. The PSE shall use the information contained in the POR submitted by the company in computing a company's weight in the index and this may be updated or adjusted consistent with the policy of the Exchange in managing the PSEi and sector indices.



# **United Paragon Mining Corporation UPM**

PSE Disclosure Form POR-1 - Public Ownership Report Reference: Amended Rule on Minimum Public Ownership

Report Type	
Monthly Quarterly Others	
Report Date	Sep 30, 2020
Computation of Public O	wnership
Number of Issued and Outstanding Common Shares	261,314,797,080
Less: Number of Treasury Common Shares, if any	-
Number of Outstanding Common Shares	261,314,797,080
Less:	

A. Directors

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Alfredo C. Ramos	318,976,817	0	318,976,817	0.12
Presentacion S. Ramos	0	73,050,000	73,050,000	0.02
Maureen Alexandra S. Ramos- Padilla	0	65,000,000	65,000,000	0.02
Gerard Anton S. Ramos	100,000	0	100,000	0
Adrian Paulino S. Ramos	500,000	0	500,000	0
Christopher M. Gotanco	500,000	90,312,500	90,812,500	0.03
Eduardo B. Castillo	54,375,000	0	54,375,000	0.02
John Peter C. Hager	0	87,000,000	87,000,000	0.03
Renato C. Valencia	1	0	1	0
	374,451,818	315,362,500	689,814,318	0.24

## B. Officers

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Gilbert V. Rabago	0	0	0	0
Iris Marie U. Carpio-Duque	0	0	0	0
Deborah S. Acosta-Cajustin	0	0	0	0
	0	0	0	0

# C. Principal/Substantial Stockholders

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
National Book Store Inc.	0	84,325,108,842	84,325,108,842	32.27
Alakor Corporation	0	53,884,038,981	53,884,038,981	20.62
Anglo Philippine Holdings, Corp.	0	67,119,143,395	67,119,143,395	25.69
	0	205,328,291,218	205,328,291,218	78.58

# D. Affiliates

	Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares	
	-	-	-	-	-	
ľ		0	0	0	0	П

# E. Government

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares	
-	-	-	-	-	
	0	0	0	0	

# F. Banks

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

# G. Employees

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares	T
-	-	-	-		-

	0	0	0	0		
l. Lock-U <sub>l</sub>	Shares					
Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares		
-	-	-	-	-		
	0	0	0	0		
. Others						
Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares		
-	-	-	-	-		
	0	0	0	0		
Number of Common		261,314,7	797,080			
Total Num Non-Publ		206,018,1	206,018,105,536			
Total Num Owned by the Pu	nber of Sha blic		55,296,691,544			
Bulliu O		21.16				
Other Rel	evant Infori	mation				
_						
Filed on be	ehalf by:					
Name			Iris Marie Carpio-Duque			
Designation			Primary Corporate Information C			