

January 13, 2021

## **Securities and Exchange Commission**

Attn: Rachel Esther J. Gumtang-Remalante

Officer-in-Charge, Corporate Governance & Finance Department

Secretariat Building, PICC Complex

Roxas Boulevard, Metro Manila Philippines

#### Ladies and Gentlemen:

In compliance with the alternative filing of reports and/or documents through electronic mail with the Securities and Exchange Commission through Corporate Governance and Finance Department (CGFD) issued on June 24, 2020 in light of the imposition of all Community Quarantine due to COVID-19, we are submitting the Company's Public Ownership Report as of December 31, 2020, which was earlier submitted and approved by the Philippine Stock Exchange.

Thank you.

Very truly yours,

Iris Marie U. Carpio-Duque

Corporate Secretary / Compliance Officer /

**Corporate Information Officer** 

The PSE makes no representation on the accuracy, validity, correctness and completeness of the information stated in the respective PORs of listed companies. The PSE shall use the information contained in the POR submitted by the company in computing a company's weight in the index and this may be updated or adjusted consistent with the policy of the Exchange in managing the PSEi and sector indices.



# United Paragon Mining Corporation UPM

PSE Disclosure Form POR-1 - Public Ownership Report Reference: Amended Rule on Minimum Public Ownership

Report Type	
Monthly Quarterly Others	
Report Date	Dec 31, 2020
Computation of Public O	wnership
Number of Issued and Outstanding Common Shares	261,314,797,080
Less: Number of Treasury Common Shares, if any	-
Number of Outstanding Common Shares	261,314,797,080

#### Less:

#### A. Directors

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
ALFREDO C. RAMOS	318,976,817	0	318,976,817	0.12
PRESENTACION S. RAMOS	0	73,050,000	73,050,000	0.02
MAUREEN ALEXANDRA S. RAMOS	0	65,000,000	65,000,000	0.02
GERARD ANTON S. RAMOS	100,000	0	100,000	0
ADRIAN PAULINO S. RAMOS	500,000	0	500,000	0
CHRISTOPHER M. GOTANCO	500,000	90,312,500	90,812,500	0.03
EDUARDO B. CASTILLO	54,375,000	0	54,375,000	0.02
JOHN PETER C. HAGER	0	87,000,000	87,000,000	0.03
RENATO C. VALENCIA	1	0	1	0
	374,451,818	315,362,500	689,814,318	0.24

## B. Officers

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
IRIS MARIE U. CARPIO-DUQUE	0	0	0	0

DEBORAH S. ACOSTA-CAJUSTIN	0	0	0	0
GILBERT V. RABAGO	0	0	0	0
	0	0	0	0

# C. Principal/Substantial Stockholders

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
NATIONAL BOOK STORE INC	0	84,325,108,842	84,325,108,842	32.27
ALAKOR CORPORATION	0	53,884,038,981	53,884,038,981	20.62
ANGLO PHILIPPINE HOLDINGS CORPORATION	0	67,119,143,395	67,119,143,395	25.69
	0	205,328,291,218	205,328,291,218	78.58

## D. Affiliates

	Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares	
	-	-	-	-	-	
Ī		0	0	0	0	

#### E. Government

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares	
-	-	-	-	-	
	0	0	0	0	

## F. Banks

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

#### G. Employees

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares	
-	-	-	-	-	
	0	0	0	0	٦

## H. Lock-Up Shares

	Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares	
	-	-	-	-	-	
Î		0	0	0	0	

# I. Others

	Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares	
-		-	-	-	-	
		0	0	0	0	П

Number of Listed Common Shares	261,314,797,080
Total Number of Non-Public Shares	206,018,105,536
Total Number of Shares Owned by the Public	55,296,691,544
Public Ownership Percentage	21.16

Other	Relevant	Information

#### Filed on behalf by:

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Name	Iris Marie Carpio-Duque
Designation	Primary Corporate Information Officer