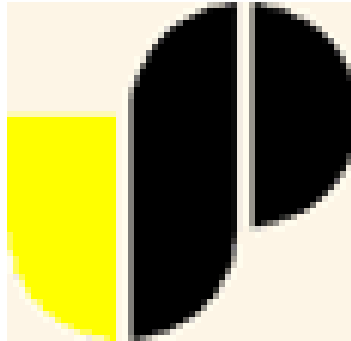


The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.



## United Paragon Mining Corporation UPM

### PSE Disclosure Form 17-12-A - List of Top 100 Stockholders (Common Shares) Reference: Section 17.12 of the Revised Disclosure Rules

#### Type of Securities

Common

For the period ended Jun 30, 2021

#### Description of the Disclosure

List of Top 100 Stockholders (Common Shares)

|  |                 |
|--|-----------------|
| Number of Issued and Outstanding Common Shares | 261,314,797,080 |
| Number of Treasury Common Shares, if any       | 0               |
| Number of Outstanding Common Shares            | 261,314,797,080 |
| Number of Listed Common Shares                 | 261,314,797,080 |
| Number of Lodged Common Shares                 | 252,476,303,362 |
| PCD Nominee - Filipino                         | 251,645,502,242 |
| PCD Nominee - Non-Filipino                     | 830,801,120     |
| Number of Certificated Common Shares           | 8,838,493,718   |

Change from previous submission

In compliance with the requirements of the Exchange & the Commission, we are submitting the Company's report on the Top 100 Stockholders including the Beneficial Ownership Report by Security (List of PCD Participants) as of June 30, 2021.

**Filed on behalf by:**

|                    |                                       |
|--------------------|---------------------------------------|
| <b>Name</b>        | Iris Marie Carpio-Duque               |
| <b>Designation</b> | Primary Corporate Information Officer |



**UNITED PARAGON**  
MINING CORPORATION  
Head Office

8th Floor, Quad Alpha Centrum Bldg.  
125 Pioneer Street, Mandaluyong City, Philippines  
Tel. no. (632) 8631-5139 Fax No. (632) 8631-3113  
www.unitedparagon.com

July 5, 2021

**Securities and Exchange Commission**

Attn: Rachel Esther J. Gumtang-Remalante  
Officer-in-Charge, Corporate Governance & Finance Department  
Secretariat Building, PICC Complex  
Roxas Boulevard, Metro Manila Philippines

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Ladies and Gentlemen:

In compliance with the alternative filing of reports and/or documents through electronic mail with the Securities and Exchange Commission through Corporate Governance and Finance Department (CGFD) issued on June 24, 2020 in light of the imposition of all Community Quarantine due to COVID-19, we are submitting the Company's report on the Top 100 Stockholders including the Beneficial Ownership by Security (List of PCD Participants) as of June 30, 2021.

Thank you.

Very truly yours,

**Iris Marie U. Carpio-Duque**  
Corporate Secretary / Compliance Officer /  
Corporate Information Officer

**UNITED PARAGON MINING CORPORATION**

## LIST OF TOP 100 STOCKHOLDERS

AS OF JUNE 30, 2021

| <b>RANK</b> | <b>NAME</b>                             | <b>TOTAL SHARES</b> | <b>PERCENTAGE (%)</b> |
|-------------|---|---------------------|-----------------------|
| 1           | PCD NOMINEE CORPORATION                 | 252,476,303,362     | 96.6177               |
|             | Filipino - 251,645,502,242              |                     |                       |
|             | Non-Filipino - <u>830,801,120</u>       |                     |                       |
| 2           | LANCASTER HOLDINGS LIMITED              | 5,235,537,900       | 2.0035                |
| 3           | CAMARINES MINERALS, INC.                | 1,252,097,050       | 0.4792                |
| 4           | RAMOS, ALFREDO C.                       | 318,976,817         | 0.1221                |
| 5           | ENRILE II, WILLIAM RAGOS                | 250,000,000         | 0.0957                |
| 6           | ALAKOR SECURITIES CORPORATION           | 199,653,850         | 0.0764                |
| 7           | LORENZO JR., LUIS P.                    | 109,250,000         | 0.0418                |
| 8           | YAN, LUCIO W.                           | 100,000,000         | 0.0383                |
| 9           | SY TIONG SHIOU &/OR JUANITA S. TAN      | 93,500,000          | 0.0358                |
| 10          | VALMORA INVESTMENT AND MANAGEMENT CORP. | 80,000,000          | 0.0306                |
| 11          | CASTILLO, EDUARDO B.                    | 54,375,000          | 0.0208                |
| 12          | CASTANEDA, ISA F.                       | 50,000,000          | 0.0191                |
| 13          | KERRY SECURITIES (PHILS.), INC. RPS01   | 49,250,000          | 0.0188                |
| 14          | LIM III, JOSE A.                        | 22,500,000          | 0.0086                |
| 15          | CORRO, ANTONIO SEBASTIAN T.             | 20,000,000          | 0.0077                |
| 16          | KEH, BENITO                             | 18,000,000          | 0.0069                |
| 17          | PONIO, BEN AZEL S.                      | 17,500,000          | 0.0067                |
| 18          | CHU, BERNARD                            | 15,000,000          | 0.0057                |
|             | CHIU, JOHNSON CHIU &/OR VICKY           | 15,000,000          | 0.0057                |
| 19          | LEE, DANIEL U.                          | 12,687,500          | 0.0049                |
| 20          | HYDEE MANAGEMENT & RESOURCE CORP.       | 12,600,600          | 0.0048                |
|             | SAN JOSE, ROBERTO V.                    | 12,600,000          | 0.0048                |
| 21          | TAN SHIN TIU &/OR SUSANA TAN            | 12,500,000          | 0.0048                |
|             | ONG, JOSEPH                             | 12,500,000          | 0.0048                |
| 22          | TAN, MICHAEL L.                         | 10,000,000          | 0.0038                |
|             | REYES-HANGAD, CELIA                     | 10,000,000          | 0.0038                |
| 23          | CRUZ, ELIODORO C.                       | 9,350,000           | 0.0036                |
| 24          | THE MARSMAN FOUNDATION, INC.            | 8,947,500           | 0.0034                |
| 25          | CANG, ANTONIO                           | 8,000,000           | 0.0031                |
| 26          | GAMBOA, PRISCILLA TEEHANKEE             | 7,750,000           | 0.0030                |
| 27          | EBC SECURITIES CORPORATION              | 7,602,500           | 0.0029                |
| 28          | FILICIANO, GEORGE                       | 7,500,000           | 0.0029                |
|             | TIN, ROSARIO                            | 7,500,000           | 0.0029                |
| 29          | ONG, BERNARD &/OR CONCHITA ONG          | 7,000,000           | 0.0027                |
|             | CHIU, JOHNSON L.                        | 7,000,000           | 0.0027                |
| 30          | CONNELL SECURITIES, INCORPORATED        | 6,750,000           | 0.0026                |
| 31          | CONSOLACION, THOMAS S.                  | 6,502,500           | 0.0025                |
| 32          | OBALDO, HILARIO O.                      | 6,500,000           | 0.0025                |
| 33          | CRUZ, AUGUSTO C.                        | 6,397,800           | 0.0024                |
| 34          | CHUA, WILLIAM H. &/OR TITA P. CHUA      | 6,000,000           | 0.0023                |
| 35          | POBLADOR III, HONORIO                   | 5,882,400           | 0.0023                |
| 36          | TAN SHIN TIU                            | 5,768,100           | 0.0022                |
| 37          | BENJAMIN CO CA & COMPANY, INC.          | 5,645,000           | 0.0022                |
| 38          | ANSALDO, GODINEZ & COMPANY, INC.        | 5,562,500           | 0.0021                |
| 39          | MARINO OLONDRIZ Y CIA                   | 5,550,000           | 0.0021                |
| 40          | SUN, THERESA                            | 5,312,500           | 0.0020                |
| 41          | YAP, MICHAEL V.                         | 5,250,000           | 0.0020                |
| 42          | VILAR, JOSE VICENTE                     | 5,074,650           | 0.0019                |
| 43          | SAN JOSE, ROBERTO V.                    | 5,000,000           | 0.0019                |
|             | GO, JOHNNY                              | 5,000,000           | 0.0019                |
|             | SUMMIT SECURITIES, INC.                 | 5,000,000           | 0.0019                |
|             | TIU, TAN SHIN &/OR SUSANA TAN           | 5,000,000           | 0.0019                |
|             | TAN, MARILYN                            | 5,000,000           | 0.0019                |
|             | BUSTOS, JAIME                           | 5,000,000           | 0.0019                |
|             | CATHAY SECURITIES CO., INC. A/C#1116    | 5,000,000           | 0.0019                |
|             | YE WEI                                  | 5,000,000           | 0.0019                |
|             | KIM TIN SY                              | 5,000,000           | 0.0019                |
|             | ONG, WILLIAM D.                         | 5,000,000           | 0.0019                |
|             | TIN, ROSARIO                            | 5,000,000           | 0.0019                |

**UNITED PARAGON MINING CORPORATION**

## LIST OF TOP 100 STOCKHOLDERS

AS OF JUNE 30, 2021

| <b>RANK</b> | <b>NAME</b>  | <b>TOTAL SHARES</b> | <b>PERCENTAGE (%)</b> |
|-------------|--|---------------------|-----------------------|
|             | FEIST, ROSA G.                                     | 5,000,000           | 0.0019                |
|             | ARCE, JULIUS &/OR ERLINDA M.                       | 5,000,000           | 0.0019                |
|             | ALEGRADO, EDUARDO C.                               | 5,000,000           | 0.0019                |
|             | CU, JEOFFREY                                       | 5,000,000           | 0.0019                |
|             | SORIANO, CLARK A. &/OR CLARISA V. SORIANO          | 5,000,000           | 0.0019                |
|             | BARREIRO, MA. CECILIA C.                           | 5,000,000           | 0.0019                |
|             | CO, MERLE SY                                       | 5,000,000           | 0.0019                |
|             | MALIT, LUIS MANUEL P.                              | 5,000,000           | 0.0019                |
| 44          | CHOO, BLESILDA A.                                  | 4,750,000           | 0.0018                |
| 45          | PIERCE INTERLINK SECURITIES, INC.                  | 4,700,000           | 0.0018                |
| 46          | CRUZ, CRISELDA I.                                  | 4,625,000           | 0.0018                |
| 47          | FELICIANO, ARTHUR                                  | 4,500,000           | 0.0017                |
| 48          | REYES, AUGUSTIN PANGANIBAN DELOS                   | 4,350,000           | 0.0017                |
| 49          | CAMMAYO, ARTHUR                                    | 4,250,000           | 0.0016                |
|             | JOSE &/OR CONCHITA YAP                             | 4,250,000           | 0.0016                |
|             | TAN, MILTON  | 4,250,000           | 0.0016                |
| 50          | REYES, TERESITA R &/OR ADOLFO R. REYES             | 4,125,000           | 0.0016                |
| 51          | TAN, MANUEL TAN & SUSAN                            | 4,000,000           | 0.0015                |
| 52          | SUNTAY, ISABEL C.                                  | 3,970,600           | 0.0015                |
| 53          | SEC ACCOUNT FAO: VARIOUS CUSTOMERS OF GUOCO SEC. ( | 3,890,000           | 0.0015                |
| 54          | LIM JR., VICTOR Y.                                 | 3,861,050           | 0.0015                |
| 55          | REYES, VICTORIANO                                  | 3,825,000           | 0.0015                |
| 56          | CO, NOEL L.  | 3,750,000           | 0.0014                |
|             | SUN, TERESA  | 3,750,000           | 0.0014                |
|             | KHO, DAVID   | 3,750,000           | 0.0014                |
|             | MARFORI, SIMEON                                    | 3,750,000           | 0.0014                |
|             | TIA, ANGEL   | 3,750,000           | 0.0014                |
| 57          | HEIRS OF FIDEL & TERESITA REYES, INCORPORATED      | 3,625,000           | 0.0014                |
|             | BA SECURITIES, INC.                                | 3,625,000           | 0.0014                |
|             | TANMANTIONG, ERNESTO                               | 3,625,000           | 0.0014                |
| 58          | COJUANGCO, DONA JOSEPHINE M.                       | 3,529,400           | 0.0014                |
| 59          | CHIU, JOHNSON L.                                   | 3,525,000           | 0.0013                |
| 60          | MANRIQUE, JIMMY                                    | 3,500,000           | 0.0013                |
| 61          | CHAN, VICKY L.                                     | 3,325,050           | 0.0013                |
| 62          | REYES, ADOLFO R.                                   | 3,262,500           | 0.0012                |
| 63          | INVESTORS SECURITIES, INC.                         | 3,250,000           | 0.0012                |
|             | MENEZE, DELLA UY                                   | 3,250,000           | 0.0012                |
| 64          | BUMANGLAG, CONSTANTE P.                            | 3,125,000           | 0.0012                |
| 65          | LEE, JACQUELINE M.                                 | 3,037,500           | 0.0012                |
| 66          | MAPUA, MIGUEL                                      | 3,000,000           | 0.0011                |
|             | SALMIN, CECILE                                     | 3,000,000           | 0.0011                |
|             | LIM, MARIANO RUBEN                                 | 3,000,000           | 0.0011                |
|             | IGNACIO, NICANOR K.                                | 3,000,000           | 0.0011                |
| 67          | GARCIA, AUGUSTO Z.                                 | 2,750,000           | 0.0011                |
|             | GUILD SECURITIES, INC.                             | 2,750,000           | 0.0011                |
| 68          | OJALES, BABES                                      | 2,550,000           | 0.0010                |
| 69          | CHUA, ANITA CHUA &/OR ELY                          | 2,537,500           | 0.0010                |
| 70          | WOLFF & CO., INC.                                  | 2,529,000           | 0.0010                |
| 71          | RODRIGUEZ, JOAQUIN C.                              | 2,525,000           | 0.0010                |
| 72          | PEREZ, GEORGINA VERA                               | 2,500,000           | 0.0010                |
|             | TOWER SECURITIES, INC.                             | 2,500,000           | 0.0010                |
|             | RECTO, MANUEL D.                                   | 2,500,000           | 0.0010                |
|             | CITISECURITIES, INC.                               | 2,500,000           | 0.0010                |
|             | LETRAN, PACITA                                     | 2,500,000           | 0.0010                |
|             | CATHAY SECURITIES CO., INC. A/C#1621               | 2,500,000           | 0.0010                |
|             | RUFINO, JOSE MA.                                   | 2,500,000           | 0.0010                |
|             | CATBAGAN JR., CALIXTO C.                           | 2,500,000           | 0.0010                |
|             | CO, ROWENA   | 2,500,000           | 0.0010                |
|             | NGO, GONZALO                                       | 2,500,000           | 0.0010                |
|             | SAN SAN GO   | 2,500,000           | 0.0010                |

**UNITED PARAGON MINING CORPORATION**

## LIST OF TOP 100 STOCKHOLDERS

AS OF JUNE 30, 2021

| <b>RANK</b> | <b>NAME</b>                                 | <b>TOTAL SHARES</b> | <b>PERCENTAGE (%)</b> |
|-------------|---|---------------------|-----------------------|
|             | PE, ROMEO &/OR ESTHER PE                    | 2,500,000           | 0.0010                |
|             | GO, TERESITA GO &/OR SATURNINA              | 2,500,000           | 0.0010                |
|             | CONRADO M. &/OR LETICIA MOLINA              | 2,500,000           | 0.0010                |
|             | YU, DONATA                                  | 2,500,000           | 0.0010                |
|             | KHO, SALLY                                  | 2,500,000           | 0.0010                |
|             | UY, REYNALD C.                              | 2,500,000           | 0.0010                |
|             | LIM (JS), EDUARDO                           | 2,500,000           | 0.0010                |
|             | CATHAY SECURITIES CO., INC. A/C#2598        | 2,500,000           | 0.0010                |
|             | CHUA, SUSAN T.                              | 2,500,000           | 0.0010                |
|             | TAN, MANUEL C.                              | 2,500,000           | 0.0010                |
|             | CHIU, JOHNSON                               | 2,500,000           | 0.0010                |
|             | MARZAN, EDGARDO                             | 2,500,000           | 0.0010                |
|             | DINO, RICSON ENRICO T.                      | 2,500,000           | 0.0010                |
|             | KHU, CONSUELO DY                            | 2,500,000           | 0.0010                |
|             | ROXAS, JOSEPH T.                            | 2,500,000           | 0.0010                |
|             | LEE, ANDREW S.                              | 2,500,000           | 0.0010                |
|             | DOMINGO, RENATO T.                          | 2,500,000           | 0.0010                |
|             | LIM, SIN ENG                                | 2,500,000           | 0.0010                |
|             | TIU, ALFRED                                 | 2,500,000           | 0.0010                |
|             | ONG, BENSON                                 | 2,500,000           | 0.0010                |
|             | DY, BELEN L.                                | 2,500,000           | 0.0010                |
|             | LIM, ALICIA &/OR JOY &/OR RICHARD T.        | 2,500,000           | 0.0010                |
|             | KIONG, ELVIRA C.                            | 2,500,000           | 0.0010                |
|             | SYLIANTENG, CAESAR S.                       | 2,500,000           | 0.0010                |
|             | CHAN, BENITO CHAN &/OR KINGSLEY             | 2,500,000           | 0.0010                |
|             | CO, WILLIAM ONG                             | 2,500,000           | 0.0010                |
|             | GARROVILLO, FLOR M.                         | 2,500,000           | 0.0010                |
|             | ORTIGAS JR., RAFAEL O.                      | 2,500,000           | 0.0010                |
|             | MEDIDAS, TERESITO B.                        | 2,500,000           | 0.0010                |
| 73          | GAN, ROBERTO T.                             | 2,393,100           | 0.0009                |
| 74          | DE ROTAECHE, ALBERTO                        | 2,375,000           | 0.0009                |
| 75          | LIM, ANDRES G.                              | 2,250,000           | 0.0009                |
|             | Ocampo, Imelda                              | 2,250,000           | 0.0009                |
|             | DACANAY, LEONCIO G. &/OR AURORA S. DACANAY  | 2,250,000           | 0.0009                |
|             | GO, ALEX                                    | 2,250,000           | 0.0009                |
|             | SIAO, PATRICK                               | 2,250,000           | 0.0009                |
| 76          | VARGAS, FRANCISCO M.                        | 2,175,000           | 0.0008                |
|             | FELY LEE FONG SHENG                         | 2,175,000           | 0.0008                |
|             | DUMDUM, RO. SAMUEL M.                       | 2,175,000           | 0.0008                |
|             | REGINA CAPITAL DEV. CORP. 000757            | 2,175,000           | 0.0008                |
| 77          | FERNANDEZ, ADELFA M.                        | 2,025,000           | 0.0008                |
| 78          | REYES-LAO, HONORIO                          | 2,000,000           | 0.0008                |
|             | GONZALEZ, ANTHONY M.                        | 2,000,000           | 0.0008                |
|             | CHUAKAW, ERNESTO                            | 2,000,000           | 0.0008                |
|             | YU, MAYBELLINE                              | 2,000,000           | 0.0008                |
|             | PROCURADOR GENERAL DE PADRES FRANCISCANO DE | 2,000,000           | 0.0008                |
|             | MANILA                                      |                     |                       |
|             | GARCIA, MOISES Y.                           | 2,000,000           | 0.0008                |
|             | CIMAFRANCA, JUNJIE                          | 2,000,000           | 0.0008                |
|             | CHAN, CAROLINE H.                           | 2,000,000           | 0.0008                |
|             | ONG, PABLITO M.                             | 2,000,000           | 0.0008                |
|             | ASPILLERA, ANTHONY                          | 2,000,000           | 0.0008                |
| 79          | VELANDRIA, ADELAIDA (AIDA) T.               | 1,812,500           | 0.0007                |
| 80          | PAN ASIA SECURITIES CORPORATION             | 1,750,000           | 0.0007                |
|             | ONG, FRANCISCO K.                           | 1,750,000           | 0.0007                |
|             | ILAGAN, JIMMY A. &/OR EDITHA S. ILAGAN      | 1,750,000           | 0.0007                |
|             | LANUZA, ROGELIO A.                          | 1,750,000           | 0.0007                |
|             | KOA, ELIZABETH SEE                          | 1,750,000           | 0.0007                |
|             | ANGBUETE, EUGENE LORENZO                    | 1,750,000           | 0.0007                |
|             | QUIZON, IRENEO C.                           | 1,750,000           | 0.0007                |
|             | CHUA, EMELYN T.                             | 1,750,000           | 0.0007                |

**UNITED PARAGON MINING CORPORATION**

## LIST OF TOP 100 STOCKHOLDERS

AS OF JUNE 30, 2021

| <b>RANK</b> | <b>NAME</b>                              | <b>TOTAL SHARES</b> | <b>PERCENTAGE (%)</b> |
|-------------|--|---------------------|-----------------------|
| 81          | BALAGBAGAN, RUBEN F.                     | 1,700,000           | 0.0007                |
| 82          | DE LEON, ANTONIO                         | 1,625,000           | 0.0006                |
| 83          | JAVELLANA, EDUARDO &/OR TERESA JAVELLANA | 1,580,000           | 0.0006                |
| 84          | DELA FUENTE, ALFREDO E.                  | 1,550,000           | 0.0006                |
| 85          | MDR SECURITIES, INC.                     | 1,500,000           | 0.0006                |
|             | HEDY SONIDO, EDUARDO                     | 1,500,000           | 0.0006                |
|             | REYES JR., CESAR Z.                      | 1,500,000           | 0.0006                |
|             | PACHECO, PRISCILA R.                     | 1,500,000           | 0.0006                |
|             | DURANA, ROSITA                           | 1,500,000           | 0.0006                |
|             | NG, WAI SUN                              | 1,500,000           | 0.0006                |
|             | BARROZO, RAUL S.                         | 1,500,000           | 0.0006                |
|             | SY, RAMON CHUA                           | 1,500,000           | 0.0006                |
|             | DUQUE, ASTERIO T.                        | 1,500,000           | 0.0006                |
|             | LIM, STELLA                              | 1,500,000           | 0.0006                |
|             | PALMIANO, MICHAEL                        | 1,500,000           | 0.0006                |
| 86          | LUA, JONATHAN                            | 1,450,000           | 0.0006                |
|             | DELOS SANTOS, LIWAYWAY                   | 1,450,000           | 0.0006                |
|             | UY, ROBERT                               | 1,450,000           | 0.0006                |
| 87          | VICTORINO, ANALYN P.                     | 1,375,000           | 0.0005                |
|             | SAZON, EDUARDO &/OR EVELYN               | 1,375,000           | 0.0005                |
| 88          | DE CASTRO, ISAGANI                       | 1,350,000           | 0.0005                |
| 89          | SANDOVAL, FE NIEVA S. J.                 | 1,275,000           | 0.0005                |
| 90          | LOPEZ, EDUARDO S.                        | 1,250,000           | 0.0005                |
|             | DAVID GO SECURITIES CORPORATION          | 1,250,000           | 0.0005                |
|             | PICACHE, MIGUEL                          | 1,250,000           | 0.0005                |
|             | MENDOZA, ALBERTO &/OR JEANIE C. MENDOZA  | 1,250,000           | 0.0005                |
|             | VELANDRIA, AIDA                          | 1,250,000           | 0.0005                |
|             | BIANO, JANE                              | 1,250,000           | 0.0005                |
|             | DE ROTAECHÉ, ALBERTO                     | 1,250,000           | 0.0005                |
|             | TAN SHIN TIU                             | 1,250,000           | 0.0005                |
|             | G.D. TAN & COMPANY, INC.                 | 1,250,000           | 0.0005                |
|             | SY, RAMON                                | 1,250,000           | 0.0005                |
|             | REGINA CAPITAL DEVELOPMENT CORPORATION   | 1,250,000           | 0.0005                |
|             | A/C#000576                               | 1,250,000           | 0.0005                |
|             | GO, ALBERT                               | 1,250,000           | 0.0005                |
|             | CATHAY SECURITIES CO., INC. A/C#1831     | 1,250,000           | 0.0005                |
|             | AURORA SECURITIES, INC.                  | 1,250,000           | 0.0005                |
|             | MENDOZA, ALBERTO OR JEANIE               | 1,250,000           | 0.0005                |
|             | OBGUÍA, REYNALDO                         | 1,250,000           | 0.0005                |
|             | TOLEDO, CESAR RICARDO &/OR PACIFICO LUIS | 1,250,000           | 0.0005                |
|             | VIJANDRE                                 | 1,250,000           | 0.0005                |
|             | SY, RAMON                                | 1,250,000           | 0.0005                |
|             | CHIU, JOHNSON                            | 1,250,000           | 0.0005                |
|             | ANGELES, MIGUEL &/OR OPHELIA ANGELES     | 1,250,000           | 0.0005                |
|             | TACUB, PACIFICO                          | 1,250,000           | 0.0005                |
|             | J.M. BARCELON & COMPANY, INC. SINJ-001   | 1,250,000           | 0.0005                |
|             | GO ENG LU                                | 1,250,000           | 0.0005                |
|             | YU, PETER TIU                            | 1,250,000           | 0.0005                |
|             | MAPUA, RAFAEL                            | 1,250,000           | 0.0005                |
|             | DESQUITADO, BUENAVENTURA B.              | 1,250,000           | 0.0005                |
|             | TANCHAN, INGRID                          | 1,250,000           | 0.0005                |
|             | MORADA, MARINA CECILIA L.                | 1,250,000           | 0.0005                |
|             | GOTAMCO, AMELIA                          | 1,250,000           | 0.0005                |
|             | KING, ELNORA P.                          | 1,250,000           | 0.0005                |
|             | DIONNET, THOMAS                          | 1,250,000           | 0.0005                |
|             | CO, PAUL                                 | 1,250,000           | 0.0005                |
|             | EPINO, MARIO CARLO G.                    | 1,250,000           | 0.0005                |
|             | CO, DOROTHY G.                           | 1,250,000           | 0.0005                |
|             | SANTOS, LEONEL &/OR ALICIA               | 1,250,000           | 0.0005                |
|             | ESPINA, MA. LUISA                        | 1,250,000           | 0.0005                |
|             | LAM YU PING                              | 1,250,000           | 0.0005                |

**UNITED PARAGON MINING CORPORATION**

## LIST OF TOP 100 STOCKHOLDERS

AS OF JUNE 30, 2021

| <b>RANK</b> | <b>NAME</b>                                      | <b>TOTAL SHARES</b> | <b>PERCENTAGE (%)</b> |
|-------------|--|---------------------|-----------------------|
|             | CHAN, JENNIFER CHUA                              | 1,250,000           | 0.0005                |
|             | GONZALEZ, MARISSA R.                             | 1,250,000           | 0.0005                |
|             | MUNOZ, JANE                                      | 1,250,000           | 0.0005                |
|             | FERNANDEZ, LEANDRO B.                            | 1,250,000           | 0.0005                |
|             | YU, HERWIN T.                                    | 1,250,000           | 0.0005                |
|             | NGO, AMELIA DY                                   | 1,250,000           | 0.0005                |
|             | CRUZ, MA. ROGINA DELA                            | 1,250,000           | 0.0005                |
|             | CRUZ, JOHN VINCENT C. STA.                       | 1,250,000           | 0.0005                |
|             | GUILD SECURITIES, INC.                           | 1,250,000           | 0.0005                |
| 91          | PACHECO, PRISCILA R. &/OR REYNALDO D. PACHECO    | 1,225,000           | 0.0005                |
| 92          | RECTO, MANUEL D.                                 | 1,125,000           | 0.0004                |
|             | CARLOS, ADELAIDA ZITA R.                         | 1,125,000           | 0.0004                |
| 93          | HERNANDEZ, JUAN                                  | 1,087,500           | 0.0004                |
|             | RESPALL, SANDRA C.                               | 1,087,500           | 0.0004                |
| 94          | MURGA, MA.TERESA S. &/OR WILFRIDO SANCHEZ        | 1,075,000           | 0.0004                |
| 95          | WEALTH SECURITIES, INC.                          | 1,000,000           | 0.0004                |
|             | GARCIA, JESUS                                    | 1,000,000           | 0.0004                |
|             | GUANZON, ARTURO                                  | 1,000,000           | 0.0004                |
|             | LIM, ELISA ONG                                   | 1,000,000           | 0.0004                |
|             | SUAREZ, MA. ROSARIO                              | 1,000,000           | 0.0004                |
|             | SUAREZ, SERVIDEO                                 | 1,000,000           | 0.0004                |
|             | TAN, MAXIMO                                      | 1,000,000           | 0.0004                |
|             | GOHU, PATRICIA U.                                | 1,000,000           | 0.0004                |
|             | CRUZ JR., AUGUSTO A.                             | 1,000,000           | 0.0004                |
|             | UY, ROMEO UY AND/OR FE C.                        | 1,000,000           | 0.0004                |
|             | SIAO, MARK ANTHONY                               | 1,000,000           | 0.0004                |
|             | GUANZON, ARTURO                                  | 1,000,000           | 0.0004                |
|             | LAWSON,ESTHER A.VIBAL &/OR STELLA V &/OR AIDA V. | 1,000,000           | 0.0004                |
|             | G  |                     |                       |
|             | PENALOSA, EMILIO M.                              | 1,000,000           | 0.0004                |
|             | LIM, BELLA L. LIM &/OR LOUIE L.                  | 1,000,000           | 0.0004                |
|             | CHUA, LINDA CASES                                | 1,000,000           | 0.0004                |
|             | DY, MANUEL                                       | 1,000,000           | 0.0004                |
|             | SAAVEDRA, RAQUEL C.                              | 1,000,000           | 0.0004                |
|             | GUEVARA, ANNA GEORGINA                           | 1,000,000           | 0.0004                |
|             | HAPI ILOILO CORPORATION                          | 1,000,000           | 0.0004                |
|             | LLANTO, LIDUVINA C.                              | 1,000,000           | 0.0004                |
|             | REGINA CAPITAL DEV. CORP. 000971                 | 1,000,000           | 0.0004                |
| 96          | DOLOR, RODOLFO B.                                | 950,000             | 0.0004                |
|             | HILADO, MANUEL V.                                | 950,000             | 0.0004                |
| 97          | YAO & ZIALCITA, INC.                             | 946,050             | 0.0004                |
| 98          | DESIDERIO II, JOSE O.                            | 942,500             | 0.0004                |
| 99          | GARCIA III, PACIFICO L.                          | 937,500             | 0.0004                |
| 100         | BENEDICTO, DAVID DWIGHT C.                       | 900,000             | 0.0003                |

261,135,181,779



## OUTSTANDING BALANCES FOR A SPECIFIC COMPANY

Company Code - UPM000000000

Business Date: June 30, 2021

| BPNAME   | HOLDINGS      |
|--|---------------|
| UPCC SECURITIES CORP.                            | 60,015,000    |
| A & A SECURITIES, INC.                           | 248,517,500   |
| ABACUS SECURITIES CORPORATION                    | 1,761,853,899 |
| PHILSTOCKS FINANCIAL INC                         | 748,524,508   |
| A. T. DE CASTRO SECURITIES CORP.                 | 20,572,500    |
| ALL ASIA SECURITIES MANAGEMENT CORP.             | 15,000,000    |
| ALPHA SECURITIES CORP.                           | 174,425,000   |
| BA SECURITIES, INC.                              | 82,363,550    |
| AP SECURITIES INCORPORATED                       | 316,022,500   |
| ANSALDO, GODINEZ & CO., INC.                     | 1,024,547,250 |
| AB CAPITAL SECURITIES, INC.                      | 810,669,000   |
| SB EQUITIES, INC.                                | 264,362,500   |
| ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP. | 60,975,000    |
| ASIASEC EQUITIES, INC.                           | 62,208,750    |
| ASTRA SECURITIES CORPORATION                     | 8,675,000     |
| CHINA BANK SECURITIES CORPORATION                | 10,537,500    |
| BELSON SECURITIES, INC.                          | 249,521,100   |
| BENJAMIN CO CA & CO., INC.                       | 5,500,000     |
| B. H. CHUA SECURITIES CORPORATION                | 1,397,315,000 |
| JAKA SECURITIES CORP.                            | 1,613,412,666 |
| BPI SECURITIES CORPORATION                       | 1,009,918,567 |
| CAMPOS, LANUZA & COMPANY, INC.                   | 39,242,500    |
| SINCERE SECURITIES CORPORATION                   | 65,000,000    |
| CENTURY SECURITIES CORPORATION                   | 6,725,000     |
| CTS GLOBAL EQUITY GROUP, INC.                    | 68,675,568    |
| TRITON SECURITIES CORP.                          | 140,440,000   |
| IGC SECURITIES INC.                              | 170,345,000   |
| CUALOPING SECURITIES CORPORATION                 | 20,887,500    |
| DAVID GO SECURITIES CORP.                        | 26,500,000    |
| DIVERSIFIED SECURITIES, INC.                     | 173,370,000   |
| E. CHUA CHIACO SECURITIES, INC.                  | 391,070,000   |
| EQUITABLE SECURITIES (PHILS.) INC.               | 352,500       |
| EAST WEST CAPITAL CORPORATION                    | 5,757,350     |
| EASTERN SECURITIES DEVELOPMENT CORPORATION       | 285,367,000   |
| EQUITIWORLD SECURITIES, INC.                     | 1,890,117,404 |
| EVERGREEN STOCK BROKERAGE & SEC., INC.           | 808,540,000   |
| FINVEST SECURITIES CO., INC.                     | 50            |
| FIRST ORIENT SECURITIES, INC.                    | 27,473,004    |
| F. YAP SECURITIES, INC.                          | 308,650,050   |
| AURORA SECURITIES, INC.                          | 223,412,500   |
| GLOBALINKS SECURITIES & STOCKS, INC.             | 240,823,500   |
| JSG SECURITIES, INC.                             | 21,837,500    |
| GOLDSTAR SECURITIES, INC.                        | 107,300,000   |
| GUILD SECURITIES, INC.                           | 258,981,250   |
| HDI SECURITIES, INC.                             | 248,841,250   |
| H. E. BENNETT SECURITIES, INC.                   | 28,560,250    |
| HK SECURITIES, INC.                              | 82,843        |

| BPNAME  | HOLDINGS        |
|---|-----------------|
| I. ACKERMAN & CO., INC.                           | 7,512,500       |
| I. B. GIMENEZ SECURITIES, INC.                    | 24,975,000      |
| INVESTORS SECURITIES, INC,                        | 53,143,631      |
| IMPERIAL,DE GUZMAN,ABALOS & CO.,INC.              | 37,387,500      |
| INTRA-INVEST SECURITIES, INC.                     | 13,535,000      |
| ASIAN CAPITAL EQUITIES, INC.                      | 3,911,250       |
| J.M. BARCELON & CO., INC.                         | 8,777,842       |
| VALUE QUEST SECURITIES CORPORATION                | 40,100,000      |
| STRATEGIC EQUITIES CORP.                          | 53,525,000      |
| LARRGO SECURITIES CO., INC.                       | 5,000,000       |
| LUCKY SECURITIES, INC.                            | 32,100,000      |
| LUYS SECURITIES COMPANY, INC.                     | 110,050,000     |
| MANDARIN SECURITIES CORPORATION                   | 37,075,000      |
| MARINO OLONDRIZ Y CIA                             | 1,532,500       |
| COL Financial Group, Inc.                         | 2,116,497,615   |
| DA MARKET SECURITIES, INC.                        | 41,920,250      |
| MERCANTILE SECURITIES CORP.                       | 33,775,000      |
| MERIDIAN SECURITIES, INC.                         | 227,903,750     |
| MDR SECURITIES, INC.                              | 202,949,600     |
| REGIS PARTNERS, INC.                              | 897,850         |
| MOUNT PEAK SECURITIES, INC.                       | 11,668,750      |
| NEW WORLD SECURITIES CO., INC.                    | 39,987,500      |
| OPTIMUM SECURITIES CORPORATION                    | 12,788,184      |
| RCBC SECURITIES, INC.                             | 525,476,430     |
| PAN ASIA SECURITIES CORP.                         | 195,000,000     |
| PAPA SECURITIES CORPORATION                       | 118,073,550     |
| MAYBANK ATR KIM ENG SECURITIES, INC.              | 478,034,700     |
| PLATINUM SECURITIES, INC.                         | 270,400,000     |
| PNB SECURITIES, INC.                              | 227,293,750     |
| PREMIUM SECURITIES, INC.                          | 367,762,500     |
| PRYCE SECURITIES, INC.                            | 28,950          |
| SALISBURY BKT SECURITIES CORPORATION              | 35,525,000      |
| QUALITY INVESTMENTS & SECURITIES CORPORATION      | 424,650,000     |
| R & L INVESTMENTS, INC.                           | 862,500         |
| ALAKOR SECURITIES CORPORATION                     | 129,940,122,445 |
| R. COYIUTO SECURITIES, INC.                       | 191,513,650     |
| REGINA CAPITAL DEVELOPMENT CORPORATION            | 145,339,500     |
| R. NUBLA SECURITIES, INC.                         | 65,432,500      |
| AAA SOUTHEAST EQUITIES, INCORPORATED              | 153,093,750     |
| R. S. LIM & CO., INC.                             | 105,525,000     |
| RTG & COMPANY, INC.                               | 28,668,750      |
| S.J. ROXAS & CO., INC.                            | 13,250,000      |
| SECURITIES SPECIALISTS, INC.                      | 550,000         |
| FIDELITY SECURITIES, INC.                         | 15,500,000      |
| SUMMIT SECURITIES, INC.                           | 117,714,250     |
| STANDARD SECURITIES CORPORATION                   | 433,117,500     |
| SUPREME STOCKBROKERS, INC                         | 925,000         |
| TANSENGCO & CO., INC.                             | 288,837,500     |
| THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP. | 371,887,500     |
| TOWER SECURITIES, INC.                            | 314,205,000     |
| TRANS-ASIA SECURITIES, INC.                       | 3,625,000       |
| TRENDLINE SECURITIES CORPORATION                  | 7,825,000       |
| UCPB SECURITIES, INC.                             | 143,550,000     |

| <b>BPNAME</b>   | <b>HOLDINGS</b>        |
|---|------------------------|
| E.SECURITIES, INC.                                      | 542,500                |
| VENTURE SECURITIES, INC.                                | 21,595,300             |
| FIRST METRO SECURITIES BROKERAGE CORP.                  | 770,026,962            |
| WEALTH SECURITIES, INC.                                 | 1,090,221,050          |
| WESTLINK GLOBAL EQUITIES, INC.                          | 154,750,000            |
| BERNAD SECURITIES, INC.                                 | 47,975,000             |
| WONG SECURITIES CORPORATION                             | 29,750,050             |
| YAO & ZIALCITA, INC.                                    | 367,960,600            |
| YU & COMPANY, INC.                                      | 364,395,000            |
| BDO SECURITIES CORPORATION                              | 95,185,312,494         |
| EAGLE EQUITIES, INC.                                    | 41,811,250             |
| GOLDEN TOWER SECURITIES & HOLDINGS, INC.                | 106,187,500            |
| SOLAR SECURITIES, INC.                                  | 195,632,500            |
| G.D. TAN & COMPANY, INC.                                | 106,629,450            |
| PHILIPPINE EQUITY PARTNERS, INC.                        | 83,935,000             |
| UNICAPITAL SECURITIES INC.                              | 74,528,750             |
| SunSecurities, Inc.                                     | 86,000,000             |
| ARMSTRONG SECURITIES, INC.                              | 2,625,000              |
| TIMSON SECURITIES, INC.                                 | 26,820,000             |
| CITIBANK N.A.   | 62,750,000             |
| THE HONGKONG & SHANGHAI BANKING CORP. LTD. -OWN ACCOUNT | 50,000                 |
| DEUTSCHE BANK MANILA-CLIENTS A/C                        | 11,962,500             |
| STANDARD CHARTERED BANK                                 | 40,750,000             |
| UNITED PARAGON MINING CORP.                             | 200                    |
| <b>TOTAL</b>  | <b>252,476,303,362</b> |

If no written notice of any error or correction is received by PDTC within five (5) calendar days from receipt hereof, you shall be deemed to have accepted the accuracy and completeness of the details indicated in this report.