The PSE makes no representation on the accuracy, validity, correctness and completeness of the information stated in the respective PORs of listed companies. The PSE shall use the information contained in the POR submitted by the company in computing a company's weight in the index and this may be updated or adjusted consistent with the policy of the Exchange in managing the PSEi and sector indices.



# **United Paragon Mining Corporation UPM**

PSE Disclosure Form POR-1 - Public Ownership Report Reference: Amended Rule on Minimum Public Ownership

Report Type			
<ul><li>Monthly</li><li>Quarterly</li></ul>			
Others		 	
Report Date	Sep 30, 2021		

## **Computation of Public Ownership**

Number of Issued and Outstanding Common Shares	261,314,797,080
Less: Number of Treasury Common Shares, if any	0
Number of Outstanding Common Shares	261,314,797,080

#### Less:

#### A. Directors

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
ALFREDO C. RAMOS	318,976,817	0	318,976,817	0.12
PRESENTACION S. RAMOS	0	73,050,000	73,050,000	0.02
MAUREEN ALEXANDRA S. RAMOS	0	65,000,000	65,000,000	0.02
GERARD ANTON S. RAMOS	100,000	40,100,000	40,200,000	0.02
ADRIAN PAULINO S. RAMOS	500,000	0	500,000	0
CHRISTOPHER M. GOTANCO	500,000	90,312,500	90,812,500	0.03
EDUARDO B. CASTILLO	54,375,000	0	54,375,000	0.02
JOHN PETER C. HAGER	0	87,000,000	87,000,000	0.03
RENATO C. VALENCIA	0	1	1	0
	374,451,817	355,462,501	729,914,318	0.26

# B. Officers

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
ALFREDO C. RAMOS	-	-	-	-
GERARD ANTON S. RAMOS	-	-	-	-
ADRIAN PAULINO S. RAMOS	-	-	-	-
ADRIAN S, ARIAS	-	-	-	
GILBERT V. RABAGO	-	-	-	
IRIS MARIE U. CARPIO-DUQUE	-	-	-	
JOSEPHINE L. ILAS	-	-	-	
	0	0	0	0

# C. Principal/Substantial Stockholders

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
NATIONAL BOOK STORE INC		84,325,108,842	84,325,108,842	32.27
ALAKOR CORPORATION		53,884,038,981	53,884,038,981	20.52
ANGLO PHILIPPINE HOLDINGS CORPORATION	0	67,119,143,395	67,119,143,395	25.69
	0	205,328,291,218	205,328,291,218	78.48

## D. Affiliates

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares	
0	0	0	0	0	
	0	0	0	0	

## E. Government

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
0	0	0	0	0
	0	0	0	0

#### F. Banks

Name Direct Indirect Total direct & indirect shares	% to Total Outstanding Shares
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0	0	0	0	0	)	
	0	0	0	0		

## G. Employees

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares	
0	0	0	0	0	
	0	0	0	0	

## H. Lock-Up Shares

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares	
0	0	0	0	0	
	0	0	0	0	

#### I. Others

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares	
0	0	0	0	0	
	0	0	0	0	]

Number of Listed Common Shares	261,314,797,080
Total Number of Non-Public Shares	206,058,205,536
Total Number of Shares Owned by the Public	55,256,591,544
Public Ownership Percentage	21.15

#### **Other Relevant Information**

Note: Direct and Indirect shares of directors who are also officers of the Company are reflected under their shares as director.

# Filed on behalf by:

Name	Gilbert Rabago
Designation	Finance, Admin & Purchasing Manager