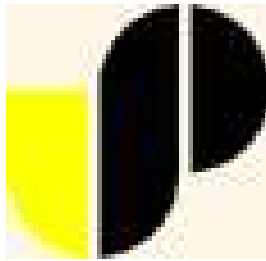


The PSE makes no representation on the accuracy, validity, correctness and completeness of the information stated in the respective PORs of listed companies. The PSE shall use the information contained in the POR submitted by the company in computing a company's weight in the index and this may be updated or adjusted consistent with the policy of the Exchange in managing the PSEI and sector indices.



## United Paragon Mining Corporation UPM

### PSE Disclosure Form POR-1 - Public Ownership Report Reference: Amended Rule on Minimum Public Ownership

#### Report Type

- ☐ Monthly  
☒ Quarterly  
☐ Others

Report Date	Sep 30, 2023
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#### Computation of Public Ownership

Number of Issued Common Shares	261,314,797,080
Less: Number of Treasury Common Shares, if any	0
Number of Outstanding Common Shares	261,314,797,080

#### Less :

##### A. Directors

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
PRESENTACION S. RAMOS	0	73,050,000	73,050,000	0.03
MAUREEN ALEXANDRA S. RAMOS-PADILLA	0	65,000,000	65,000,000	0.02
GERARD ANTON S. RAMOS	100,000	81,100,000	81,200,000	0.03
ADRIAN PAULINO S. RAMOS	500,000	0	500,000	0
CHRISTOPHER M. GOTANCO	500,000	90,312,500	90,812,500	0.03
ROMEO L. BATO	0	1,000,000	1,000,000	0
JOHN PETER C. HAGER	0	87,000,000	87,000,000	0.03
RENATO C. VALENCIA	0	1	1	0
NICHOLAS JUSTIN H. ANG	1,000,000	0	1,000,000	0
	2,100,000	397,462,501	399,562,501	0.14

##### B. Officers

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
GERARD ANTON S. RAMOS	0	0	0	0
ADRIAN PAULINO S. RAMOS	0	0	0	0
ADRIAN S. ARIAS	0	0	0	0
GILBERT V. RABAGO	0	0	0	0
IRIS MARIE U. CARPIO-DUQUE	0	0	0	0
JOSEPHINE L. ILAS	0	0	0	0

	0	0	0	0
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**C. Principal/Substantial Stockholders**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
NATIONAL BOOK STORE INC	0	84,325,108,842	84,325,108,842	32.27
ALAKOR CORPORATION	0	53,884,038,981	53,884,038,981	20.62
ANGLO PHILIPPINE HOLDINGS CORPORATION	0	67,119,143,395	67,119,143,395	25.69
	0	205,328,291,218	205,328,291,218	78.58

**D. Affiliates**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	0	0	0	0
	0	0	0	0

**E. Government**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	0	0	0	0
	0	0	0	0

**F. Banks**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	0	0	0	0
	0	0	0	0

**G. Employees**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	0	0	0	0
	0	0	0	0

**H. Lock-Up Shares**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	0	0	0	0
	0	0	0	0

**I. Others**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
ESTATE OF ALFREDO C. RAMOS	318,976,817	0	318,976,817	0.12
	318,976,817	0	318,976,817	0.12

Number of Listed Common Shares	261,314,797,080
Total Number of Non-Public Shares	206,046,830,536
Total Number of Shares Owned by the Public	55,267,966,544
Public Ownership Percentage	21.15

**Other Relevant Information**

Note: Direct and Indirect shares of directors who are also officers of the Company are reflected under their shares as director.

**Filed on behalf by:**

Name	Iris Marie Carpio-Duque
Designation	Primary Corporate Information Officer