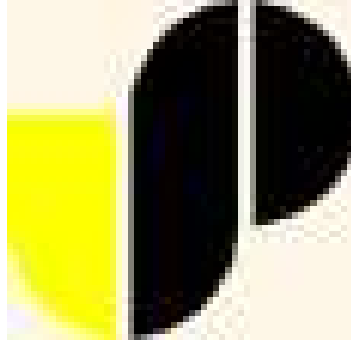


*The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.*



## United Paragon Mining Corporation UPM

**PSE Disclosure Form 17-12 - List of Top 100 Stockholders**  
*Reference: Section 17.12 of the Revised Disclosure Rules*

### Type of Securities

- Common  
 Preferred -  
 Others -

|                      |              |
|----------------------|--------------|
| For the period ended | Sep 30, 2018 |
|----------------------|--------------|

### Description of the Disclosure

In compliance with the requirements of the Exchange, we are submitting the Company's report on the Top 100 Stockholders including the Beneficial Ownership Report by Security (List of PCD Participants) as of September 30, 2018.

### Filed on behalf by:

|                    |                                       |
|--------------------|---------------------------------------|
| <b>Name</b>        | Iris Marie Carpio-Duque               |
| <b>Designation</b> | Primary Corporate Information Officer |



**UNITED PARAGON  
MINING CORPORATION  
Head Office**

5<sup>th</sup> Floor, Quad Alpha Centrum Bldg.  
125 Pioneer Street, Mandaluyong City,  
Philippines Tel. no. (632) 631-5139  
Fax No. (632) 631-3113  
www.unitedparagon.com

October 09, 2018

**Philippine Stock Exchange, Inc.**

Attn: Ms. Janet A. Encarnacion  
Head, Disclosures Department  
5th Avenue corner 28th Street  
Bonifacio Global City, Taguig City,  
Philippines 1634

**Securities and Exchange Commission**

Attn: Ms. Justina F. Callangan  
Director, Corporation Finance Department  
Secretariat Building, PICC Complex  
Roxas Boulevard, Metro Manila Philippines

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Ladies and Gentlemen:

In compliance with the requirements of the Exchange & the Commission, we are submitting the Company's report on the Top 100 Stockholders including the Beneficial Ownership Report by Security (List of PCD Participants) as of September 30, 2018.

Thank you.

Very truly yours,

**Iris Marie U. Carpio-Duque**  
Corporate Secretary / Compliance Officer /  
Corporate Information Officer

# UNITED PARAGON MINING CORPORATION

LIST OF TOP 100 STOCKHOLDERS

AS OF SEPTEMBER 30, 2018

| RANK | NAME                                    | CTZN              | TOTAL SHARES    | PERCENTAGE (%) |
|------|---|-------------------|-----------------|----------------|
| 1    | PCD NOMINEE CORPORATION                 | FILIPINO / OTHERS | 252,778,142,629 | 96 7332        |
| 2    | LANCASTER HOLDINGS LIMITED              | OTHERS            | 5,235,537,900   | 2 0035         |
| 3    | CAMARINES MINERALS, INC.                | FILIPINO          | 1,252,097,050   | 0 4792         |
| 4    | ENRILE II, WILLIAM RAGOS                | FILIPINO          | 250,000,000     | 0 0957         |
| 5    | ALAKOR SECURITIES CORPORATION           | FILIPINO          | 199,653,850     | 0 0764         |
| 6    | LORENZO JR., LUIS P.                    | FILIPINO          | 109,250,000     | 0 0418         |
| 7    | YAN, LUCIO W.                           | FILIPINO          | 100,000,000     | 0 0383         |
| 8    | SY TIONG SHIOU &/OR JUANITA S. TAN      | FILIPINO          | 93,500,000      | 0 0358         |
| 9    | VALMORA INVESTMENT AND MANAGEMENT CORP. | FILIPINO          | 80,000,000      | 0 0306         |
| 10   | CASTILLO, EDUARDO B.                    | FILIPINO          | 54,375,000      | 0 0208         |
| 11   | CASTANEDA, ISA F.                       | FILIPINO          | 50,000,000      | 0 0191         |
| 12   | KERRY SECURITIES (PHILS.), INC. RPS01   | FILIPINO          | 49,250,000      | 0 0188         |
| 13   | LIM III, JOSE A.                        | FILIPINO          | 22,500,000      | 0 0086         |
| 14   | CORRO, ANTONIO SEBASTIAN T.             | FILIPINO          | 20,000,000      | 0 0077         |
| 15   | KEH, BENITO                             | FILIPINO          | 18,000,000      | 0 0069         |
| 16   | PONIO, BEN AZEL S.                      | FILIPINO          | 17,500,000      | 0 0067         |
| 17   | CHU, BERNARD                            | FILIPINO          | 15,000,000      | 0 0057         |
|      | CHIU, JOHNSON CHIU &/OR VICKY           | FILIPINO          | 15,000,000      | 0 0057         |
| 18   | LEE, DANIEL U.                          | FILIPINO          | 12,687,500      | 0 0049         |
| 19   | HYDEE MANAGEMENT & RESOURCE CORP.       | FILIPINO          | 12,600,600      | 0 0048         |
| 20   | SAN JOSE, ROBERTO V.                    | FILIPINO          | 12,600,000      | 0 0048         |
| 21   | TAN SHIN TIU &/OR SUSANA TAN            | FILIPINO          | 12,500,000      | 0 0048         |
|      | ONG, JOSEPH                             | FILIPINO          | 12,500,000      | 0 0048         |
| 22   | TAN, MICHAEL L.                         | FILIPINO          | 10,000,000      | 0 0038         |
|      | REYES-HANGAD, CELIA                     | AMERICAN          | 10,000,000      | 0 0038         |
| 23   | CRUZ, ELIODORO C.                       | FILIPINO          | 9,350,000       | 0 0036         |
| 24   | THE MARSMAN FOUNDATION, INC.            | FILIPINO          | 8,947,500       | 0 0034         |
| 25   | CANG, ANTONIO                           | FILIPINO          | 8,000,000       | 0 0031         |
| 26   | GAMBOA, PRISCILLA TEEHANKEE             | FILIPINO          | 7,750,000       | 0 0030         |
| 27   | EBC SECURITIES CORPORATION              | FILIPINO          | 7,602,500       | 0 0029         |
| 28   | FILICIANO, GEORGE                       | FILIPINO          | 7,500,000       | 0 0029         |
|      | TIN, ROSARIO                            | FILIPINO          | 7,500,000       | 0 0029         |
| 29   | ONG, BERNARD &/OR CONCHITA ONG          | FILIPINO          | 7,000,000       | 0 0027         |
|      | CHIU, JOHNSON L.                        | FILIPINO          | 7,000,000       | 0 0027         |
| 30   | CONNELL SECURITIES, INCORPORATED        | FILIPINO          | 6,750,000       | 0 0026         |
| 31   | CONSOLACION, THOMAS S.                  | FILIPINO          | 6,502,500       | 0 0025         |
| 32   | OBALDO, HILARIO O.                      | FILIPINO          | 6,500,000       | 0 0025         |
| 33   | CRUZ, AUGUSTO C.                        | FILIPINO          | 6,397,800       | 0 0024         |

# UNITED PARAGON MINING CORPORATION

LIST OF TOP 100 STOCKHOLDERS

AS OF SEPTEMBER 30, 2018

| RANK | NAME   | CTZN                 | TOTAL SHARES           | PERCENTAGE (%)   |
|------|--|----------------------|------------------------|------------------|
| 34   | CHUA, WILLIAM H. &/OR TITA P.<br>CHUA        | FILIPINO             | 6,000,000              | 0 0023           |
| 35   | POBLADOR III, HONORIO                        | FILIPINO             | 5,882,400              | 0 0023           |
| 36   | TAN SHIN TIU                                 | FILIPINO             | 5,768,100              | 0 0022           |
| 37   | BENJAMIN CO CA & COMPANY, INC.               | FILIPINO             | 5,645,000              | 0 0022           |
| 38   | ANSALDO, GODINEZ & COMPANY,<br>INC.          | FILIPINO             | 5,562,500              | 0 0021           |
| 39   | MARINO OLONDRIZ Y CIA                        | FILIPINO             | 5,550,000              | 0 0021           |
| 40   | SUN, THERESA                                 | FILIPINO             | 5,312,500              | 0 0020           |
| 41   | YAP, MICHAEL V.                              | FILIPINO             | 5,250,000              | 0 0020           |
| 42   | VILAR, JOSE VICENTE                          | FILIPINO             | 5,074,650              | 0 0019           |
| 43   | SAN JOSE, ROBERTO V.<br>GO, JOHNNY           | FILIPINO<br>FILIPINO | 5,000,000<br>5,000,000 | 0 0019<br>0 0019 |
|      | SUMMIT SECURITIES, INC.                      | FILIPINO             | 5,000,000              | 0 0019           |
|      | TIU, TAN SHIN &/OR SUSANA TAN                | FILIPINO             | 5,000,000              | 0 0019           |
|      | TAN, MARILYN                                 | FILIPINO             | 5,000,000              | 0 0019           |
|      | BUSTOS, JAIME                                | FILIPINO             | 5,000,000              | 0 0019           |
|      | CATHAY SECURITIES CO., INC.<br>A/C#1116      | FILIPINO             | 5,000,000              | 0 0019           |
|      | YE WEI                                       | FILIPINO             | 5,000,000              | 0 0019           |
|      | KIM TIN SY                                   | FILIPINO             | 5,000,000              | 0 0019           |
|      | ONG, WILLIAM D.                              | FILIPINO             | 5,000,000              | 0 0019           |
|      | TIN, ROSARIO                                 | FILIPINO             | 5,000,000              | 0 0019           |
|      | FEIST, ROSA G.                               | FILIPINO             | 5,000,000              | 0 0019           |
|      | ARCE, JULIUS &/OR ERLINDA M.                 | FILIPINO             | 5,000,000              | 0 0019           |
|      | ALEGRADO, EDUARDO C.                         | FILIPINO             | 5,000,000              | 0 0019           |
|      | CU, JEOFFREY                                 | FILIPINO             | 5,000,000              | 0 0019           |
|      | SORIANO, CLARK A. &/OR CLARISA V.<br>SORIANO | FILIPINO             | 5,000,000              | 0 0019           |
|      | BARREIRO, MA. CECILIA C.                     | FILIPINO             | 5,000,000              | 0 0019           |
|      | CO, MERLE SY                                 | CHINESE              | 5,000,000              | 0 0019           |
|      | MALIT, LUIS MANUEL P.                        | FILIPINO             | 5,000,000              | 0 0019           |
| 44   | CHOO, BLESILDA A.                            | FILIPINO             | 4,750,000              | 0 0018           |
| 45   | PIERCE INTERLINK SECURITIES, INC.            | FILIPINO             | 4,700,000              | 0 0018           |
| 46   | CRUZ, CRISELDA I.                            | FILIPINO             | 4,625,000              | 0 0018           |
| 47   | FELICIANO, ARTHUR                            | FILIPINO             | 4,500,000              | 0 0017           |
| 48   | REYES, AUGUSTIN PANGANIBAN<br>DELOS          | FILIPINO             | 4,350,000              | 0 0017           |
| 49   | CAMMAYO, ARTHUR                              | FILIPINO             | 4,250,000              | 0 0016           |
|      | JOSE &/OR CONCHITA YAP                       | FILIPINO             | 4,250,000              | 0 0016           |
|      | TAN, MILTON                                  | FILIPINO             | 4,250,000              | 0 0016           |
| 50   | REYES, TERESITA R &/OR ADOLFO R.<br>REYES    | FILIPINO             | 4,125,000              | 0 0016           |
| 51   | TAN, MANUEL TAN & SUSAN                      | FILIPINO             | 4,000,000              | 0 0015           |
| 52   | SUNTAY, ISABEL C.                            | FILIPINO             | 3,970,600              | 0 0015           |

# UNITED PARAGON MINING CORPORATION

LIST OF TOP 100 STOCKHOLDERS

AS OF SEPTEMBER 30, 2018

| RANK | NAME   | CTZN     | TOTAL SHARES | PERCENTAGE (%) |
|------|--|----------|--------------|----------------|
| 53   | SEC ACCOUNT FAO: VARIOUS CUSTOMERS OF GUOCO SEC. ( | FILIPINO | 3,890,000    | 0 0015         |
| 54   | LIM JR., VICTOR Y.                                 | FILIPINO | 3,861,050    | 0 0015         |
| 55   | REYES, VICTORIANO                                  | FILIPINO | 3,825,000    | 0 0015         |
| 56   | CO, NOEL L.  | FILIPINO | 3,750,000    | 0 0014         |
|      | SUN, TERESA  | FILIPINO | 3,750,000    | 0 0014         |
|      | KHO, DAVID   | FILIPINO | 3,750,000    | 0 0014         |
|      | MARFORI, SIMEON                                    | FILIPINO | 3,750,000    | 0 0014         |
|      | TIA, ANGEL   | FILIPINO | 3,750,000    | 0 0014         |
| 57   | TRANS-ASIA SECURITIES, INC.                        | FILIPINO | 3,625,000    | 0 0014         |
|      | HEIRS OF FIDEL & TERESITA REYES, INCORPORATED      | FILIPINO | 3,625,000    | 0 0014         |
|      | BA SECURITIES, INC.                                | FILIPINO | 3,625,000    | 0 0014         |
|      | TANMANTIONG, ERNESTO                               | FILIPINO | 3,625,000    | 0 0014         |
| 58   | COJUANGCO, DONA JOSEPHINE M.                       | FILIPINO | 3,529,400    | 0 0014         |
| 59   | CHIU, JOHNSON L.                                   | FILIPINO | 3,525,000    | 0 0013         |
| 60   | MANRIQUE, JIMMY                                    | FILIPINO | 3,500,000    | 0 0013         |
|      | MOLANO, ROMEO B                                    | FILIPINO | 3,500,000    | 0 0013         |
| 61   | CHAN, VICKY L.                                     | FILIPINO | 3,325,050    | 0 0013         |
| 62   | REYES, ADOLFO R.                                   | FILIPINO | 3,262,500    | 0 0012         |
| 63   | INVESTORS SECURITIES, INC.                         | FILIPINO | 3,250,000    | 0 0012         |
|      | MENEZE, DELLA UY                                   | FILIPINO | 3,250,000    | 0 0012         |
| 64   | BUMANGLAG, CONSTANTE P.                            | FILIPINO | 3,125,000    | 0 0012         |
| 65   | LEE, JACQUELINE M.                                 | FILIPINO | 3,037,500    | 0 0012         |
| 66   | MAPUA, MIGUEL                                      | FILIPINO | 3,000,000    | 0 0011         |
|      | SALMIN, CECILE                                     | AMERICAN | 3,000,000    | 0 0011         |
|      | LIM, MARIANO RUBEN                                 | FILIPINO | 3,000,000    | 0 0011         |
|      | IGNACIO, NICANOR K.                                | FILIPINO | 3,000,000    | 0 0011         |
| 67   | GARCIA, AUGUSTO Z.                                 | FILIPINO | 2,750,000    | 0 0011         |
|      | GUILD SECURITIES, INC.                             | FILIPINO | 2,750,000    | 0 0011         |
| 68   | OJALES, BABES                                      | FILIPINO | 2,550,000    | 0 0010         |
| 69   | CHUA, ANITA CHUA &/OR ELY                          | FILIPINO | 2,537,500    | 0 0010         |
| 70   | WOLFF & CO., INC.                                  | FILIPINO | 2,529,000    | 0 0010         |
| 71   | RODRIGUEZ, JOAQUIN C.                              | FILIPINO | 2,525,000    | 0 0010         |
| 72   | PEREZ, GEORGINA VERA                               | FILIPINO | 2,500,000    | 0 0010         |
|      | TOWER SECURITIES, INC.                             | FILIPINO | 2,500,000    | 0 0010         |
|      | RECTO, MANUEL D.                                   | FILIPINO | 2,500,000    | 0 0010         |
|      | CITISECURITIES, INC.                               | FILIPINO | 2,500,000    | 0 0010         |
|      | LETRAN, PACITA                                     | FILIPINO | 2,500,000    | 0 0010         |
|      | CATHAY SECURITIES CO., INC. A/C#1621               | FILIPINO | 2,500,000    | 0 0010         |
|      | RUFINO, JOSE MA.                                   | FILIPINO | 2,500,000    | 0 0010         |
|      | CATBAGAN JR., CALIXTO C.                           | FILIPINO | 2,500,000    | 0 0010         |
|      | RAMOS, BENJAMIN C.                                 | FILIPINO | 2,500,000    | 0 0010         |
|      | CO, ROWENA   | FILIPINO | 2,500,000    | 0 0010         |

# UNITED PARAGON MINING CORPORATION

LIST OF TOP 100 STOCKHOLDERS

AS OF SEPTEMBER 30, 2018

| RANK | NAME  | CTZN     | TOTAL SHARES | PERCENTAGE (%) |
|------|---|----------|--------------|----------------|
|      | NGO, GONZALO                                  | FILIPINO | 2,500,000    | 0 0010         |
|      | SAN SAN GO                                    | FILIPINO | 2,500,000    | 0 0010         |
|      | PE, ROMEO &/OR ESTHER PE                      | FILIPINO | 2,500,000    | 0 0010         |
|      | GO, TERESITA GO &/OR SATURNINA                | FILIPINO | 2,500,000    | 0 0010         |
|      | LUISA CO LI                                   | FILIPINO | 2,500,000    | 0 0010         |
|      | CONRADO M. &/OR LETICIA MOLINA                | FILIPINO | 2,500,000    | 0 0010         |
|      | YU, DONATA                                    | FILIPINO | 2,500,000    | 0 0010         |
|      | KHO, SALLY                                    | FILIPINO | 2,500,000    | 0 0010         |
|      | UY, REYNALD C.                                | FILIPINO | 2,500,000    | 0 0010         |
|      | LIM (JS), EDUARDO                             | FILIPINO | 2,500,000    | 0 0010         |
|      | CATHAY SECURITIES CO., INC.<br>A/C#2598       | FILIPINO | 2,500,000    | 0 0010         |
|      | CHUA, SUSAN T.                                | FILIPINO | 2,500,000    | 0 0010         |
|      | TAN, MANUEL C.                                | FILIPINO | 2,500,000    | 0 0010         |
|      | CHIU, JOHNSON                                 | FILIPINO | 2,500,000    | 0 0010         |
|      | MARZAN, EDGARDO                               | FILIPINO | 2,500,000    | 0 0010         |
|      | DINO, RICSON ENRICO T.                        | FILIPINO | 2,500,000    | 0 0010         |
|      | KHU, CONSUELO DY                              | FILIPINO | 2,500,000    | 0 0010         |
|      | ROXAS, JOSEPH T.                              | FILIPINO | 2,500,000    | 0 0010         |
|      | LEE, ANDREW S.                                | FILIPINO | 2,500,000    | 0 0010         |
|      | DOMINGO, RENATO T.                            | FILIPINO | 2,500,000    | 0 0010         |
|      | LIM, SIN ENG                                  | FILIPINO | 2,500,000    | 0 0010         |
|      | TIU, ALFRED                                   | FILIPINO | 2,500,000    | 0 0010         |
|      | ONG, BENSON                                   | FILIPINO | 2,500,000    | 0 0010         |
|      | DY, BELEN L.                                  | FILIPINO | 2,500,000    | 0 0010         |
|      | LIM, ALICIA &/OR JOY &/OR RICHARD<br>T.       | FILIPINO | 2,500,000    | 0 0010         |
|      | KIONG, ELVIRA C.                              | FILIPINO | 2,500,000    | 0 0010         |
|      | SYLIANTENG, CAESAR S.                         | FILIPINO | 2,500,000    | 0 0010         |
|      | CHAN, BENITO CHAN &/OR KINGSLEY               | FILIPINO | 2,500,000    | 0 0010         |
|      | CO, WILLIAM ONG                               | FILIPINO | 2,500,000    | 0 0010         |
|      | GARROVILLO, FLOR M.                           | FILIPINO | 2,500,000    | 0 0010         |
|      | ORTIGAS JR., RAFAEL O.                        | FILIPINO | 2,500,000    | 0 0010         |
|      | MEDIDAS, TERESITO B.                          | FILIPINO | 2,500,000    | 0 0010         |
| 73   | GAN, ROBERTO T.                               | FILIPINO | 2,393,100    | 0 0009         |
| 74   | DE ROTAEACHE, ALBERTO                         | FILIPINO | 2,375,000    | 0 0009         |
| 75   | LIM, ANDRES G.                                | FILIPINO | 2,250,000    | 0 0009         |
|      | OCAMPO, IMELDA                                | FILIPINO | 2,250,000    | 0 0009         |
|      | DACANAY, LEONCIO G. &/OR AURORA<br>S. DACANAY | FILIPINO | 2,250,000    | 0 0009         |
|      | GO, ALEX                                      | FILIPINO | 2,250,000    | 0 0009         |
|      | SIAO, PATRICK                                 | FILIPINO | 2,250,000    | 0 0009         |
| 76   | VARGAS, FRANCISCO M.                          | FILIPINO | 2,175,000    | 0 0008         |
|      | FELY LEE FONG SHENG                           | FILIPINO | 2,175,000    | 0 0008         |

**UNITED PARAGON MINING CORPORATION**

LIST OF TOP 100 STOCKHOLDERS

AS OF SEPTEMBER 30, 2018

| <b>RANK</b> | <b>NAME</b>   | <b>CTZN</b> | <b>TOTAL SHARES</b> | <b>PERCENTAGE (%)</b> |
|-------------|---|-------------|---------------------|-----------------------|
|             | DUMDUM, RO. SAMUEL M.                                 | FILIPINO    | 2,175,000           | 0 0008                |
|             | REGINA CAPITAL DEV. CORP. 000757                      | FILIPINO    | 2,175,000           | 0 0008                |
| 77          | FERNANDEZ, ADELFA M.                                  | FILIPINO    | 2,025,000           | 0 0008                |
| 78          | REYES-LAO, HONORIO                                    | FILIPINO    | 2,000,000           | 0 0008                |
|             | GONZALEZ, ANTHONY M.                                  | FILIPINO    | 2,000,000           | 0 0008                |
|             | CHUAKAW, ERNESTO                                      | FILIPINO    | 2,000,000           | 0 0008                |
|             | YU, MAYBELLINE  | FILIPINO    | 2,000,000           | 0 0008                |
|             | PROCURADOR GENERAL DE PADRES<br>FRANCISCANO DE MANILA | FILIPINO    | 2,000,000           | 0 0008                |
|             | GARCIA, MOISES Y.                                     | FILIPINO    | 2,000,000           | 0 0008                |
|             | CIMAFRANCA, JUNJIE                                    | FILIPINO    | 2,000,000           | 0 0008                |
|             | CHAN, CAROLINE H.                                     | FILIPINO    | 2,000,000           | 0 0008                |
|             | ONG, PABLITO M.                                       | FILIPINO    | 2,000,000           | 0 0008                |
|             | ASPILLERA, ANTHONY                                    | FILIPINO    | 2,000,000           | 0 0008                |
| 79          | VELANDRIA, ADELAIDA (AIDA) T.                         | FILIPINO    | 1,812,500           | 0 0007                |
| 80          | PAN ASIA SECURITIES CORPORATION                       | FILIPINO    | 1,750,000           | 0 0007                |
|             | ONG, FRANCISCO K.                                     | FILIPINO    | 1,750,000           | 0 0007                |
|             | ILAGAN, JIMMY A. &/OR EDITHA S.<br>ILAGAN             | FILIPINO    | 1,750,000           | 0 0007                |
|             | LANUZA, ROGELIO A.                                    | FILIPINO    | 1,750,000           | 0 0007                |
|             | KOA, ELIZABETH SEE                                    | CHINESE     | 1,750,000           | 0 0007                |
|             | ANGBUETE, EUGENE LORENZO                              | FILIPINO    | 1,750,000           | 0 0007                |
|             | QUIZON, IRENEO C.                                     | FILIPINO    | 1,750,000           | 0 0007                |
|             | CHUA, EMELYN T.                                       | FILIPINO    | 1,750,000           | 0 0007                |
| 81          | BALAGBAGAN, RUBEN F.                                  | FILIPINO    | 1,700,000           | 0 0007                |
| 82          | DE LEON, ANTONIO                                      | FILIPINO    | 1,625,000           | 0 0006                |
| 83          | JAVELLANA, EDUARDO &/OR TERESA<br>JAVELLANA           | FILIPINO    | 1,580,000           | 0 0006                |
| 84          | DELA FUENTE, ALFREDO E.                               | FILIPINO    | 1,550,000           | 0 0006                |
| 85          | MDR SECURITIES, INC.                                  | FILIPINO    | 1,500,000           | 0 0006                |
|             | HEDY SONIDO, EDUARDO                                  | FILIPINO    | 1,500,000           | 0 0006                |
|             | REYES JR., CESAR Z.                                   | FILIPINO    | 1,500,000           | 0 0006                |
|             | PACHECO, PRISCILA R.                                  | FILIPINO    | 1,500,000           | 0 0006                |
|             | DURANA, ROSITA  | FILIPINO    | 1,500,000           | 0 0006                |
|             | NG, WAI SUN   | FILIPINO    | 1,500,000           | 0 0006                |
|             | BARROZO, RAUL S.                                      | FILIPINO    | 1,500,000           | 0 0006                |
|             | SY, RAMON CHUA  | FILIPINO    | 1,500,000           | 0 0006                |
|             | DUQUE, ASTERIO T.                                     | FILIPINO    | 1,500,000           | 0 0006                |
|             | LIM, STELLA   | FILIPINO    | 1,500,000           | 0 0006                |
|             | PALMIANO, MICHAEL                                     | FILIPINO    | 1,500,000           | 0 0006                |
| 86          | LUA, JONATHAN   | FILIPINO    | 1,450,000           | 0 0006                |
|             | DELOS SANTOS, LIWAYWAY                                | FILIPINO    | 1,450,000           | 0 0006                |
|             | UY, ROBERT  | FILIPINO    | 1,450,000           | 0 0006                |
| 87          | VICTORINO, ANALYN P.                                  | FILIPINO    | 1,375,000           | 0 0005                |

# UNITED PARAGON MINING CORPORATION

LIST OF TOP 100 STOCKHOLDERS

AS OF SEPTEMBER 30, 2018

| RANK | NAME  | CTZN     | TOTAL SHARES | PERCENTAGE (%) |
|------|---|----------|--------------|----------------|
|      | SAZON, EDUARDO &/OR EVELYN                        | FILIPINO | 1,375,000    | 0 0005         |
| 88   | DE CASTRO, ISAGANI                                | FILIPINO | 1,350,000    | 0 0005         |
| 89   | SANDOVAL, FE NIEVA S. J.                          | FILIPINO | 1,275,000    | 0 0005         |
| 90   | LOPEZ, EDUARDO S.                                 | FILIPINO | 1,250,000    | 0 0005         |
|      | DAVID GO SECURITIES CORPORATION                   | FILIPINO | 1,250,000    | 0 0005         |
|      | PICACHE, MIGUEL                                   | FILIPINO | 1,250,000    | 0 0005         |
|      | MENDOZA, ALBERTO &/OR JEANIE C. MENDOZA           | FILIPINO | 1,250,000    | 0 0005         |
|      | VELANDRIA, AIDA                                   | FILIPINO | 1,250,000    | 0 0005         |
|      | BIANO, JANE                                       | FILIPINO | 1,250,000    | 0 0005         |
|      | DE ROTAECHE, ALBERTO                              | FILIPINO | 1,250,000    | 0 0005         |
|      | TAN SHIN TIU                                      | FILIPINO | 1,250,000    | 0 0005         |
|      | G.D. TAN & COMPANY, INC.                          | FILIPINO | 1,250,000    | 0 0005         |
|      | SY, RAMON   | FILIPINO | 1,250,000    | 0 0005         |
|      | REGINA CAPITAL DEVELOPMENT CORPORATION A/C#000576 | FILIPINO | 1,250,000    | 0 0005         |
|      | GO, ALBERT  | FILIPINO | 1,250,000    | 0 0005         |
|      | CATHAY SECURITIES CO., INC. A/C#1831              | FILIPINO | 1,250,000    | 0 0005         |
|      | AURORA SECURITIES, INC.                           | FILIPINO | 1,250,000    | 0 0005         |
|      | MENDOZA, ALBERTO OR JEANIE                        | FILIPINO | 1,250,000    | 0 0005         |
|      | OBGUIA, REYNALDO                                  | FILIPINO | 1,250,000    | 0 0005         |
|      | TOLEDO, CESAR RICARDO &/OR PACIFICO LUIS VIJANDRE | FILIPINO | 1,250,000    | 0 0005         |
|      | SY, RAMON   | FILIPINO | 1,250,000    | 0 0005         |
|      | CHIU, JOHNSON                                     | FILIPINO | 1,250,000    | 0 0005         |
|      | ANGELES, MIGUEL &/OR OPHELIA ANGELES              | FILIPINO | 1,250,000    | 0 0005         |
|      | TACUB, PACIFICO                                   | FILIPINO | 1,250,000    | 0 0005         |
|      | J.M. BARCELON & COMPANY, INC. SINJ-001            | FILIPINO | 1,250,000    | 0 0005         |
|      | WARD, MADILYN O.                                  | FILIPINO | 1,250,000    | 0 0005         |
|      | GO ENG LU   | FILIPINO | 1,250,000    | 0 0005         |
|      | YU, PETER TIU                                     | FILIPINO | 1,250,000    | 0 0005         |
|      | MAPUA, RAFAEL                                     | FILIPINO | 1,250,000    | 0 0005         |
|      | DESQUITADO, BUENAVENTURA B.                       | FILIPINO | 1,250,000    | 0 0005         |
|      | TANCHAN, INGRID                                   | FILIPINO | 1,250,000    | 0 0005         |
|      | MORADA, MARINA CECILIA L.                         | FILIPINO | 1,250,000    | 0 0005         |
|      | GOTAMCO, AMELIA                                   | FILIPINO | 1,250,000    | 0 0005         |
|      | KING, ELNORA P.                                   | FILIPINO | 1,250,000    | 0 0005         |
|      | DIONNET, THOMAS                                   | FILIPINO | 1,250,000    | 0 0005         |
|      | CO, PAUL  | FILIPINO | 1,250,000    | 0 0005         |
|      | EPINO, MARIO CARLO G.                             | FILIPINO | 1,250,000    | 0 0005         |
|      | CO, DOROTHY G.                                    | FILIPINO | 1,250,000    | 0 0005         |
|      | SANTOS, LEONEL &/OR ALICIA                        | FILIPINO | 1,250,000    | 0 0005         |



# UNITED PARAGON MINING CORPORATION

LIST OF TOP 100 STOCKHOLDERS

AS OF SEPTEMBER 30, 2018

| RANK | NAME  | CTZN     | TOTAL SHARES | PERCENTAGE (%) |
|------|---|----------|--------------|----------------|
|      | ESPINA, MA. LUISA                                     | FILIPINO | 1,250,000    | 0 0005         |
|      | LAM YU PING   | BRITISH  | 1,250,000    | 0 0005         |
|      | CHAN, JENNIFER CHUA                                   | FILIPINO | 1,250,000    | 0 0005         |
|      | GONZALEZ, MARISSA R.                                  | FILIPINO | 1,250,000    | 0 0005         |
|      | MUNOZ, JANE   | FILIPINO | 1,250,000    | 0 0005         |
|      | FERNANDEZ, LEANDRO B.                                 | FILIPINO | 1,250,000    | 0 0005         |
|      | YU, HERWIN T.   | FILIPINO | 1,250,000    | 0 0005         |
|      | NGO, AMELIA DY  | FILIPINO | 1,250,000    | 0 0005         |
|      | CRUZ, MA. ROGINA DELA                                 | FILIPINO | 1,250,000    | 0 0005         |
|      | CRUZ, JOHN VINCENT C. STA.                            | FILIPINO | 1,250,000    | 0 0005         |
|      | GUILD SECURITIES, INC.                                | FILIPINO | 1,250,000    | 0 0005         |
| 91   | PACHECO, PRISCILA R. &/OR<br>REYNALDO D. PACHECO      | FILIPINO | 1,225,000    | 0 0005         |
| 92   | RECTO, MANUEL D.                                      | FILIPINO | 1,125,000    | 0 0004         |
|      | CARLOS, ADELAIDA ZITA R.                              | FILIPINO | 1,125,000    | 0 0004         |
| 93   | HERNANDEZ, JUAN                                       | FILIPINO | 1,087,500    | 0 0004         |
|      | RESPALL, SANDRA C.                                    | FILIPINO | 1,087,500    | 0 0004         |
| 94   | MURGA, MA.TERESA S. &/OR<br>WILFRIDO SANCHEZ          | FILIPINO | 1,075,000    | 0 0004         |
| 95   | WEALTH SECURITIES, INC.                               | FILIPINO | 1,000,000    | 0 0004         |
|      | LUCKY SECURITIES, INC.                                | FILIPINO | 1,000,000    | 0 0004         |
|      | GARCIA, JESUS   | FILIPINO | 1,000,000    | 0 0004         |
|      | GUANZON, ARTURO                                       | FILIPINO | 1,000,000    | 0 0004         |
|      | LIM, ELISA ONG  | FILIPINO | 1,000,000    | 0 0004         |
|      | SUAREZ, MA. ROSARIO                                   | FILIPINO | 1,000,000    | 0 0004         |
|      | SUAREZ, SERVIDEO                                      | FILIPINO | 1,000,000    | 0 0004         |
|      | TAN, MAXIMO   | FILIPINO | 1,000,000    | 0 0004         |
|      | GOHU, PATRICIA U.                                     | FILIPINO | 1,000,000    | 0 0004         |
|      | CRUZ JR., AUGUSTO A.                                  | FILIPINO | 1,000,000    | 0 0004         |
|      | UY, ROMEO UY AND/OR FE C.                             | FILIPINO | 1,000,000    | 0 0004         |
|      | SIAO, MARK ANTHONY                                    | FILIPINO | 1,000,000    | 0 0004         |
|      | GUANZON, ARTURO                                       | FILIPINO | 1,000,000    | 0 0004         |
|      | LAWSON,ESTHER A.VIBAL &/OR<br>STELLA V &/OR AIDA V. G | FILIPINO | 1,000,000    | 0 0004         |
|      | PENALOSA, EMILIO M.                                   | FILIPINO | 1,000,000    | 0 0004         |
|      | LIM, BELLA L. LIM &/OR LOUIE L.                       | FILIPINO | 1,000,000    | 0 0004         |
|      | CHUA, LINDA CASES                                     | FILIPINO | 1,000,000    | 0 0004         |
|      | DY, MANUEL  | FILIPINO | 1,000,000    | 0 0004         |
|      | SAAVEDRA, RAQUEL C.                                   | FILIPINO | 1,000,000    | 0 0004         |
|      | GUEVARA, ANNA GEORGINA                                | FILIPINO | 1,000,000    | 0 0004         |
|      | HAPI ILOILO CORPORATION                               | FILIPINO | 1,000,000    | 0 0004         |
|      | LLANTO, LIDUVINA C.                                   | FILIPINO | 1,000,000    | 0 0004         |
|      | BUSMEON, CHARLIE Y.                                   | FILIPINO | 1,000,000    | 0 0004         |
|      | REGINA CAPITAL DEV. CORP. 000971                      | FILIPINO | 1,000,000    | 0 0004         |

# UNITED PARAGON MINING CORPORATION

LIST OF TOP 100 STOCKHOLDERS

AS OF SEPTEMBER 30, 2018

| <b>RANK</b> | <b>NAME</b>                | <b>CTZN</b> | <b>TOTAL SHARES</b> | <b>PERCENTAGE (%)</b> |
|-------------|----------------------------|-------------|---------------------|-----------------------|
| 96          | DOLOR, RODOLFO B.          | FILIPINO    | 950,000             | 0 0004                |
|             | HILADO, MANUEL V.          | FILIPINO    | 950,000             | 0 0004                |
| 97          | YAO & ZIALCITA, INC.       | FILIPINO    | 946,050             | 0 0004                |
| 98          | DESIDERIO II, JOSE O.      | FILIPINO    | 942,500             | 0 0004                |
| 99          | GARCIA III, PACIFICO L.    | FILIPINO    | 937,500             | 0 0004                |
| 100         | BENEDICTO, DAVID DWIGHT C. | FILIPINO    | 900,000             | 0 0003                |

\*\*\* End of Report \*\*\*

### OUTSTANDING BALANCES FOR A SPECIFIC COMPANY

Company Code - UPM000000000

Business Date: September 30, 2018

| BPNAME   | HOLDINGS      |
|--|---------------|
| UPCC SECURITIES CORP.                            | 63,915,000    |
| A & A SECURITIES, INC.                           | 19,517,500    |
| ABACUS SECURITIES CORPORATION                    | 2,365,316,454 |
| PHILSTOCKS FINANCIAL INC                         | 935,396,664   |
| A. T. DE CASTRO SECURITIES CORP.                 | 26,322,500    |
| BA SECURITIES, INC.                              | 86,844,800    |
| AP SECURITIES INCORPORATED                       | 315,698,150   |
| ANSALDO, GODINEZ & CO., INC.                     | 491,534,750   |
| AB CAPITAL SECURITIES, INC.                      | 730,150,000   |
| SB EQUITIES, INC.                                | 254,362,500   |
| ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP. | 67,975,000    |
| ASIASEC EQUITIES, INC.                           | 26,708,750    |
| ASTRA SECURITIES CORPORATION                     | 8,675,000     |
| CHINA BANK SECURITIES CORPORATION                | 10,537,500    |
| BELSON SECURITIES, INC.                          | 297,521,100   |
| BENJAMIN CO CA & CO., INC.                       | 5,500,000     |
| B. H. CHUA SECURITIES CORPORATION                | 2,277,502,500 |
| JAKA SECURITIES CORP.                            | 1,622,562,666 |
| BPI SECURITIES CORPORATION                       | 389,627,686   |
| CAMPOS, LANUZA & COMPANY, INC.                   | 57,592,500    |
| SINCERE SECURITIES CORPORATION                   | 50,000,000    |
| BDO NOMURA SECURITIES INC                        | 231,970,000   |
| CITISECURITIES, INC.                             | 80,750,568    |
| TRITON SECURITIES CORP.                          | 83,940,000    |
| DAVID GO SECURITIES CORP.                        | 14,000,000    |
| DIVERSIFIED SECURITIES, INC.                     | 173,370,000   |
| E. CHUA CHIACO SECURITIES, INC.                  | 458,945,000   |
| EAST WEST CAPITAL CORPORATION                    | 5,757,350     |
| EASTERN SECURITIES DEVELOPMENT CORPORATION       | 214,980,000   |
| EQUITIWORLD SECURITIES, INC.                     | 1,939,917,404 |
| EVERGREEN STOCK BROKERAGE & SEC., INC.           | 774,943,750   |
| FIRST ORIENT SECURITIES, INC.                    | 30,473,004    |
| F. YAP SECURITIES, INC.                          | 232,750,050   |
| AURORA SECURITIES, INC.                          | 223,412,500   |
| GLOBALINKS SECURITIES & STOCKS, INC.             | 208,473,500   |
| GOLDSTAR SECURITIES, INC.                        | 84,300,000    |
| GUILD SECURITIES, INC.                           | 266,481,250   |
| HDI SECURITIES, INC.                             | 249,841,250   |
| H. E. BENNETT SECURITIES, INC.                   | 28,560,250    |
| I. ACKERMAN & CO., INC.                          | 9,012,500     |
| INVESTORS SECURITIES, INC,                       | 84,143,631    |
| IMPERIAL, DE GUZMAN, ABALOS & CO., INC.          | 37,387,500    |
| VALUE QUEST SECURITIES CORPORATION               | 40,100,000    |
| LARRGO SECURITIES CO., INC.                      | 6,000,000     |
| LUCKY SECURITIES, INC.                           | 32,100,000    |
| LUYS SECURITIES COMPANY, INC.                    | 27,050,000    |
| MANDARIN SECURITIES CORPORATION                  | 7,075,000     |
| COL Financial Group, Inc.                        | 984,277,402   |
| DA MARKET SECURITIES, INC.                       | 53,107,750    |
| MERCANTILE SECURITIES CORP.                      | 26,275,000    |
| MERIDIAN SECURITIES, INC.                        | 337,516,250   |
| MDR SECURITIES, INC.                             | 191,349,600   |
| MOUNT PEAK SECURITIES, INC.                      | 10,668,750    |
| NEW WORLD SECURITIES CO., INC.                   | 37,487,500    |
| NIEVES SECURITIES, INC.                          | 500,000       |
| OPTIMUM SECURITIES CORPORATION                   | 13,288,184    |
| RCBC SECURITIES, INC.                            | 519,626,430   |
| PAN ASIA SECURITIES CORP.                        | 127,550,000   |
| PAPA SECURITIES CORPORATION                      | 120,991,050   |

| BPNAME  | HOLDINGS        |
|---|-----------------|
| MAYBANK ATR KIM ENG SECURITIES, INC.              | 520,630,700     |
| PLATINUM SECURITIES, INC.                         | 747,000,000     |
| PNB SECURITIES, INC.                              | 158,743,750     |
| PREMIUM SECURITIES, INC.                          | 355,887,500     |
| QUALITY INVESTMENTS & SECURITIES CORPORATION      | 253,175,000     |
| R & L INVESTMENTS, INC.                           | 100,862,500     |
| R. COYIUTO SECURITIES, INC.                       | 121,763,650     |
| REGINA CAPITAL DEVELOPMENT CORPORATION            | 149,939,500     |
| R. NUBLA SECURITIES, INC.                         | 72,432,500      |
| R. S. LIM & CO., INC.                             | 98,022,500      |
| RTG & COMPANY, INC.                               | 20,788,750      |
| S.J. ROXAS & CO., INC.                            | 38,412,500      |
| SUMMIT SECURITIES, INC.                           | 106,714,250     |
| STANDARD SECURITIES CORPORATION                   | 435,217,500     |
| TANSENGCO & CO., INC.                             | 233,987,500     |
| THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP. | 370,887,500     |
| TOWER SECURITIES, INC.                            | 422,655,000     |
| TRI-STATE SECURITIES, INC.                        | 56,087,500      |
| UCPB SECURITIES, INC.                             | 140,300,000     |
| VENTURE SECURITIES, INC.                          | 27,495,300      |
| FIRST METRO SECURITIES BROKERAGE CORP.            | 264,538,888     |
| WEALTH SECURITIES, INC.                           | 569,133,550     |
| WESTLINK GLOBAL EQUITIES, INC.                    | 96,750,000      |
| BERNAD SECURITIES, INC.                           | 74,225,000      |
| YAO & ZIALCITA, INC.                              | 323,960,600     |
| YU & COMPANY, INC.                                | 383,995,000     |
| BDO SECURITIES CORPORATION                        | 94,440,484,634  |
| EAGLE EQUITIES, INC.                              | 24,811,250      |
| GOLDEN TOWER SECURITIES & HOLDINGS, INC.          | 99,737,500      |
| SOLAR SECURITIES, INC.                            | 127,982,500     |
| G.D. TAN & COMPANY, INC.                          | 78,629,450      |
| UNICAPITAL SECURITIES INC.                        | 41,228,750      |
| SunSecurities, Inc.                               | 101,000,000     |
| ARMSTRONG SECURITIES, INC.                        | 2,625,000       |
| KING'S POWER SECURITIES, INC.                     | 4,350,000       |
| TIMSON SECURITIES, INC.                           | 17,100,000      |
| AAA SOUTHEAST EQUITIES, INCORPORATED              | 116,393,750     |
| CITIBANK N.A.                                     | 2,088,650,000   |
| ALAKOR SECURITIES CORPORATION                     | 130,455,765,879 |
| ALL ASIA SECURITIES MANAGEMENT CORP.              | 15,000,000      |
| ALPHA SECURITIES CORP.                            | 284,375,000     |
| ASIAN CAPITAL EQUITIES, INC.                      | 3,911,250       |
| CENTURY SECURITIES CORPORATION                    | 6,725,000       |
| CUALOPING SECURITIES CORPORATION                  | 20,887,500      |
| DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.       | 19,325,000      |
| DEUTSCHE REGIS PARTNERS, INC.                     | 39,122,850      |
| E.SECURITIES, INC.                                | 542,500         |
| EQUITABLE SECURIITES (PHILS.) INC.                | 352,500         |
| FIDELITY SECURITIES, INC.                         | 15,500,000      |
| HK SECURITIES, INC.                               | 82,843          |
| I. B. GIMENEZ SECURITIES, INC.                    | 26,275,000      |
| IGC SECURITIES INC.                               | 254,945,000     |
| INTRA-INVEST SECURITIES, INC.                     | 13,535,000      |
| J.M. BARCELON & CO., INC.                         | 7,027,842       |
| JSG SECURITIES, INC.                              | 22,837,500      |
| LOPEZ, LOCSIN, LEDESMA & CO., INC.                | 2,000,000       |
| MARINO OLONDRIZ Y CIA                             | 1,532,500       |
| PHILIPPINE EQUITY PARTNERS, INC.                  | 51,275,000      |
| PRYCE SECURITIES, INC.                            | 28,950          |
| SALISBURY BKT SECURITIES CORPORATION              | 40,525,000      |
| SECURITIES SPECIALISTS, INC.                      | 550,000         |
| STRATEGIC EQUITIES CORP.                          | 53,525,000      |
| SUPREME STOCKBROKERS, INC                         | 925,000         |
| TRENDLINE SECURITIES CORPORATION                  | 7,825,000       |
| WONG SECURITIES CORPORATION                       | 22,750,050      |

| <b>BPNAME</b>  | <b>HOLDINGS</b>        |
|--|------------------------|
| DEUTSCHE BANK MANILA-CLIENTS A/C                             | 11,962,500             |
| STANDARD CHARTERED BANK                                      | 40,500,000             |
| THE HONGKONG & SHANGHAI BANKING CORP. LTD. -OWN ACCOUNT      | 50,000                 |
| THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT. | 250,000                |
| FINVEST SECURITIES CO., INC.                                 | 50                     |
| UNITED PARAGON MINING CORP.                                  | 200                    |
| <b>TOTAL</b>   | <b>252,778,142,629</b> |

If no written notice of any error or correction is received by PDTC within five (5) calendar days from receipt hereof, you shall be deemed to have accepted the accuracy and completeness of the details indicated in this report.