

Introducing PEP-RFP 'Guided'

We will guide you through the maze of
registered PEP options available.

LEARN MORE

Introducing PEP-RFP 'Guided' for Advisors

Pooled Employer Plans are gaining popularity with employers. *If you aren't talking to your plan sponsors about PEPs, someone else will.*

We will help you build a prudent PEP marketing strategy that is effective and economical...and will make your Compliance Department smile!

PEP Consulting & Support
PEP Advisor Training & Certification
PEP Request for Proposals (RFPs)
PEP & P3 Fiduciary Compliance Reports

PEP-RFP.com *The New Way to RFP*



PEP RFP 'Guided' for Advisors

What we offer RIA firms and their advisors:

- ANNUAL RIA LICENSING AGREEMENTS
- ADVISOR PEP TRAINING & CERTIFICATION WORKSHOPS
- COMPREHENSIVE PEP RFP ASSISTANCE (SEE BELOW)
- ONGOING PEP OVERSIGHT & COMPLIANCE SERVICES
- RIA ASSISTANCE IN CHOOSING 'PREFERRED' LIST OF PEP OPTIONS FOR THEIR ADVISORS

How we assist advisors and their plan sponsor clients:

- WE PROVIDE YOU WITH AN ADOPTING EMPLOYER QUESTIONNAIRE
- WE SEARCH FOR PEP CANDIDATES FOR YOU
- WE PROVIDE YOU WITH UP TO FIVE QUALIFIED PEP CANDIDATES
- WE PROVIDE YOU AND YOUR CLIENT(S) WITH A *SELECTION CRITERIA REPORT*
- WE PROVIDE YOU WITH ACCESS TO OUR RFP QUESTIONNAIRE DATA BASES
- WE FACILITATE PEP CANDIDATE VIRTUAL CONFERENCE CALLS FOR YOU
- WE GUARANTEE THAT YOU AND YOUR CLIENTS ARE COMPLETELY SATISFIED

CONNECTING RIAs and FIDUCIARY ADVISORS TO THE POOLED EMPLOYER PLAN MARKET

Pooled Employer Plans are gaining traction with RIAs, fiduciary advisors, broker-dealers, benefits consultants, as well as with plan sponsors themselves. Plan sponsors of all sizes—from startups to large plans—are taking a serious look at PEPs.

Reasons for interest include:

- Offloading of fiduciary liability exposure
- Access to third-party fiduciary expertise
- Day-to-day management of plan
- Reduction in annual plan audit expense
- Potential for lower overall plan fees

Why PEP-RFP 'Guided' for Advisors?

Advisors have an obligation to assist their employer clients in choosing the 'best' service providers for their participants...

PEP-RFP 'Guided' for Advisors PROVIDES THE TOOLS NECESSARY FOR YOU TO SUCCESSFULLY COMPETE IN THE POOLED EMPLOYER PLAN MARKET

There are currently more than 65 DOL registered Pooled Plan Providers (P3's) and over 125 registered Pooled Employer Plans. These numbers are expected to increase markedly over the next two years. RIA firms and their advisors are well advised to develop prudent policies for working with PEPs.

A PRUDENT PEP SELECTION PROCESS

Many would have you believe that selecting a PEP option is easy. It is...but choosing the "right" PEP for employers and their participants...not so much.

The industry standard for prudently selecting plan service providers has been and continues to be the Request for Proposal (RFP).

THE CURRENT RFP PROCESS IS ANTIQUATED AND INEFFICIENT

While RFPs are considered the industry standard for prudently selecting plan service provider, the current RFP process is inefficient. This is particularly true for the PEP industry where advisors and employers have over 125 PEP options to sort through.

Additionally, no two PEPs are created equal—each can choose plan design and options to provide. With so many options to vet (and continually growing) it is almost impossible to conduct a comprehensive search for the 'best' PEP option.

PEP CUSTOM SOLUTIONS

No two Pooled Employers Plans are created equal, nor are any two potential adopting employers equal. It is essential that each of your plan sponsor client's PEP solutions is customized to meet their needs and, more importantly, the needs of their plan participants.

PEP-RFP.com SOLUTIONS

Just as is the case with single-employer plans, the industry best practice for selecting a PEP option is through a Request for Proposal (RFP) process. PEP-RFP's '*Guided for Advisors*' program provides the tools advisors need for them to assist employers in finding the right PEP option.

ONGOING FIDUCIARY SOLUTIONS

PEP-RFP.com services don't stop with a prudent RFP search process. PEP adopting employers need their advisors help in monitoring the activities of both the Pooled Plan Provider and their PEP. Advisors need help in providing the ongoing services that will make them stand out from the advisor crowd. PEP-RFP.com offers the support to advisors need to ensure a lasting relationship with their clients.



NEVER send an RFP again.....

ENTER PEP-RFP 'GUIDED' FOR ADVISORS...

With PEP-RFP 'Guided' plan advisors and employers **NEVER** send another RFP questionnaire to Pooled Plan Providers. PEP-RFP.com has done the heavy lifting for you.

We will search our comprehensive RFP data bases (our data bases include RFP questionnaires for both Pooled Plan Providers (P3s) and their PEPs) to find the best PEP options for adopting employers and their participants. We will provide up to five qualified P3/PEP candidates based on the specific needs of your plan sponsor clients.

How PEP-RFP 'GUIDED' for Advisors works

Each time a P3 or PEP registers with the DOL, PEP-RFP.com immediately sends a Request for Information (RFI) questionnaire to the P3 for both the P3 and their PEP. Once the RFIs are returned to us, we enter their information into our data bases.

Then, when looking at PEP options, we provide the following to you and your plan sponsor clients:

- We provide you a FREE Adopting Employer Questionnaire to collect the most critical plan options and design information from the employer.
- We search our data bases for the best P3/PEP solutions that match the needs of your employer client.
- We provide you with up to five qualified P3/PEP candidates that match the needs of the employer.
- We provide you and your client with a comprehensive report describing the process used to identify and select the five potential candidates for your consideration (of course, you and your client are always able to disqualify any candidate that we have provided).
- We provide you with the completed RFP questionnaires for each of the P3/PEP candidates chosen.
- We facilitate virtual conference calls so that you and the employer meet and ask additional questions with each of the P3/PEP finalists.
- We guarantee you and your client's satisfaction with our services.



Robb Smith, President of RS Fiduciary Solutions, an independent ERISA consulting firm.

- ◆ 30 years of retirement industry expertise
- ◆ In 2021, created PEP-RFP.com
- ◆ Full- and limited-scope plan fiduciary audits
- ◆ Plan-level training, tools and resources

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Jerry Conway, PEP-RFP.com Consultant

- ◆ 30 years of retirement industry expertise
- ◆ Plan administrative infrastructure development and integration
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Tools, Resources, Consulting

PEP-RFP.com The New Way to RFP

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