## **Incident Command System 100**







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Power Point provided by DHS and FEMA.



### **Course Welcome**

This course will introduce students to the Incident Command System (ICS). This system is used nationwide to manage incidents regardless of size or type.

This is the first in a series of ICS courses for all personnel involved in incident management. Descriptions and details about the other ICS courses in the series may be found on our web site: <a href="http://training.fema.gov">http://training.fema.gov</a>.



#### **Course Goal**

The overall course goal is to promote effective response by:

- Familiarizing you with the Incident Command System (ICS) and the NIMS principles used to manage incidents.
- Preparing you to coordinate with response partners from all levels of government and the private sector.

IS-100.c provides information on ICS which is part of the National Incident Management System (NIMS). To learn more about NIMS following completion of this course, you can take IS-700.b: An Introduction to the National Incident Management System.



# **Overall Course Objectives**

At the completion of this course, you should be able to:

- Explain the principles and basic structure of the Incident Command System (ICS).
- Describe the NIMS management characteristics that are the foundation of the ICS.
- Describe the ICS functional areas and the roles of the Incident Commander and Command Staff.
- Describe the General Staff roles within ICS.
- Identify how NIMS management characteristics apply to ICS for a variety of roles and discipline areas.



#### **Student Introductions**

Introduce yourself by providing:

- Your name
- Your job title
- A brief statement of your overall experience with emergency or incident response
- Your possible roles in responding to incidents



# **Student Expectations**

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What do you expect to gain from this course?



#### **Course Structure**

The course is divided into the following five units:

- Unit 1: Course Welcome and ICS Overview
- Unit 2: NIMS Management Characteristics
- Unit 3: ICS Functional Areas and Command Staff Roles
- Unit 4: General Staff Roles
- Unit 5: How ICS Applies to You



# **Course Logistics**

#### Review the following information:

- Course agenda
- Sign-in sheet
- Breaks
- Message and telephone location
- Cell phone policy
- Facilities
- Other concerns



# Sample Agenda

#### **Morning Session**

- Unit 1: Course Welcome and ICS Overview (1 hours)
- Unit 2: NIMS Management Characteristics (1.5 hours)
- Unit 3: ICS Functional Areas and Command Staff Roles (1 hour)

#### Afternoon Session

- Unit 4: General Staff Roles (1 hour)
- Unit 5: How ICS Applies to You (1 hour)
- Final Exam (1 hour)



## **Course Completion**

In order to successfully complete this course, you must:

- Participate in unit activities.
- Achieve 75% or higher on the final exam.
- Complete the end-of-course evaluation.

#### **Unit 1: ICS Overview**

Unit 1 provides an overview of the Incident Command System (ICS). At the end of this lesson, you should be able to:

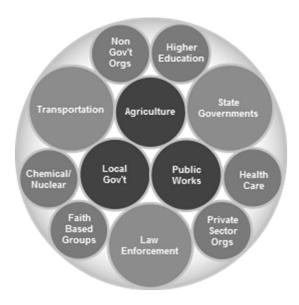
- Describe the Whole Community approach to ICS.
- Identify the basic concept and benefits of ICS.



# Whole Community

Every part of society must be involved in preparing for, protecting against, responding to, recovering from, and mitigating any and all incidents. The Federal Government is only one part of the whole community.

The Whole Community approach ensures solutions that serve the entire community are implemented, while simultaneously making sure that the resources the different members of the community bring to the table are used efficiently. These members include those in all levels of government as well as those in non-governmental and private-sector organizations in fields such as transportation, health care, schools, public works, communications, agriculture, chemical/nuclear, and more.



# What is the Incident Command System?

The Incident Command System (ICS) is a standardized approach to incident management that:

- Is used for all kinds of incidents by all types of organizations and at all levels of government;
   ICS is applicable to small incidents as well as large and complex ones.
- Can be used not only for emergencies, but also for planned events.
- Enables a coordinated response among various jurisdictions and agencies.
- Establishes common processes for incident-level planning and resource management.
- Allows for the integration of resources (such as facilities, equipment, personnel) within a common organizational structure.









## When is ICS Used?

The Incident Command System (ICS) can be used to manage any type of incident, including a planned event (e.g., the Olympics, the Governor's inauguration, state fairs, a local parade, etc.). The use of ICS is applicable to all types of incidents, regardless of their size or cause.

As a system, ICS is extremely useful. Not only does it provide an organizational structure for incident management, but it also guides the process for planning, building, and adapting that structure.

Using ICS for every incident or planned event provides the practice that will help to maintain and improve skills needed to effectively coordinate larger or more complex efforts.



#### **ICS for Planned Events**

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From your own experiences, what are some examples of different types of planned events where ICS was used?

Why was it beneficial to use ICS?



# Incident Command System: Promoting Response Partnerships





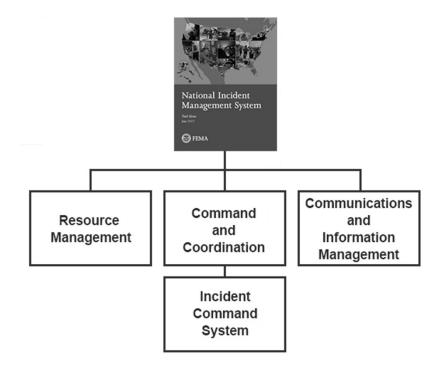
# ICS as a Component of the National Incident Management System (NIMS)

The National Incident Management System (NIMS) is a systematic, proactive approach to guide all levels of government, nongovernmental organizations (NGOs), and the private sector to work together to prevent, protect against, mitigate, respond to, and recover from the effects of incidents. NIMS provides a consistent foundation for all incidents, ranging from daily occurrences to incidents requiring a coordinated Federal response.

NIMS is organized into three major components:

- Resource Management
- Command and Coordination including the Incident Command System
- Communications and Information Management

It is important to note that the Incident Command System (ICS) is just one part of NIMS.





# **Incident Response Problems**

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What are some common causes of incident response problems?

# ICS Benefits - Student Activity

Activity Purpose: The purpose of this activity is to discuss the benefits of ICS.

**Instructions:** Follow the steps below to conduct this activity:

- 1. Divide into groups of five or six.
- 2. Work as a team to review the scenario presented below.
- 3. Identify the top three challenges for officials to manage this incident.
- 4. Write the challenges on chart paper.
- 5. Discuss how ICS could be used to address these challenges.
- 6. Select a spokesperson.

**Time:** 20 minutes (including debrief)

#### Scenario:

Continuing severe weather is causing widespread damage. There are multiple impacts in the community. Vehicle movement is constrained, some people are stranded and are in need of assistance, there have been impacts on communications and power, and some structures are impacted. 9-1-1 operators are receiving conflicting reports about a number of life-safety needs, including some weather-related injuries and an unverified report of the structural collapse of an assisted living facility.



### **Benefits of ICS**

The Incident Command System (ICS) has positively impacted incident management efforts by:

- Clarifying chain of command and supervision responsibilities to improve accountability.
- Leveraging interoperable communications systems and plain language to improve communications.
- Providing an orderly, systematic planning process.
- Implementing a common, flexible, predesigned management structure.
- Fostering cooperation between diverse disciplines and agencies.



#### **ICS:** Built on Best Practices

The Incident Command System (ICS) has been tested for more than 40 years of emergency and nonemergency applications by all levels of government; and in nongovernmental and private-sector organizations. ICS helps to ensure:

- The safety of responders, community members, and others.
- The achievement of incident objectives.
- The efficient use of resources.



## **Review Questions**

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Let's review what you have learned in this Unit:

- What is a basic definition of the Incident Command System?
- Is it the same as NIMS?
- What are some situations for which it can be used?



# **Unit 1 Summary**

You have completed the Course Welcome and Incident Command System (ICS) Overview unit. This unit presented the following key points:

- ICS is a standardized management tool that allows better coordination and use of resources.
- ICS represents organizational best practices and has become the standard for emergency management.
- ICS can be used to manage the response for all incidents and planned events.

ICS works! It saves lives!



### **Lesson Break**





#### **Unit 2 Overview**

This unit presents the National Incident Management System (NIMS) management characteristics. These characteristics are the foundation of all NIMS command and coordination components, including the Incident Command System (ICS).

#### **Objective:**

At the end of this unit, you should be able to:

• Describe the 14 NIMS management characteristics.



# Making ICS Work

Effective incident management relies on a common organizational structure for managing resources, making decisions, and assigning tasks. The Incident Command System (ICS) uses a standardized management approach to ensure that incidents are properly managed and communications are effectively coordinated during an incident.

As an incident occurs, you may be called upon to assist -- making you a part of this organizational structure. To ensure success, you should understand how this structure works.



# NIMS Management Characteristics: Overview





# NIMS Management Characteristics: Overview - cont

The Incident Command System (ICS) is based on the following 14 proven NIMS management characteristics, each of which contributes to the strength and efficiency of the overall system:

- Common Terminology
- Modular Organization
- Management by Objectives
- Incident Action Planning
- Manageable Span of Control
- Incident Facilities and Locations
- Comprehensive Resource Management

- Integrated Communications
- Establishment and Transfer of Command
- Unified Command
- Chain of Command and Unity of Command
- Accountability
- Dispatch/Deployment
- Information and Intelligence Management



# **Common Terminology**

The Incident Command System (ICS) establishes Common Terminology that allows diverse incident management and support organizations to work together across a wide variety of emergency functions and hazard scenarios. This common terminology covers the following:

- Organizational Functions: Major functions and functional units with incident management responsibilities are named and defined. They remain standard and consistent.
- Resource Descriptions: Major resources including personnel, equipment, teams, and facilities are given common names and are typed with respect to their capabilities.
- **Incident Facilities:** Common terminology is used to designate the facilities in the vicinity of the incident area.

#### During an incident:

- Communications should use common terms.
- Organizations should avoid radio codes, agency-specific codes, acronyms, or jargon. Usage of these types of codes may cause confusion or possibly compromise life safety due to a misunderstanding or misinterpretation.

The goal is to promote understanding among all parties involved in managing an incident.





# **Common Terminology Discussion**

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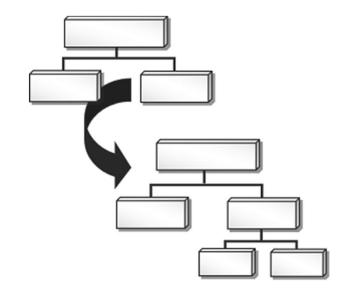
Even if you use codes on a daily basis, why should you use common terminology during an incident response?



# **Modular Organization**

The Incident Command System (ICS) organizational structure develops in a modular fashion based on the incidents size and complexity.

- The responsibility for the establishment and expansion of the ICS modular organization rests with the Incident Commander.
- As the incident grows more complex, the ICS organization may expand as functional responsibilities are delegated.



# Management by Objectives

The Incident Commander or Unified Command (which will be discussed later), establishes incident objectives that drive incident operations.

Management by Objectives includes the following:

- Establishing specific, measurable incident objectives.
- Identifying strategies, tactics, tasks and activities to achieve the objectives.
- Developing and issuing assignments, plans, procedures, and protocols to accomplish identified tasks.
- Documenting results for the incident objectives.



# **Incident Action Planning**

Incident action planning guides effective incident management activities. An Incident Action Plan (IAP) is a concise, coherent means of capturing and communicating overall incident priorities, objectives, strategies, tactics, and assignments in the context of both operational and support activities. The IAP should focus on addressing the needs of future timeframes (called operational periods).

To be effective, an IAP should:

- Cover a specified timeframe
- Be proactive
- Specify the incident objectives
- · State the activities to be completed
- · Assign responsibilities
- · Identify needed resources
- Specify communication protocols

For smaller/less complex incidents, the IAP may be oral or written, except for hazardous materials incidents, which require a written IAP. FEMA has developed a series of ICS Forms for use in developing a written IAP.

#### Incident Action Plan

- What do we need to do?
- Who is responsible for doing it?
- What resources are needed?
- How do we communicate?



# **Incident Action Plan - Activity 2.1**

Activity Purpose: To illustrate how to develop an IAP.

Instructions: Working in groups:

- 1. Identify four items you would include in an Incident Action Plan for the severe weather scenario described below.
- 2. Record these four IAP items on chart paper.
- 3. Select a spokesperson to report back to the group. Be prepared to share your answers in 5 minutes.

Time: 10 minutes

Scenario: Continuing severe weather is causing widespread damage. There are multiple impacts in the community. Vehicle movement is constrained, some people are stranded and are in need of assistance, there have been impacts on communications and power, and some structures are impacted. 9-1-1 operators are receiving conflicting reports about a number of life-safety needs, including some weather-related injuries and an unverified report of the structural collapse of an assisted living facility.



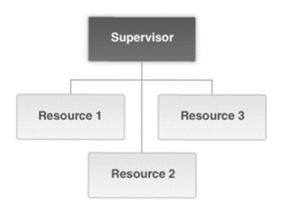
# Manageable Span of Control

Depending on your role within the Incident Command System (ICS) structure, you may be asked to manage the activities of others.

**Span of control** refers to the number of individuals or resources that one supervisor can manage effectively during an incident.

The optimal span of control is one supervisor to five subordinates (1:5).

However, effective incident management may require ratios significantly different from this. This ratio is a guideline--incident personnel should use their best judgement to determine the appropriate ratio for an incident.





## Manageable Span of Control Discussion

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What are some examples of when span of control is most critical?



#### **Incident Facilities and Locations**

Depending upon the incident size and complexity, various types of support facilities may be established by Incident Command. These designated facilities typically include:

- Incident Command Post (ICP)
- Incident base, staging areas, and camps
- Mass casualty triage areas
- Point-of-distribution
- Emergency shelters

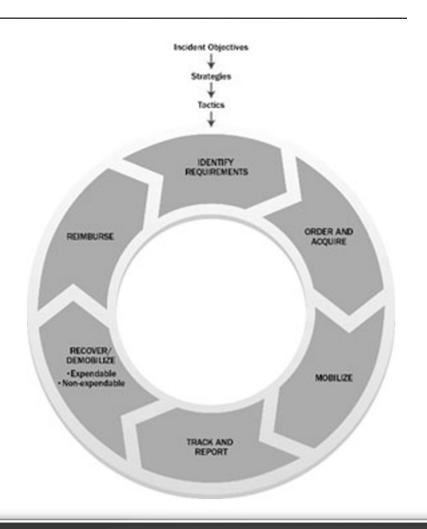






### Comprehensive Resource Management

Comprehensive Resource Management describes standard mechanisms to identify requirements, order and acquire, mobilize, track and report, demobilize, and reimburse and restock resources such as personnel, teams, facilities, equipment and supplies.



## Comprehensive Resource Management Discussion

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Why is Comprehensive Resource Management important during an incident?



## **Integrated Communications**

Incident communications are facilitated through the development and use of a common communications plan and interoperable communication processes and systems that include voice and data links.

Integrated Communications are necessary to:

- Maintain connectivity
- Achieve situational awareness
- · Facilitate information sharing



### **Integrated Communications Discussion**

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What are some examples of what Integrated Communications may look like?



## **Establishment and Transfer of Command**

The command function should be clearly established at the beginning of an incident. The jurisdiction or organization with primary responsibility for the incident designates the Incident Commander and the process for transferring command.

Transfer of command may occur during the course of an incident. When command is transferred, the process should include a briefing that captures all essential information for continuing safe and effective operations.



## **Establishment and Transfer of Command Discussion**

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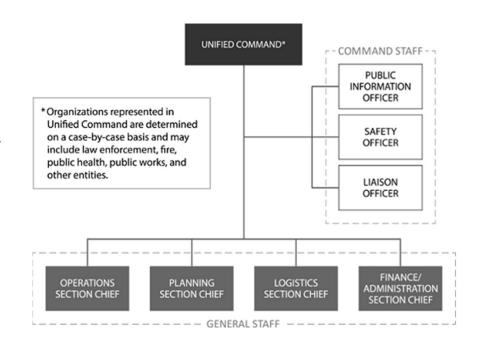
What are some reasons that Command might be transferred?



#### **Unified Command**

In a Unified Command there is no single "Commander." Instead, the Unified Command manages the incident through jointly approved objectives. Unified Command allows agencies with different legal, geographic, and functional responsibilities to work together effectively without affecting individual agency authority, responsibility, or accountability.

Unified Command is typically established when no single jurisdiction, agency or organization has the authority and/or resources to manage the incident on its own.





### **Chain of Command**

Chain of command is an orderly line that details how authority flows through the hierarchy of the incident management organization. Chain of command:

- Allows an Incident Commander to direct and control the actions of all personnel on the incident.
- Avoids confusion by requiring that orders flow from supervisors.



## **Unity of Command**

While chain of command relates to the overall hierarchy of the organization, unity of command deals with the fact that all individuals have a single designated supervisor they report to.

Based on the principle of unity of command, you will:

- Report to only one Incident Command System (ICS) supervisor.
- Receive work assignments only from your ICS supervisor.

When you are assigned to an incident, you no longer report directly to your day-to-day supervisor.



## Accountability

Effective accountability during incident operations is essential. As part of the Incident Command System (ICS) structure, you will need to abide by agency policies and guidelines and any applicable local, tribal, state, or Federal rules and regulations.

There are several principles you will need to adhere to:

- Check-In/Check-Out. All responders must report in to receive an assignment. Checking out is just as critical as checking in.
- **Incident Action Planning.** Response operations must be coordinated as outlined in the Incident Action Plan.
- Unity of Command. Each individual will be assigned to only one supervisor.
- Personal Responsibility. ICS relies on each individual taking personal accountability for his or her own actions.
- Span of Control. Supervisors must be able to adequately supervise and control their subordinates, as well as communicate with and manage all resources under their supervision.
- Resource Tracking. Supervisors must record and report resource status changes as they occur. Accountability starts as soon as a resource is requested through the time that the resource returns to their home base safely.



## Dispatch/Deployment

Resources should be deployed only when requested or when dispatched by an appropriate authority through established resource management systems.

Resources not requested should refrain from self-dispatching to avoid overburdening the incident command.



## Dispatch/Deployment - Activity 2.2

Instructions: Working individually:

- Review the scenario and discussion question presented in the Student Manual.
- Be prepared to share your answer in 5 minutes.

Time: 10 Minutes

Scenario: Rosa is an off-duty certified Emergency Medical Technician who lives near the scene of a major structural collapse that has occurred in a busy shopping center. The media are reporting that there are injured people wandering around the parking area who need immediate medical attention.

What should Rosa do?



## Dispatch/Deployment Discussion

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Why shouldn't personnel arrive at an incident without being requested or dispatched?



## Information and Intelligence **Management**

Information and intelligence are important in the Incident Command System (ICS).

Incident management must establish a process for gathering, analyzing, assessing, sharing, and managing incident-related information and intelligence. In NIMS, "intelligence" refers exclusively to threat-related information developed by law enforcement, medical surveillance, and other investigative organizations.





# Information and Intelligence Management Discussion

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What are some examples of sources where you can find and gather information and intelligence?



## **Unit 2 Summary**

You have completed the National Incident Management System (NIMS) Management Characteristics unit.

#### This unit introduced:

- Common Terminology
- Modular Organization
- Management by Objectives
- Incident Action Planning
- Manageable Span of Control
- Incident Facilities and Locations
- Comprehensive Resource Management

- Integrated Communications
- Establishment and Transfer of Command
- Unified Command
- Chain of Command and Unity of Command
- Accountability
- Dispatch/Deployment
- Information and Intelligence Management



## **Unit 2 Summary (Continued)**

The next unit will provide an overview of the ICS Functional Areas and introduce the roles of the Incident Commander and Command Staff.



### Lesson Break





#### **Unit 3 Overview**

This unit introduces you to the Incident Command System (ICS) Functional Areas and roles of the Incident Commander and Command Staff. By the end of this unit, you should be able to:

- Identify the five major ICS functional areas.
- Describe the role of the Incident Commander.
- Describe the selection of and transfer of command between Incident Commanders.
- Identify the position titles associated with the Command Staff.
- Describe the roles of the Command Staff.
- Differentiate between incident command and incident coordination.



## ICS Functional Areas and Command Staff Roles

Every incident requires that certain functional areas be implemented. The problem must be identified and assessed, a plan to deal with it must be developed and implemented, and the necessary resources must be procured and paid for.

Regardless of the size of the incident, these functional areas are all required.

In case you ever need to assist with an incident, you should understand how the management structure is constructed using the Incident Command System (ICS). This will help you understand your role in the structure and how you may receive information and assignments.

This unit focuses on the five major functional areas and the Command Staff roles. The General Staff roles will be discussed in the next unit.



## Five Major ICS Functional Areas

There are five major Incident Command System (ICS) functional areas that are the foundation on which an incident management organization develops.

These functions apply to incidents of all sizes and types, including both planned events and ones that occur without warning.

If you are in an incident and hear these terms, its important for you to know what they mean. For instance, you may be directed to provide documents to the Planning Section or receipts to the Finance/Administration Section.

Command

Operations

Planning

Logistics

Finance/Administration



## ICS Functional Area Descriptions

**Incident Command**: Sets the incident objectives, strategies, and priorities, and has overall responsibility for the incident.

**Operations**: Conducts operations to reach the incident objectives. Establishes tactics and directs all operational resources.

**Planning**: Supports the incident action planning process by tracking resources, collecting/analyzing information, and maintaining documentation.

**Logistics**: Arranges for resources and needed services to support achievement of the incident objectives (resources can include personnel, equipment, teams, supplies, and facilities).

**Finance/Administration**: Monitors costs related to the incident. Provides accounting, procurement, time recording, and cost analyses.

Command

Operations

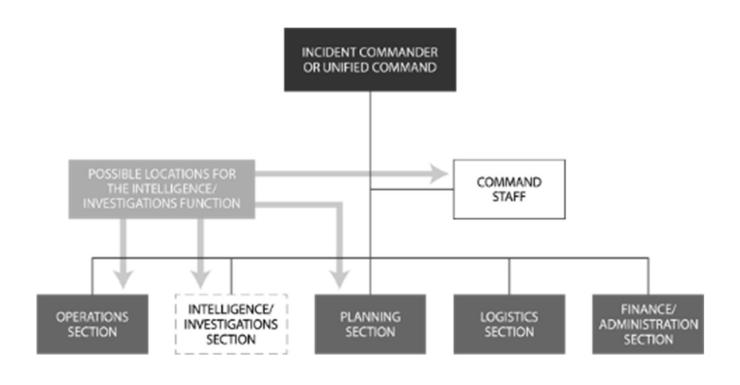
Planning

Logistics

Finance/Administration



## Intelligence/Investigations Function in ICS





## Review of Functional Areas (1 of 5)

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Which ICS Functional Area supports the incident action planning process by tracking resources, collecting/analyzing information, and maintaining documentation?



## Review of Functional Areas (2 of 5)

?

Which ICS Functional Area sets the incident objectives, strategies, and priorities, and has overall responsibility for the incident?



## Review of Functional Areas (3 of 5)

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Which ICS Functional Area conducts operations to reach the incident objectives, establishes tactics, and directs all operational resources?



## **Review of Functional Areas (4 of 5)**

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Which ICS Functional Area monitors costs related to the incident and provides accounting, procurement, time recording, and cost analyses?



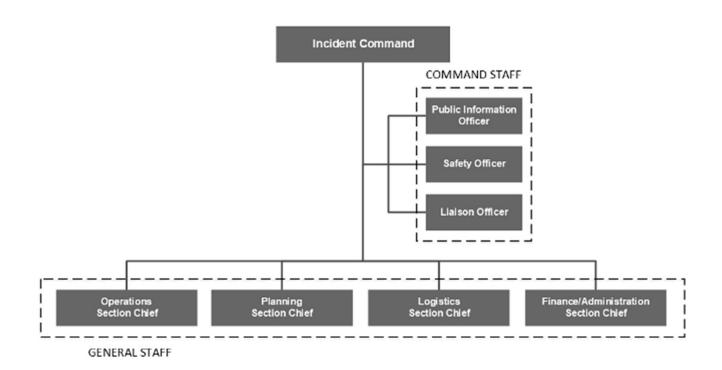
## Review of Functional Areas (5 of 5)

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Which ICS Functional Area arranges for resources and needed services to support achievement of the incident objectives?



### **ICS Structure**





#### **Incident Command Definition**

The National Incident Management System (NIMS) defines **command** as the act of directing, ordering, or controlling by virtue of explicit statutory, regulatory, or delegated authority.

When you are using the Incident Command System (ICS) to manage an incident, an **Incident Commander** is assigned. The Incident Commander has the authority to establish objectives, make assignments, and order resources. To achieve these ends, the Incident Commander works closely with staff and technical experts to analyze the situation and consider alternative strategies.

The Incident Commander should have the training, experience, and expertise to serve in this capacity. Qualifications to serve as an Incident Commander should not be based solely on rank, grade, or technical knowledge.



#### **Incident Commander**

Lets begin by taking a closer look at the Incident Commander. The Incident Commander is responsible for the overall management of the incident. Overall management includes Command Staff assignments required to support the incident command function. **The Incident Commander is the only position that is always staffed in ICS applications.** On small incidents and events, one person-the Incident Commander-may accomplish all management functions.



#### **Incident Commander Discussion**

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Why is it critical to establish command from the beginning of an incident?



## Incident Commander Responsibilities

In addition to having the overall responsibility for managing the entire incident, the Incident Commander is specifically responsible for:

- Ensuring overall incident safety
- Providing information services to internal and external stakeholders, such as disaster survivors, agency executives, and senior officials
- Establishing and maintaining liaisons with other agencies participating in the incident

The Incident Commander may appoint one or more Deputies. If a Deputy is assigned, he or she should be fully qualified to assume the Incident Commanders position.



## **Selecting or Changing Incident Commanders**

The command function should be clearly established at the beginning of an incident. The jurisdiction or organization with primary responsibility for an incident designates the individual at the scene who is responsible for establishing command and the protocol for transferring command. As an incident becomes more or less complex, command may change to meet the needs of the incident.

When command is transferred, the process should include a briefing that captures all essential information for continuing safe and effective operations.



#### **Transfer of Command Discussion**

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The transfer of command process always includes a thorough transfer of command briefing, which may be oral, written, or a combination of both.

It is also important to remember that the rest of the incident staff should be notified of the transfer of command.

What would you include in a transfer of command briefing?



# **Deputy Incident Commander Discussion**

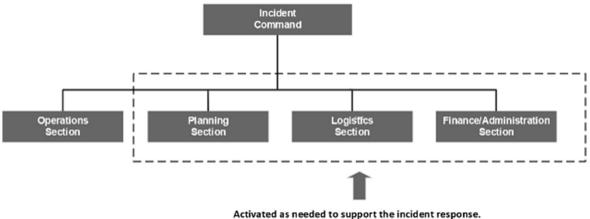
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Scenario: The Deputy Incident Commander will be replacing the current Incident Commander, who needs to attend to a family emergency.

What is the correct action for the Incident Commander?



# Delegating Incident Management Responsibilities



Activated as needed to support the incident response.

The Incident Commander only creates those sections that are needed. If a section is not staffed, the Incident Commander will manage those functions.



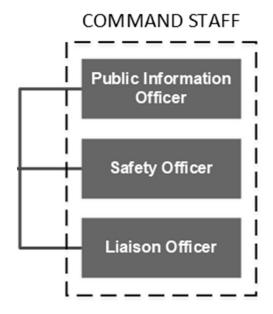
#### **ICS Command Staff**

Depending upon the size and type of incident or event, the Incident Commander may designate personnel to provide information, safety, and liaison services. In the Incident Command System (ICS), the Command Staff may include:

- Public Information Officer, who interfaces with the public and media and/or with other agencies with incidentrelated information requirements.
- Safety Officer, who monitors incident operations and advises the Incident Commander on all matters relating to safety, including the health and safety of incident management personnel.
- Liaison Officer, who serves as the Incident Commanders point of contact for representatives of governmental agencies, non-governmental organizations (NGOs), and private-sector organizations.

Incident Commanders may also choose to appoint technical specialists (such as legal, medical, science and technology, or access and functional needs) to act as command advisors.

The Command Staff reports directly to the Incident Commander. In a complex incident, Assistant Officers may be assigned to each of the Command Staff functions.





#### **Command Staff Overview - Video**



#### Command Staff Knowledge Check (1 of 3)

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Which member of the Command Staff is described below?

"I work very closely with Operations to make sure that our people in the field are wearing appropriate protective equipment and implementing safe tactical options."



#### Command Staff Knowledge Check (2 of 3)

?

Which member of the Command Staff is described below?

"I provide briefings to supporting Agency Representatives and work with them to address their questions and concerns about the operation. I remain visible on the incident scene to all incoming cooperating and assisting agencies."



#### Command Staff Knowledge Check (3 of 3)

?

Which member of the Command Staff is described below?

"I am the primary contact for anyone who wants details about the incident and our response to it. I serve an external audience through the media and an internal audience including incident staff and agency personnel."



#### Command Staff Roles - Activity 3.1

Activity Purpose: To illustrate how ICS can be used to address incident management issues.

Instructions: Working as a team:

- 1. Review the scenario presented on the next page of your Student Manual.
- 2. Identify which Command Staff positions would be assigned.
- 3. Next, if you were the Incident Commander, what specific activities would you delegate to each Command Staff member?
- 4. Select a spokesperson. Be prepared to present in 10 minutes.

Time: 15 minutes

Scenario:

An unexpected flash flood has struck a small community. As a result:

- Homes, schools, the business district, and the community college are being evacuated.
- Damage to critical infrastructure includes contamination of the water supply, downed power lines, and damaged roads.
- Perimeter control and security in the business district are needed.
- Mutual aid is arriving from several surrounding communities.
- Media representatives are arriving at the scene.



#### **Incident Coordination**

Now that we've discussed the Command Staff roles, let's take a look at how the overall incident is coordinated.

Coordination involves the activities that ensure the onsite Incident Command System (ICS) organization receives the information, resources, and support needed to achieve those incident objectives. Coordination takes place in a number of entities and at all levels of government. Examples of coordination activities include:

- Establishing policy based on interactions with agency executives, other agencies, and stakeholders.
- Collecting, analyzing, and disseminating information to support the establishment of shared situational awareness.
- Establishing priorities among incidents.
- Resolving critical resource issues.
- · Facilitating logistics support and resource tracking.
- Synchronizing public information messages to ensure that everyone is speaking with one voice.





#### **Command and Coordination**

Effective incident management consists of four overarching areas of responsibility:

- Direct tactical response to save lives, stabilize the incident, and protect property and the environment
- 2. Incident support through resource acquisition, information gathering, and interagency coordination
- 3. Policy guidance and senior level decision making
- 4. Outreach and communication with the media and public to keep them informed about the incident

These objectives are accomplished through the use of the Incident Command System (ICS), Emergency Operations Centers (EOCs), Multi-agency Coordination (MAC) Groups, and the Joint Information System (JIS), respectively.



## **Emergency Operations Center Role**

Jurisdictions and organizations across the Nation use Emergency Operations Centers (EOCs) as an element of their emergency management programs.

Typically, an Emergency Operations Center (EOC) supports the on-scene response by relieving the Incident Commander of the burden of external coordination and the responsibility for securing additional resources.

#### An EOC is:

- A physical or virtual location where staff from multiple agencies come together to address imminent threats and hazards
- Staffed with personnel trained for, and authorized to, represent their agency/discipline
- Equipped with mechanisms for communicating with the incident site
- Providing support to the incident by obtaining resources
- Applicable at different levels of government





#### **Joint Information Center**

Another coordination entity is the Joint Information Center (JIC). The JIC:

- May be established to coordinate all incident-related public information activities
- Serves as the central point of contact for all news media-when possible, public information officials from all participating agencies should co-locate at the JIC

JICs may be established at various levels of government and at incident sites. Depending on your role in the incident, you may need to direct individuals or organizations to the JIC to obtain information.



# **Incident Command or Incident Coordination**

?

#### Do these statements represent Incident Command or Incident Coordination?

- 1. Establish objectives, make assignments, and order resources.
- 2. Collect, analyze, and disseminate information.
- 3. Synchronize public information messages.
- 4. Establish priorities among incidents.



#### **Unit 3 Summary**

This unit introduced you to the:

- Five major Incident Command System (ICS) Functional Areas.
- ICS organizational structure.
- Incident Commander roles and responsibilities.
- Selection and transfer of Incident Commanders.
- Command Staff roles and responsibilities.
- Differences between incident command and incident coordination.

The next unit provides an introduction to the ICS General Staff Roles.

#### **Lesson Break**





#### **Lesson 4 Overview**

In the previous unit, you learned that the Command Staff supports the Incident Commander who is responsible for overall management of the incident.

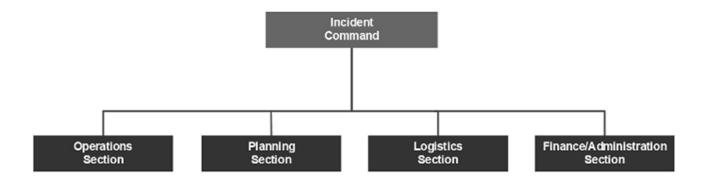
This unit introduces you to the General Staff.

By the end of this unit, you should be able to:

- Identify the Incident Command System (ICS) titles used for General Staff members.
- Describe the major activities of the four general staff sections.

#### **General Staff**

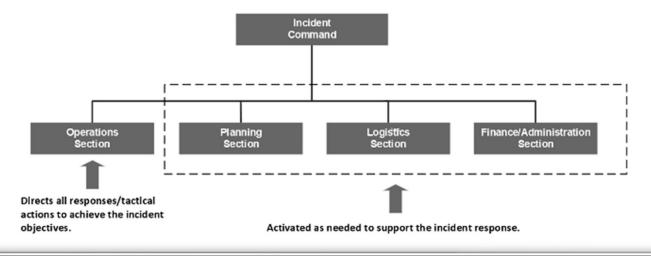
To maintain span of control, the Incident Commander may establish any or all of the following four sections: Operations, Planning, Logistics, and Finance/Administration.





#### **General Staff Overview**

In an expanding incident, the Incident Commander first establishes the Operations Section. The remaining sections are established as needed to support the operation.





#### **General Staff Overview Video**





#### **Operations Section**

The Incident Commander determines whether there is a need for an Operations Section and, if so, will designate an Operations Section Chief.

It is up to the Operations Section Chief to activate any additional staffing that is needed. When the Operations Section Chief is designated, the staging and management of operational resources moves from the Incident Command to Operations.

If no Operations Section is established, the Incident Commander will perform all operations functions.

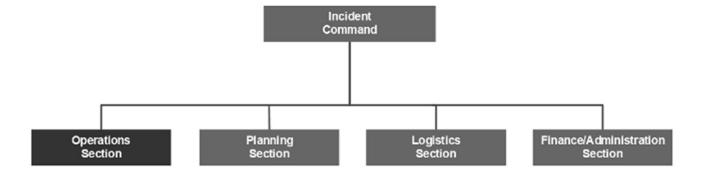


Operations Section Chief

#### **Operations Section Major Activities**

The major activities of the Operations Section may include:

- Implementing strategies and developing tactics to carry out the incident objectives
- Directing the management of all tactical activities on behalf of the Incident Commander
- Supporting the development of the Incident Action Plan to ensure it accurately reflects current operations
- Organizing, assigning, and supervising the tactical response resources





### **Planning Section**

The Planning Section Chief is designated only after the Incident Commander determines whether there is a need for a Planning Section.

It is up to the Planning Section Chief to activate any additional staffing that is needed.

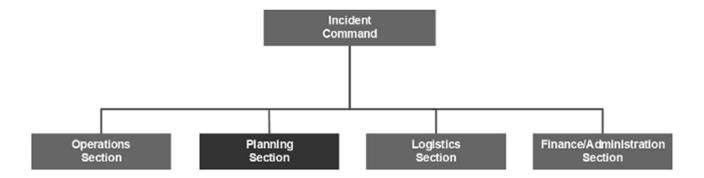
The Incident Commander will perform all planning functions if no Planning Section is established.



#### Planning Section: Major Activities

The major activities of the Planning Section may include:

- · Preparing and documenting Incident Action Plans
- · Managing information and maintaining situational awareness for the incident
- Tracking resources assigned to the incident
- Maintaining incident documentation
- Developing plans for demobilization





#### **Logistics Section**

The Logistics Section Chief is designated only after the Incident Commander determines whether there is a need for a Logistics Section.

It is up to the Logistics Section Chief to activate any additional staffing that is needed.

The Incident Commander will perform all logistics functions if no Logistics Section is established.

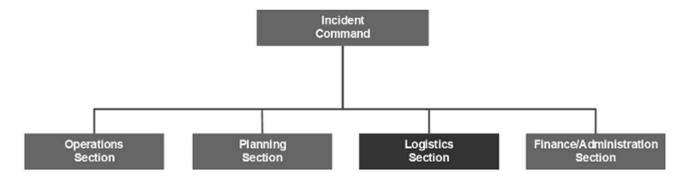


Logistics Section Chief

#### Logistics Section: Major Activities

The Logistics Section is responsible for all services and support needs, including:

- Ordering, obtaining, maintaining, and accounting for essential personnel, equipment, and supplies
- Providing communication planning and resources
- · Setting up food services for responders
- Setting up and maintaining incident facilities
- Providing support transportation
- · Providing medical services to incident personnel





#### Finance/Administration Section

The Incident Commander determines whether there is a need for a Finance/Administration Section at the incident.

If so, the Incident Commander will designate an individual to fill the position of the Finance/Administration Section Chief.

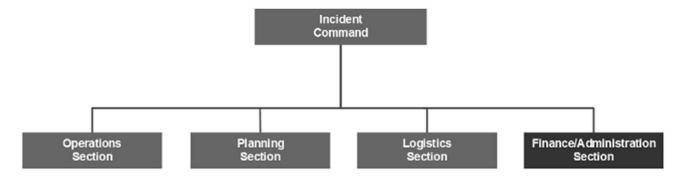
The Time, Compensation/Claims, Cost, and Procurement Units may be established within this section.



# Finance/Administration Section: Major Activities

The Finance/Administration Section is set up for any incident that requires incident-specific financial management. The Finance/Administration Section is responsible for:

- Contract negotiation and monitoring
- Timekeeping
- Cost analysis
- Compensation for injury or damage to property
- Documentation for reimbursement (e.g., under mutual aid agreements and assistance agreements)



### **Section Chiefs Activity 4.1**

Activity Purpose: To review the General Staff Section responsibilities.

Instructions: Working individually:

- 1. Review the table in your Student Manual.
- 2. Identify the correct Section Chief for each statement.
- 3. Be prepared to share your answers in 5 minutes.

Time: 10 minutes



### General Staff Review (1 of 5)

?

Which member of the General Staff is described below?

"In advance of severe flooding, there is a need to get generators and communications equipment to the Staging Areas to equip advance response teams. Its my responsibility to make sure the needed equipment arrives at the Staging Areas."



#### General Staff Review (2 of 5)

?

Which member of the General Staff is described below?

"As the response is underway, my section tracks all personnel participating in the response."



### General Staff Review (3 of 5)

?

Which member of the General Staff is described below?

"My section conducts response activities such as search and rescue, and coordinates medical services being provided to disaster survivors."



#### General Staff Review (4 of 5)

?

Which member of the General Staff is described below?

"I support the incident response activities by overseeing contracting for needed supplies and services that are not already available."



#### General Staff Review (5 of 5)

?

Which member of the General Staff is described below?

"Throughout the incident and during the after-action review process, the reports that we develop will be very useful."



## **General Staff Functions - Activity 4.2**

Activity Purpose: To reinforce participants understanding of General Staff functions.

#### Instructions:

- 1. Working in groups, review the scenario presented in your Student Manual.
- 2. Use what you have learned to answer the questions for each part of the activity before proceeding to the next page. Write your answers on chart paper.
- 3. When you have answered each set of questions, move on to the next page.
- 4. Select a spokesperson and be prepared to discuss your answers to all the questions in 15 minutes.

Time: 20 minutes

<u>Scenario Part 1:</u> A store employee at a small shopping mall discovers a package leaking a noxious smelling chemical in a storage room. No one is sure how long the box has been there, or how long it has been leaking. Employees and customers are beginning to complain about feeling lightheaded and nauseous. The business owner calls 911. In the meantime, the mall security manager arrives to see why people are rushing out of the store. The security manager establishes the initial ICS organization.



## **General Staff Functions - Activity 4.2 Part 2**

Scenario Part 2: A Battalion Chief and hazmat team arrive at the scene. In addition, a law enforcement patrol car with one officer has arrived to help with perimeter control.



# **General Staff Functions - Activity 4.2 Part 3**

Scenario Part 3: To maintain span of control as the incident expands, the Incident Commander establishes an Operations Section.

## **General Staff Functions - Activity 4.2 Part 4**

Scenario Part 4: After the first hour, the Incident Commander establishes a second Section that will develop the Incident Action Plan and track the status of resources on the scene.

## **General Staff Functions - Activity 4.2 Part 5**

Scenario Part 5: In an interview, the business owner mentions that she has received threats from a recently terminated employee. The substance has yet to be identified. Given these circumstances, there is a need to find witnesses and locate people who may have come in contact with the package. Interview areas have been set up in the mall parking lot. There are an increasing number of response personnel at the scene, creating the need for communications support along with food and drinks.



## **General Staff Functions - Activity 4.2 Part 6**

Scenario Part 6: Cleanup is complete, and the few exposed customers and staff have been located and are undergoing treatment. The operation is now shifting to an ongoing investigation of the disgruntled former employee.



## **Unit 4 Summary**

This unit introduced you to:

- The Incident Command System (ICS) roles of the General Staff.
- The major activities of the four ICS General Staff sections.

The next unit focuses on how the ICS applies to you and your agency or organization.



### Lesson Break





### **Unit 5 Overview**

In this unit, you will be given an opportunity to apply information presented in the previous units.

You will be given a scenario involving flooding and you will be asked to select which NIMS Management Characteristics are demonstrated throughout the scenario.

By the end of this unit, you should be able to:

- Identify how the National Incident Management System (NIMS)
   Management Characteristics apply in specific roles.
- Identify how the National Incident Management System (NIMS)
   Management Characteristics apply in specific situations.



## **Emerald City Flood Scenario**

Activity Purpose: To reinforce participants' understanding of NIMS Management Characteristics.

#### Instructions:

- 1. Working in groups, review the scenario presented in your Student Manual.
- 2. Use what you have learned in the course to answer the questions. Write your answers on chart paper.
- 3. Select a spokesperson and be prepared to discuss your answers to the questions.

Time: 10 minutes

Scenario: It has been raining heavily for the past seven days in Emerald City. The Emerald City and Liberty County Emergency Management offices are preparing for a response to a possible flood situation. Residents are starting to ask questions about the rising river and lake levels, and are wondering if they will need to leave their homes.

#### Instructions:

- 1. Working in groups, review the scenario presented in your Student Manual.
- 2. Use what you have learned in the course to answer the questions. Write your answers on chart paper.
- 3. Select a spokesperson and be prepared to discuss your answers to the questions.

Time: 10 minutes

#### **Scenario Update 1:**

Raining has continued for three more days and the flooding is expected to reach its highest point today. The flooding has caused residents to evacuate their homes in anticipation of rising floodwaters. Basement flooding to the first-floor level is anticipated.

The local Nursing Home is assessing the situation to determine if an evacuation of residents is necessary.



# **Emerald City Flood Scenario: Update 1 (Continued)**

The Liaison Officer, with the support of the Public Information Officer, is in contact with business owners to determine if any of their stored chemicals will be affected by the flooding, causing possible contamination downstream.

Based upon previous floods, it is a high priority to establish shelters for evacuees early on. The Emergency Operation Plan pre-identified the following shelters: Lawrence College Auditorium and Lafayette Middle School.

Due to the complexity of the incident, the Incident Commander has expanded the Operations Section to include an Evacuation Group. The Evacuation Group Supervisor immediately contacts the Lawrence College President and the Lafayette Middle School Principal to begin the process of establishing shelters in those facilities.



#### Instructions:

- 1. Working in groups, review the scenario presented in your Student Manual.
- 2. Use what you have learned in the course to answer the questions. Write your answers on chart paper.
- 3. Select a spokesperson and be prepared to discuss your answers to the questions.

Time: 10 minutes

#### Scenario Update 2:

The Evacuation Group is reporting that homeowners are beginning to move their families out of the area. The American Red Cross has opened two shelters, one at the Lawrence College Auditorium and one at the Lafayette Middle School.











## Emerald City Flood Scenario: Update 2 (Continued)

The Nursing Home is attempting to move 55 patients from their skilled nursing care facility and is asking for assistance from Emergency Medical Services, the Fire Department, and the School Bus Company.

Acme Chemical is reporting first-floor flooding of their chemical processing plant. They are not reporting any chemical release but are closely monitoring their facility.

Calls are coming into the Emergency Operations Center from concerned citizens wondering about the safety of the municipal drinking water. Additional concerns about the wellbeing of waterfowl and fish in the river and lake are being voiced because tourism, fishing, and hunting are a major part of the economy in the area.

Additional resources are needed for evacuation, sheltering, sandbagging, water level and chemical monitoring, traffic control, and scene security at other Incident Command Posts. Several media helicopters have arrived in the area to film the ongoing operations.



The nursing homes emergency plan calls for relocating residents with acute medical care needs to the Community Hospital. Residents without acute medical needs will be sheltered.

The American Red Cross, in collaboration with the Salvation Army, are managing the shelters and providing food for displaced residents.

The Public Works Department and the Health Department are monitoring the water intake at the Water Treatment Plant for signs of chemical contamination. Public Works crews are placing sandbags to protect the Water Treatment Plant.









The river levels have steadily receded and residential property owners are anxious and attempting to return to their properties. Public Utility Crews are assisting City Building Inspection crews in the inspection of evacuated homes for safety and structural integrity before allowing residents to move back in. Drinking water qualities are being monitored and cleanup and damage assessment activities are beginning.

The American Red Cross and Salvation Army report that most evacuees have found longer-term temporary housing. Very few evacuees remain in their shelters, and shelters are anticipated to be closing soon.

Emerald City Health Department personnel, along with representatives from the County and the State Health Departments, are monitoring the water intakes and the city drinking water for any signs of contamination. Nothing significant has been detected so far. The County Health Department is also monitoring private wells as requested by the landowners.



# Emerald City Flood Scenario: Update 4 (Continued)

The Nursing Home reports that water has receded from their building and that they are beginning cleanup procedures. They expect to finish their cleanup, including mandatory inspections by the State Health Department, within a week.

Because the activities are shifting from response to recovery, the mayor of Emerald City has asked the Incident Commander to prepare to demobilize and transfer command of the incident to a Unified Command consisting of Emergency Management, the Emerald City Health Department, and the Emerald City Department of Public Works.

The newly formed Unified Command will focus on restoring essential services, providing a safe re-entry for displaced residents, and completing a thorough damage assessment. The transfer of command will take place at the end of the next operational period.



## **Unit 5 Summary**

You have now completed Unit 5.

In this unit you have:

- Identified how the National Incident Management System (NIMS) Management Characteristics apply in specific roles
- Identified how the National Incident Management System (NIMS) Management Characteristics apply in specific situations

## **Course Summary**

You have now completed this course.

You should now be able to:

- Explain the principles and basic structure of the Incident Command System (ICS).
- Describe the NIMS Management Characteristics that are the foundation of ICS.
- Describe the ICS functional areas and the roles of the Incident Commander and Command Staff.
- Describe the General Staff roles within ICS.
- Identify how NIMS management characteristics apply to ICS for a variety of roles and discipline areas.

### IS-100.c Final Exam Instructions

When the review is completed, follow these Final Exam instructions:

- 1. Take a few moments to review your Student Manual and identify any questions.
- 2. Make sure that you get all of your questions answered prior to beginning the final test.
- 3. When taking the test online
  - Go to <a href="http://training.fema.gov/IS/crslist.asp">http://training.fema.gov/IS/crslist.asp</a> and click on the link for IS-0100.c.
  - Click on "Take Final Exam."
  - Read each item carefully.
  - Check your work before submitting your answers.

## **Certificate of Completion**

To receive a certificate of completion, you must take the multiple-choice Final Exam and score at least 75 percent on the test.

https://training.fema.gov/is/courseoverview.aspx?code=IS-100.c&lang=en

Upon successful completion of the Final Exam, you will receive an e-mail message with a link to your electronic certification.



### **Course Evaluation**

Completing the course evaluation form is important. Your comments will be used to evaluate the effectiveness of this course and make changes for future versions.

Please use the course evaluation forms provided by the organization sponsoring the course.