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IN THE UNITED STATES DISTRICT COURT, SOUTHERN DISTRICT OF CALIFORNIA,

SOUTHERN DIVISION.

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THE UNITED STATES OF AMERICA.

Plaintiff.

vs

No. G-111-2

SAKHARAM GANUSH PANDIT,

Defendant.

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DEPOSITION of DOCTOR GRAFTON ELLIOTT SMITH, a witness on behalf of the defendant, taken July 24, 1924, before ROY McREYNOLDS, a Notary Public in and for the county of Los Angeles, state of California.

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## PRESENT:

J. E. Simpson, Assistant United States Attorney for plaintiff.

William Fleet Palmer, Esq., for defendant.

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ELMER L. KINCAID
SHORTHAND REPORTER AND NOTARY
621 WASHINGTON BUILDING LOS ANGELES, CAL
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Reported by Roy McReynolds.

ELMER L. KINCAID, SHORTHAND REPORTER IN THE UNITED STATES DISTRICT COURT, SOUTHERN DISTRICT OF CALIFORNIA, 3 SOUTHERN DIVISION. 4 --0--THE UNITED STATES OF AMERICA. Plaintiff, 7 No. G-111-T. -VS-8 SAKHARAM GANESH PANDIT, Defendant. 10 --0--DEPOSITION of DOCTOR GRAFTON ELLIOTT SMITH, a witness 11 in the above-entitled cause produced on behalf of the de-12 13 fendant, taken on July 24, 1924, at the hour of 3:00 P. M., 14 in Suite 1108 Washington Building, in the City of Los Angeles, County of Los Angeles, State of California, before 16 ROY McREYNOLDS, Notary Public in and for said Los Angeles 17 County, California. 18 19 PRESENT: 20 J. E. Simpson, Assistant United States Attorney, for 21 plaintiff. **22** William Fleet Palmer, Esq., for defendant. 23 24 25 **2**6

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It is hereby stipulated and agreed by and between the
respective parties to the above-entitled action, that the
testimony of Dr. Grafton Elliott Smith, a witness produced
in behalf of defendant in said cause, be taken before Roy
McReynolds, a Notary Public in and for the County of Los
Angeles, State of California, duly commissioned and sworm,
on Thursday, the 24th day of July, A. D. 1924, at the hour
of 3:00 o'clock P. M. thereof, and if not completed on said
day it will be continued from day to day thereafter until
completed. That the testimony may be written down in
shorthand by said Roy McReynolds and thereafter reduced to
typewriting; but the signature of the said witness to his
deposition is hereby duly and expressly waived. That said
denosition and testimony when so reduced to writing may be
read and used in evidence in said cause on any trial thereof
or proceeding therein, subject to the same objections and
exceptions as if the said witness were personally present on
the stand in court, but without objection or exception to
the time, place or manner of taking the same and the form
of the question, unless noted at the time.
Dated this, 1924.
Attorney for Plaintiff.

Attorney for Defendant.

ELMER L. KINCAID, SHORTHAND REPORTER

Q Tell what they are.

A I am a Doctor of Medicine in the University of Sydney, Australia, and of Adelaide, Australia. I am a Master of Arts of the University of Cambridge, England.

I am a Doctor of Science of the University of Liverpool, and a Doctor of Literature of the University of Manchester; and I am also an honorary member of the Anthropological Socities of Paris, Munich and Rome.

- Are you the author of any works on Ethnology?

  A Well, I wrote the article on ethnology for the last edition of the Encyclopedia Britannica; and I have written several others.
  - You say the last edition; now, what one is that?

A That is the supplemental volumes published in 1922, which they call the twelfth edition.

- Have you written any article in regard to Migrations of Mankind or something of that kind?
  - A Yes, I have several books on that subject.
  - Q That you have written?

A Yes. I have written a book on the Migrations of Peoples in 1915 which was published by the University of Manchester; and I wrote a book on the Ancient Egyptians, the second edition of which was published in 1923, and which deals mainly with the subject of anthropology, and I have three or four other books on the subject.

Q In the course of your studies, Doctor, have you paid

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 particular attention to the subject of race?

A Yes. That is the chief subject of my work, especially during the last five years.

- Q What is the meaning of the word "race"?
- A We interpret race in the sense of groups of men who present similar, physical characters and whom we believe to have been separated up into groups at a very remote period of time; that is, we divide existing men into six races.
  - What are they?
- A There is the Australian aborigine; the Negro; the Mongol; and then the three races which are often grouped together as Caucasian, and which we regard as strict races: the Mediterranean, the Nordic and the Alpine, -- the three ingredients of the population of Europe; and we believe that these represent distinct groups which, at a very remote time were separated one from the other, and developed distinctive characters.
- Q What, if anything, does the question of color have to do with the races?
- MR. SIMPSON: Now, at this time, the Government interposes an objection to the testimony of this witness on the question of color and race and ethnological status of a high-caste Hindu on the grounds that any question and answer which might be given by the witness would be incompetent, irrelevant and immaterial, under the ruling of the United States Supreme Court in the case of the United States vs Thind.

And may it be understood now without delaying the taking of the testimony, that this objection will go to all of these questions the same as if the objection was specifically made in each instance so that we will save time.

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That is all right. I will stipulate to that. (Question read.)

MR. PAIMER: Perhaps, the question ought to be changed.

Does the color of the skin of the various men indicate the race to which they belong?

MR. PAIMER: I will stipulate that the objection made will go to this question.

A Well, skin color is, of course, a distinctive feature, one of the important distinctive features in the case of the Australian Aborigine and the Negro; but, among the other races you get a great variety and many gradations of skin color. Of the three European races; that is, the Alpine, the Nordic and the Mediterranean, the Nordic alone has what we call a pure white skin. In the Mediterranean, which is represented chiefly in Spain and Portugal and Italy, you get various shades of olive complexion, and of course these people are members of what is commonly called the white or Caucasian race.

Well, the other or third European race -- the Alpine?

A The Alpine has a dærker skin than the Nordic; but it cocupies, as regards color, a position intermediate between the other two races.

- Are all three of the European races that you speak of-the Alpine, Nordic and Mediterraean -- generally understood to be white people?
- A Yes, they are all included. Yes, they are invariably called as of the white race, as all three are included in what is commonly known, in popular language, as the Caucasian race.
- Are there peoples outside of Europe, in Asia, that are known generally as white people?
- A There are representatives of all three of those races in Asia and in North Africa.
- Are there white people or people that are generally known or generally called white people, who reside in India?

A From the anthropological point of view, the chief ingredient in the population of India belongs to the same race as we call the Mediterranean in Europe; that is, they present the same physical structure; and in teaching anthropology, we always include them in the Mediterranean race.

Asa white people?

A Well, they belong to the same race as the Mediterraneans and, if the Mediterraneans are white people, the majority or chief element in India is white, also.

Have you made a study of the portion of India known as

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the Punjab, so far as it relates to its inhabitants?

A Yes, I have examined collections in the museum in the Royal College of Surgeons and in Cambridge University; and there is no doubt that in the Punjab, you get this Mediterranean element mixed with a very considerable element of the Alpine race and that is one of the constituents of the so-called Caucasian group.

Then are those peoples in the Punjab, would you say they are white people and known as white people or white persons?

A Yes, the race is certainly the same. They are of the same race as the people of the major element of the population of Russia; that is, they belong to the Alpine race.

Q You have made a study of the culture of these ancient peoples and of their present inhabitants; I will ask you whether the culture of the Hindu is the same as the culture of the European races that you speak of?

MR. SIMPSON: The prior objection will also go to this line of questions as to culture.

MR. PAIMER: Yes, all right.

A In the study of culture, I have submitted evidence to suggest that the culture of India was derived from the West, from the same source from which the culture of Europe is derived; which, of course, is going back to ancient times; but the true culture was developed along somewhat different lines; but their inspiration is the same.

- Q It has the same foundation?
- A Yes.
- Now, the testimony that you have given -- I have asked you specifically in regard to the Punjab; now, I will ask you whether the same testimony would apply to the portion of India known as Bombay?
- A In the Punjab, there is a much larger element of people of the Alpine race, mixed, of course, with the original population, which is mainly Mediterranean; but in Bombay and in the south, the Alpine element is not so obtrusive.
  - They have more of the Mediterranean?
  - A Yes.
  - Q But those peoples, as you say, were of the white rade?
- A Well, they are the same race as the Mediterraneans.

  If we call Mediterraneans white, these people were necessarily of a white race also.
- In your classification, or in the classification of these races, would you say that the culture of the inhabitants of India, Bombay, is the culture of the white race?
  - A Yes, I have no hesitation in saying that.
- I will ask you whether or not in your opinion the inhabitants of India are so much of the white race that they would be assimilable by European races?
- A That, of course, is a difficult question to express an opinion upon. Racially, there is no reason at all why they

should not intermingle. The only question that comes up there is with regard to culture. The wide separation of people through long centuries brings about what we call a social heritage which is a very important factor in intermingling. Upon the pure ground of race, of course, there is no reason why intermingling should not take place.

- Q There is no physiological barrier?
- A No.
- Q Or impediment?
- A No.
- Are there differences among ethnologists in regard to the classification of the Hindu?
- A Yes, there are differences. What I have been expressing are the conclusions to which I have arrived, from my own work.
- I will ask you if all the ethnologists who go into the matter, classify the Hindus as of the Mediterranean or Caucasian race?
- Most ethnologists use the term "Caucasian"; which, of course, is less exact, scientifically, than the terms I have used. I think all ethnologists so far as I can remember at the moment, agree in calling them Caucasian; but the Caucasian consisting of these three races as I have tried to impress in my scientific work, is stated more accurately by saying it includes two out of three European races.
  - There is no diversity of opinion, then, in, at least,

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saying that they are the same.

- A I think all modern ethnologists would admit that.
- Are there differences of opinion among ethnolgists in regard to some other peoples, as to their origin or race?
- A Well, of course, anthropologists vary about the subject very largely, in which racial prejudice enters. We will find that most people have views and bias to a certain extent, based on the nationality, although they are claiming to be scientific men.
  - Q Where were you born?
- A I was born in Australia; so I have the impartiality of a mongrel.
  - Were your progenitors English?
  - A Yes, I have got English, Scotch, Irish and Welsh blood.
- Q Now, again, referring to your degrees and so on, are you a fellow of the Royal Society?
  - A Yes, I am.
  - Q And also of the Royal Society of Surgeons?
- A No; I am a Fellow of the Royal College of Physicians of London.
  - MR. PALMER: I think that is all. You may cross examine.

    CROSS EXAMINATION

## BY MR. SIMPSON:

Q Dr. Smith, when you use the term "white peoples" or "white race", do you use it in the common understanding of the term as it is used among members of your profession?

I was using it in the strictly technical sense 1 in which we speak as anthropologists. 2 That is what I mean. 3 That the chief element in the population of India 4 consists of members of the same race that in Europe we call 5 6 white races. 7 Then when you were using the term, you were using it 8 as you use it in your science or profession? 9 Yes, in the strictly technical sense. And you were not using it in the same sense that the 10 judges of the United States Supreme Court might have used 11 12 it? 13 Well, of course, I cannot express an opinion upon that 14 matter. 15 In other words, you were not using it in the legal 16 sen se? 17 Well, I was using it in the sense that I used in 18 teaching students anthropology. 19 And that is the technical, scientific sense? 20 A Yes. 21 Q And when you were referring to the peoples of the 22

And when you were referring to the peoples of the Punjab district of India you were giving your opinion on those people from your scientific viewpoint?

A Yes.

Q And when you were giving your opinion on the culture of the Hindu of the Punjab or Bombay you were also using, or,

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rather, expressing your opinion based upon the scientific studies that you have made as an anthropoligist?

A Yes.

And it is quite true, is it not, that, as you stated, although there may be no scientific reason why members of different races should not assimilate, there may at the same time be a reason which has grown up from social heritage which would prevent the assimilation of those peoples?

MR. PALMER: The question we object to because it uses the term "people of different races"; and I understood the testimony to be, "people of the same races".

A What I meant to imply was that in the question of intermingling, the social factor comes in as well as the strictly physical one.

I understood you to classify Mediterranean. Alpine and Mordic as three, different, distinctive races?

Three distinctive races.

Q Commonly called among your profession Caucasian?

A Well, that is the more or less popular term-- Caucasian It is used in this country by scientific anthropologists.

Q Yes. That is, the term "Caucasian" is used by them?

A Yes; but that has grown up here to provide a useful term to contrast with the Negro. But in Europe, this term is going out of use altogether, because we recognize three distinctive races, which are included under the term Caucasian.

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Then there might be social reasons why members of the Mediterranean race might not assimilate with members of the Nordic race, might there not?

I don't know of any such.

And yet no racial reason why they should not assimilate?

No. A

There might be reasons, social reasons, why the Negro might not assimilate with the members of the Alpine race and yet there might be no scientific, racial reason, why they should not; isn't that true?

Yes, that is possible.

Just as there might be social or historical reasons why Filipinos might not assimilate with members of the Alpine race?

A Yes, that is true.

Just as there might be social or other reasons, not based upon the scientific study of the races, why Japanese or Chinese might not assimilate in the Caucasian race; is that true?

A Yes.

So your answer to the question of assimilation of these races was based upon your scientific viewpoint that there is nothing racially inherent about these people which would prevent their assimilation?

Yes. My point is that I don't pretend to speak with

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any authority on the social problem, although I admit that it is a factor; but what I can claim to express an opinion on, is the question of race, the physiological question of race.

- And I believe that you did state, however, in your opinion, that this social heritage is a very important factor in the assimilation of races?
- A Yes, it is obvious that it is an important factor, I think.
  - Now, I believe you used the term "Modern ethnoligists"
  - A Yes.

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- Q Just what do you mean by that, Doctof
- A I meant the group of experts who deal with these questions at the present time.
- and how long a period of years do you mean by "the present time"?
- A Well, there has been a profound change within the last 15 years in the attitude of most people who deal in these subjects towards the question of race. That is, the distinctive characters of race have been more clearly defined and recognized within that time. That was all that I meant by the use of that term.
- The term "modern ethnologists" extended to the group of scients to who have been devoting much time to the study of this during the last 15 years?
  - A Yes.

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And you did say, I believe, that there is a difference of opinion among ethnologists as to the status of Hindus?

A Not so much a difference of opinion as a difference in the use of terms. It is common in books of ethnology to refer to the chief element in the Hindu population as Caucasian, and what I have been trying to do is to define that more technically by saying that it is two of the three and, mainly, one of the three elements in the Caucasian which is represented.

- And you, as I understand it, classify the Hindu in which of those three?
  - A In the Mediterranean race.
  - Q In the Mediterranean race?
  - A Yes.
- and you place all three of those as parts of the Caucasian races, as you use the term?
  - A Yes.
- And some other ethnologists do not classify them under the meaning of the term "Caucasian"; is that correct?
- A No. Most ethnologists simply use the term "Caucasian" without defining what they mean by it; but we in Europe are giving up the term "Caucasian" altogether and using these three special names for the three different ingredients of the European population.
- And I suppose that there is also a difference of opinion among anthropoligists on this question, is there?

On which question do you refer to?

On the question of the classification of Hindus as members of a particular race?

Well, there is a misunderstanding; there is anuncertainty, only because of the use of this term "Caucasian".

I see.

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It is only recently that the point has been clearly made that the chief element of India is essentially Mediterranean in type.

And that determination is of recent date, you say?

Yes, it goes back -- it has been made practically within the last 15 years.

I see. And you would not state that in your opinion in 1780, in the United States, that the use of the term "Free white persons" included Mindus, would you?

Of course, at that time there was no sciente of anthropology at all and I would not like to attempt to interpret what the framers of the Constitution meant to imply MR. PALMER: 1789.

MR. SIMPSON: I was within nine years. I knew it was back there some place.

So that in all of these answers which you have given, you have not pretended to classify and define these different races as they were classified and defined in 1789?

Of course, there was no definition of races at that time at all.

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ELMER L. KINCAID, SHORTHAND REPORTER 18 Your answers to these questions were based upon the 1 principles of modern ethnology? 2 Yes. 3 And scientific study? 4 5 Yes. MR. SIMPSON: 6 That is all. 7 REDIRECT EXAMINATION . 8 BY MR. PALMER: 9 Doctor, at the time of the adoption of the Constitution of the United States in 1789 you say that there were not the 10 11 divisions; or, the study of ethnology had not reached the stage 12 that it has now? 13 No, there was no real science of ethnology at that 14 time. 15 I will ask you whether the distinction known at that 16 time was between the Negro race, who were made slaves, and 17 white men who were made slaves, and white men who were free? 18 MR. SIMPSON: Nell, that is objected to as calling for a 19 conclusion of the witness upon something he is not qualified 20 to state. That is political more than ethnological, I 21 should think. 22 Well, I think the answer to that question is purely 23 a political one. 24 A political question? 25 Yes. It depends on the political condition under 26 which the Constitution was drawn up.

Q Well, I will put the question to you in another way. Was the division at that time and for a time after that, a distinction between the Caucasian race and the Negro race, meaning by "Caucasian" white, and by "Negro", black?

A Yes, I think there is no doubt but that is the distinction which prompted the use of the word "white"; although there was no real science of ethnology then. People spoke of the white, black, red and the yellow races.

Those were the races that were known at that time?

A Those were the terms which were commonly used at that time.

Yes. And by the white race, what was then understood, if you know?

A Well, it was used to apply to most of the people of Europe and Asia, excluding the Mongol race which was put into a separate category. as the yellow race.

- Q Then at that time there was the Negro race, which was black?
  - A Yes.
  - Q The Mongol race which was yellow?
- A Yes.
  - Q The Indian race which was red?
  - A Yes, the American Indian.
  - Q The American Indian race which was red?
  - A Yes.
  - And the white or Caucasian race?

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A Yes.

That was the classification at that time, was it?

A Yes.

Q Was the Hindu race at that time or since at any time that you know of included with the yellow or red or the black races?

MR. SIMPSON: That is objected to on the ground that it calls for the opinion or conclusion of the witness and a matter upon which, by his own testimony, he has shown that he is not qualified; the situation being that he has testified that at the time-- which was 1789 as I take it from this question-- there was no science of ethnology, and the witness answers to these questions are based upon a scientific study. The question would, therefore, call for an answer based upon historical or political questions.

A The question is, frankly, not a simple one. It is complicated by the fact that you get in the mountains of Southern India a real black race, and these people have always been put into a separate category and as a group of Megroes; but, apart from them there is the bulk of the population which has become known in recent times as included in the Caucasian group; and then, until quite recently, the people of the northwest of India were included definitely with Europeans because they spoke the same language; that is, the Sanscrit; so that the answer to that question, on that, of course, that state of affairs goes back a very consider-

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able time; so it is impossible to answer that question with a simple statement. Q. What portion of India was occupied by the race that was known as the black race?

Reproduced from the holdings of the National Archives and Records Administration

Well, we know there are at present in India certain so-called jungle types which are definitely primitive; that is, at present it is very restricted, but it was more present in ancient times.

But that is not the portion of the inhabitants of India that are known as Hindus?

These are the people who aresimply known as the hill peoples or the jungle peoples, rather.

MR. PAIMER: I think there is no further examination.

## RECROSS EXAMINATION

BY MR. SIMPSON:

Dr. Smith, your answer to the last question is based upon the results of your study of modern ethnology, is it?

Yes sir. A

And that study is the result of the study of specimens of people who have come from those different places and whom you have seen there yourself?

My own knowledge is based on the examination of the remains, the skeletal remains in museums in England; and, also, certain material which has been sent me from India for examination; and, also, from the study of the scientific reports which have been issued by the Indian Government in

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ELMER L. KINCAID, SHORTHAND REPORTER
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connection with the ethnologic survey of the different territories.

- a And it is based solely upon your own study of remains?
- A Yes.
- And upon reports that have been made through other sources?
- A Yes. And, of course, one has very considerable familiarity with Indians, actual Indians, from all the provinces of India, who are studying in the universities in England.
  - Q That is, personal observation?
  - A Yes, from personal observation.
- Q Did I understand you to state that from your knowledge of politics or history in 1709 there was not so much of a distinction between the races of people as there is now, of the three races which are now included as Caucasian?
- A No; that distinction is a modern one. There was no clear demarcation made until recent years of the three members of the Caucasian race.
- The primary distinction at that time then was between Negroes, whites, yellow and red races?
  - A Yes.
  - And the use of the term "Caucasian" was practically unknown at that time?
- A The term "Caucasian", I think, came into use in 1811.
  I think that was the year.

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ELMER L. KINCAID, SHORTHAND REPORTER

Where, if you know?

A I forget the man's name at present; but in my writings I have been accustomed to refer to the year loll as the beginning of scientific study of anthropology by a German anthropologist, whose name for the moment escapes me.

THE DEFENDANT PANDIT: Blumenbach?

A Blumenbach, in 1811. It was Blumenbach, I think, that introduced this term "Caucasian".

Q BY MR. SIMPSON: And he was a scientific man?

A He was a scientific man; and that I have always regarded as the beginning of the scientific study of anthropology, in 1811.

And you say he was a German?

A German.

MR. SIMPSON: That is all.

MR. PAIMER: That is all, Doctor. I want to thank you for your kindness and courtesy.

SIGNATURE WAIVED.

ELMER L. KINCAID, SHORTHAND REPORTER

contained.

UNITED STATES OF AMERICA,

State and Southern District of California,

County of Los Angeles.

I, ROY MCREYNOLDS, a Notary Public in and for said

Los Angeles County, do hereby certify that the witness in

the foregoing deposition named was by me duly sworn to testify the truth, the whole truth, and nothing but the truth;

that said deposition was taken at the time and place mentioned in the said stipulation, to-wit: at Room 1108

Mashington Building, in the city of Los Angeles, county of

Los Angeles, State of California, on the 24th of July, 1924,

at the hour of 3:00 P. M., and that said deposition was
completed on said day; that said deposition was written

down in shorthand by me and thereafter written out in typewriting under and by my direction, and, when completed, wasnot

read over by said witness and was not corrected by him and

was not subscribed, in pusurance with stipulation herein

IN WITNESS WHEREOF, I have hereunto subscribed my name and AUGUST, affixed my seal of office this 18th day of AVITA 1924.

Notary Public in and for the County of Los Angeles, State of California.