Secondary Causal Mediation Analysis of a Pragmatic Clinical

Trial to Evaluate the Effect of Chiropractic Care for US

Active-duty Military on Biopsychosocial Outcomes

Occurring Through Effects on Low Back Pain

Interference and Intensity

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 OPEN ACCESS

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Objective: We evaluate change in low back pain (LBP) intensity and interference as the mechanism by which chiropractic care affects other biopsychosocial factors in US active-duty military members.

Design: We conducted secondary, exploratory mediation analysis of pragmatic, multisite, clinical trial (NCT01692275) post results using natural effect modeling. Mediators were the 6–week values of Patient-Reported Outcomes Measurement Information System (PROMIS)-29 pain interference and intensity. Outcomes were 12–week values of other PROMIS-29 biopsychosocial subdomains. Models evaluated overall and individual factor contribution and were adjusted for baseline age, sex, LBP duration, LBP intensity and mediator and outcome values.

Setting: Three US military treatment facilities.

Participants: 750 US active-duty military members with LBP.

Interventions: Trial participants received 6 weeks of treatment with chiropractic care plus usual medical care or usual medical care alone.

Results: In multiple mediator models, pain interference and pain intensity explained much of the effect of chiropractic care on physical function (proportion mediated=0.77, 95% CI 0.43 to 2.0), fatigue (0.62, 95% CI 0.30 to 1.0), sleep disturbance (0.49, 95% CI 0.31 to 1.5) and social roles (0.81, 95% CI 0.50 to 2.0). Mental health was not evaluable due to the low prevalence of symptoms reported. The combined models of pain interference and pain intensity did not have a higher proportion mediated than the individual pain intensity models except for fatigue outcome models.

Conclusion: Pain intensity appeared to be the mechanism by which much of the change in biopsychosocial factors occurred and should be considered a key clinical indicator for improvement in biopsychosocial health when chiropractic care is added to usual medical care for US active-duty military members.

Keywords: COMPLEMENTARY MEDICINE; EPIDEMIOLOGY; PAIN MANAGEMENT.

Trial registration: ClinicalTrials.gov Identifier: NCT01692275.

STRENGTHS AND LIMITATIONS OF THIS STUDY.

Our use of clinical trial data addresses bias due to confounding of treatment–mediator relationship and differences between patients who choose complementary health approaches and those who do not.

Our sensitivity analysis indicates that our results are not likely to be explained by confounding factors that are unaccounted for.

We were unable to assess patient beliefs due to lack of measurement in the parent trial.

We were unable to assess mental health outcomes due to low prevalence of reporting symptoms.

Transportability outside of US military personnel may be limited.

From the FULL TEXT Article:

Introduction

Whole-person healthcare has been hypothesised to affect biological, social, behavioural and environmental health factors and to best addressed with the use of integrative healthcare approaches that include both conventional treatment and complementary health approaches. [1] Complementary health approaches include a wide array of therapies categorised as nutritional, psychological, physical or combinations of these categories. [1] One of the most commonly used complementary health approaches in the USA is chiropractic care. [2]

Chiropractic care is a multimodal treatment including, but not limited to, manual therapy, therapeutic exercise, patient education, lifestyle factor recommendations and self-care recommendations. [3, 5] These interventions target patient beliefs, psychosocial function and physical pain, [4] consistent with the biopsychosocial model. [6] Mechanistic research of manual therapy has previously demonstrated effects on physical processes such as joint stiffness, [7, 8] temporal summation [9, 10] and muscle activity, [11, 13] which are associated with changes in pain. Contemporary models of manual therapy mechanisms include a wide range of physical processes along with non-specific and psychological effects. [14]

The mechanisms of whole-health treatment approaches targeting biopsychosocial health are not as well understood as the specific biological mechanisms of manual therapies. The biopsychosocial model itself has been scrutinised for vagueness in its lack of classifying components as causally related, [15] which is necessary to understand how interventions affect biopsychosocial health. Though not without its drawbacks, a starting point for understanding causal components of the biopsychosocial model is to use a reductionist approach to evaluate how changes in pain lead to changes in subsequent physical, psychological and social health. [15] The approach of evaluating effects on pain as the mechanism by which health improves due to chiropractic care is supported by patients seeking chiropractic care to specifically address their back and neck pain, [16] the most common interventions delivered by chiropractors being recommended in clinical practice guidelines for pain, [17] and pain being a common target of chiropractic care. [3]

Mediation analysis is an approach that can be used to evaluate the mechanism of treatment approaches. [18] Mediation analysis evaluates differences in an outcome associated with exposure/treatment occurring through intermediate stages, or mediator variables. [18] This type of analysis has been performed to evaluate mechanisms of numerous pain interventions, including tai chi, [19] yoga [20, 21] and physical therapy. [21, 23] Important mechanisms of these treatments include physical factors such as sleep and exercise, [20] and patient beliefs such as self-efficacy, [20] catastrophising [19, 22] and patient expectation. [23]

Our previous exploratory analysis indicated that factors such as physical function, fatigue, sleep disturbance and social activity were moderate mediators of the effect of chiropractic care on pain interference and to a small degree on pain intensity. [24] It remains to be determined if chiropractic care affects whole health factors including physical, mental and social health through its effect on pain, or if these changes occur more directly. The aim of this exploratory study is to evaluate changes in pain as the process by which chiropractic care affects biopsychosocial outcomes.

Our primary research question was: “Do pain interference and pain intensity mediate the effect of chiropractic care on physical function, fatigue, sleep disturbance, anxiety, depression, and satisfaction with social roles for US active-duty military with LBP?”

Methods

We used A Guideline for Reporting Mediation Analyses guidance,25 which is based on the Enhancing the QUAlity and Transparency Of health Research (EQUATOR) framework, [26] to enhance quality and transparency of reporting in this manuscript.

 Study design and data source

This is a secondary, exploratory analysis of a deidentified dataset produced from a pragmatic, multisite, clinical trial evaluating usual medical care plus chiropractic care versus usual medical care alone for US active-duty military members with low back pain (LBP). [27] The trial was preregistered on clinical trials.gov (NCT01692275), IRB approved and all participants provided written consent. A deidentified dataset was used for these analyses. The analysis plan for this exploratory analysis was not published or registered but was developed before analysis.

The parent trial resulted in greater improvement for US active duty military when chiropractic care was added to usual medical care for the primary outcomes of LBP intensity and disability28 and secondary outcomes of satisfaction with care, perceived improvement [28] and Patient-Reported Outcomes Measurement Information System (PROMIS)-29 domains. [29] The trial consisted of 6 weeks of treatment and up to 12 visits with a study chiropractor. Because the parent trial was a pragmatic clinical trial, care was delivered as usual rather than prescribed by trial procedures to improve the applicability and generalisability of the study findings. Chiropractic care subcomponents delivered during the trial were generally consistent with what is reported on average in current chiropractic analysis surveys. [3] A more thorough description of specific treatments provided is published elsewhere.5 Participants were not prohibited from seeking additional treatment from other providers at any time.

 Participants

Trial participants were recruited from three US military medical facilities: Walter Reed in Bethesda, Maryland, Naval Medical Center San Diego in San Diego, California, and Naval Hospital Pensacola in Pensacola, Florida, beginning on 28 September 2012. Recruitment occurred via referrals from medical physicians, study chiropractic clinicians and participant self-referral based on study recruitment flyers. Last participant follow-up occurred 12 weeks after enrolment and was concluded on 13 February 2016.

Participants were active-duty military members between the ages of 18 and 50 years old seeking treatment in the military treatment facilities for musculoskeletal LBP. Potential participants were excluded if they had a condition for which spinal manipulative therapy, the signature intervention associated with chiropractic care, was contraindicated, radiculopathy that required diagnostic testing or surgery, a recent spine fracture or surgery, or a post-traumatic stress disorder diagnosis.

 Sample size

The trial was powered for each site to detect between-group differences of 1.2 points in pain intensity and 2.4 points on the Roland-Morris Disability questionnaire. [28] This resulted in a sample size of 125 participants per arm at each site, totaling 750 participants. This mediation analysis was not planned at the time the trial was conducted; therefore, an a priori powered sample size was not possible, making it possible that our analysis would be underpowered.

 Effects of interest

For these analyses, the total effect is the total difference in effect between treatment arms on physical function, fatigue, sleep disturbance, anxiety, depression and satisfaction with participation in social roles. The total natural direct and total natural indirect effects are subcomponents of the total effect. The total natural direct effect is the difference in effect on outcomes between treatment arms that did not occur through mediators under evaluation, but rather directly or through unmeasured mediators. The total natural indirect effect is the difference in effect on outcomes between treatment arms that occurred through effects on pain interference and/or pain intensity. The proportion mediated is the total natural indirect effect divided by the total effect.

 Measurement

Intervention/exposure

We followed the intention-to-treat principle by defining exposure in our models as assignment to intervention arm (usual medical care plus chiropractic care or usual medical care alone). Ninety-three per cent of participants in the arm allocated to receive chiropractic care had a visit with a study chiropractor. Seventy-one per cent in the chiropractic care arm and 73% of those in the usual medical care alone arm had at least one visit with a medical provider in the 6–week treatment period.

Patient-Reported Outcomes Measurement Information System [30]

Participant health was assessed using the PROMIS-29 profile V.1.0 at baseline, 6 weeks and 12 weeks. The PROMIS-29 profile consists of 29 questions. The profile assesses physical health with the domains of pain intensity, pain interference, physical function, fatigue and sleep; mental health with the domains of anxiety and depression; and social health with the domain of satisfaction with participation in social roles. [31] Pain intensity is assessed with a single question, while each of the other domains consists of four questions. Participant responses are used to generate T-scores for each domain. Domains are scaled such that a T-score of 50 corresponds to the general population average for that domain. Participants with scores higher than 50 have more of the measure than the population average, with one SD equal to a 10–point difference from 50. [31] To improve the ease of interpretation and decrease conflicting positive and negative estimates which can negatively impact the estimation of proportion mediated, [18] we transformed physical function and satisfaction with participation in social roles T-scores in our mediation analyses. These were transformed by taking 100-T-score to make a higher T-score an indicator of poorer health across all of the PROMIS-29 domains.

Outcomes, mediators and confounding

The 12–week values of PROMIS-29 physical function, fatigue, sleep disturbance, anxiety, depression and satisfaction with participation in social roles were the outcomes for the models. Online supplemental table S1 of the supplemental appendix contains a description of the measurement of the outcomes and select baseline covariates. We evaluated the 6–week values of PROMIS-29 pain interference and pain intensity as mediators in our models. We considered the baseline values of the mediators and outcomes as causes of confounding of the mediator–outcome relationship and the baseline factors of sex, age, LBP duration and pain intensity which were used in adaptive allocation to account for causal factors of assignment to exposure.

 Causal assumptions

Figure 1

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Figure 1 graphically displays the causal model for these analyses. The assumptions are that some of the difference in effect of usual medical care plus chiropractic care and usual medical care alone on biopsychosocial factors occur through the mediators of pain interference and pain intensity. It is also assumed that mediator–outcome confounding is accounted for by adjusting for the baseline values of the PROMIS-29 measures considered in these analyses.

 Statistical methods

Descriptive statistics were tabulated and reported by treatment group. We conducted our mediation and sensitivity analyses using R statistical software (V.4.2.2) [32] and the CMAverse package. [33] We used the natural effect modelling approach [34] and assumed that assignment to treatment arm did not cause confounding of the association between mediator and outcome.

We conducted natural effect multiple mediator models for each outcome with the 6–week PROMIS measures of pain interference and pain intensity as mediators. The models were adjusted for confounding by baseline values of the mediators and outcomes. We also adjusted models for the baseline covariates of self-reported sex, age, and LBP duration.

Exposure–mediator interaction terms were assessed [35] and included if they changed total natural indirect and total natural direct effect estimates or improved model fit. [18] We used direct counterfactual imputation as described by Vansteelandt et al [36] to account for missing data. We used multiple imputation by chained equations with 25 imputations and the CMAverse default of five iterations to impute missing mediator and outcome values and 1000 bootstrap samples to generate bias-corrected standard errors and 95% CIs. We calculated total effect, total natural indirect effect and total natural direct effect on the difference scale. In this analysis, the difference scale indicates the difference in effect between usual medical care alone versus usual medical care plus chiropractic care, with negative values favouring the usual medical care plus chiropractic care arm. In addition to these effects, we calculated and reported the proportion mediated.

The estimation of individual mediator effects is limited by the counterfactual framework of multiple mediator models such as natural effect models. [37] To better understand the effect of pain interference and pain intensity individually, we compared single mediator natural effect models with the multiple mediator model for each outcome. Individual mediator models may have inflated estimates compared with multiple mediator models which account for correlation between mediators. [18] The comparison of single mediator models to the multiple mediator model shows if the mediation effect is better explained with multiple mediators or if a single mediator may be sufficient as a mechanism. [18]

 Sensitivity analyses

We evaluated the effect of missing data by repeating the multiple-mediator models using complete case analysis and the potential effect of unmeasured confounding by calculating E-values. [38]

 Patient and public involvement

None.

Results

 Participants

Table 1

All participants had LBP at baseline with approximately half of the participants having chronic LBP (3 months or greater duration) and half experiencing acute LBP. This sample of US active-duty military members had a mean of 31 years of age with females making up 23%. Table 1 displays additional baseline characteristics of participants included in this study. Data were more complete for the 6–week measures than the 12–week measures but were not differentially reported by treatment arm. Data completeness is described in greater detail in online supplemental table S2 of the supplemental appendix.

Compared with the general population (T-score=50), at baseline, the participants demonstrated worse mean pain interference, physical function, sleep disturbance and satisfaction with participation in social roles and approximately average mean fatigue. At baseline, 79% of participants reported better than population average depression with 67% having a T-score=41, equivalent to the minimum response value for all depression questions. Similar values were noted for anxiety with 46% reporting 0 anxiety symptoms and 58% having less anxiety symptoms than the population average. This distribution created a heavily right-skewed distribution which prevented a meaningful evaluation of mediation of anxiety and depression outcomes in this sample.

 Outcomes and estimates

Table 2

Table 3

We did not include exposure–mediator interactions in our final models due to having little effect on total natural direct and total natural indirect effect estimates and not improving the fit of the model. The results of mediation by both pain interference and pain intensity on each of the model outcomes are shown in Table 2.

Table 3 shows the proportion mediated by pain interference and pain intensity individually and combined. The difference in total effect favoured the chiropractic care arm for all outcomes evaluated. The total effect difference was of consistent magnitude across domains with

physical function = –2.21 (95% CI –3.13 to –0.77),

fatigue = –2.63 (95% CI –4.29 to –1.05),

sleep disturbance = –2.26 (95% CI –3.36 to –0.87) and

social roles = –2.43 (95% CI –4.06 to –1.12).

Pain interference and pain intensity were estimated to mediate about half of the effect on sleep disturbance (proportion mediated = 0.49, 95% CI 0.31 to 1.49), to up to 81% of the effect on satisfaction with participation in social roles (proportion mediated = 0.81, 95% CI 0.50 to 1.97). In each model, the estimate of the natural direct effect was much smaller than the natural indirect effect indicating that much of the difference between treatment arms on the outcomes occurred through pathways including pain interference and pain intensity rather than directly or through unmeasured mediators.

Individual mediator models indicated less mediation by pain interference than pain intensity for all outcomes. The inclusion of pain interference did not produce additional mediation of the effect for physical function, sleep disturbance or social roles. The addition of pain interference to the model resulted in a combined model mediating 62% (proportion mediated = 0.62, 95% CI 0.30 to 1.65) of the effect on fatigue, while the pain intensity alone model mediated 57% (proportion mediated = 0.57, 95% CI 0.35 to 1.72).

 Sensitivity parameters

Table 4

Complete case analysis did not produce substantially different effect estimates from our models with multiple imputation. The E-values used to assess unmeasured confounding are reported in Table 4.The E-values for the total natural indirect effects were consistent across outcome models. For the total natural indirect effects in each model to be nullified, an unmeasured confounder would require an association of 2.13 times the total effect observed in the physical function model, 1.92 times the total effect observed for the fatigue model, 1.89 times the total effect observed in the sleep disturbance model and 2.02 times the total effect observed in the social roles model.

Discussion

Much of the effect of chiropractic care on physical function, fatigue, sleep disturbance and satisfaction with participation in social roles for active-duty military with LBP appears to be mediated by effects on pain intensity. Multiple mediator models had wide CIs that exceeded the 1.0 upper bounds for proportion mediated indicating that some variables in the multiple mediator models took on opposite signs from one another and that the natural indirect estimates are likely a more reliable estimate than the proportion mediated. [18] When evaluated as a sole mediator, pain interference mediated the effects at a moderate level; when pain intensity was accounted for, pain interference did not add to the proportion of the effect mediated except for the model with fatigue as the outcome. Our other work, evaluates the mediation of chiropractic care’s effect on pain interference and pain intensity by physical function, fatigue, sleep disturbance, anxiety, depression and social roles and resulted in more modest effect estimates. [24] Based on the previous findings, we hypothesised that it may be likely that pain intensity, a simpler measure, may have a stronger impact as a mediator of more complex biopsychosocial health measures than the reverse. Our current findings are consistent with this hypothesis.

Our use of clinical trial data that include a comparison group helps explain how additional improvement in biopsychosocial health measures occurs when chiropractic care is added to usual medical care for US active-duty military members by accounting for regression to the mean and natural course of disease. Though the possibility of mediator–outcome confounding still exists, our sensitivity analysis indicated that unmeasured confounding would have to be large and is not likely to nullify the natural indirect effects observed.

Patient definition of recovery from LBP is diverse and may not simply be a reduction in pain intensity [39]; rather, patients may place more emphasis on function and biopsychosocial health. [39] Our findings suggest that changes in pain intensity are an important pathway through which additional health outcomes beyond pain are likely affected. A better understanding of this pathway helps to explain how the biopsychosocial health effects, attributed to complementary health approaches such as chiropractic care, may occur. This has potential implications for the treatment of patients with LBP. For clinicians delivering chiropractic care, a focus on treatment and tracking of pain intensity as an outcome appears to be an important intermediate factor for improving biopsychosocial outcomes. For clinicians referring patients to chiropractic care, pain intensity appears to be a good clinical indicator for evaluating patient response to treatment. This work assesses the pathways of the effect of chiropractic care on patient-reported outcomes; additional work is needed to better understand patient characteristics associated with improvement to better inform referral practices for this care.

Our approach to mediation analysis in this manuscript was different from others examining yoga, [20, 21] tai-chi, [19] physical therapy, [21, 23] fear-avoidance belief interventions, [40] operant graded activity [41] and back skills training. [42]

In those analyses of data from participants with LBP, patient beliefs or other biopsychosocial factors were evaluated as the mediators of the effect on pain intensity or pain-related disability outcomes. Based on our findings, when evaluating interventions that target pain intensity and pain interference, such as chiropractic care, it appears appropriate to also evaluate the mediation of effect on biopsychosocial health factors by changes in pain. This change to pathway evaluation has potential to help better explain mechanisms of biopsychosocial health improvement for other interventions targeting pain.

 Limitations

The sample for this study included US active-duty military sample who were young and predominantly male. It is unclear if treatment mechanisms are similar in non-military populations. Due to the sample, we were unable to assess the mediation of mental health outcomes in this study. The trial excluded those with a diagnosis of post-traumatic stress disorder and there was a low prevalence of mental health symptoms reported. It is well documented that military members are reluctant to report mental health symptoms and that there are challenges in studying military mental health. [43, 45] Though participants in the sample reported low prevalence of mental health symptoms they did report that they were adversely affected by fatigue at baseline. Though fatigue is classified as a physical domain in the PROMIS-29 questionnaire, fatigue symptoms are associated with mental illness in clinical practice. [46] We therefore suspect that the low reporting of mental health symptoms may be due, in part, to reporting bias rather than simply a characteristic of the sample. The lack of mental health symptom reporting limits transportability to other populations that may be more likely to report mental health symptoms. Further, mediation analysis should be performed in a sample reporting mental health symptoms to better understand if effects on mental health are mediated by changes in pain. Differences in the delivery of care in the military treatment facilities including availability/access to care may further limit transportability to the general population.

This preliminary mediation analysis leaves many questions to be addressed about the effects of chiropractic care. We were not able to assess mediation of pain outcomes occurring through changes in patient beliefs. Patient beliefs such as self-efficacy, catastrophising and patient expectation have been identified as important mediators of the effect of tai chi, [19] yoga and stretching [20] and physical therapy [22, 23] on pain-related disability. Whether patient beliefs are important mediators of chiropractic care, outcomes mediated by pain intensity, or moderators of mediation has yet to be determined. Our analysis evaluated the effect of adding chiropractic care to usual medical care for US active-duty military as delivered in the military treatment facilities. Altering the components delivered as part of chiropractic care or the care pathway has the potential to change the factors appearing as important mediators of biopsychosocial outcomes. We did not evaluate baseline characteristics as moderators of the mediation effect. Baseline characteristics, such as the difference in mediation by the presence of acute or chronic pain at baseline, need further exploration. This would require distinct theoretical causal models and address a different question than we sought to address in this preliminary, exploratory analysis.

Our use of a time-lagged assessment in which the mediators were measured at 6 weeks and outcomes at 12 weeks does not completely address the issue of temporality; we can’t be entirely sure that the change in mediators preceded the change in outcomes rather than changing simultaneously. The 6–week time point was the end of the chiropractic care treatment period. Therefore, an alternative way to interpret our findings is that the mediators were of sustained improvement following a 6–week period of chiropractic care.

Conclusion

The effect of chiropractic care on biopsychosocial health factors appeared to be largely mediated by changes in pain intensity. This mediation effect was larger than previously found when evaluating biopsychosocial health factors as mediators of pain outcomes, indicating that the pathway through pain intensity is likely more important to explain changes in health due to chiropractic care. Our results require confirmation in diverse populations, including civilians and those experiencing mental health symptoms to determine if these mechanisms differ by patient characteristics. Pain intensity may be the best indicator for improvement due to chiropractic care as it is both one of the factors most affected and the mechanism by which most of the change in biopsychosocial health appears to occur. This information may be useful in tracking patient progress when evaluating response to chiropractic care treatment.

Supplementary Material

Supplemental Tables

Table S1. Description of outcome and select covariate measurements [18]

Table S2. Completeness of outcome and mediator data

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(https://doi.org/10.1136/bmjopen-2023-083509).

Ethics approval:

The pragmatic clinical trial was approved by the Palmer College of Chiropractic institutional review board (#2010G137). All participants provided written consent for participation in the trial. This manuscript reports an analysis of a deidentified dataset and not human subjects research, so further ethical approval was not required.

Data availability free text:

The deidentified dataset for this manuscript was provided by Palmer College of Chiropractic under a data use agreement. Reasonable data requests must be made to Palmer College of Chiropractic at research@palmer.edu to obtain data access.

Patient and public involvement:

Patients and/or the public were not involved in the design, or conduct, or reporting, or dissemination plans of this research.

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