

**Firm Brochure  
(Part 2A Form ADV)**

**November 19, 2025**

**David Scott Curtis, dba  
The Curtis Company  
10256 Mossy Rock Circle  
Los Angeles California 90077 USA  
1-310-712-8121 (telephone)  
[david@davidcurtis.net](mailto:david@davidcurtis.net) (email)  
CRD Number: 313258**

**Part 2A of Form ADV (the “brochure”) provides information about the qualifications and business practices of David Scott Curtis, dba The Curtis Company. If you have any questions about the contents of this Brochure, please contact David Curtis at 310-712-8121. The information in this Brochure has not been approved or verified by the United States Securities and Exchange Commission (“SEC”) or by any state securities authority.**

**David Scott Curtis, dba The Curtis Company is registered as an investment advisor with the State of California; however, such registration does not imply a certain level of skill or training and no inference to the contrary should be made.**

**A copy of this Brochure can be obtained anytime by calling 310-712-8121.**

**Additional information about David Scott Curtis, dba The Curtis Company and its investment advisor representatives, is also available on the SEC’s website at [www.advisorinfo.sec.gov](http://www.advisorinfo.sec.gov).**

**David Scott Curtis, dba  
The Curtis Company  
Form ADV Part 2A**

**November 19, 2025**

Item 1: Cover Page

Please refer to the previous page.

Item 2: Material Changes

David Scott Curtis, dba The Curtis Company is filing this “Brochure”, with no material changes.

Item 3: Table of Contents

Item Number	Page
Item 1: Cover Page	1
Item 2: Material Changes	2
Item 3: Table of Contents	3
Item 4: Advisory Business	4
Item 5: Fees and Compensation	5
Item 6: Performance Based Fees and Side by Side Management	5
Item 7: Types of Clients	5
Item 8: Methods of Analysis and Investment Strategies	6
Item 9: Disciplinary Information	6
Item 10: Other Financial Industry Activities and Affiliations	6
Item 11: Code of Ethics or Interest in Client Transactions	6
Item 12: Brokerage Practices	7
Item 13: Review of Accounts	7
Item 14: Client Referrals and Other Compensation	7
Item 15: Custody	7
Item 16: Investment Discretion	7
Item 17: Voting Client Securities	7
Item 18: Financial Information	7
Item 19: Requirements for State Registered Advisors	8

Item 4: Advisory Business

A. Description of Firm

David Scott Curtis, dba The Curtis Company is an alternative Registered Investment Advisory and Commodity Trading Advisory firm.

Mr. Curtis introduces Qualified Clients to other Registered Investment Advisors who manage and operate Private Equity firms.

Mr. Curtis introduces Qualified Eligible Participants to other Commodity Trading Advisors that have commodity interest customers and direct futures, options, forex, and swap accounts.

B. Principal Owner

The firm is owned and operated by David Scott Curtis, dba The Curtis Company, a Sole Proprietor.

C. Types of Advisory Services Offered

David Scott Curtis, dba The Curtis Company does not trade client accounts. Mr. Curtis' advisory practice primarily provides introductions for Qualified Clients to other Registered Investment Advisors who manage and operate Private Equity firms, and introductions for Qualified Eligible Participants to other Commodity Trading Advisors who have commodity interest customers and direct futures, options, forex, and swap accounts.

D. Advisory Agreements

David Scott Curtis, dba The Curtis Company does not maintain individual investment advisory agreements. Mr. Curtis does not participate in wrap-fee programs.

E. Assets Under Management

As of November 19, 2025, David Scott Curtis, dba The Curtis Company has \$0 of assets under management on a discretionary basis and \$0 of assets under management on a non-discretionary basis.

**Item 5: Fees and Compensation**

**Compensation for Advisory Services**

Mr. Curtis is a fee-based advisor compensated through directly negotiated cash fees based on the total amount of assets referred for management or trading, paid by other Registered Investment Advisors and other Commodity Trading Advisors.

Lower fees for comparable services may be available from other sources and because Mr. Curtis recommends the sale of securities and the purchasing of alternative investments, a potential conflict of interest is automatically created, and Qualified Clients and Qualified Eligible Participants are made aware of this potential conflict of interest up-front as the basis for Mr. Curtis introducing other alternative Registered Investment Advisors and other Commodity Trading Advisors.

Mr. Curtis does not collect asset-based sales charges or service fees from the sale of mutual funds or from the sale of any publicly registered securities activities.

Mr. Curtis does not charge clients fees or expenses for publicly registered securities investment-related advisory services and for further information about Mr. Curtis' brokerage practice, please refer to Page 7, Item 12.

**Item 6: Performance Based Fees and Side by Side Management**

David Scott Curtis, dba The Curtis Company does not charge performance-based fees on any specific publicly registered securities recommended for trading nor does Mr. Curtis engage in side-by-side management of any publicly registered securities accounts.

Mr. Curtis introduces other Registered Investment Advisors and other Commodity Trading Advisors that typically charge and collect both management fees and performance-based fees based on the amount of assets acquired/referred for management/trading.

**Item 7: Types of Clients**

Mr. Curtis arranges introductions for Qualified Clients and Qualified Eligible Participants.

Item 8: Methods of Analysis, Investment Strategies and Risk of Loss

David Scott Curtis, dba The Curtis Company investment advisory practice provides introductions to other Registered Investment Advisors who manage and operate Private Equity firms and introductions to other Commodity Trading Advisors who have commodity interest customers and direct client accounts in an effort to enhance the risk-adjusted returns of a total financial portfolio.

**The Risk of Loss investing in private equity transactions and the Risk of Loss trading commodity futures can be substantial and is not suitable for everyone - and under certain market conditions it may be difficult or impossible to liquidate a position - and past performance is not indicative of future results!**

Item 9: Disciplinary Information

Registered Investment Advisors such as David Scott Curtis, dba The Curtis Company are required to disclose all material facts regarding any legal or disciplinary events that would be material to a Qualified Client or Qualified Eligible Participant's or prospective Qualified Client or prospective Qualified Eligible Participant's valuation of David Scott Curtis, dba The Curtis Company or the integrity of its management. David Scott Curtis, dba The Curtis Company does not have any such legal or disciplinary events of any kind past or present and therefore there are no disclosures to be made with respect to this item.

Item 10: Other Financial Industry Activities and Affiliations

Mr. Curtis is a National Futures Association Member registered with the Commodity Futures Trading Commission as a Commodity Trading Advisor.

Mr. Curtis does not have a pending registration to become a Broker/Dealer, nor an Introducing Broker.

Item 11: Code of Ethics, Participation/Interest in Client Transactions & Personal Trading

David Scott Curtis, dba The Curtis Company code of ethics includes total transparency and complete confidentiality. David Scott Curtis, dba The Curtis Company does not enter into any principal transactions or agency cross transactions on behalf of client accounts. David Scott Curtis, dba The Curtis Company does not trade client securities accounts.

Item 12: Brokerage Practices

David Scott Curtis, dba The Curtis Company arranges introductions to other Registered Investment Advisors and Investment Advisor Representatives who may also be a Registered Representative of a Broker/Dealer and introductions to other National Futures Association Members, who may be an Introducing Broker for direct compensation, paid in cash, by the regulated firm being introduced.

Item 13: Review of Accounts

David Scott Curtis, dba The Curtis Company is not a financial planner and does not review or approve publicly registered securities accounts.

Item 14: Client Referrals and Other Compensation

David Scott Curtis, dba The Curtis Company arranges introductions to other Registered Investment Advisors who manage and operate Private Equity firms and other Commodity Trading Advisors for fee-based compensation, paid in cash by the regulated firm being introduced, who typically charge and collect both management fees and performance-based fees based on the aggregate amount of assets introduced for management or trading.

Item 15: Custody

David Scott Curtis, dba The Curtis Company does not take custody of client funds.

Item 16: Investment Discretion

David Scott Curtis, dba The Curtis Company does not maintain discretionary authority over any client accounts and Mr. Curtis does not provide specific securities-related advice.

Item 17: Voting Client Securities

David Scott Curtis, dba The Curtis Company does not have proxy privileges over any client accounts.

Item 18: Financial Information

David Scott Curtis, dba The Curtis Company does not accept pre-payment for products and services.

Item 19: Requirements for State Registered Advisors

**A. Principal Executive Officer and Managing Person**

David Scott Curtis is the firm's managing member. Please refer to David Scott Curtis, dba The Curtis Company's supplemental brochure (Form ADV Part 2B) for more information about Mr. Curtis' formal education and business background. This document, which is provided to new clients and existing clients, whenever a material change is made, can be obtained upon request and is viewable on the SEC's public website at [www.advisorinfo.sec.gov](http://www.advisorinfo.sec.gov).

**B. Other Business Activities**

Please refer to Items 5, 10, and 14 for additional information about these activities.

**C. Performance Based Fees**

As noted in response to Item 6 above, David Scott Curtis, dba The Curtis Company does not charge performance-based fees on any registered securities recommended for trading (fees based on a share of capital gains or capital appreciation of the assets in a clients registered securities account).

**D. Disciplinary or Legal Events**

David Scott Curtis, dba The Curtis Company has no legal or disciplinary events of any kind past or present.

**E. Relationships or Arrangements with Issuers of Securities**

David Scott Curtis, dba The Curtis Company does not have any relationships or arrangements with any issuers of securities.

**F. Disclosure of Material Conflicts of Interest**

Material conflicts of interest relating to David Scott Curtis, dba The Curtis Company and its associates which would be reasonably expected to impair the rendering and objective advice have been disclosed herein.



**Brochure Supplement  
Part 2B Form ADV**

**November 19, 2025**

**David Scott Curtis  
Personal CRD Number: 2436324**

**David Scott Curtis, dba  
The Curtis Company  
10256 Mossy Rock Circle  
Los Angeles California USA  
1-310-712-8121 (telephone)  
[david@davidcurtis.net](mailto:david@davidcurtis.net) (email)  
CRD Number: 313258**

**This Brochure Supplement provides information about David Scott Curtis, that supplements David Scott Curtis, dba The Curtis Company Brochure.**

**Additional information about David Scott Curtis is available on the SEC's website at [www.advisorinfo.sec.gov](http://www.advisorinfo.sec.gov).**

**Item 2: Educational Background and Business Experience**

David Scott Curtis  
Year of Birth: 1968

**Educational Background:**

University of Arizona  
Bachelor of Arts - Awarded on August 8, 1991

**Business Background:**

June 1, 1997 – Present: The Curtis Company - Corporate Mergers & Acquisitions.

March 1, 2021 – Present: David Scott Curtis applied to be an Investment Advisor Representative for David Scott Curtis, dba The Curtis Company.

**Item 3: Disciplinary Information**

David Scott Curtis (“Mr. Curtis”), as a registered investment advisor, is required to disclose all material facts regarding any legal or disciplinary events that would be made material to your evaluation of him. Mr. Curtis has no legal or disciplinary events of any kind, past or present required to be disclosed under this item.

**Item 4: Additional Business Activities**

Mr. Curtis is a Licensed Life, Health & Disability/Accident Insurance Producer and Real Estate Broker.

**Item 5: Additional Compensation**

Mr. Curtis receives commissions from the sale of real estate and fixed-based insurance products.

**Item 6: Supervision**

Mr. Curtis adheres to a strict code of ethics defined and described by David Scott Curtis, dba The Curtis Company.

**Item 7: Requirements for State Registered Advisors**

**A. Additional Disciplinary Information**

As disclosed in Item 3 above, Mr. Curtis has no disciplinary or legal information to disclose. Specifically, Mr. Curtis has not (1) paid an award or otherwise found liable in an arbitration claim alleging damages more than \$2,500 involving any of the following: (i) an investment or an investment related business activity; (ii) fraud, false statement(s), or omissions; (iii) theft, embezzlement or other wrongful taking of property; (iv) bribery, forgery, counterfeiting or extortion; or (v) dishonest, unfair, or unethical practices.

**B. Bankruptcy Information**

Mr. Curtis has not been the subject of any bankruptcy proceedings.