

**Firm Brochure
(Part 2A Form ADV)**

March 11, 2026

**David Scott Curtis, dba
The Curtis Company
10256 Mossy Rock Circle
Los Angeles California 90077 USA
1-310-712-8121 (telephone)
david@davidcurtis.net (email)
CRD Number: 313258**

Part 2A of Form ADV (the “brochure”) provides information about the qualifications and business practices of David Scott Curtis, dba The Curtis Company. If you have any questions about the contents of this Brochure, please contact David Curtis at 310-712-8121. The information in this Brochure has not been approved or verified by the United States Securities and Exchange Commission (“SEC”) or by any state securities authority.

David Scott Curtis, dba The Curtis Company is registered as an investment advisor with the State of California; however, such registration does not imply a certain level of skill or training and no inference to the contrary should be made.

A copy of this Brochure can be obtained anytime by calling 310-712-8121.

Additional information about David Scott Curtis, dba The Curtis Company and its investment advisor representatives, is also available on the SEC’s website at www.advisorinfo.sec.gov.

**David Scott Curtis, dba
The Curtis Company
Form ADV Part 2A**

March 11, 2026

Item 1: Cover Page

Please refer to the previous page.

Item 2: Material Changes

David Scott Curtis, dba The Curtis Company is filing this “Brochure”, with no material changes.

Item 3: Table of Contents

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Item 4: Advisory Business

A. Description of Firm

David Scott Curtis, dba The Curtis Company is an alternative Registered Investment Advisory firm.

Mr. Curtis introduces Qualified Clients to other Registered Investment Advisors who own and manage Private Equity firms.

B. Principal Owner

The firm is owned and operated by David Scott Curtis, dba The Curtis Company, a Sole Proprietor.

C. Types of Advisory Services Offered

David Scott Curtis, dba The Curtis Company does not trade publicly registered securities client accounts. Mr. Curtis' advisory practice primarily provides introductions for Qualified Clients to other Registered Investment Advisors who own and operate Private Equity firms.

D. Advisory Agreements

David Scott Curtis, dba The Curtis Company does not participate in wrap-fee programs.

E. Assets Under Management

As of March 11, 2026, David Scott Curtis, dba The Curtis Company has \$0 of assets under management (publicly registered securities) on a discretionary basis and \$0 of assets under management (publicly registered securities) on a non-discretionary basis.

Item 5: Fees and Compensation

Compensation for Advisory Services

Mr. Curtis is a fee-based advisor compensated through directly negotiated cash retainers and success fees paid in cash at closing based on the total amount of assets acquired for management calculated using the standard Lehman Brothers fee-formula as follows:

Five percent up to the first million dollars of the purchase price; plus,
Four percent up to the second million dollars of the purchase price; plus,
Three percent up to the third million dollars of the purchase price; plus,

Two percent up to fourth million dollars of the purchase price; plus,
One percent of the balance of the purchase price.

Said fees are based on the entire financing of the transaction which may or may not include the restructuring of any present debt.

Lower fees for comparable services may be available from other sources and because Mr. Curtis recommends the sale of securities and the purchasing of alternative investments, a potential conflict of interest is automatically created, and Qualified Clients are made aware of this potential conflict of interest up-front as the basis for Mr. Curtis introducing other alternative Registered Investment Advisors.

Mr. Curtis does not collect asset-based sales charges or service fees from the sale of mutual funds or from the sale of any publicly registered securities activities.

Mr. Curtis does not charge clients fees or expenses for publicly registered securities investment-related advisory services and for further information about Mr. Curtis' brokerage practice, please refer to Page 7, Item 12.

Item 6: Performance Based Fees and Side by Side Management

David Scott Curtis, dba The Curtis Company does not engage in side-by-side management of any publicly registered securities accounts.

Mr. Curtis introduces other Registered Investment Advisors that typically charge and collect both management fees and performance-based fees based on the amount of assets acquired for management.

Item 7: Types of Clients

Mr. Curtis arranges introductions for Qualified Clients as defined by the Securities and Exchange Commission under Rule 205-3 of the Investment Advisors Act of 1940.

Item 8: Methods of Analysis, Investment Strategies and Risk of Loss

David Scott Curtis, dba The Curtis Company investment advisory practice provides introductions to other Registered Investment Advisors who own and manage Private Equity firms in an effort to enhance the risk-adjusted returns of a total financial portfolio.

The Risk of Loss investing in private equity transactions can be substantial and is not suitable for everyone - and under typical market conditions it may be difficult or impossible to liquidate a position - and past performance is not indicative of future results!

Item 9: Disciplinary Information

Registered Investment Advisors such as David Scott Curtis, dba The Curtis Company are required to disclose all material facts regarding any legal or disciplinary events that would be material to a Qualified Client or prospective Qualified Client valuation of David Scott Curtis, dba The Curtis Company or the integrity of its management. David Scott Curtis, dba The Curtis Company does not have any such legal or disciplinary events of any kind past or present and therefore there are no disclosures to be made with respect to this item.

Item 10: Other Financial Industry Activities and Affiliations

Mr. Curtis does not have a pending registration to become a Broker/Dealer.

Item 11: Code of Ethics, Participation/Interest in Client Transactions & Personal Trading

David Scott Curtis, dba The Curtis Company code of ethics includes total transparency and complete confidentiality. David Scott Curtis, dba The Curtis Company does not enter into any principal transactions or agency cross transactions on behalf of client accounts. David Scott Curtis, dba The Curtis Company does not trade client publicly registered securities accounts.

Item 12: Brokerage Practices

David Scott Curtis, dba The Curtis Company arranges introductions to other Registered Investment Advisors and Investment Advisor Representatives who may also be a Registered Representative of a Broker/Dealer for direct compensation, paid in cash, by the regulated firm being introduced.

Item 13: Review of Accounts

David Scott Curtis, dba The Curtis Company is not a financial planner and does not review or approve any publicly registered securities accounts.

Item 14: Client Referrals and Other Compensation

David Scott Curtis, dba The Curtis Company arranges introductions to other Registered Investment Advisors who own and operate Private Equity firms for

fee-based compensation, paid in cash by the regulated firm being introduced, who typically charge and collect both management fees and performance-based fees based on the aggregate amount of assets introduced for management.

Item 15: Custody

David Scott Curtis, dba The Curtis Company does not take custody of client funds.

Item 16: Investment Discretion

David Scott Curtis, dba The Curtis Company does not maintain discretionary authority over any client's publicly registered securities accounts and Mr. Curtis does not provide specific publicly registered securities-related advice.

Item 17: Voting Client Securities

David Scott Curtis, dba The Curtis Company does not have proxy privileges over any client's publicly registered securities accounts.

Item 18: Financial Information

David Scott Curtis, dba The Curtis Company does not accept pre-payment for products and services.

Item 19: Requirements for State Registered Advisors

A. Principal Executive Officer and Managing Person

David Scott Curtis is the firm's managing member. Please refer to David Scott Curtis, dba The Curtis Company's supplemental brochure (Form ADV Part 2B) for more information about Mr. Curtis' formal education and business background. This document, which is provided to new clients and existing clients, whenever a material change is made, can be obtained upon request and is viewable on the SEC's public website at www.advisorinfo.sec.gov.

B. Other Business Activities

Please refer to Items 5, 10, and 14 for additional information about these activities.

C. Performance Based Fees

As noted in response to Item 6 above, David Scott Curtis, dba The Curtis Company does not charge performance-based fees on any publicly registered securities recommended for trading (fees based on a share of

capital gains or capital appreciation of the assets in a client's publicly registered securities account).

D. Disciplinary or Legal Events

David Scott Curtis, dba The Curtis Company has no legal or disciplinary events of any kind past or present.

E. Relationships or Arrangements with Issuers of Securities

David Scott Curtis, dba The Curtis Company does not have any relationships or arrangements with any issuers of publicly registered securities.

F. Disclosure of Material Conflicts of Interest

Material conflicts of interest relating to David Scott Curtis, dba The Curtis Company and its associates which would be reasonably expected to impair the rendering and objective advice have been disclosed herein.

**Brochure Supplement
Part 2B Form ADV**

March 11, 2026

**David Scott Curtis
Personal CRD Number: 2436324**

**David Scott Curtis, dba
The Curtis Company
10256 Mossy Rock Circle
Los Angeles California USA
1-310-712-8121 (telephone)
david@davidcurtis.net (email)
CRD Number: 313258**

This Brochure Supplement provides information about David Scott Curtis, that supplements David Scott Curtis, dba The Curtis Company Brochure.

Additional information about David Scott Curtis is available on the SEC's website at www.advisorinfo.sec.gov.

**David Scott Curtis, dba
The Curtis Company
Form ADV Part 2B
David S. Curtis**

March 11, 2026

Item 2: Educational Background and Business Experience

David Scott Curtis
Year of Birth: 1968

Educational Background:

University of Arizona
Bachelor of Arts - Awarded on August 8, 1991

Business Background:

June 1, 1997 – Present: The Curtis Company - Corporate Mergers & Acquisitions.

March 1, 2021 – Present: David Scott Curtis registered to be an Investment Advisor Representative for David Scott Curtis, dba The Curtis Company.

Item 3: Disciplinary Information

David Scott Curtis (“Mr. Curtis”), as a registered investment advisor, is required to disclose all material facts regarding any legal or disciplinary events that would be made material to your evaluation of him. Mr. Curtis has no legal or disciplinary events of any kind, past or present required to be disclosed under this item.

Item 4: Additional Business Activities

Mr. Curtis is a National Futures Association Member registered with the Commodity Futures Trading Commission as a Commodity Trading Advisor; see customer Risk Disclosure Statement following this Form ADV Part 2B.

Mr. Curtis is a licensed Life, Health & Disability/Accident Insurance Producer and a licensed California Real Estate Broker.

Item 5: Additional Compensation

Mr. Curtis receives performance-based fees; paid quarterly, based on a customer’s high watermark account balance for commodity interest customer-directed accounts (this includes futures, options, forex or swaps).

Mr. Curtis also receives commission from the sale of real estate and fixed-based insurance products.

Item 6: Supervision

Mr. Curtis adheres to a strict code of ethics defined and described by David Scott Curtis, dba The Curtis Company.

Item 7: Requirements for State Registered Advisors

A. Additional Disciplinary Information

As disclosed in Item 3 above, Mr. Curtis has no disciplinary or legal information to disclose. Specifically, Mr. Curtis has not (1) paid an award or otherwise found liable in an arbitration claim alleging damages more than \$2,500 involving any of the following: (i) an investment or an investment related business activity; (ii) fraud, false statement(s), or omissions; (iii) theft, embezzlement or other wrongful taking of property; (iv) bribery, forgery, counterfeiting or extortion; or (v) dishonest, unfair, or unethical practices.

B. Bankruptcy Information

Mr. Curtis has not been the subject of any bankruptcy proceedings.

David Scott Curtis, dba The Curtis Company – Risk Disclosure Statement

PURSUANT TO AN EXEMPTION FROM THE COMMODITY FUTURES TRADING COMMISSION IN CONNECTION WITH ACCOUNTS OF QUALIFIED ELIGIBLE PERSONS, THIS BROCHURE OR ACCOUNT DOCUMENT IS NOT REQUIRED TO BE, AND HAS NOT BEEN FILED WITH THE COMMISSION. THE COMMODITY FUTURES TRADING COMMISSION DOES NOT PASS UPON THE MERITS OF PARTICIPATING IN A TRADING PROGRAM OR UPON THE ADEQUACY OR ACCURACY OF COMMODITY TRADING ADVISOR DISCLOSURE. CONSEQUENTLY, THE COMMODITY FUTURES TRADING COMMISSION HAS NOT REVIEWED OR APPROVED THIS TRADING PROGRAM OR THIS BROCHURE OR ACCOUNT DOCUMENT.

David Scott Curtis is a National Futures Association Member registered with the Commodity Futures Trading Commission (“CFTC”) as a Commodity Trading Advisor. For more than 25 years Mr. Curtis has exclusively arranged corporate mergers and acquisitions. Mr. Curtis’ commodity trading program consists of buying and selling commodity futures contracts utilizing proprietary pyramid & scale trading techniques.

To be eligible to invest in this program and/or view Performance Data, you are required to be a Qualified Eligible Person as defined by Title 17 of the [United States Code of Federal Regulations CFTC Section 4.7](#). For a full explanation of what is required to be a Qualified Eligible Person under the regulation please contact Mr. Curtis directly.

RISK DISCLOSURE STATEMENT

THE RISK OF LOSS IN TRADING COMMODITY INTERESTS CAN BE SUBSTANTIAL. YOU SHOULD THEREFORE CAREFULLY CONSIDER WHETHER SUCH TRADING IS SUITABLE FOR YOU IN LIGHT OF YOUR FINANCIAL CONDITION. IN CONSIDERING WHETHER TO TRADE OR TO AUTHORIZE SOMEONE ELSE TO TRADE FOR YOU, YOU SHOULD BE AWARE OF THE FOLLOWING:

IF YOU PURCHASE A COMMODITY OPTION YOU MAY SUSTAIN A TOTAL LOSS OF THE PREMIUM AND OF ALL TRANSACTION COSTS.

IF YOU PURCHASE OR SELL A COMMODITY FUTURES CONTRACT OR SELL A COMMODITY OPTION OR ENGAGE IN OFF-EXCHANGE FOREIGN CURRENCY TRADING YOU MAY SUSTAIN A TOTAL LOSS OF THE INITIAL MARGIN FUNDS OR SECURITY DEPOSIT AND ANY ADDITIONAL FUNDS

THAT YOU DEPOSIT WITH YOUR BROKER TO ESTABLISH OR MAINTAIN YOUR POSITION. IF THE MARKET MOVES AGAINST YOUR POSITION, YOU MAY BE CALLED UPON BY YOUR BROKER TO DEPOSIT A SUBSTANTIAL AMOUNT OF ADDITIONAL MARGIN FUNDS, ON SHORT NOTICE, IN ORDER TO MAINTAIN YOUR POSITION. IF YOU DO NOT PROVIDE THE REQUESTED FUNDS WITHIN THE PRESCRIBED TIME, YOUR POSITION MAY BE LIQUIDATED AT A LOSS, AND YOU WILL BE LIABLE FOR ANY RESULTING DEFICIT IN YOUR ACCOUNT.

UNDER CERTAIN MARKET CONDITIONS, YOU MAY FIND IT DIFFICULT OR IMPOSSIBLE TO LIQUIDATE A POSITION. THIS CAN OCCUR, FOR EXAMPLE, WHEN THE MARKET MAKES A “LIMIT MOVE”.

THE PLACEMENT OF CONTINGENT ORDERS BY YOU OR YOUR TRADING ADVISOR, SUCH AS A “STOP-LOSS” OR “STOP-LIMIT” ORDER, WILL NOT NECESSARILY LIMIT YOUR LOSSES TO THE INTENDED AMOUNTS, SINCE MARKET CONDITIONS MAY MAKE IT IMPOSSIBLE TO EXECUTE SUCH ORDERS.

A “SPREAD” POSITION MAY NOT BE LESS RISKY THAN A SIMPLE “LONG” OR “SHORT” POSITION.

THE HIGH DEGREE OF LEVERAGE THAT IS OFTEN OBTAINABLE IN COMMODITY INTEREST TRADING CAN WORK AGAINST YOU AS WELL AS FOR YOU. THE USE OF LEVERAGE CAN LEAD TO LARGE LOSSES AS WELL AS GAINS.

IN SOME CASES, MANAGED COMMODITY ACCOUNTS ARE SUBJECT TO SUBSTANTIAL CHARGES FOR MANAGEMENT AND ADVISORY FEES. IT MAY BE NECESSARY FOR THOSE ACCOUNTS THAT ARE SUBJECT TO THESE CHARGES TO MAKE SUBSTANTIAL TRADING PROFITS TO AVOID DEPLETION OR EXHAUSTION OF THEIR ASSETS. THIS DISCLOSURE DOCUMENT CONTAINS, AT PAGE ONE, A COMPLETE DESCRIPTION OF EACH FEE TO BE CHARGED TO YOUR ACCOUNT BY THE COMMODITY TRADING ADVISOR.

THIS BRIEF STATEMENT CANNOT DISCLOSE ALL THE RISKS AND OTHER SIGNIFICANT ASPECTS OF THE COMMODITY INTEREST MARKETS. YOU SHOULD THEREFORE CAREFULLY STUDY THIS DISCLOSURE DOCUMENT AND COMMODITY INTEREST TRADING BEFORE YOU TRADE, INCLUDING THE DESCRIPTION OF THE PRINCIPAL RISK FACTORS OF THIS INVESTMENT.