

**Firm Brochure
(Part 2A Form ADV)**

February 9, 2025

**David Scott Curtis, dba
The Curtis Company
10256 Mossy Rock Circle
Los Angeles California 90077 USA
1-310-712-8121 (telephone)
david@davidcurtis.net (email)
CRD Number: 313258**

Part 2A of Form ADV (the “brochure”) provides information about the qualifications and business practices of David Scott Curtis, dba The Curtis Company. If you have any questions about the contents of this Brochure, please contact David Curtis at 310-712-8121. The information in this Brochure has not been approved or verified by the United States Securities and Exchange Commission (“SEC”) or by any state securities authority.

David Scott Curtis, dba The Curtis Company is registered as an investment advisor with the State of California; however, such registration does not imply a certain level of skill or training and no inference to the contrary should be made.

A copy of this Brochure can be obtained anytime by calling 310-712-8121.

Additional information about David Scott Curtis, dba The Curtis Company and its investment advisor representatives, is also available on the SEC’s website at www.advisorinfo.sec.gov.

**David Scott Curtis, dba
The Curtis Company
Form ADV Part 2A**

February 9, 2025

Item 1: Cover Page

Please refer to the previous page.

Item 2: Material Changes

David Scott Curtis, dba The Curtis Company is filing this “Brochure”, with no material changes.

Item 3: Table of Contents

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Item 4: Advisory Business

A. Description of Firm

David Scott Curtis, dba The Curtis Company is an alternative investment advisory firm.

Mr. Curtis introduces private company owners to other Registered Investment Advisors, private capital, private debt and private equity firms.

Mr. Curtis solicits and introduces qualified eligible participants to other National Futures Association Members who have commodity interest customers and/or who direct commodity interest accounts, this includes futures, options, forex, or swaps.

B. Principal Owner

The firm is owned and operated by David Scott Curtis, dba The Curtis Company, a Sole Proprietor.

C. Types of Advisory Services Offered

David Scott Curtis, dba The Curtis Company does not trade client accounts. Mr. Curtis' advisory practice primarily provides introductions for qualified private company owners to other Registered Investment Advisors, private capital, private debt and private equity firms and introductions for qualified eligible participants to other National Futures Association Members.

D. Advisory Agreements

David Scott Curtis, dba The Curtis Company does not maintain individual investment advisory agreements. Mr. Curtis does not participate in wrap-fee programs.

E. Assets Under Management

As of February 9, 2025, David Scott Curtis, dba The Curtis Company has \$0 of assets under management on a discretionary basis and \$0 of assets under management on a non-discretionary basis.

Item 5: Fees and Compensation

Compensation for Advisory Services

Mr. Curtis is a fee-based advisor compensated through directly negotiated cash fees based on the total amount of assets acquired/referred for management/trading, paid by other Registered Investment Advisors, private capital, private debt and private equity firms and other National Futures Association Members.

Lower fees for comparable services may be available from other sources and because Mr. Curtis recommends the sale of securities and the purchasing of alternative investments, a potential conflict of interest is automatically created, and private company owners and qualified eligible participants are made aware of this potential conflict of interest up-front as the basis for Mr. Curtis introducing private capital, private debt, private equity or trading commodities.

Mr. Curtis does not collect asset-based sales charges or service fees from the sale of mutual funds or from the sale of any publicly registered securities activities.

Mr. Curtis does not charge clients fees or expenses for publicly registered securities investment-related advisory services and for further information about Mr. Curtis' brokerage practice, please refer to Page 7, Item 12.

Item 6: Performance Based Fees and Side by Side Management

David Scott Curtis, dba The Curtis Company does not charge performance-based fees on any specific publicly registered securities recommended for trading nor does Mr. Curtis engage in side-by-side management of any publicly registered securities accounts.

Mr. Curtis' clients typically charge and collect both management fees and performance-based fees based on the amount of assets acquired/referred for management/trading.

Item 7: Types of Clients

Mr. Curtis' clients include other Registered Investment Advisors, private capital, private debt and private equity firms and other National Futures Association Members.

Item 8: Methods of Analysis, Investment Strategies and Risk of Loss

David Scott Curtis, dba The Curtis Company investment advisory practice provides introductions to other Registered Investment Advisors, private capital, private debt and private equity firms and other National Futures Association Members in an effort to enhance the risk-adjusted returns of a total financial portfolio.

The Risk of Loss investing in private equity transactions and the Risk of Loss trading commodity futures can be substantial and is not suitable for everyone - and under certain market conditions it may be difficult or impossible to liquidate a position!

Item 9: Disciplinary Information

Registered Investment Advisors such as David Scott Curtis, dba The Curtis Company are required to disclose all material facts regarding any legal or disciplinary events that would be material to a client or prospective client's valuation of David Scott Curtis, dba The Curtis Company or the integrity of its management. David Scott Curtis, dba The Curtis Company does not have any such legal or disciplinary events of any kind past or present and therefore there are no disclosures to be made with respect to this item.

Item 10: Other Financial Industry Activities and Affiliations

Mr. Curtis is a National Futures Association Member registered with the Commodity Futures Trading Commission as a Commodity Trading Advisor 0547146.

Mr. Curtis does not have a pending registration to become a Broker/Dealer.

Item 11: Code of Ethics, Participation/Interest in Client Transactions & Personal Trading

David Scott Curtis, dba The Curtis Company code of ethics includes total transparency and complete confidentiality. David Scott Curtis, dba The Curtis Company does not enter into any principal transactions or agency cross transactions on behalf of client accounts. David Scott Curtis, dba The Curtis Company does not trade client securities accounts.

Item 12: Brokerage Practices

David Scott Curtis, dba The Curtis Company arranges introductions to other Registered Investment Advisors and Investment Advisor Representatives' who may also be a Registered Representative of a Broker/Dealer and introductions to other National Futures Association Members, who may be an Introducing Broker that solicits or accepts orders to buy or sell futures contracts, forex, commodity options, or swaps for direct compensation, paid in cash, by the regulated firm being introduced.

Item 13: Review of Accounts

David Scott Curtis, dba The Curtis Company is not a financial planner and does not review or approve publicly registered securities accounts.

Item 14: Client Referrals and Other Compensation

David Scott Curtis, dba The Curtis Company arranges introductions to other Registered Investment Advisors, private capital, private debt and private equity firms and other National Futures Association Member for fee-based compensation, paid in cash by the firm being introduced who typically charge and collect both management fees and performance-based fees based on the amount of assets acquired/referred for management/trading.

Item 15: Custody

David Scott Curtis, dba The Curtis Company does not take custody of client funds.

Item 16: Investment Discretion

David Scott Curtis, dba The Curtis Company does not maintain discretionary authority over any client's securities accounts and Mr. Curtis does not provide specific securities-related advice.

Item 17: Voting Client Securities

David Scott Curtis, dba The Curtis Company does not have proxy privileges over any client accounts.

Item 18: Financial Information

David Scott Curtis, dba The Curtis Company does not accept pre-payment for products and services.

Item 19: Requirements for State Registered Advisors

A. Principal Executive Officer and Managing Person

David Scott Curtis is the firm's managing member. Please refer to David Scott Curtis, dba The Curtis Company's supplemental brochure (Form ADV Part 2B) for more information about Mr. Curtis' formal education and business background. This document, which is provided to new clients and existing clients, whenever a material change is made, can be obtained upon request and is viewable on the SEC's public website at www.advisorinfo.sec.gov.

B. Other Business Activities

Please refer to Items 5, 10, and 14 for additional information about these activities.

C. Performance Based Fees

As noted in response to Item 6 above, David Scott Curtis, dba The Curtis Company does not charge performance-based fees on any registered securities recommended for trading (fees based on a share of capital gains or capital appreciation of the assets in a clients registered securities account, containing registered securities).

D. Disciplinary or Legal Events

David Scott Curtis, dba The Curtis Company has no legal or disciplinary events of any kind past or present.

E. Relationships or Arrangements with Issuers of Securities

David Scott Curtis, dba The Curtis Company does not have any relationships or arrangements with any issuers of securities.

F. Disclosure of Material Conflicts of Interest

Material conflicts of interest relating to David Scott Curtis, dba The Curtis Company and its associates which would be reasonably expected to impair the rendering and objective advice have been disclosed herein.

**Brochure Supplement
Part 2B Form ADV**

February 9, 2025

**David Scott Curtis
Personal CRD Number: 2436324**

**David Scott Curtis, dba
The Curtis Company
10256 Mossy Rock Circle
Los Angeles California USA
1-310-712-8121 (telephone)
david@davidcurtis.net (email)
CRD Number: 313258**

This Brochure Supplement provides information about David Scott Curtis, that supplements David Scott Curtis, dba The Curtis Company Brochure.

Additional information about David Scott Curtis is available on the SEC's website at www.advisorinfo.sec.gov.

Item 2: Educational Background and Business Experience

David Scott Curtis
Year of Birth: 1968

Educational Background:

University of Arizona
Bachelor of Arts - Awarded on August 8, 1991

Business Background:

June 1, 1997 – Present: The Curtis Company - Corporate Mergers & Acquisitions.

March 1, 2021 – Present: David Scott Curtis applied to be an Investment Advisor Representative for David Scott Curtis, dba The Curtis Company, CRD 313258.

Item 3: Disciplinary Information

David Scott Curtis (“Mr. Curtis”), as a registered investment advisor, is required to disclose all material facts regarding any legal or disciplinary events that would be made material to your evaluation of him. Mr. Curtis has no legal or disciplinary events of any kind, past or present required to be disclosed under this item.

Item 4: Additional Business Activities

Mr. Curtis is a California Resident Insurance Producer 0B12738 and a California Real Estate Broker 01294881.

Item 5: Additional Compensation

Mr. Curtis receives commissions from the sale of insurance products and real estate.

Item 6: Supervision

Mr. Curtis adheres to a strict code of ethics defined and described by David Scott Curtis, dba The Curtis Company.

Item 7: Requirements for State Registered Advisors

A. Additional Disciplinary Information

As disclosed in Item 3 above, Mr. Curtis has no disciplinary or legal information to disclose. Specifically, Mr. Curtis has not (1) paid an award or otherwise found liable in an arbitration claim alleging damages more than \$2,500 involving any of the following: (i) an investment or an investment related business activity; (ii) fraud, false statement(s), or omissions; (iii) theft, embezzlement or other wrongful taking of property; (iv) bribery, forgery, counterfeiting or extortion; or (v) dishonest, unfair, or unethical practices.

B. Bankruptcy Information

Mr. Curtis has not been the subject of any bankruptcy proceedings.