

## M3SIXTY INCOME & OPPORTUNITY FUND (HIOIX • HROAX)

AS OF JUNE 30, 2025

### INVESTMENT STRATEGY

The Fund invests primarily in U.S. equities within the S&P 1500 Composite Index, focusing on companies with strong intellectual property, earnings, and dividend growth relative to peers. To enhance return and income potential, the Fund employs a combination of dividend-paying stocks, tactical short positions, ETFs, and a disciplined options strategy. The Fund may also invest in “special situations” where events like IP developments, mergers, or leadership changes create mispriced opportunities. Through fundamental research and active risk management, the Fund aims to deliver attractive income and long-term returns with an emphasis on quality, innovation-driven companies.

### OVERVIEW

Inception	January 21, 2016
Benchmark	S&P 500
Ticker	HIOIX / HROAX
Share Class	Institutional / A Share
CUSIP	885572420
Fund AUM	\$19.7 Million
Morningstar Category	Derivative Income

### INVESTMENT OBJECTIVE

The Fund seeks total return through a combination of equity income and capital appreciation by investing in companies with strong intellectual property and cash flow growth, while generating additional income from dividends, interest, and options premiums.

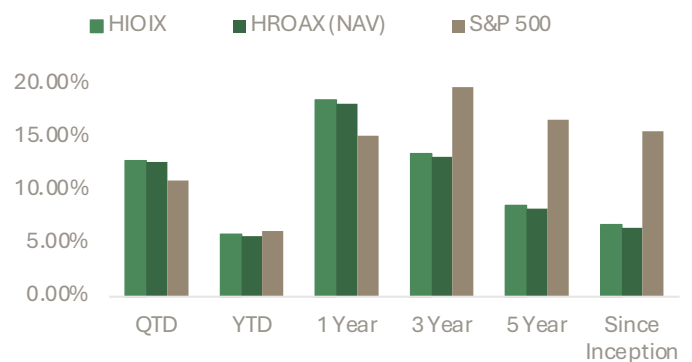
### TOP 10 HOLDINGS<sup>2</sup>

Federated Homes Gov't Obligation (GOIXX)	6.72%
Meta Platforms (META)	5.81%
U.S. Treasury Note	4.92%
Lyft Inc. (LYFT)	4.03%
Amazon.com (AMZN)	3.78%
Global X Cybersecurity ETF (BUG)	3.65%
American Airlines Group, Inc. (AAL)	3.59%
Up Fintech Holding Ltd. (ADR: TIGR)	3.56%
VEON Ltd. (ADR: VEON)	3.40%
Sensus Healthcare, Inc. (SRTS)	3.27%

<sup>2</sup>As of 06/30. Holdings subject to change.

The performance data quoted represents past performance; past performance does not guarantee future results; the investment return and principal value will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost and current performance may be lower or higher than the performance data quoted. All performance greater than one year is annualized. Performance data current to month end available at [www.m3sixtyfunds.com](http://www.m3sixtyfunds.com).

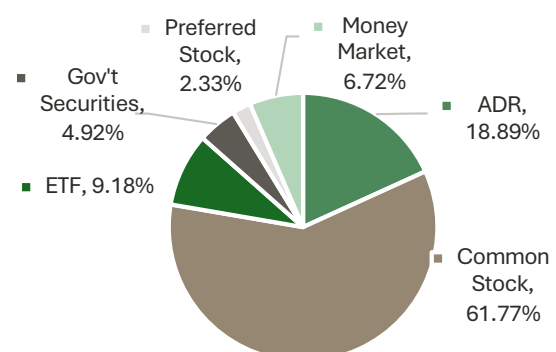
### PERFORMANCE



	HIOIX	HROAX	S&P 500
<b>QTD</b>	12.79%	12.70%	10.94%
<b>YTD</b>	5.88%	5.70%	6.20%
<b>1 Year</b>	18.54%	18.17%	15.16%
<b>3 Year</b>	13.49%	13.17%	19.69%
<b>5 Year</b>	8.58%	8.30%	16.63%
<b>SI</b>	6.78%	6.51%	15.56%

See page 2 for fees & expenses of the Fund.

### PORTFOLIO COMPOSITION<sup>1</sup>



<sup>1</sup>Shown as % of total portfolio, as of 06/30/2025

# M3SIXTY INCOME & OPPORTUNITY FUND



AS OF JUNE 30, 2025

## PORTFOLIO MANAGEMENT

Vincent du Vigneaud joined M3Sixty in 2024. Prior to joining M3Sixty Capital, Vincent most recently served as the Senior Portfolio Manager for FinTrust Capital Advisors. He was responsible for creating and maintaining client portfolios as well as being Portfolio Manager for four of the firm's Direct Index Portfolios.

Vince was also the head trader, analyst and Investment Committee Member for the firm's flagship FinTrust Income and Opportunity Fund.

## ADVISER: M3SIXTY CAPITAL

Dedicated to maximizing large firm experience in a multi-boutique firm environment, we strive to deliver investment solutions to maximize client returns. This, along with an entrepreneurial mindset, enables creativity that is critical to an innovative and opportunistic delivery of asset management solutions.

Bringing institutional asset management professionals to our investors, we cultivate investment strategies that we believe deliver a unique competitive advantage for our investors.

## CONTACT

Tony DeMarino  
President, M3Sixty Capital, LLC

✉ [tony.demarino@m3sixtyllc.com](mailto:tony.demarino@m3sixtyllc.com)

☎ (913) 396-3264

🌐 [M3SixtyFunds.com](https://M3SixtyFunds.com)

🌐 [linkedin.com/company/m3sixty](https://linkedin.com/company/m3sixty)

## FUND COSTS<sup>3</sup>

	HIOIX (Institutional)	HROAX <sup>4</sup> (A Shares)
Management Fee	1.25%	1.25%
Net Expense Ratio (to investor)	2.21%	2.46%
Distribution (12b-1)	None	0.25%
Gross Expense Ratio	2.76%	3.01%

<sup>3</sup>M3Sixty Capital, LLC (the "Adviser") has entered into an Expense Limitation Agreement with the Fund under which it has agreed to waive or reduce its fees and to assume other expenses of the Fund, if necessary, in an amount that limits the Fund's annual operating expenses (excluding interest, borrowing expenses, distribution fees pursuant to Rule 12b-1 Plans, taxes, acquired fund fees and expenses, brokerage commissions, dividend expenses on short sales litigation expenses, expenditures which are capitalized following generally accepted accounting principles, and other extraordinary expenses not incurred in the ordinary course of such Fund's business) to not more than 1.95% through at least March 31, 2026. Each waiver or reimbursement of an expense by the Adviser is subject to repayment by the Fund within three years from the date of the waiver or reimbursement, provided that the Fund can make the repayment without exceeding the expense limitation in place at the time of the waiver or reimbursement and at the time of recoupment. The current contractual agreement cannot be terminated for at least one year after the effective date without the Board of Trustees' (the "Board") approval.

<sup>4</sup>Maximum Sales Charge (Load) Imposed on Purchases (as a percentage of offering price) 5.50%; Maximum Contingent Deferred Sales Charge (Load) (as a percentage of original purchase price or the amount redeemed, whichever is less) 1.00%.

## IMPORTANT DISCLOSURES

Advisory services are offered through M3Sixty Capital, LLC, an investment adviser registered with the U.S. Securities and Exchange Commission ("SEC"). Registration does not imply a certain level of skill or training, nor does it constitute an endorsement by the SEC. M3Sixty Capital conducts business only in jurisdictions where it is properly registered or exempt from registration.

Investors should carefully consider the investment objectives, risks, charges, and expenses of the M3Sixty Funds before investing. This and other important information is contained in the Fund's prospectus, which should be read thoroughly before investing. Prospectuses are available at [www.m3sixtyfunds.com](http://www.m3sixtyfunds.com) or by calling 877-244-6235.

*Investment Products: Not FDIC Insured • No Bank Guarantee • May Lose Value.*

The Fund may invest in derivatives, including options, which involve risks such as potential illiquidity, increased volatility, and the possibility of significant losses. Short selling involves additional risks, including the potential for unlimited losses. These strategies may not be suitable for all investors. Past performance is not indicative of future results. Investment return and principal value will fluctuate, and shares may be worth more or less than their original cost when redeemed.

The Standard & Poor's 500 Index (S&P 500®) is a registered trademark of Standard & Poor's Financial Services LLC. It is an unmanaged index and cannot be invested in directly.