

# Reconfigurable metasurfaces towards commercial success

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Reconfigurable optical metasurfaces are rapidly emerging as a major frontier in photonics research, development and commercialization. They promise compact, lightweight and energy-efficient reconfigurable optical systems with unprecedented performance and functions that can be dynamically defined on-demand. Compared with their passive counterparts, the reconfiguration capacity also poses challenges in scalable control, manufacturing and control toward their practical deployment. This Review aims to survey the state of the art of reconfigurable metasurface technologies and their applications, using spaceborne remote sensing, active beam steering and light field displays as examples, while highlighting key research advances that are essential to enabling their transition from laboratory curiosity to commercial reality.

Optical metasurfaces are artificial media comprising planar arrays of subwavelength structures commonly called meta-atoms. With their now well-recognized advantages in optical performance, form factor and cost, metasurfaces are witnessing a move toward commercial adoption: a solid line-up of large corporations as well as a cohort of aspiring start-up companies are heavily investing in research and development in this field.

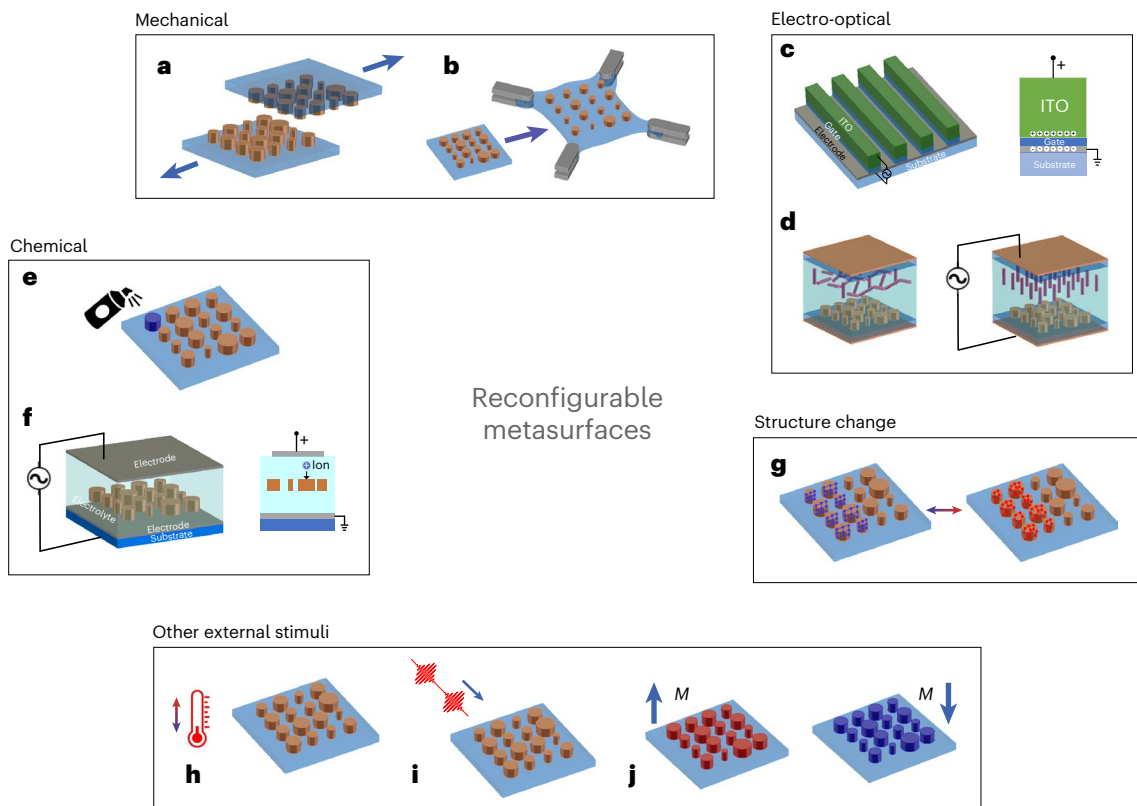
Reconfigurable metasurfaces, often alternatively termed active metasurfaces, introduce a new dimension to the space. They enable dynamic tuning of optical functions and thereby promise wide-ranging applications in analogue computing<sup>1</sup>, data communications<sup>2</sup>, optical camouflage<sup>3</sup>, reconfigurable imaging<sup>4</sup>, light detection and ranging (LiDAR)<sup>5</sup>, displays<sup>6</sup>, imaging spectroscopy<sup>7</sup>, non-reciprocal photonics<sup>8</sup> and many others. As the interest in reconfigurable metasurfaces percolates from academia to industry, important questions arise regarding when and how their transition from laboratory to market will flourish. To address such questions, this Review provides a bird's-eye view of current state of the art of active optical metasurface technologies. Emerging applications capitalizing on their unique attributes are subsequently surveyed. Finally, we spotlight new research frontiers that add to their functionalities and scrutinize the technological gaps that need to be filled to transform the prospective applications into reality.

## The state of the art in reconfigurable metasurface technologies

Active tuning schemes of metasurfaces can be classified into two categories, one in which the optical responses of the meta-atoms are modified, and one that relies on the mechanical movement of meta-atoms. The former scheme usually involves modulating the optical properties of the meta-atoms or their surrounding material, for instance via free-carrier injection<sup>9</sup>, the Pockels effect<sup>10</sup>, the quantum-confined Stark effect<sup>11</sup>, thermo-optic coupling<sup>12</sup>, electrochromism<sup>13</sup>, magneto-optical interaction<sup>14</sup> and structural transitions in various materials<sup>15–18</sup>, whereas the latter can leverage either macroscopic displacement<sup>19</sup>/deformation<sup>20</sup> or the actuation of micro-electromechanical systems (MEMS)<sup>21</sup> (Fig. 1). The state-of-the-art performance characteristics of these tuning mechanisms are summarized in Table 1, and we refer readers to other reviews on this topic for more detailed discussions<sup>22–24</sup>.

Besides the key performance attributes defined via optical contrast, loss, speed and endurance, the likelihood of a reconfigurable metasurface technology to enter mainstream adoption in the near- or mid-term is largely dictated by its technology and manufacturing readiness levels. From this perspective of technology maturity, metasurfaces based on liquid crystals (LCs) or MEMS are among the most established candidates. Both technologies make use of proven industry-standard technologies to introduce active tuning capabilities

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**Fig. 1 | Mechanisms for active tuning of reconfigurable metasurfaces.** **a**, Mechanical displacement. **b**, Substrate stretching. **c**, Carrier injection via gating. **d**, Electro-refractive materials. **e**, Chemical reaction. **f**, Electrochemical

ion transport. **g**, Structural transition in materials. **h**, Thermo-optic effect. **i**, All-optical nonlinearity. **j**, Magneto-optical interaction, where  $M$  denotes an external magnetic field. Credit: Sensong An.

and can also take advantage of an established industrial ecosystem to facilitate the high-volume manufacturing and packaging of devices. Other promising contenders involve new materials such as transparent conducting oxides (TCOs) and chalcogenide phase-change materials (PCMs). Even though these materials are not part of the standard offerings of most silicon foundries today, they are amenable to foundry-compatible back-end integration. TCOs constitute an integral element of modern-day display-panel production processes and PCMs have already become a key ingredient in commercial non-volatile memories. Integration of these new materials and metasurface architectures into mainstream manufacturing processes will be motivated by practical application demands as discussed in the succeeding section.

## Application prospects for reconfigurable metasurfaces

Before delving into the applications of reconfigurable metasurfaces, one should be reminded that the concept of actively tunable optics is not new. For example, astronomical telescopes have long harnessed deformable mirror-based adaptive optics for real-time wavefront correction. Spatial light modulators (SLMs) that build on digital light processing or liquid crystal on silicon (or LCoS) have been meticulously perfected over the past decades. So, what do reconfigurable metasurfaces have to offer?

Their small size, weight and power (SWaP) metrics promise reconfigurable optical systems that are ultracompact, lightweight, energy-efficient and rugged. Their optically thin, pixellated device architecture further enables fast tuning mechanisms that are not compatible with conventional bulk optics. Space applications represent an emerging arena in which these characteristics are highly prized

(for example, Case study 1). Moreover, such size downscaling does not come with the usual penalties of compromised optical quality or lack of fine control, thereby setting reconfigurable metasurfaces apart from other competing tunable micro-optics technologies that rely on electrowetting, liquid metals and soft elastomeric optics. Reconfigurable metasurfaces are thus also well poised for applications such as augmented/virtual reality (AR/VR) and point-of-care or minimally invasive medical imaging, where form factor and optical precision are equally critical.

Another defining characteristic of reconfigurable metasurfaces is their capacity for on-demand wavefront manipulation down to the subwavelength scale. This exceptional granularity enables light bending at extreme angles, which traditional refractive or diffractive optics cannot accommodate, while effectively suppressing spurious diffraction orders<sup>25–27</sup>. Beam-steering devices with high efficiency and a large field of view (FOV) can be created capitalizing on this feature (for example, Case study 2). The wide-angle beam-steering capability, coupled with the high spatial density afforded by metasurface optics, envisions the glasses-free three-dimensional (3D) display, which offers high resolution, a large FOV and full-colour coverage (for example, Case study 3). Ultimately, the ability to engineer a metasurface's phase profile in an almost arbitrary manner is valuable for computational imaging, since the transfer function of the front-end meta-optics can be co-designed holistically with the back-end processing algorithm to maximize the signal-to-noise ratio<sup>28</sup>. It has been shown that reconfigurable meta-optics designed using such an approach can yield optimal imaging systems that are capable of multi-dimensional (spatial, spectral, polarization, light field and so on) information retrieval<sup>29</sup>.

Finally, since many reconfigurable metasurfaces are produced using semiconductor nanofabrication technologies, they can be

**Table 1 | Summary of reconfigurable metasurface technologies**

Type	Material or mechanism	Refractive index tuning range	Optical absorption	Endurance (cycling lifetime)	3dB bandwidth or 10–90 rise/fall time <sup>a</sup>	Potential challenges	Relevant industry ecosystem
Mechanical	Displacement	—	None <sup>b</sup>	Very large	-1Hz	Integration challenge	—
	Elastic deformation	—	None <sup>b</sup>	15,000 (ref. <sup>62</sup> )	-1Hz	Reproducibility and stability	—
	MEMS actuation	—	None <sup>b</sup>	>10 <sup>9</sup> (ref. <sup>63</sup> )	1MHz (ref. <sup>63</sup> )	High voltage	MEMS
Free-carrier density modulation (electrical injection)	Semiconductors (junction biasing)	-0.1	Free-carrier absorption (FCA)	Very large	0.18MHz (ref. <sup>64</sup> )	Optical loss; small index change or optical modal overlap with the active region	Semiconductor
	TCOs (field gating)	1.39 (ref. <sup>65</sup> )	FCA	Very large	10MHz (ref. <sup>66</sup> )		Display
	2D materials (field gating)	-1 at room temperature <sup>67,68</sup> Up to -5 at low temperature <sup>69</sup>	Material absorption and FCA	Very large	>1GHz (ref. <sup>70</sup> )		—
Thermo-optic	Semiconductors (thermal free-carrier refraction)	1.5 (ref. <sup>71</sup> )	FCA	Probably large	5kHz (ref. <sup>72</sup> )	Optical loss; relatively slow response	Integrated photonics
	Semiconductors or dielectric materials	0.15 (ref. <sup>73</sup> )	Minor FCA due to carrier thermalization			Relatively slow response; large energy consumption	
Electro-optic	Electro-optic polymers	-0.1 (ref. <sup>74</sup> )	None <sup>b</sup>	Very large	50MHz (refs. <sup>74,75</sup> )	High voltage	—
	Electro-optic crystals	0.001 (ref. <sup>76</sup> )	None <sup>b</sup>	Very large	95MHz (ref. <sup>77</sup> )	Small modulation amplitude	Integrated photonics
	Liquid crystals	0.15 (refs. <sup>78,79</sup> )	None <sup>b</sup>	>10 <sup>10</sup>	350Hz (ref. <sup>80</sup> )	Relatively slow response	Display
	Semiconductor multi-quantum-well (quantum-confined Stark effect)	-0.01 (ref. <sup>11</sup> )	Electroabsorption dictated by the Kramers–Kronig relations	Very large	<10ns (ref. <sup>81</sup> )	Full 2 $\pi$ phase coverage	III–V optoelectronics
Phase transition	VO <sub>2</sub>	Up to -0.5 in visible and near-infrared regions <sup>82</sup>	FCA in the metallic state	>24,000 (ref. <sup>83</sup> )	450fs/2ps (ref. <sup>84</sup> )	Optical loss	—
	Chalcogenide PCMs	3.3 (Ge <sub>2</sub> Sb <sub>2</sub> Te <sub>3</sub> ) <sup>85</sup> 1.8 (Ge <sub>2</sub> Sb <sub>2</sub> Se <sub>4</sub> Te) <sup>86</sup>	None <sup>b</sup>	>5×10 <sup>5</sup> (ref. <sup>87</sup> )	200ns/300ns (ref. <sup>88</sup> )	High voltage	Memory
Electrochemical	Electrochromic polymers	0.7 (ref. <sup>89</sup> )	Electronic absorption at the oxidized state	>10 <sup>7</sup> (ref. <sup>90</sup> )	>25Hz (ref. <sup>90</sup> )	Relatively slow response; optical loss	Smart windows
	Ionic conducting oxides (protonation)	0.45 (SmNiO <sub>3</sub> ) <sup>91</sup> -0.4 (GdO <sub>2</sub> ) <sup>92</sup>	FCA in the metallic state	Several hundred <sup>92</sup>	13ms (ref. <sup>92</sup> )	Endurance; relatively slow response	—
	Ionic conducting oxides (lithium intercalation)	-0.2 (WO <sub>3</sub> ) <sup>93</sup> 0.65 (TiO <sub>2</sub> ) <sup>94</sup>	FCA in the metallic state	400 (ref. <sup>94</sup> )	3s (ref. <sup>95</sup> )	Endurance; slow response	Smart windows
	Metal electrodeposition	—	Absorption of electrodeposited metal	>200 (ref. <sup>96</sup> )	-1Hz (ref. <sup>96</sup> )	Integration challenge	—
Chemical	Metals (hydrogenation)	-4 (Mg) <sup>97</sup>	FCA	3,000 (ref. <sup>98</sup> )	-0.1Hz (ref. <sup>99</sup> )	Integration challenge	—
	Cover material addition/removal	-0.5 (refs. <sup>100,101</sup> )	None <sup>b</sup>	>10 (ref. <sup>102</sup> )	Seconds to minutes	Integration challenge	—
Magnetic	Magneto-optical oxides	-0.01 (ref. <sup>103</sup> )	None <sup>b</sup>	Very large	5GHz (ref. <sup>104</sup> )	Integration challenge	—
All-optical	Kerr nonlinearity	Light-intensity dependent	Nonlinear absorption	Very large	40fs (ref. <sup>105</sup> )	Integration challenge	—
	Free-carrier injection	0.14 (ref. <sup>106</sup> )	FCA	Very large	-100fs/20ps (ref. <sup>107</sup> )	Integration challenge	—

The endurance and bandwidth values are quoted for optical devices with best-in-class performance on the specific metric and do not necessarily represent fundamental limits of the technologies. We focus on reconfigurable metasurfaces operating in the optical frequency range, specifically from ultraviolet to long-wave infrared (excluding the terahertz spectrum). Only experimental demonstrations are included in this table. Refer to ref. <sup>48</sup> for more information and discussions. <sup>a</sup>In the case of reconfigurable metasurfaces with asymmetric rise/fall responses, both rise and fall time values are reported. <sup>b</sup>Here 'none' implies that the optical loss can be negligible throughout the entire active tuning cycle. However, this condition does not necessarily hold for all materials or devices belonging to the category.

seamlessly integrated with semiconductor electronic and photonic devices to create ‘metasurface-augmented’ optoelectronics with novel functionalities, whereas such wafer-level integration is often challenging or impractical for conventional tunable micro-optics.

These unique advantages presented by reconfigurable metasurfaces foreshadow an array of potential applications outlined in Table 2. The key takeaway message is that there is no ‘one-size-fits-all’ solution, because each application prioritizes a different set of performance metrics that none of the reconfigurable metasurface technologies today (Table 1) can simultaneously meet. Table 2 also distinguishes two types of tuning scheme: discrete and continuous. In the former case, the metasurface only accesses a small number of optical states, and such discrete tuning over multiple arbitrary phase profiles can be accomplished by collective switching of all meta-atoms across the aperture<sup>30</sup>. By contrast, it is generally believed that continuous tuning, where a large number or a continuum of states are mandated, necessitates independent control of individual or small groups of meta-atoms. In a later section, we will propose a new concept that defies this conventional wisdom to achieve continuous tuning with a much simplified switching fabric. Other research challenges that need to be addressed to fulfil the application demands will also be elaborated.

### Case study 1: reconfigurable metasurfaces in aerospace applications

The growth in aerospace systems has been underpinned by increasing capabilities being packed into smaller and lighter spacecrafts, which requires robust components to deliver enhanced science data products while constrained by lean SWaP budgets. Reconfigurable metasurface optics will be game changers for aerospace remote-sensing applications including:

- beam shaping for antennas (optical and microwave wavelengths);
- real-time phase-corrective lenses and planar adaptive optics for imaging, optical communications and high-gain antennas;
- beam steering for radar and LiDAR scanning systems, flat panels and mobile-communication antennas (optical, microwaves and millimetre-wave wavelengths); and
- tunable filters and SLMs for imaging spectroscopy.

As an example, exoplanet imaging by space telescopes requires real-time wavefront corrections to mitigate the effects of thermal gradients, optical imperfections and diffraction. Reconfigurable metasurface optics could lead to a space-based correction system with major SWaP advantages, enabling the characterization of light from exoplanets via active cancellation of high-frequency spatial and temporal aberrations.

Another application that reconfigurable metasurfaces are poised to transform is multispectral imaging. As a specific use scenario, consider a tunable-filter-integrated remote-temperature measurement system to collect calibrated multispectral images of air/spacecraft during ascent to validate the vehicle’s thermal-protection system. To make these measurements, state-of-the-art systems rely on motorized filter wheels that rotate between several (typically around five) single-notch optical filters. The filter wheels offer no real-time tunability and are limited in bandwidth, therefore missing out on important spectral and temporal data.

Recently, PCM-integrated tunable filters have shown promise as multifunctional wideband replacements for bulky filter wheels in spaceborne remote-sensing subsystems<sup>7,31,32</sup> (Fig. 2). This is achieved through the integration of a PCM into a plasmonic nanohole metasurface to tune the transmission passband in real time. Even though the existing PCM filter prototype is laser switched, its integration with on-chip micro-heaters will lead to orders-of-magnitude reduction in the total SWaP<sup>16</sup>. The filters can be tuned within microseconds, enabling real-time thermography and imaging spectroscopy with

high data throughput. Furthermore, LiDAR missions can utilize the same filters for chemical remote sensing, where the broadband tuning capability of the PCM-based filters provides remarkable views of the Earth’s atmospheric constituents and surface altimetry. These features envisage that spaceborne systems incorporating PCM-based tunable filters will continue to be in demand into the foreseeable future with broad applications that cover atmospheric gas sensing, space launch vehicle thermal imaging and astronaut health monitoring.

### Case study 2: reconfigurable metasurfaces for beam steering

Optical beam-steering devices are gaining importance daily with prospective applications in LiDAR for autonomous vehicles, remote sensing and displays in AR/VR modules. A common embodiment of metasurface-based beam steering is to use the metasurface as an optical phased array (OPA). For a normal incident input beam with wavelength  $\lambda$ , the deflection angle  $\theta$  is:

$$\frac{2\pi}{\lambda} \times \sin \theta = \frac{\Delta\varphi \pm m \times 2\pi}{\Lambda} \quad (1)$$

where  $\Lambda$  is the meta-atom pitch,  $\Delta\varphi$  gives the phase delay between two neighbouring meta-atoms ( $0 \leq \Delta\varphi < 2\pi$ ) and  $m$  denotes the aliasing order. Ideally, the equation should only be satisfied when  $m = 0$ , yielding a single solution of  $\theta$  to eliminate aliasing. In an OPA with a FOV covering  $-\theta_{\max}$  to  $\theta_{\max}$ , the solution uniqueness condition becomes:

$$\Lambda = \frac{\lambda}{2 \sin \theta_{\max}} \quad (2)$$

A small pitch  $\Lambda$  is therefore instrumental to suppressing aliasing and increasing the FOV, a unique advantage of metasurfaces over their classical diffractive counterparts. Another benefit of a small pitch is that the wavefront can be more precisely sculpted at a deep subwavelength scale, thereby enhancing the efficiency<sup>33</sup>. This is particularly important for beam steering at large angles, where the wavefront follows a rapid spatial variation.

Besides efficiency, aliasing suppression and the FOV, the main performance requirements for beam-steering devices include beam divergence (which impacts angular resolution), speed and reliability. Beam divergence is specified by the aperture size, which scales with the number of meta-atoms and hence the complexity of active control, whereas the speed and reliability relate to the metasurface tuning mechanism. For automotive applications, an angular resolution of  $0.2^\circ$  or better is necessary, which translates to an aperture size of  $\sim 200 \mu\text{m}$  or larger for near-infrared LiDAR. Other specifications include a baseline frame rate of 10 Hz (or higher) and a typical combined (horizontal) FOV of  $120^\circ$ . Compliance with the reliability standards set forth by the IEC (International Electrotechnical Commission), ISO (International Organization for Standardization), ASTM International and individual automotive manufacturers further mandates tolerance against temperature excursions, mechanical shock, vibrations, fatigue, dust and salt mist. The reduced temperature sensitivity (as compared with traditional refractive optics) and structural ruggedness of metasurfaces present an additional edge.

Several metasurface beam-steering prototypes have been demonstrated. Li et al. developed a one-dimensional (1D) phase-only SLM based on an LC-infiltrated  $\text{TiO}_2$  Huygens metasurface with an aperture size of  $120 \times 100 \mu\text{m}$  (ref. <sup>34</sup>). Each electrically addressed pixel comprises three rows of meta-atoms with a combined width of  $\sim 1 \mu\text{m}$ . The device achieves a diffraction efficiency of 36% at a 660 nm wavelength and an FOV of  $22^\circ$ . In parallel, Lumotive, a start-up focusing on LiDAR technologies, has been developing a ‘Meta-LiDAR’ platform based on LCoS (<https://www.lumotive.com/>). Although details of the technology are not available in the public domain, their patents describe LC-infiltrated metal antenna arrays as the active beam-steering element.



**Table 2 | Potential applications of reconfigurable metasurfaces**

Application	Tuning scheme	Optical tuning parameter (phase/amplitude)	Optical contrast (relevant metrics)	Optical loss suppression	Endurance (cycling lifetime requirement)	Speed (bandwidth requirement)	Power consumption
Tunable filters for multispectral sensing	Continuous	Amplitude	✓ (extinction ratio)	–	– ( $10^7$ )	– (1kHz)	–
Beam steering for LiDAR	Continuous	Both	✓ (full $2\pi$ phase tuning range)	✓	✓ ( $10^9$ )	– (10Hz)	–
Light field display	Continuous	Both	✓ (FOV and image contrast)	✓	✓ ( $10^{10}$ )	– (30Hz)	✓
Computational imaging	Discrete	Phase	✓ (full $2\pi$ phase tuning range)	–	✓ ( $10^{10}$ )	– (100Hz)	–
Optical neural network with adaptive network training	Continuous	Both	✓ (full $2\pi$ phase tuning range)	✓	– ( $10^8$ )	– (1kHz)	✓
Dynamic projection display	Continuous	Amplitude	– (images contrast)	✓	✓ ( $10^{10}$ )	– (30Hz)	✓
Electronic paper (reflective display)	Discrete or continuous	Amplitude	– (colour saturation and image contrast)	–	– ( $10^7$ )	× (1Hz)	× (non-volatile or capacitive)
Zoom lens	Discrete or continuous	Phase	✓ (full $2\pi$ phase tuning range)	✓	– ( $10^5$ )	× (1Hz)	×
Digital signal modulation for free-space communications	Discrete	Either	✓ (modulation contrast)	✓	✓ ( $10^{18}$ )	✓ (10GHz)	✓
Adaptive optics	Continuous	Phase	✓ (full $2\pi$ phase tuning range)	✓	✓ ( $10^{10}$ )	– (100Hz)	×
Non-reciprocal optics based on spatiotemporal modulation	Discrete	Either	– (isolation ratio)	✓	✓ ( $10^{18}$ )	✓ (10GHz)	✓
Optical limiter	Discrete	Amplitude	✓ (extinction ratio)	✓	× (application-specific)	✓ (>1GHz)	× (non-volatile)
Adaptive thermal camouflage	Continuous	Amplitude	✓ (dynamic range)	×	– ( $10^8$ )	– (10Hz)	×

The symbols ✓, – and × indicate the decreasing relevance of the metric to the target-use case, that is, very important, somewhat important and minimally relevant, respectively. Refer to ref. <sup>46</sup> for more information and discussions.

Beam steering at higher speeds warrants alternative mechanisms. Researchers from Samsung demonstrated active 1D meta-gratings made of indium tin oxide (ITO), where each individually contacted pixel contains 11 grating lines (Fig. 3a–f)<sup>5</sup>. A dual-gate configuration was employed to realize independent control of the phase and amplitude, a useful feature that enables apodization and sidelobe suppression. A resistor–capacitor-limited 3 dB bandwidth of 170 kHz was attained in a  $200 \times 200 \mu\text{m}$  device with an FOV of  $15.4^\circ$  and a diffraction efficiency of approximately 1%. Two-dimensional (2D) beam steering based on a similar mechanism was also reported recently<sup>35</sup>.

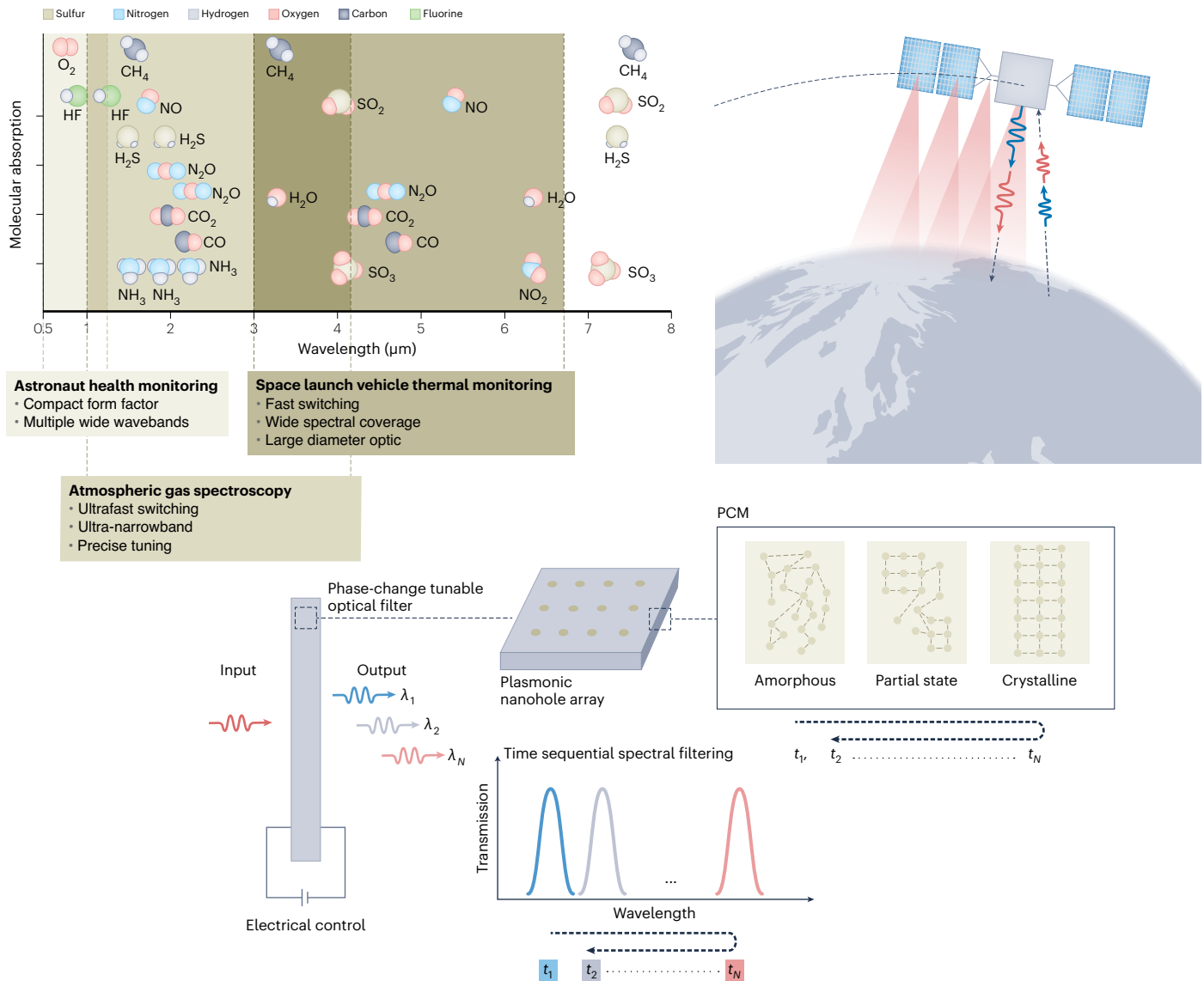
Before these pioneering demonstrations can enter the commercial realm, considerable performance improvements are anticipated. Down-scaling the pixel size to the single-meta-atom level will fully leverage the promised advantages of metasurface OPAs such as aliasing-free operation, high efficiency and a large FOV. Angle-dependent and non-local metasurface designs will further enhance the performance of large-angle steering systems. A scalable electrical addressing scheme that is commensurate with large-aperture active tuning is much sought after to enhance the resolution and facilitate agile 2D beam steering. Finally, reliable packaging that is suitable for field deployment must be validated.

### Case study 3: reconfigurable metasurface-enabled glasses-free 3D display

Glasses-free 3D display (autostereoscopy) is a technology that is poised to reshape human–machine interactions. Unlike conventional display panels, which reproduce only the intensity of light emanating from an object, an autostereoscopic display restores the light field information that includes both intensity and propagation direction. The schematic configuration of a 3D display is depicted in Fig. 4a. A pixel modulates the light output intensity and at the same time directs emitted light to

a specific direction. Therefore, the pixels can be grouped according to their emission directions. Each subset of pixels projects a unique perspective view of the displayed scene along one viewing angle (hence the name ‘multiview display’), thereby creating 3D stereoscopic perception for the user. Early multiview display prototypes were implemented using parallax barriers, lenticular lenses or micro-lens arrays on top of flat display panels, although they faced limitations in efficiency, FOV and depth of field. Moreover, spatial and angular types of resolution represent an inherent trade-off, since the spatial resolution is reduced by a factor equal to the number of angular views. This subpar spatial and angular resolution is a primary factor that degrades the user experience.

The challenges encountered in traditional optics have fuelled a growing interest in multiview displays based on flat optics. A group from HP Laboratories first reported a 3D display using arrays of diffractive grating pixels in place of refractive optics<sup>36</sup>, providing 64-view images within a  $90^\circ$  FOV. Notwithstanding the passive nature of the grating pixels, they were integrated with an active LC shutter plane to perform dynamic image display. This innovation has been successfully commercialized by the company Leia<sup>37</sup>. Using metasurfaces as the light-directing agent promises several additional benefits. While the efficiency of traditional diffraction gratings is limited by power dissipation into high-order diffraction, metasurfaces avoid undesirable diffraction orders to significantly boost the efficiency and reduce the background noise. The exceptional light-bending capability of metasurfaces affords a large FOV without compromising the efficiency. For example, recent work by Hua et al. demonstrated a metasurface-enabled full-colour 3D display prototype with a record  $160^\circ$  horizontal FOV (Fig. 4b,c)<sup>38</sup>. Metasurfaces also enable densely packed pixels with a large fill factor to improve the display resolution without inducing excessive crosstalk, and they can further exploit



**Fig. 2 | Tunable metasurface filter for aerospace applications.** Top left: characteristic absorption bands of molecules of interest to aerospace applications as well as the application-specific requirements on the tunable spectral filter. Top right: satellite-based multispectral imaging for monitoring of atmospheric gas species. Bottom: operating principle of the PCM-based

metasurface filter: the PCM's structure can be continuously tuned in a non-volatile manner via voltage pulses, thereby spectrally shifting the passband of the metasurface.  $\lambda_1$  to  $\lambda_N$  represent the series of transmission wavelengths that the filter scans through.

temporal and polarization multiplexing schemes to alleviate the trade-off between spatial and angular resolution<sup>39</sup>. In addition, metasurface pixel arrays with tunable light-directing properties can be coupled with an eye-tracking sensor, such that the number of angular views broadcast toward the observer is dynamically optimized. Yet another potential advantage of metasurfaces is the prospect of their monolithic integration on display pixels such as micro-LEDs<sup>40,41</sup>, which opens up substantial latitude for fine control of the emission light field.

Overall, high-density, efficient metasurface optics offer a promising route to solving the resolution bottleneck of 3D displays and catalyse their widespread adoption in next-generation consumer electronic devices. 3D display also presents an enticing opportunity (and challenge) for metasurface-augmented, large-scale 2D pixel arrays, as we elaborate in the following sections.

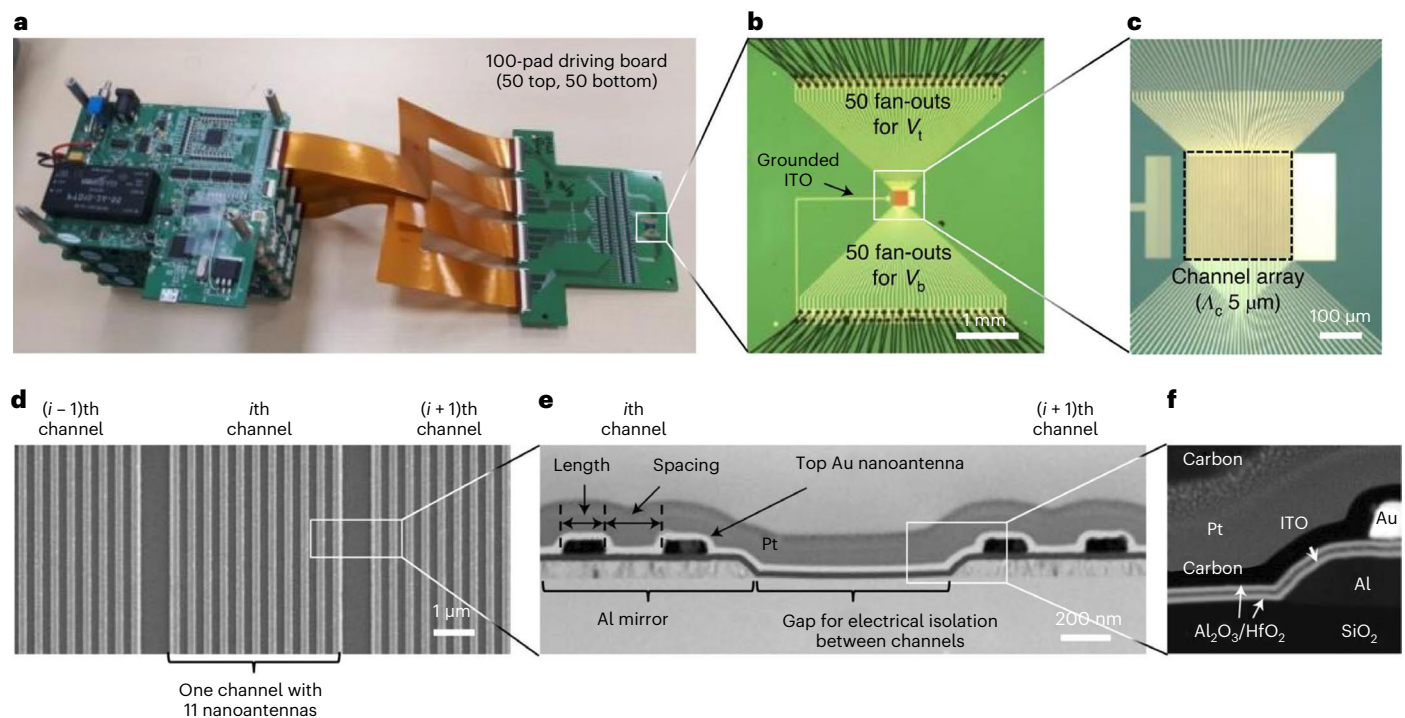
## Outstanding technological challenges

Despite the explosive growth of reconfigurable metasurface technologies over the past few years, several technological gaps remain.

Here we focus on three critical areas where more research and development efforts are mandated before the application prospects portrayed in the previous section can be fulfilled.

### Scalable manufacturing and packaging

The first and foremost barrier lies in scalable manufacturing and packaging of reconfigurable metasurfaces. Their passive counterparts used to encounter the same challenge: in the early phase of development, metasurfaces were almost exclusively prototyped in university cleanrooms using electron-beam lithography with painstakingly low throughput. Recent advances have nonetheless circumvented this bottleneck, as the fabrication of passive metasurfaces on full glass wafers has been validated via deep-ultraviolet lithography in silicon foundries<sup>42,43</sup> and other high-throughput fabrication methods, such as nanoimprint lithography<sup>44</sup>, have also been implemented. The manufacture of reconfigurable metasurfaces not only stipulates similar requirements on large-area, fine-line lithographic patterning but is also appreciably more complicated than their passive counterparts.



**Fig. 3 | Reconfigurable metasurfaces for beam steering.** **a**, Reconfigurable metasurface array mounted on an electronics board capable of independently driving 50 channels (pixels) with a channel pitch  $\lambda_c$  of 5  $\mu\text{m}$  (ref. <sup>5</sup>). **b**, Optical microscopy image of the array in **a**.  $V_t$  and  $V_b$  are the voltages applied to the top and bottom contacts, respectively. **c**, Magnified optical microscopy image of

the array in **b**. **d**, Scanning electron microscopy image showing the 1D pixels, each consisting of 11 meta-grating lines. **e**, Transmission electron microscopy image showing the cross-sectional structure of the active array highlighted in **d**. **f**, Expanded image of the cross-section highlighted in **e**. Figure reproduced with permission from ref. <sup>5</sup>, Springer Nature Limited.

Therefore, commercially viable manufacturing practices of reconfigurable metasurfaces will need to maximally leverage standard semiconductor processing and packaging to manage the escalating complexity of fabrication and assembly. The foundry manufacturing process may be complemented with back-end integration to introduce new materials and functions, in which case the integration process will capitalize on a mature industrial ecosystem (summarized in Table 1) to access existing infrastructures, knowledge bases and supply chains to expedite the technology's learning curve.

### Electrical addressing of large 2D pixel arrays

Scaling the existing reconfigurable metasurface technologies to electrically controlled, high-density and large-size 2D pixel arrays marks another technical milestone. This is motivated by the demand for enhanced wavefront control with fine resolution: taking beam steering as an example, reducing the pixel size contributes to expanding the FOV and suppressing sidelobes. At the limit when each meta-atom can be individually tuned (that is, one meta-atom per pixel), a 'universal' optic results, enabling not only continuous tuning but also reconfiguration of the metasurface to emulate arbitrary optics.

The Holy Grail of such a universal optic, however, faces major challenges in electrical wiring, crosstalk and control complexity. In-plane fan-out wiring designs have been adopted to demonstrate 1D reconfigurable metasurfaces<sup>5,9,11,34,45</sup> as well as a small (10 × 10 pixels) 2D array<sup>35</sup>, although the layout is not scalable to large 2D matrices. A practical solution for large 2D arrays involves integrating the pixels to a control backplane via vertical interconnect accesses to form a cross-bar matrix. In the case of volatile pixels, each pixel needs to be coupled with a transistor such that it can be individually tuned, analogous to the active-matrix architecture in flat-panel displays. For non-volatile reconfigurable metasurfaces, a simplified 'passive matrix'

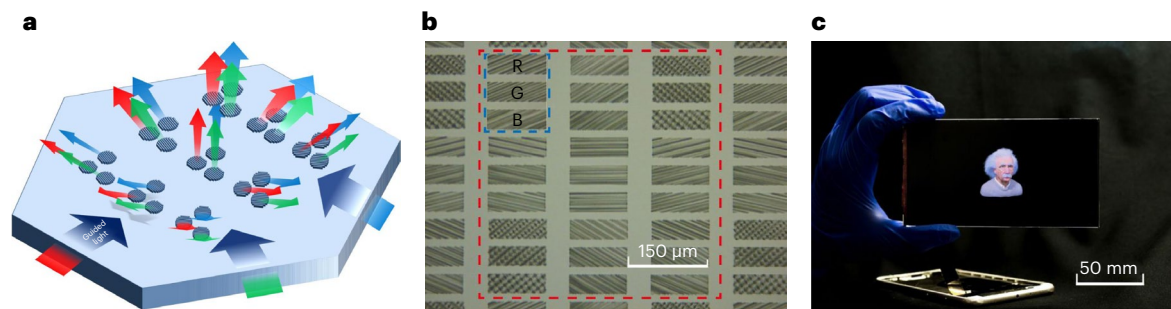
configuration without the transistor backplane is equally viable since the 'set and forget' pixels can be reconfigured sequentially row by row, albeit at the expense of the refreshing rate. Notably, each pixel in a passive-matrix cross-bar array still requires a selector with unipolar or nonlinear current–voltage ( $I$ – $V$ ) characteristics to prevent sneak-path current, which can be implemented via diodes or threshold switching phenomena in various materials.

It is worth mentioning that continuous tuning of a meta-optical element does not necessarily entail full 2D matrix addressing. For instance, modulating the phase-delay gradient between electrode pairs rather than the phase delay at individual meta-atom pixels can dramatically reduce the number of electrical leads and hence the wiring complexity. Using this scheme, a metalens of 1 mm diameter and with 24 electrical contacts was designed<sup>46</sup>. It is capable of continuous focal-length tuning from 2 mm to infinity at 2.2  $\mu\text{m}$  wavelength while maintaining a diffraction-limited performance throughout. By contrast, 2D addressing of a metalens of the same size involves a staggering half million active pixels.

### Reliability and endurance

Last but certainly not least comes reliability, a topic rarely deliberated in academic publications. Nevertheless, its importance to practical applications cannot be over-emphasized. The reliability requirement is illustrated in the example of automotive LiDAR, whose durability qualifications under various environmental stresses are elaborately enumerated via international standards and manufacturers' specifications. For reconfigurable metasurfaces, endurance is another critical parameter. As is evident from Tables 1 and 2, the endurance attributes of many reconfigurable metasurface technologies still lag behind the application demands. Even for those labelled as having a 'very large' endurance, their reliability under realistic deployment conditions has often not been verified.





**Fig. 4 | Metasurface-based 3D display.** **a**, Schematic design of a multiview 3D display panel consisting of metasurface pixel arrays<sup>36</sup>. **b**, Optical microscopy image of tri-colour metasurface pixel arrays<sup>38</sup>. **c**, A full-colour, video-rate 3D display prototype<sup>38</sup>. Panels reproduced with permission from: **a**, ref. <sup>36</sup>, Springer Nature Limited; **b,c**, ref. <sup>38</sup> under a Creative Commons licence [CC BY 4.0](https://creativecommons.org/licenses/by/4.0/).

Addressing the reliability challenge warrants the scrupulous characterization of degradation kinetics in application-relevant environments, thorough material investigations to elucidate the pertinent failure mechanisms, and judicious device designs that are guided by fundamental insights to improve the robustness. These studies will inform the essential path for reconfigurable metasurfaces to make a lasting impact on photonic applications.

### New capabilities beyond today's functional repertoire

In addition to filling the missing links, new research initiatives in the field are set to significantly expand the functionalities of reconfigurable metasurface optics. In this section we highlight two salient examples where such advances are anticipated to enhance the performance of reconfigurable metasurface optics and open up novel application venues.

#### Dual modulation of phase and amplitude

Even though imparting a phase delay without incurring optical loss is a common design prescription for phase-gradient metasurfaces, there are scenarios in which simultaneous phase and amplitude modulation comes in handy. In OPAs, amplitude apodization helps to match the output field profile to that of a Gaussian beam to suppress sidelobes. In 3D display, the dual modulation is a prerequisite for complete light field manipulation. In analogue optical computing, both phase and amplitude are often concurrently used to encode information<sup>1</sup>.

One solution involves using two cascaded reconfigurable metasurfaces. For example, it has been shown that independent amplitude and phase modulation of an incident plane wave can be reached using two phase-only metasurfaces with minimal optical loss<sup>47</sup>. Alternatively, a dual-gate configuration has been applied to ITO metasurfaces to accommodate complex reflectance tuning by adjusting two gate voltages<sup>54,8</sup>, although the coupled refractive index and absorption changes due to free-carrier dispersion in ITO restrict the accessible tuning range. Resorting to two distinct mechanisms to separately engineer the refractive index and loss provides far more versatile control over the phase and amplitude. Candidate materials include ionic conductors in which the migration of different ions produces tri-state switching with orthogonal optical property changes<sup>49</sup>, and chalcogenide PCMs in which crystallization and vacancy ordering account for decoupled refractive index and absorption modifications<sup>50</sup>.

#### Metasurface-augmented active photonics

Although they are useful as standalone optical elements, the application scope of reconfigurable metasurfaces can be significantly broadened once they are seamlessly integrated with traditional optical or optoelectronic components. Such metasurface-augmented

photonics transcend the intrinsic performance limits that confront metasurfaces, such as narrow spectral bandwidths and low quality factor ( $Q$ ) values. For example, combining metasurfaces with refractive or reflective optics overcomes their group-delay limitation<sup>51</sup> to support broadband operation<sup>52</sup>, and embedding active meta-atoms inside a Fabry–Perot cavity maintains the otherwise wavelength-sensitive high- $Q$  resonance condition across a wide tuning range<sup>53</sup>. Besides the performance gains, metasurfaces further profit from their compatibility with monolithic or hybrid integration on optoelectronic platforms including lasers<sup>54</sup>, planar photonic integrated circuits<sup>55</sup>, as well as display and imaging arrays<sup>41,56</sup>. The integration can potentially leverage scalable semiconductor fabrication routes to access sophisticated electronic backplanes for massive array modulation. Moreover, some tuning operations (amplitude modulation in particular) can be offloaded to the optoelectronic components to simplify the meta-optical system whilst retaining active functionalities (for example, in a metasurface-integrated micro-LED multiview display). We envision that metasurface-augmented optoelectronic systems will confer novel capabilities such as pixel-level light field control and detection, computational imaging, high-resolution imaging spectroscopy and neuromorphic computing.

Realizing such metasurface-augmented active photonics calls for innovative design and fabrication strategies. Specifically, two modelling frameworks are essential to metasurface-augmented photonics. One is a computationally efficient objective-driven method to approach this inherently multiscale (spanning six orders of magnitude in spatial dimensions) and multi-objective (as specified by the active tuning condition) design problem. A successful recipe will probably bridge the classical ray-based optimization of traditional optics and emerging full-wave inverse-design techniques for subwavelength meta-structures<sup>57–59</sup>. The other involves multi-physics predictive models that link the (electrical, thermal, mechanical and/or optical) stimuli to the resulting metasurface response, which becomes particularly important in treating complex material transformations where simple effective medium theory fails<sup>60,61</sup>.

Advancing metasurface-augmented photonics also demands fabrication schemes that are commensurate with scalable manufacturing, such as the conformal processing of metasurfaces on both flat and curved surfaces of conventional optics, and monolithic integration routes of meta-optics on optoelectronic devices<sup>56</sup>. We foresee that breakthroughs in scalable metasurface integration will catalyse new applications that exploit the best of two worlds in both conventional optics and metasurfaces.

### Summary and outlook

Since their introduction within the past decade, reconfigurable metasurfaces have swiftly taken centre stage in metamaterials research, boasting significantly enhanced and expanded functionalities over



their passive counterparts. The extra layer of complexity needed for active tuning, however, presents an additional barrier to their deployment in the commercial domain.

To overcome such challenges, the imminent success of passive metasurfaces, whose industrial applications start to surface, sets a paradigm. Over the past few years, the community has converged on several potential beachhead markets of passive metasurfaces where their key competitive advantages—system-level SWaP benefits, minimal monochromatic aberration, polarization discrimination capacity and low cost at scale—are fully mobilized, and forged a path toward large-area, cost-effective manufacturing that capitalizes on standard foundry processing. Likewise, leveraging existing semiconductor foundry infrastructures as well as mature ecosystems in adjacent industries will provide a shortcut to facilitate the scalable manufacturing and packaging of reconfigurable metasurface devices. As reconfigurable metasurfaces establish their manufacturing scalability and reliability, their industrial deployment will also be initiated in niche applications, with several promising early examples discussed in the text, before percolating into established markets. In this process, the new and unique functionalities—as exemplified by universal optics that consist of 2D active meta-atom pixel arrays, electronics and optoelectronics with monolithically integrated metasurface optics, and flat optics that render complete active control of the light phase and amplitude—will continue to extend their applications. The growing market demands will in turn drive the assimilation of reconfigurable metasurface fabrication into mainstream foundry processes to further enhance the yield, lower the cost and improve the reliability toward widespread adoption of the technology. This is a bright prospect that the entire active metasurface community can and should strive for, as “the future depends on what we do in the present”.

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## Competing interests

The authors declare no competing interests.

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