



# Humanizing the Accused: What Mitigation Specialists Really Do

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“Mitigation isn’t a sidebar to defense — it’s the story behind the crime, and sometimes the difference between a life sentence and a second chance.”

Criminal defense is not just about rebutting the charge — it’s about telling the full story of the person who stands accused. And in today’s legal climate, where sentencing often matters more than trial, that story must be constructed with care, insight, and strategic purpose.

That’s where a skilled mitigation specialist comes in.

Many attorneys still feel unsure about what mitigation specialists do, when to include them, or how to work with them effectively. Some believe that a paralegal or private investigator can serve the same role. Others wait until sentencing is nearly before bringing in a mitigation specialist. While these choices are understandable, they often come with a high cost—potentially affecting the client’s liberty, future, or even their life.

As defenders, you cannot afford to approach mitigation haphazardly. The people you represent deserve more than a defense strategy based solely on what they did. They deserve one rooted in who they are — and how they got there.

To use mitigation effectively, we must first understand what mitigation specialists actually do — and why their work goes far beyond compiling a sympathetic backstory. In today’s legal landscape, where trauma, mental illness, and generational harm are often central to the narrative of criminal behavior, mitigation isn’t optional — it’s essential.

## **What Does a Mitigation Specialist Actually Do?**

At its core, mitigation involves constructing your client’s life story to humanize them, provide context for their actions, and present compelling reasons for leniency. This process is based on trauma-informed investigation, neuropsychological insights, and forensic storytelling. It requires a blend of clinical, investigative, and narrative skills that no single discipline can offer alone.

A qualified mitigation specialist:

- Compiles and analyzes voluminous records: CPS, medical, juvenile, school, mental health, institutional
- Conducts trauma-informed interviews with your client, their family, friends, teachers, and past caregivers
- Prepares social history chronologies and psychological

timelines

- Develops individualized mitigation themes tailored to the legal theory of the case
- Collaborates with experts and contextualizes diagnostic opinions

Mitigation is not about making excuses. It’s about telling the truth — the whole truth — about your client’s life in a way that makes decision-makers pause, reconsider, and, when possible, extend mercy.

But understanding what mitigation specialists do is only part of the equation — choosing the right one for your case requires equal care, judgment, and strategy.

## **Choosing the Right Mitigation Specialist: What to Ask, What to Avoid**

Just as you wouldn’t assign a capital murder case to a first-year associate, you shouldn’t hand off mitigation to an untrained intern or expect a PI to cover the same ground. The stakes are too high.

When choosing a mitigation specialist, these are important qualities to consider:

1. Clinical Competency
  - Background in social work, psychology, or counseling
  - Ability to identify trauma, cognitive impairment, neurodivergence, and developmental delays
2. Investigative Rigor
  - Experience acquiring and analyzing sealed or difficult-to-obtain records
  - Demonstrated ability to navigate complex systems (e.g., child welfare, healthcare, correctional, educational, and mental health systems)
3. Storytelling Ability
  - Strong writing skills
  - Writes with purpose, clarity, and emotional resonance to evoke empathy and humanize the client without sounding manipulative or sentimental
  - Insight into how to present facts in a narrative arc that aligns with your defense goals
4. Ethical Boundaries and Objectivity
  - Professionalism when interviewing clients and family members
  - Commitment to fact-based advocacy

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## 5. Collaborative Disposition

- Demonstrates flexibility, humility, and respect for all members of the defense team
- Proactively communicates with attorneys, experts, investigators, and the client to avoid duplication and ensure consistency
- Understands their role in the broader case strategy and integrates feedback without defensiveness
- Balances independence with alignment — knows when to lead and when to follow

Always request a sample mitigation report and review it as you would a key witness statement. Examine not only its structure but also its substance: Is the narrative cohesive? Does it highlight the client's voice? Is it supported by solid documentation and clinical input? Red flags include sloppy formatting, vague summaries, and flat storytelling — but also reports that read like a form letter. Look for accuracy, cultural insight, psychological nuance, and advocacy integrated into the writing. A good report doesn't just list facts but it also constructs a strong, persuasive case for why your client matters.

A skilled mitigation specialist is only as effective as the moment they are brought in.

## Delay Is Strategy Lost — Bring Us in Early

If you wait until trial prep or sentencing, you've already missed your best shot. Early mitigation can influence charging decisions, frame plea discussions, and reshape how your client is seen by the court. By the time the damage is done, it's too late for repair — and too late for strategy. Don't turn to mitigation as a last resort. Use it as your opening move.

Set clear expectations:

- Define goals in writing (e.g., plea posture, sentencing memo, capital review committee);
- Establish timelines and deliverables;
- Share discovery, client interviews, and any psychological reports already obtained; and
- Clarify the tone and audience of the final product — is it for a prosecutor, judge, or jury?

Effective mitigation is never one-size-fits-all — it's strategic, targeted, and timely.

These principles aren't just theoretical — they're tested daily in courtrooms, plea discussions, and pre-sentencing conferences across Texas. The true value of mitigation emerges when we apply these tools to real cases, real people, and real consequences. Below are three examples from my own practice — anonymized and composite — that reflect what happens when we lead with humanity instead of judgment.

## Real-World Application: Case Studies from the Field

### Case Study #1: A Mother's Break with Reality

#### *Filicide and Psychotic Decompensation in Utah*

In one of the most devastating cases I've worked on, a young mother in Utah was charged with capital murder after taking the life of her infant son and then attempting to take her own. The initial facts appeared unforgivable. But the mitigation investigation revealed a profoundly different story — one defined by untreated psychosis, intergenerational trauma, and systemwide failure.



She had no prior criminal history and was widely viewed as a devoted mother. Yet in the months leading up to the offense, she was gripped by a rapid psychological decline. Her symptoms were consistent with first-onset schizophrenia, likely triggered and intensified by postpartum hormonal shifts and her recent history of hormone replacement therapy. She became consumed by delusions, including spiritual paranoia and beliefs that her child was in danger from invisible forces. Despite warning signs — erratic behavior, extreme withdrawal, and fragmented speech — her condition was repeatedly misread by those around her as situational stress or anxiety.

My work revealed a long history of maternal rejection, early childhood medical trauma, and identity fragmentation. Psychological evaluations later confirmed severe schizophrenia, marked by disorganized thinking, auditory hallucinations, and a complete break from reality at the time of the offense. Rather than receiving psychiatric intervention, she had been left to deteriorate silently. Her drive to appear stable — especially under the weight of cultural and familial expectations of motherly perfection — only masked how deeply she was unraveling.

The final report presented these findings alongside forensic psychiatric evaluations, ACEs data, and clinical insight.

Ultimately, the prosecution withdrew its intent to seek the death penalty and agreed to long-term inpatient psychiatric treatment. This case reminded me that sometimes, the greatest contribution a mitigation specialist can make is to reintroduce humanity to someone already written off — and to speak for them when they've lost the ability to speak for themselves.

#### Reflection:

This case is a reminder that beneath even the most tragic acts often lies a history of untreated illness and invisible suffering. When we lead with compassion and clinical rigor, we give the system a chance to respond with care instead of condemnation.

#### Case Study #2: The Coerced Conspirator

*Federal RICO Case, Coercive Control & Spiritual Abuse*

In a federal capital case brought under RICO statutes, I represented a Latina woman accused of orchestrating a homicide. She had a prior criminal record involving nonviolent offenses — fraud, tampering, and DWI — but no history of interpersonal violence. On paper, she appeared to be a central conspirator. The government claimed she played a leadership role in a multi-state criminal enterprise involving robbery, drug trafficking, and murder.

But the mitigation narrative revealed a far more harrowing — and truthful — story.

Her childhood was marked by abandonment, instability, and physical abuse. As a teenager, she became involved with a much older man who quickly isolated her from her children and family. She referred to him as a “prophet.” He dictated every part of her life — finances, movement, identity, even her sense of reality. What prosecutors misinterpreted as leadership was, in truth, trauma-bonded captivity. Her actions were shaped by coercion, spiritual manipulation, and a lifelong pattern of learned submission.

Through clinical evaluations, family interviews, and cultural analysis, we documented her history of coercive control and gendered violence. The narrative was rooted in Adverse Childhood Experiences (ACEs), spiritual abuse, and cultural expectations of silence and self-sacrifice. She had not been leading — she had been surviving.

In the final phase of the case, I worked closely with her to prepare for allocution. These sessions were not rehearsals — they were emotional excavations. Together, we explored the harm she caused, the conditions that shaped her decisions, and the language of sincere remorse. She spoke in her own words about living “a lie” to survive, about shielding her children from violence, and about the deep regret she carried. Her allocution became the emotional cornerstone of her sentencing — not because it asked for pity, but because it told the unvarnished truth. It was her voice, but it was a voice she had never been allowed — or helped — to find until then.

We submitted a comprehensive mitigation packet reframing her role not as a conspirator, but as a woman coerced into criminality through years of exploitation. The prosecution withdrew its notice to seek the death penalty. She ultimately entered a plea. Her life was spared — not through sympathy, but through strategic, credible, and evidence-based advocacy.

#### Reflection:

Mitigation can reshape how we see a defendant both legally and morally — revealing how coercion, abuse, and cultural norms



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can strip away a person's agency long before the justice system gets involved. It also creates space for accountability rooted in truth, not performance. Without this lens, we risk punishing the most vulnerable for their own exploitation — and missing the full story of who they are, and how they got here.

#### **Case Study #3: When Silence Is Survival**

##### *Cultural Suppression, Emotional Numbing, and Felony Murder*

In a felony murder case involving the death of a man during what was framed as a planned robbery, I represented a young Vietnamese American woman charged alongside her boyfriend. The victim was a stranger who had agreed to let them test-drive his car. The boyfriend committed the murder. She did not act — but she did not stop it either. She was charged as a principal.

Her life history revealed a quiet but steady erosion of voice and agency. Raised in a conservative immigrant household, she was conditioned to suppress emotion, avoid conflict, and prioritize obedience over personal expression. While her exterior reflected high academic achievement and social compliance, her inner world was marked by untreated anxiety, disordered eating, a fragmented identity, and a deep, unspoken loneliness.

Her boyfriend became her emotional nucleus. He isolated her from friends, manipulated her decisions, and took control of her daily life. She had no real sense of personal boundary — only a learned instinct to conform, obey, and avoid rejection. When the offense occurred, she dissociated. She was neither armed nor dominant — but she was silent. What appeared to be indifference was, in fact, emotional paralysis driven by fear and learned

helplessness.

The mitigation investigation drew on cultural experts, family interviews, and psychological assessments. These sources revealed how her upbringing involved not just strict expectations, but also humiliation, neglect, and a consistent invalidation of her emotional needs. She was taught that love was expressed through sacrifice, not tenderness — that emotional needs were shameful, and that selfhood was something to be hidden. Her stepmother was described as manipulative and controlling, while her father — emotionally distant and ill-equipped to parent — delegated discipline and offered little warmth.

From a cultural lens, she had been navigating conflicting demands: American norms encouraging assertiveness and independence, and Vietnamese expectations insisting on obedience, modesty, and silence — particularly for daughters. Her perceived passivity was the predictable outcome of years spent trying to reconcile these impossible scripts.

The final report told the story of a young woman who had never learned how to say no, never been taught that her feelings mattered, and never had the tools to escape emotional control. The court, after reviewing the mitigation, declined to impose a life sentence. The resolution reflected a deeper understanding: that while she was accountable, she was not irredeemable.

#### **Reflection:**

Silence is not the absence of pain — it often signals it. In communities where emotional repression is normal, young women like this learn to survive by shrinking themselves. When

those unspoken traumas finally surface, the consequences can be devastating. As mitigation specialists, our job is to bring those invisible histories into the light — not to excuse, but to explain. And sometimes, to restore a voice that's long been buried.

While the human impact of mitigation work is immeasurable, it's equally important to understand the practical realities of appointing and managing a mitigation specialist. Quality has a cost — and understanding what to expect in billing, documentation, and professional accountability can help ensure that both you and your client get the most value from the process.

### Cost and Professional Standards

Good mitigation isn't cheap, but poor mitigation can be far more costly. When requesting court-approved funds, defense attorneys must file a motion with a specific estimate. If that amount falls short, a second motion for supplemental funding may be required — creating delays and raising red flags. That's why early and open communication with the mitigation specialist is essential. With enough details about the client and the scope of the case, a qualified specialist can provide a realistic estimate that includes projected hours, travel, records collection, and narrative writing. Clarify the billing structure (typically hourly), confirm what's included or billed separately, and always demand itemized invoices and justifications for expenses. Transparent planning prevents costly surprises later. Discuss anticipated costs

with the specialist before filing your motion. Underestimating the budget can delay your case and draw scrutiny if you later seek supplemental funds.

Every case is unique. Each client carries a story shaped by trauma, adversity, or invisible wounds. Mitigation doesn't excuse conduct — it explains it. It uncovers context that is often buried beneath criminal allegations and helps the decision-maker consider mercy, justice, and proportionality. It is about understanding, not absolution.

Ultimately, mitigation is about restoration: giving voice to the silenced, humanizing the condemned, and offering context where judgment has already been passed. The financial and procedural considerations matter — but this work, at its best, is about dignity.

Qualified mitigation specialists often adhere to ethical standards established by national organizations like the *National Alliance of Sentencing Advocates and Mitigation Specialists* (NASAMS). They may also be licensed professionals regulated by state boards of social work, psychology, or counseling. These standards focus on cultural responsiveness, accuracy, confidentiality, and client-centered advocacy. In capital cases, the *ABA Guidelines for the Appointment and Performance of Defense Counsel* specify that a trained mitigation specialist must be involved — confirming the field's legitimacy and legal importance. When hiring, it's not only appropriate but crucial to inquire about a specialist's training, licensure, and ethical commitments. Ensuring your specialist follows these standards adds an important level of accountability and professionalism to the defense team.

Before appointing a mitigation specialist, ask the right questions. Here's where to start:

### 10 Questions Every Defense Attorney Should Ask a Mitigation Specialist

1. What is your experience working on felony or capital cases, and how has your practice evolved based on what you've learned?
  - *Looks beyond resume credentials to see whether the specialist has grown through practice, reflection, and exposure to challenging cases.*
2. How do you identify and develop compelling mitigation themes from complex or incomplete life histories?
  - *Assesses their ability to extract meaning — not just data — from records and interviews.*
3. Can you walk me through your process for building trust with clients who are mistrustful, traumatized, or disengaged?
  - *Trust is everything in mitigation. This question reveals whether they lead with empathy and technique.*
4. What systems or agencies do you regularly obtain records from, and how do you make sure nothing important gets missed?
  - *Evaluates their competence with CPS, medical, educational, correctional, and mental health systems.*
5. How do you coordinate with attorneys, experts, and investigators -- especially when strategies shift or timelines tighten?
  - *Looks for flexibility, communication skills, and*

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*understanding of legal strategy boundaries.*

6. What is your typical timeline for a complete mitigation packet, and how do you handle urgent deadlines?
  - *Important for managing expectations in fast-moving cases.*
7. Have you worked with clients experiencing serious mental illness, intellectual disability, or neurodevelopmental disorders?
  - *Highlights experience with vulnerable populations where mitigation is most critical.*
8. What professional standards or guidelines shape your work (e.g., NASAMS, ABA Guidelines), and how do you stay grounded when working under pressure?
  - *Signals professionalism and accountability.*
9. Can you provide redacted work samples that show how you craft life history narratives – and how you integrated clinical or cultural insights?
  - *Written work matters. Ask to see their voice in action.*
10. Have you testified in court or supported sentencing advocacy? If so, how do you prepare for that role?
  - *Good mitigation specialists are courtroom-ready if the case demands it.*

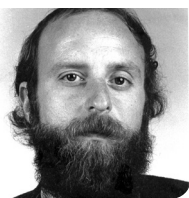
Note: These questions are not exhaustive but offer a strong foundation for vetting potential mitigation professionals.

### **Elevating the Standard of Defense: What This Work Demands From Us**

Mitigation specialists are not optional add-ons — they are vital members of any serious defense team. In a system that reduces people to their worst acts, our job is to restore their full humanity. A skilled mitigation specialist has the tools, training, and persistence to do just that — gathering the scattered threads of a client's life and weaving them into a narrative that can change outcomes and perceptions.

The impact of this work isn't measured solely in reduced sentences or altered charges. It's rooted in something deeper: the understanding that every person, regardless of their past, deserves to be heard before being judged. For many clients, mitigation is the first time someone has genuinely listened to their story — not to excuse, but to understand.

Choose wisely. Collaborate fully. And never forget: when we tell a client's story with compassion, accuracy, and insight, we don't just defend them — **we restore the dignity the system tried to erase.**



**Jeff Wax** is a nationally recognized mitigation specialist with over twenty years of experience in capital and serious felony defense. Based in Houston, Texas, he works closely with public defenders and private attorneys across the country to develop life history investigations that humanize defendants and offer context for their behavior. His approach is trauma-informed, culturally responsive, and grounded in narrative justice. Jeff regularly trains legal teams on mitigation strategies and advocates for sentencing reform based on compassion, science, and systemic accountability. He can be reached at (207) 838-7260 or [texaslegalinvestigations@gmail.com](mailto:texaslegalinvestigations@gmail.com).

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