

1 Jarrod L. Rickard, Bar No. 10203
jlr@skrlawyers.com
2 Katie L. Cannata, Bar No. 14848
klc@skrlawyers.com
3 SEMENZA KIRCHER RICKARD
10161 Park Run Drive, Suite 150
4 Las Vegas, Nevada 89145
Telephone: (702) 835-6803
5 Facsimile: (702) 920-8669

6 David R. Zaro (admitted *pro hac vice*)
dzaro@allenmatkins.com
7 Joshua A. del Castillo (admitted *pro hac vice*)
jdelcastillo@allenmatkins.com
8 Matthew D. Pham (admitted *pro hac vice*)
mpham@allenmatkins.com
9 ALLEN MATKINS LECK GAMBLE
MALLORY & NATSIS LLP
10 865 South Figueroa Street, Suite 2800
Los Angeles, California 90017-2543
11 Telephone: (213) 622-5555
Facsimile: (213) 620-8816

12 *Attorneys for Receiver Geoff Winkler*

Kara B. Hendricks, Bar No. 07743
hendricksk@gtlaw.com
Jason K. Hicks, Bar No. 13149
hicksja@gtlaw.com
Kyle A. Ewing, Bar No. 014051
ewingk@gtlaw.com
GREENBERG TRAUIG, LLP
10845 Griffith Peak Drive, Suite 600
Las Vegas, Nevada 89135
Telephone: (702) 792-3773
Facsimile: (702) 792-9002

14 UNITED STATES DISTRICT COURT
15 DISTRICT OF NEVADA

17 SECURITIES AND EXCHANGE
18 COMMISSION,

19 Plaintiff,

20 vs.

21 MATTHEW WADE BEASLEY, *et al.*,

22 Defendants,

23 THE JUDD IRREVOCABLE TRUST, *et al.*,

24 Relief Defendants.

Case No. 2:22-cv-00612-CDS-EJY

**[PROPOSED] STIPULATED PROTECTIVE
ORDER (REGARDING PRODUCTION OF
DOCUMENTS, INFORMATION, AND
THINGS BY ECO BATTERY, LLC)**

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1 **I. REQUEST FOR STIPULATED PROTECTIVE ORDER**

2 **A. Purposes and Limitations.**

3 Discovery activity in this action is likely to involve the disclosure or production of
4 confidential, proprietary, or private information for which special protection from public
5 disclosure and from use for any purpose other than in connection with this action (or any related or
6 ancillary proceedings) may be warranted. Accordingly, Geoff Winkler (the "Receiver"), the
7 Court-appointed receiver, on the one hand, and Eco Battery, LLC ("Eco Battery"), a nonparty in
8 this action, on the other hand, hereby stipulate to and petition the Court to enter the following
9 stipulated protective order (the "Order").

10 **B. Acknowledgement of Procedure for Filing Under Seal.**

11 The Receiver and Eco Battery acknowledge, as set forth in Section X.C, below, that this
12 Order does not entitle them to file protected material under seal; LR IA 10-5 and the directives in
13 *Kamakana v. City and County of Honolulu*, 447 F.3d 1172 (9th Cir. 2006), set forth the procedures
14 that must be followed and the standards that will be applied when a party seeks permission from
15 the Court to file protected material under seal.

16 **II. DEFINITIONS**

17 1. "Action": The civil action captioned as *SEC v. Beasley, et al.* and bearing Case
18 No. 2:22-cv-00612-CDS-EJY, pending before this Court.

19 2. "Challenging Party": A Receiving Party that challenges a Designating Party's
20 designation of Discovery Material as Protected Material under this Order.

21 3. "CONFIDENTIAL" (with respect to Discovery Material): Material qualifying for
22 protection under Rule 26(c) of the Federal Rules of Civil Procedure or material that contains or
23 reflects confidential, non-public, proprietary, commercially sensitive, and/or private information
24 of an individual or entity.

25 4. "Counsel" (without qualifier): House Counsel or Outside Counsel.

26 5. "Designating Party": A Producing Party that designates Discovery Material as
27 Protected Material.

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1 6. "Discovery Material": Information or items, regardless of the medium or manner
2 in which they are generated, stored, or maintained (including, among other things, testimony,
3 transcripts, and tangible things), that are disclosed or produced by a Producing Party in response to
4 discovery in this Action.

5 7. "Expert": A person with specialized knowledge or experience in a matter pertinent
6 to the litigation who has been retained by a Party or its counsel to serve as an expert witness or
7 consultant in this Action (or any related or ancillary proceedings).

8 8. "House Counsel": Any attorney who is an employee of a Party. House Counsel
9 does not include Outside Counsel or any other outside counsel of a Party.

10 9. "Outside Counsel": Any attorney who is not an employee of a Party but is retained
11 to represent or advise that Party and has appeared in this Action (or any related or ancillary
12 proceedings) on behalf of that Party or is affiliated with a law firm which has appeared on behalf
13 of that Party.

14 10. "Party": A Producing Party or Receiving Party.

15 11. "Producing Party": Eco Battery.

16 12. "Professional Vendor": Any person or entity that provides litigation support
17 services (e.g., photocopying, videotaping, translating, preparing exhibits or demonstrations, and
18 organizing, storing, or retrieving data in any form or medium) to a Party, including such person or
19 entity's employees and subcontractors.

20 13. "Protected Material": Any Discovery Material that has been designated as
21 "CONFIDENTIAL" under this Order; provided, however, that notwithstanding the foregoing,
22 Protected Material does **not include** the following information relating to a money transaction
23 reflected on a bank statement or other financial record produced by a Producing Party: (a) the
24 identities of the parties to the transaction (i.e., the payor and payee), (b) the names and addresses
25 of the financial institutions involved in the transaction, along with the last four digits of the
26 applicable account numbers, (c) the date of the transaction, (d) the amount of the transaction, and
27 (e) any identifying number for the transaction (i.e. a wire transfer identifier).

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1 14. "Receiving Party": The Receiver.

2 **III. SCOPE**

3 The protections conferred by this Order cover not only Protected Material, but also (a) any
4 information copied or extracted from Protected Material; (b) all copies, excerpts, summaries, or
5 compilations of Protected Material; and (c) any testimony, conversations, or presentations by the
6 Parties or their counsel that might reveal Protected Material. However, the protections conferred
7 by this Order **do not** cover the following information: (y) any information that is in the public
8 domain at the time of disclosure to the Receiving Party or becomes part of the public domain after
9 its disclosure to the Receiving Party as a result of publication not involving a violation of this
10 Order, including becoming part of the public record through trial or otherwise; and (z) any
11 information known to the Receiving Party prior to the disclosure, or obtained by the Receiving
12 Party after the disclosure, from a source who obtained the information lawfully and under no
13 obligation of confidentiality to the Designating Party. Notwithstanding the foregoing, any
14 materials produced by the Producing Party to the plaintiff Securities and Exchange Commission in
15 connection with the above-entitled action, and subsequently shared with or obtained by the
16 Receiving Party, shall be deemed to be Protected Material.

17 Nothing in this Order shall be construed as preventing or restricting a Producing Party's
18 ability to disclose or use its Discovery Material for any purpose or precluding a Producing Party
19 from showing its Discovery Material to the person who prepared such material.

20 **IV. DURATION**

21 Even after the final discharge of the Receiver as the receiver or the termination of this
22 Action, the confidentiality obligations imposed on the Receiving Party by this Order for the benefit
23 of a Designating Party shall remain in effect unless otherwise waived in writing by the Designating
24 Party or ordered by the Court.

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1 **V. DESIGNATING PROTECTED MATERIAL**

2 **A. Manner and Timing of Designations.**

3 Except as otherwise provided in this Order (see, e.g., the last paragraph of this subsection),
4 stipulated in writing by the Parties, or ordered by the Court, any Discovery Material that qualifies
5 for protection under this Order must be clearly so designated by the Designating Party before the
6 material is disclosed or produced.

7 Designation in conformity with this Order requires the following:

8 1. For information in documentary form (e.g., paper or electronic documents), that the
9 Designating Party affix the legend "CONFIDENTIAL" to each page of a document designated for
10 protection.

11 **B. Failure to Designate.**

12 The failure by a Producing Party to designate Discovery Material with the correct
13 confidentiality designation, shall not waive any such designation. If the Producing Party notifies all
14 Receiving Parties of a failure to designate materials as "CONFIDENTIAL," the Producing Party
15 shall reproduce the Protected Material with the correct confidentiality designation within ten (10)
16 days upon its notification to the Receiving Party. Upon receiving the Protected Material with the
17 correct confidentiality designation, the Receiving Parties shall destroy all Discovery Material that
18 was not designated properly.

19 Once a Receiving Party has received notice of the failure to designate pursuant to this
20 provision, the Receiving Party shall treat such Discovery Material as Protected Material.

21 **VI. ACCESS TO AND USE OF PROTECTED MATERIAL**

22 **A. Basic Principles.**

23 The Receiving Party may use Protected Material that is disclosed or produced by a
24 Producing Party in connection with this Action (or any related or ancillary proceedings) only for
25 prosecuting, defending, or attempting to settle the litigation therein, or otherwise in the
26 performance of the Receiver's duties as the receiver, including, without limitations, in connection
27 with the development and execution of a claims allowance process and the investigation and
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1 prosecution of disgorgement actions or other litigation against third parties to recover receivership
2 assets. Such Protected Material may be disclosed only to the categories of persons and under the
3 conditions described in this Order.

4 **B. Personally Identifying Information.**

5 Eco Battery may be producing records that contain Personally Identifying Information of its
6 customers, which may include name, mailing address, telephone numbers, email addresses or other
7 personally identifiable information that can be used on its own or with other information to identify,
8 contact or locate an individual ("PII"). The Parties agree that any PII contained in documents
9 produced shall be treated as CONFIDENTIAL, pursuant to the terms of this Order.

10 **C. Secure Storage.**

11 Protected Material must be stored and maintained by a Receiving Party at a secure location
12 or in a secure database that ensures that access is limited to the persons authorized under this Order.

13 **D. Disclosure of Protected Material.**

14 Unless otherwise waived in writing by the Designated Party or ordered by the Court, the
15 Receiving Party may disclose Discovery Material designated as Protected Material only to the
16 following persons or entities:

17 1. The Receiving Party itself, along with its officers, directors, and employees
18 (including House Counsel) to whom disclosure is reasonably necessary for them to direct or assist
19 the Receiving Party or Outside Counsel in connection with this Action (or any related or ancillary
20 proceedings);

21 2. Any Outside Counsel for the Receiving Party, along with their paralegals and
22 support staff;

23 3. Any Experts retained by the Receiving Party or Outside Counsel to whom
24 disclosure is reasonably necessary for them to serve as an expert witness or as a consultant in this
25 Action (or any related or ancillary proceedings), provided that such Expert has executed the
26 *Acknowledgement and Agreement to Be Bound* that is attached hereto as **Exhibit A**;

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1 4. The Court and its personnel, provided that any Protected Material that is filed with
2 the Court must be filed pursuant to the sealing procedures described in Section XI.C, below;

3 5. Any court reporters, stenographers, and videographers retained to record testimony
4 taken in this Action (or any related or ancillary proceedings);

5 6. Any deposition or trial witnesses, along with counsel for such witnesses, in this
6 Action (or any related or ancillary proceedings), provided that (a) the deposing Party has requested
7 that the witness execute the *Acknowledgement and Agreement to Be Bound* (**Exhibit A**), (b) the
8 witness will not be permitted to retain any Protected Material, and (c) any pages of the transcribed
9 deposition testimony or the exhibits to the deposition that reveal Protected Material must be
10 separately bound by the court reporter and may not be disclosed to any person or entity except as
11 permitted under this Order;

12 7. Any Professional Vendors to whom disclosure is reasonably necessary for them to
13 render support services to a Party for this Action (or any related or ancillary proceedings);

14 8. Any mediator who is assigned to hear a dispute in connection with this Action (or
15 any related or ancillary proceedings), as well as their staff, provided that the mediator agrees to
16 maintain confidentiality to the same degree as required by this Order; and

17 9. The author or recipient of a document containing the Protected Material or other
18 person who otherwise possessed the Protected Material, provided such person obtained the
19 Protected Material from a source who obtained the information lawfully and under no obligation
20 of confidentiality to the Designating Party.

21 **VII. PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN**
22 **OTHER LITIGATION**

23 If the Receiving Party is served with a subpoena or a court order issued in other litigation
24 (including any proceeding related or ancillary to this Action) that compels disclosure of any
25 Protected Material, the Receiving Party must promptly do the following:

26 1. Notify the Designating Party in writing of the Receiving Party's receipt of the
27 subpoena or court order, with such notification to include a copy of such subpoena or court order;

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1 2. Notify the party who caused the subpoena or court order to issue in the other
2 litigation in writing that some or all of the material covered by the subpoena or court order is
3 subject to this Order, with such notification to include a copy of this Order; and

4 3. Cooperate with respect to all reasonable procedures sought to be pursued by the
5 Designating Party whose Protected Material may be affected.

6 **VIII. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL**

7 If the Receiving Party learns that it has disclosed Protected Material to a person or under a
8 circumstance not authorized under this Order, the Receiving Party must promptly do the
9 following:

10 1. Notify the Designating Party in writing of the unauthorized disclosure;

11 2. Use its best efforts to retrieve all unauthorized copies of the disclosed Protected
12 Material;

13 3. Inform the person to whom the unauthorized disclosure was made of the terms of
14 this Order; and

15 4. Request that such person execute the *Acknowledgment and Agreement to Be Bound*
16 **(Exhibit A)**.

17 Unauthorized or inadvertent disclosure does not change the status of Discovery Material or
18 waive the right to hold the disclosed document or information as Protected Material.

19 **IX. PRODUCTION OF PRIVILEGED OR OTHERWISE PROTECTED MATERIAL**

20 The production of documents (including both paper documents and electronically stored
21 information) subject to protection by the attorney-client privilege, or otherwise subject to protection
22 under the work-product, joint defense or other similar doctrine, or by another legal privilege
23 protecting information from discovery, shall not constitute a waiver of any privilege or other
24 protection, provided that the Producing Party notifies the Receiving Party, in writing, of the
25 production after its discovery of the same.

26 If the Producing Party notifies the Receiving Party after discovery that privileged materials
27 (hereinafter referred to as the "Identified Materials") have been produced, the Identified Materials
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1 and all copies of those materials shall be returned to the Producing Party or destroyed or deleted, on
2 request of the Producing Party. If the Receiving Party has any notes or other work product reflecting
3 the contents of the Identified Materials, the Receiving Party will not review or use those materials
4 unless a court later designates the Identified Materials as not privileged or protected.

5 The Identified Materials shall be deleted from any systems used to house the documents,
6 including document review databases, e-rooms and any other location that stores the documents.
7 The Receiving Party may make no use of the Identified Materials during any aspect of this matter or
8 any other matter, including in depositions or at trial, unless the documents are later designated by a
9 court as not privileged or protected.

10 The contents of the Identified Materials shall not be disclosed to anyone who was not already
11 aware of the contents of them before the notice was made.

12 If any Receiving Party is in receipt of a document from a Producing Party which the
13 Receiving Party has reason to believe is privileged, the Receiving Party shall in good faith take
14 reasonable steps to promptly notify the Producing Party of the production of that document so that
15 the Producing Party may make a determination of whether it wishes to have the documents returned
16 or destroyed pursuant to this Stipulated Protective Order.

17 The Party returning the Identified Materials may move the Court for an order compelling
18 production of some or all of the material returned or destroyed, but the basis for such a motion may
19 not be the fact or circumstances of the production.

20 The parties agree that this Order is an Order entered under Rule 502(d) of the Federal Rules
21 of Evidence and thus the disclosure of Identified Materials is not a waiver of the privilege in any
22 other federal or state proceeding.

23 This stipulated agreement set forth in Section IX does not constitute a concession by any
24 Party that any documents are subject to protection by the attorney-client privilege, the work product
25 doctrine or any other potentially applicable privilege or doctrine. This agreement also is not
26 intended to waive or limit in any way either Party's right to contest any privilege claims that may be
27 asserted with respect to any of the documents produced except to the extent stated in the agreement.

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1 **X. MISCELLANEOUS**

2 **A. Right to Further Relief.**

3 Nothing in this Order abridges the right of any person to seek the modification of this
4 Order by the Court in the future.

5 **B. Right to Assert Other Objections.**

6 By stipulating to the entry of this Order, no Party waives any right it otherwise would have
7 to object to disclosing or producing any material on any ground not addressed in this Order.
8 Similarly, no Party waives any right to object on any ground to use in evidence of any of the
9 material covered by this Order.

10 **C. Filing Protected Material.**

11 Unless otherwise waived in writing by the Designating Party or ordered by the Court, the
12 Receiving Party may not file in the public record in this Action (or any related or ancillary
13 proceeding) any Protected Material. To the extent that the Receiving Party seeks to file any
14 Protected Material under seal, the Receiving Party must comply with LR IA 10-5. Protected
15 Material may only be and remain filed under seal pursuant to an order of this Court authorizing the
16 sealing of the specific Protected Material at issue. A sealing order will issue only upon a request
17 establishing that the Protected Material at issue is privileged, protectable as a trade secret, or
18 otherwise entitled to protection under the law.

19 If a request to file Protected Material under seal is denied by the Court, the Receiving Party
20 may file in the public record in this Action (or any related or ancillary proceeding) any Discovery
21 Material containing Protected Material, provided that such Protected Material has been sufficiently
22 redacted.

23 **XI. FINAL DISPOSITION**

24 Within 60 days after the final discharge of the Receiver as the receiver or the termination
25 of the Action, whichever is earlier, the Receiving Party must, upon request of a Designating
26 Party, return all Protected Material to the Designating Party or destroy all such Protected
27 Material, at the option of the Designating Party. As used in this section, "all Protected Material"
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1 includes all copies, abstracts, compilations, summaries, and any other format reproducing or
2 capturing any of the Protected Material. Notwithstanding the foregoing, Counsel for the
3 Receiving Party is entitled to retain an archival copy of all pleadings, motion papers, trial,
4 deposition, and hearing transcripts, legal memoranda, correspondence, deposition and trial
5 exhibits, expert reports, attorney work product, and consultant and expert work product, even if
6 such materials refer to, contain, or constitute Protected Material; provided, however, that any
7 such archival copies that refer to, contain, or constitute Protected Material remain subject to this
8 Order as set forth in Section IV herein.

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10 [SIGNATURES APPEAR ON THE FOLLOWING PAGE]
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IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.

Dated: January 17, 2023

SEMENZA KIRCHER RICKARD



Jarrod L. Rickard, Bar No. 10203
Katie L. Cannata, Bar No. 14848
10161 Park Run Drive, Suite 150
Las Vegas, Nevada 89145


ALLEN MATKINS LECK GAMBLE
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Matthew D. Pham (admitted *pro hac vice*)
865 South Figueroa Street, Suite 2800
Los Angeles, California 90017-2543

Attorneys for Receiver Geoff Winkler

Dated: January 17, 2023

PORTER HEDGES LLP



Heather K. Hatfield
1000 Main Street, 36th Floor
Houston, TX 77002

Attorneys for Eco Battery, LLC

IT IS SO ORDERED.

ELAYNA J. YOUCHAH
UNITED STATES MAGISTRATE JUDGE

DATED: _____

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EXHIBIT A

ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND

I, _____ [print or type full name], of _____
_____ [print or type full address], declare under

penalty of perjury that I have read in its entirety and understand the *Stipulated Protective Order* (*Regarding Production of Documents, Information, and Things by Eco Battery, LLC*) (the "Order") that was issued by the United States District Court for the District of Nevada on <DATE> in the case of *SEC v. Beasley, et al.* (Case No. 2:22-cv-00612-CDS-EJY). I agree to comply with and to be bound by all the terms of this Order, and I understand and acknowledge that failure to so comply could expose me to sanctions and punishment in the nature of contempt. I solemnly promise that I will not disclose in any manner any information or item that is subject to this Order to any person or entity except in strict compliance with the provisions of this Order.

I further agree to submit to the jurisdiction of the United States District Court for the District of Nevada for the purpose of enforcing the terms of this Order, even if such enforcement proceedings occur after termination of this action.

Date: _____

City and State where sworn and signed: _____

Printed name: _____

Signature: _____