Item 1 – Cover Page

George E. Cones

CRD# 2477228

Third Sigma Investment Advisors LLC

700 North Clayton Street

Wilmington, DE 19805

Phone: 302-656-1111

Fax: 302-504-6000

February 2026

This Brochure Supplement provides information about George Cones that supplements Third Sigma Investment Advisors LLC's Form ADV Part 2A Brochure. Please contact George Cones, Managing Member and Chief Compliance Officer if you did not receive Third Sigma Investment Advisors LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about George Cones is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

George Cones

Born in 1950

Bachelor's degree from the University of Houston, and a Doctor of Jurisprudence degree from the University of Houston Law Center.

Business Background for preceding 5 years

07/2009 to present, Third Sigma Investment Advisors LLC, Managing Member, CCO

Item 3 - Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4 - Other Business Activities

Mr. Cones is the president of Techlogic, Inc. which is an Effectively Dormant Systems Consulting Firm and Mr. Cones is also an Attorney.

Item 5 - Additional Compensation

Mr. Cones does not receive any additional compensation outside of Third Sigma Investment Advisors LLC for providing advisory services.

Item 6 - Supervision

George Cones is the Managing Member and Chief Compliance Officer of Third Sigma Investment Advisors LLC; therefore, he is responsible for his own supervision and that of all other investment adviser representatives of Third Sigma Investment Advisors LLC. He can be contacted at 302-656-1111.

Item 1 – Cover Page

Ryan Malloch

CRD# 4017541

Third Sigma Investment Advisors LLC

200 E. Big Beaver Rd, Ste 132

Troy, MI 48083

Phone: 302-656-1111

Fax: 302-504-6000

August 2025

This Brochure Supplement provides information about Ryan Malloch that supplements Third Sigma Investment Advisors LLC's Form ADV Part 2A Brochure. Please contact George Cones or Ryan Malloch if you did not receive Third Sigma Investment Advisors LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Ryan Malloch is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Ryan Malloch

Born in 1977

Bachelor’s degree in Finance from Western Michigan University.

Business Background for preceding 5 years

10/2024 to present, Third Sigma Investment Advisors LLC, Investment Advisory Representative
01/2021 to present, M1 Capital Management, LLC, Partner
01/2024 to present, M1 Wealth Advisors, Owner
10/2005 to present, Insurance Agent – Various Fixed Insurance Companies
01/2005 to present, Malloch Properties, LLC, Owner

Item 3 - Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4 - Other Business Activities

Mr. Malloch is a member of the adjunct faculty at Macomb Committee College. Mr. Malloch is also an insurance agent as described in Item 2.

Item 5 - Additional Compensation

Mr. Malloch is compensated by the entities listed under Items 2 and 3.

Item 6 - Supervision

Ryan Malloch is supervised by George Cones, Managing Member and Chief Compliance Officer of Third Sigma Investment Advisors LLC regarding registration with the Firm. George Cones can be contacted at 302-656-1111.

Item 1 – Cover Page

Antonio DeSano, CFP®

CRD# 5910283

Third Sigma Investment Advisors LLC

200 E Big Beaver Rd, Ste 132

Troy, MI 48083

Phone: 302-656-1111

Fax: 302-504-6000

August 2025

This Brochure Supplement provides information about Ryan Malloch that supplements Third Sigma Investment Advisors LLC's Form ADV Part 2A Brochure. Please contact George Cones or Antonio DeSano if you did not receive Third Sigma Investment Advisors LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Antonio DeSano is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Antonio DeSano, CFP®

Born in 1987

Bachelor's degree in Business Administration and Banking/Finance from Northwood University.

Business Background for preceding 5 years

10/2024 to present, Third Sigma Investment Advisors LLC, Investment Advisory Representative
01/2022 to present, M1 Capital Management, LLC, Investment Advisory Representative
04/2024 to present, M1 Wealth Advisors, Financial Professional
03/2024 to 08/2024, Cetera Investment Advisers, Inc., Investment Advisory Representative
01/2022 to 08/2024, Cetera Advisor Networks, Registered Representative/Investment Advisory Representative
01/2022 to 03/2024, Innovative Investment Services, Financial Professional
01/2021 to 01/2022, Ameriprise Financial Services, Registered Representative

Item 3 - Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4 - Other Business Activities

Mr. DeSano does not have any other business activities other than those listed as described in Item 2.

Item 5 - Additional Compensation

Mr. DeSano is compensated by the entities listed in Item 2.

Item 6 - Supervision

Antonio DeSano is supervised by George Cones, Managing Member and Chief Compliance Officer of Third Sigma Investment Advisors LLC regarding registration with the Firm. George Cones can be contacted at 302-656-1111.

Item 1 – Cover Page

Jacob Smith

CRD# 7842883

Third Sigma Investment Advisors LLC

200 Big Beaver Rd, Ste 132

Troy, MI 48083

Phone: 302-656-1111

Fax: 302-504-6000

August 2025

This Brochure Supplement provides information about Ryan Malloch that supplements Third Sigma Investment Advisors LLC's Form ADV Part 2A Brochure. Please contact George Cones or Antonio DeSano if you did not receive Third Sigma Investment Advisors LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Antonio DeSano is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Jacob Smith

Born in 1989

Bachelor's degree in Business Administration, Major in Finance and International Business with a minor in Spanish from Grand Valley State University and a Master of Business Administration from Lipscomb University.

Business Background for preceding 5 years

08/2025 to present, Third Sigma Investment Advisors LLC, Investment Advisory Representative
06/2025 to present, M1 Capital Management, LLC, Investment Advisory Representative
06/2025 to present, M1 Wealth Advisors, Financial Professional, Insurance Sales
12/2023 to 06/2025, Thrivent Investment Management, Inc., Registered Representative
02/2024 to 06/2024, Thrivent Financial, Associate Representative
05/2020 to 02/2024, Ally Bank, Sales Operation & Product Strategy

Item 3 - Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4 - Other Business Activities

Mr. Smith's outside business is as follows: Board member of Teen Hype Position. Nature of business: Detroit-area youth with programming designed to help prepare young adults. Not Investment Related and time spent is approximately 2 hours per week.

Item 5 - Additional Compensation

Mr. Smith is compensated by the entities listed in Item 2.

Item 6 - Supervision

Jacob Smith is supervised by George Cones, Managing Member and Chief Compliance Officer of Third Sigma Investment Advisors LLC regarding registration with the Firm. George Cones can be contacted at 302-656-1111.

Professional Designations:

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 71,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.