

# BRENTRIDGE CAPITAL – FINANCIAL SERVICES GUIDE

## 1. ABOUT THIS FINANCIAL SERVICES GUIDE

This Financial Services Guide ("FSG") is designed to assist you in deciding whether to use any of the financial services offered by Brentridge Capital Pty Ltd (ABN 42 629 624 700) ("we", "our", "us" or "Brentridge").

This FSG is designed to provide you with general information about Brentridge's services and includes the following:

- Who we are and how you can contact us;
- The financial services we are authorised to provide to you;
- The cost of our services and how we are remunerated;
- The disclosure documents and statements you will receive;
- How we deal with your personal information; and
- Our internal and external dispute resolution processes.

**This FSG was prepared on 10 April 2025 and replaces all previous versions.**

Please contact us directly if you would like further information on this FSG or on any of our services

## 2. HOW TO CONTACT US

You can contact and find out more about us in the following ways:

By mail: Brentridge Capital Pty Ltd,  
Level 15, Grosvenor Place  
225 George Street, Sydney NSW  
2000, Australia

Telephone: +61 2 8226 8649

Email:

contactus@brentridgecapital.com.au

Website: brentridgecapital.com.au

## 3. ABOUT US AND THE FINANCIAL SERVICES WE OFFER

Brentridge is a Corporate Authorised Representative (CAR#001269419) of Finexia Wealth Pty Ltd (ACN 637 420 672), Australian Financial Services Licence ("AFSL") holder number 522661 issued by the Australian Securities and Investments Commission ("ASIC") and is authorised to provide advisory and dealing services in relation to the following financial products to retail and wholesale clients:

- Basic deposit products;
- Deposit products other than basic deposit products;

Derivatives;

Life products including:

- Investment life insurance products; and
- Life risk insurance products;

Interests in managed investment schemes including investor directed portfolio services;

Retirement savings accounts ("RSA") products;

Securities;

Standard margin lending facilities; and

Superannuation.

Any financial services offered to you will be provided to you by a representative of Brentridge. Except as stated above, we do not act as a representative of any other licensee in relation to the financial services we provide to you.

We will only provide you with general advice. This advice does not take into account your specific financial situation, needs or objectives. Under the law regulating the provision of financial products and services, general advice and personal advice have special meanings and may differ from what you commonly understand. You should determine if any general financial product advice is appropriate for you, and you should seek personal financial product advice from a registered and licensed professional regarding the suitability of our products and services to your personal financial needs. Whilst Brentridge believes that the general advice provided is accurate and reliable, neither Brentridge, nor its directors, employees or representatives, assume any responsibility for the accuracy or completeness of the information provided.

## 4. USING OUR SERVICES

If you wish to utilise our services, you may contact us by telephone or email, or via any other method as may be mutually agreed between us.

## 5. OTHER DOCUMENTS YOU MAY RECEIVE

If we recommend or refer you a particular financial product, you may also receive a prospectus or another offer document, prepared by the product issuer, which contains information about the particular product, including any relevant terms, significant risks and details of other fees and charges that may apply. This document will be provided to assist you in making an informed decision about that product. We will also provide other documents as needed. These documents may include educational material, client agreement, or other offer documents.

## 6. OUR FEES AND CHARGES

Brentridge will not charge you fees for financial services provided to you.

Instead, Brentridge is paid fees, commissions, and other amounts from product issuers whose products we recommend to you. These fees, commissions, and other amounts from product issuers should be disclosed in the relevant prospectus or another offer document.

Further, our representatives may receive compensation and other amounts (e.g., in their capacity as a board member) from product issuers whose products we recommend to you. These compensation and other amounts should be disclosed in the relevant prospectus or another offer document.

In addition, if you acquire or enter into a financial product we refer you, the product issuer may charge you costs as set out in the relevant prospectus or another offer document. You are encouraged to consult with your financial advisor for an explanation of these fees, commissions and other amounts described in the relevant prospectus or another offer document.

In addition, Brentridge receives certain fees and other amounts from affiliates of product issuers whose products we recommend to you.

## 7. DISCLOSURE OF ANY RELEVANT CONFLICTS OF INTEREST

You have the right to be advised of any material interest, relationship or arrangement that Brentridge or its affiliates may have in relation to the financial products recommended to you. Material interests are those that could be reasonably expected to be

Deposit and payment products limited to:

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capable of influencing the recommendation of a financial product to you.

Accordingly, you should be aware that:

- Brentridge and its representative are remunerated, in part, on a performance basis.
- Affiliates of Brentridge may invest in securities or derivatives of securities of the product issuers whose products we recommend to you.
- Brentridge may recommend products of product issuers who are affiliates of Brentridge.
- Affiliates of Brentridge may do business that relates to securities or derivatives of securities of the product issuers whose products we recommend to you, including proprietary trading, extension of credit, and investment or consulting services.
- Brentridge and its affiliates trade financial products on our and their own account.

You can request further particulars of remuneration, fees and commissions we receive by using the contact details provided above.

### 8. MAKING A COMPLAINT

We have an internal dispute resolution process in place to resolve any complaints or concerns you may have. Any complaints or concerns should be made in writing and directed to our Managing Director on the details below.

Attention: Managing Director  
Brentridge Capital Pty Ltd, Level 15,  
Grosvenor Place  
225 George Street, Sydney NSW  
2000, Australia  
Telephone: +61 2 8226 8649  
Email:  
contactus@brentridgecapital.com.au  
Website: brentridgecapital.com.au

If your complaint cannot be resolved to your satisfaction after this process, you have the right to lodge a complaint with the Australian Financial Complaints Authority (AFCA) on 1800 931 678. AFCA is an approved external dispute resolution scheme of which Brentridge's AFSL holder is a member.

To: Australian Financial Complaints  
Authority  
GPO Box 3, Melbourne VIC 3001  
Telephone: 1800 931 678  
Email: [info@afca.org.au](mailto:info@afca.org.au)  
Website: [www.afca.org.au](http://www.afca.org.au)

You may also contact the Australian Securities and Investments Commission (ASIC) info line on **1300 300 630**.

### 9. COMPENSATION ARRANGEMENTS

We have professional indemnity insurance in place that complies with section 912B of the Corporations Act and ASIC Regulatory Guide 126. In particular our professional indemnity insurance, subject to its terms and conditions, provides indemnity for Brentridge and our representatives and employees in respect of our authorisations and obligations under our appointment as a corporate authorised representative.

### 10. OUR PRIVACY POLICY

We do not ask for personal information or personal identification documents. Personal information or personal identification documents may be requested by product issuers whose products we recommend to you.