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8 **SUPERIOR COURT OF THE STATE OF CALIFORNIA, COUNTY OF SAN DIEGO**

9 **CENTRAL DIVISION**

10 MAT WAHLSTROM, an individual;

11 Petitioner,

12 vs.

13 CALIFORNIA FAIR POLITICAL  
14 PRACTICES COMMISSION, a California  
15 government entity; STEVEN J. KAUFMAN, an  
16 individual; KAUFMAN LEGAL GROUP,  
17 APC, and DOES 1 through 100;

18 Respondents.

19 TODD GLORIA, an individual and 2020  
20 candidate for elected office; and ROES 1  
21 through 100;

22 Real Parties in Interest.

Case No. 37-2020-00030854-CU-WM-CTL

[Related to Case No:37-2019-00043494-  
CU-JR-CTL (Frazier)]

**VERIFIED PETITION FOR WRIT OF  
MANDATE; AND FOR ORDER TO  
EXCLUDE**

[Code of Civil Procedure §1084 et seq., &  
Government Code §87400 et seq.]

[Independent Judgment Test]

23 1. Petitioner MAT WAHLSTROM (“Petitioner” or “WAHLSTROM”) (hereinafter  
24 referred to as “Petitioner”), on behalf of himself, the citizens and voters in the City of San Diego  
25 and the 78<sup>th</sup> Assembly District as well as the general public, respectfully petition this Court for a  
26 Writ of Mandate issued as an alternative writ or a peremptory writ under California Code of Civil  
27 Procedure (“CCP”) § 1084 et seq., directed to the CALIFORNIA FAIR POLITICAL PRACTICES  
28 COMMISSION and DOES 1 through 50, inclusive, (collectively hereinafter “FPPC”) because  
FPPC failed to comply with all applicable provisions of the California Constitution, common law,  
statutes and regulations and/or have acted in a manner that is arbitrary, capricious and/or an abuse  
of discretion and for which there is no adequate remedy at law relative to the matter referenced

1 below. Additionally, Petitioner WAHLSTROM seeks an order from this Court to exclude STEVEN  
2 J. KAUFMAN and DOES 51 through 100 (collectively hereinafter “KAUFMAN”, and each of  
3 them, from participation in this action and related San Diego Superior Court Case No:37-2019-  
4 00043494-CU-JR-CTL pursuant to Government Code §87400 et seq. In support of the forgoing,  
5 this verified Petition alleges on information and belief and seeks relief as follows:

6 **VENUE**

7 2. The individuals, campaign committees and Assembly District which are the subject  
8 of this Petition are all located within the judicial district of the Central District of the San Diego  
9 County Superior Court. There is also a related case involving these parties and similar issues  
10 pending in Department 65 of this Court as Case No:37-2019-00043494-CU-JR-CTL before the  
11 Honorable Ronald F. Frazier (hereinafter the “Related Case”).

12 **PARTIES**

13 3. Petitioner WAHLSTROM is and at all times herein mentioned herein was a citizen  
14 and registered voter in the City of San Diego and 78<sup>th</sup> Assembly District. WAHLSTROM is  
15 beneficially interested in the issuance of the requested writ as he desires to ensure Respondents  
16 properly perform their statutory duties in the manner required by law to ensure that all applicable  
17 laws and regulations relating to elections and campaign finance are faithfully and fully obeyed and  
18 implemented.

19 4. Respondent FPPC is a California government entity created in 1974 when California  
20 voters passed Proposition 9, now known as the Political Reform Act. One purpose of Respondent  
21 FPPC is to administer and enforce the Political Reform Act (“PRA”). According to the FPPC’s  
22 website “[t]he mission of the Fair Political Practices Commission is to promote the integrity of state  
23 and local government in California through fair, impartial interpretation and enforcement of  
24 political campaign, lobbying and conflict of interest laws.”

25 5. KAUFMAN is an attorney representing Real Party in Interest TODD GLORIA  
26 before the FPPC in the matter referenced below as well as in the Related Case.



1 fails to comply with applicable provisions of the California Constitution, common law, statutes  
 2 and/or regulations and/or is arbitrary, capricious or an abuse of discretion based on the documents,  
 3 facts and circumstances referenced herein and subsequently disclosed in discovery.

4 12. Attached hereto as **Exhibit 2** (5-273) is the September 11, 2019 Sworn Complaint  
 5 WAHLSTROM filed with FPPC via its Electronic Complaint System (hereinafter the  
 6 “WAHLSTROM Complaint”) alleging various violations of law by GLORIA and his Todd Gloria  
 7 for Assembly 2020 campaign fundraising committee. As further evidence of GLORIA’s violations  
 8 of applicable law, WAHLSTROM attached to the WAHLSTROM Complaint the following  
 9 documents:  
 10

<u>Description</u>	<u>Date</u>	<u>Beg Bates #</u>	<u>End Bates #</u>
<b>Verified Complaint for Declaratory and Injunctive Relief (Superior Court Case #37-2019-00043494-CU-JR-CTL)</b>	8/19/2019	009	019
Exhibit A – Gloria Candidate Intention Statement	12/20/2018	020	021
Exhibit B – Gloria Statement of Organization Recipient Committee	2/20/2019	022	033
Exhibit C – Gloria Recipient Committee Campaign Statement	6/30/2019	034	059
Exhibit D – Gloria Candidate Intention Statement	8/13/2019	060	061
Exhibit E – Times of San Diego Article	8/13/2019	062	066
Exhibit F – Stone Tweet re: Gloria running for Mayor	8/13/2019	067	068
Exhibit G - Gloria Tweet re: Gloria running for Mayor	8/14/2019	069	070
Exhibit H – Todd Gloria social media		071	077
<b>Wahlstrom Ex Parte Application for Temporary Restraining Order</b>	8/28/2019	078	084
Exhibit A – Gloria Recipient Committee Campaign Statement	6/30/2017	085	178
Exhibit B – Gloria Candidate Intention Statement	12/20/2018	179	180
Exhibit C – La Prensa San Diego article re: Todd Gloria Failed to File Candidacy Form Before Raising Money	8/12/2019	181	184
Exhibit D – Gloria Statement of Organization Recipient Committee	2/20/2019	185	196
Exhibit E – Gloria Recipient Committee Campaign Statement Cover Page	6/30/2019	197	222
Exhibit F – Times of San Diego article	8/13/2019	223	227
Exhibit G – Gloria Candidate Intention Statement	8/13/2019	228	229
Exhibit H - Stone Tweet re: Gloria running for Mayor	8/13/2019	230	231
Exhibit I – Nick Serrano Twitter home page		232	233
Exhibit J - Gloria Tweet re: Gloria running for Mayor	8/14/2019	234	235
Exhibit K - Todd Gloria social media		236	237
Exhibit L – Todd Gloria Facebook.com homepage		238	239
Exhibit M – Todd Gloria Twitter.com homepage		240	241

1	Exhibit N – Secretary of State list of candidates		242	249
2	<b>Gloria Opposition to Ex Parte Application for Temporary Restraining Order</b>	8/28/2019	250	261
3	Kaufman Declaration in Support of Gloria Opposition to Ex Parte Application for Temporary Restraining Order	8/28/2019	262	264
4	Exhibit 1: Self-Referral letter to California Fair Political Practices Commission	8/14/2019	265	268
5	Exhibit 2: Wahlstrom letter Requesting Civil Prosecution	8/14/2019	269	273

6           13.     By way of the WAHLSTROM Complaint, WAHLSTROM alleged to the FPPC and  
7 re-alleges here as follows:

8           In violation of the Political Reform Act, Todd Gloria (1) rolled over surplus funds  
9 from his Todd Gloria for Assembly 2018 committee into his Todd Gloria for  
10 Assembly 2020 committee, (2) accepted donations into his Todd Gloria for  
11 Assembly 2020 committee, and (3) spent money from his Todd Gloria for Assembly  
12 2020 committee before he declared his intention to run for re-election to the  
13 Assembly in 2020 by filing his Statement of Intention (Form 501). After the press  
14 reported these violations, he filed his Statement of Intention to run for Assembly,  
15 under penalty of perjury, on August 13, 2019. At all times since at least December  
16 20, 2018, Mr. Gloria has been running for Mayor of the City of San Diego.

17           Despite filing his Form 501 on August 13, 2019, Mr. Gloria was not a candidate for  
18 re-election to the Assembly; notwithstanding the filing, at no time has he ever been a  
19 candidate for re-election in 2020. In fact, on March 27, 2019, he donated money to  
20 another candidate for the same office, Christopher Ward, and donated money from  
21 his Todd Gloria for Assembly 2020 committee to Mr. Ward's Assembly committee.  
22 Mr. Gloria also endorsed Mr. Ward as his replacement in the Assembly.

23           On the same day that Mr. Gloria filed his Form 501 under penalty of perjury for the  
24 Assembly, his campaign manager, Nick Serrano, went on Twitter and denied that his  
25 boss was running for the Assembly. That following day, Mr. Gloria himself went on  
26 Twitter and posted this message: “Some news reports have suggested that I am  
27 seeking re-election to the Assembly. I want to be very clear: I am not running for the  
28 Assembly, I am running for Mayor. [¶] My Assembly committee remains open to  
fulfill my responsibilities as Member of the State Assembly until the end of my  
current term.”

Mr. Gloria is not a candidate for the Assembly in 2020 but has been raising money  
into and spending money from his Todd Gloria for Assembly 2020 committee for  
political purposes other than his campaign for re-election to the Assembly – because  
he never has been and to this day is not running for re-election to that office – and  
not for any related legislative or governmental purposes. Mr. Gloria has been  
endorsed by the San Diego County Democratic Party, and I believe he intends to use  
the money rolled into and raised by his Todd Gloria for 2020 committee for political  
purposes associated with his Mayoral campaign (either directly or through the Party).

Attached to this sworn complaint (i.e., uploaded herewith) is a copy of my complaint  
against Mr. Gloria in San Diego County Superior Court, along with the application  
for a temporary restraining order that I recently filed and Mr. Gloria’s opposition  
thereto. My sworn complaint is based on the information contained in my TRO  
filing.

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In opposition to my TRO, Mr. Gloria’s attorney filed an opposition that included a copy of a letter that the attorney sent to the FPPC’s Enforcement Division Chief, Galena West. In the letter, Mr. Gloria’s lawyer’s makes a number of false claims. First, he claims that “the contributions received by the Committee to date have been small in number and total a relatively small amount.” That is false because his Assembly 2020 committee raised approximately \$25,000 in donations, which is not small. Furthermore, his Assembly 2020 committee received a transfer of more than \$290,000 from his Assembly 2018 committee, and Government Code section 82015(b)(2) defines “contributions” to include transfers “of anything of value received by a committee from another committee.”

Second, the lawyer claims that Mr. Gloria’s failure to file the Form 501 was brought to the FPPC’s attention as soon as the failure had been brought to Mr. Gloria’s attention. That claim is misleading at best. Mr. Gloria has known all along that he had to file the Form 501 in order to open a new committee for his (bogus) campaign for re-election to the Assembly. He is a seasoned, experienced politician and candidate. He has run for different offices many times and knows the purpose of the Form 501 and is aware that it is a legal prerequisite to forming a committee, receiving any contribution (whether a donation or transfer), and spending money from that committee. In fact, he filed his Form 501 in December 2018 for his Mayoral campaign, before he started raising money. If he truly were running for both offices at the same time, he would have filed his Form 501 for re-election to the Assembly and before being called out by the press. His claim that he made an honest mistake is a fabrication; he made a calculated decision based on the supposition that if he got caught, he would simply ask for forgiveness. His real goal has been to raise money under the auspices of running for re-election a state office (despite having no intention to seek re-election to that office) and take advantage of the higher donation limits for state office (more than four times the \$1,150 limit imposed on his Mayoral campaign under City of San Diego regulations), and then transfer his war chest from his bogus Assembly 2020 committee to his Mayor 2020 committee and/or the San Diego County Democratic Party.

This plan not only violated the Form 501 requirement, but it is another form of money laundering that you should investigate and punish. Mr. Gloria is raising money through his Assembly 2020 committee – all of which so far has come from non-individual sources that could not lawfully donate to his Mayor 2020 committee – in order to gain an unfair advantage in his Mayoral campaign.

When the judge initially denied my ex parte TRO request, he authorized me to file a formal motion for a preliminary injunction based on normal motion scheduling. I am asking the FPPC to enjoin Mr. Gloria’s use of his Assembly 2020 funds for any purpose other than legislative or government purposes until the FPPC and/or the Superior Court has issued a final ruling on my sworn complaint. Because Mr. Gloria’s Mayoral committee has raised more than \$700,000 so far and he has out-raised all of his competition, he would not be harmed by an injunction.

At a minimum, you should require Mr. Gloria, not his surrogates, to testify under oath based on personal knowledge, not information and belief, about (1) whether he has ever been running for re-election to the Assembly in 2020; (2) if so, whether he is still running for re-election; and (3) if he is was running but is no longer running for re-election, when did he stop. You should also require him to testify under oath based on personal knowledge whether he was running for re-election at the time he filed the Form 501 on August 13, 2019.

1           14.     In anticipation of and in an attempt to pre-empt the WAHLSTROM Complaint  
2 GLORIA, through his attorney KAUFMAN, filed his own Self-Referral Complaint (attached hereto  
3 as **Exhibit 3** (274-277)) with the FPPC on August 14, 2019.

4           15.     Attached hereto as **Exhibit 4** (278-279) is a copy of FPPC's August 23, 2019 letter  
5 to GLORIA, by way of KAUFMAN, acknowledging "you notified the Enforcement Division of the  
6 Fair Political Practices Commission of a potential violation of the Political Reform Act's (the  
7 "Act") campaign disclosure provisions related to Mr. Gloria's late filing of the Form 501 –  
8 Candidate Intention Statement."  
9

10          16.     The GLORIA/KAUFMAN Self- Referral Complaint (**Exhibit 3**) intentionally  
11 mischaracterizes the severity of GLORIA's violation of California law relative to his Form 501 –  
12 Candidate Intention Statement by stating:

13           Upon review of the Committee's records following a press inquiry, it appears that the  
14 Committee's treasurer inadvertently failed to file a Form 501 - Candidate Intention  
15 Statement at the time the Committee was initially formed. The Form 501 has since  
16 been filed, a date-stamped copy of which is enclosed. Otherwise, the Committee has  
17 properly and timely reported all of its activities, as required by the Political Reform  
18 Act.

19          17.     WAHLSTROM contends, as alleged in his WAHLSTROM Complaint and as  
20 evidenced in the exhibits attached thereto (**Exhibit 2**), GLORIA's violation of California law was  
21 much more significant than a late filing caused by an "inadvertent" oversight. Instead,  
22 WAHLSTROM contends GLORIA committed **perjury** on his Form 501 – Candidate Intention  
23 Statement for his Todd Gloria for Assembly 2020 campaign fundraising committee **because he**  
24 **never intended to run for that office and therefore was not permitted to form a committee and**  
25 **receive campaign contributions for an office he was not running for.**

26          18.     WAHLSTROM contends, as alleged in his WAHLSTROM Complaint and as  
27 evidenced in the exhibits attached thereto (**Exhibit 2**), GLORIA's Todd Gloria for Assembly 2020  
28 campaign fundraising committee was a sham and fraud to allow him to collect campaign

1 contributions from contributors who were otherwise prohibited by San Diego City law from  
2 contributing to his Todd Gloria for Mayor 2020 and launder them for the benefit of his campaign to  
3 be elected Mayor of San Diego in 2020.

4 19. WAHLSTROM further contends, and alleges the GLORIA/KAUFMAN Self-  
5 Referral Complaint (**Exhibit 3**) intentionally included the following language so FPPC could divert  
6 the GLORIA matters into its “Streamline Program” to avoid scrutiny of GLORIA’s egregious,  
7 brazen and illegal conduct and allow for its disposition with little more than a slap on the wrist:  
8

9 We do not believe that the Committee's inadvertent oversight warrants any further  
10 action. Nonetheless, in determining what action, if any, to take against the  
11 Committee, we urge you to consider the totality of the facts and circumstances  
12 giving rise to this matter, including the fact that the Committee filed the Form 501 as  
13 soon as it discovered that it had not been filed; the public harm was negligible  
14 because the Form 410 - Statement of Organization filed by the committee upon its  
15 formation, contained virtually identical information as is listed on the Form 501; the  
16 contributions received by the Committee to date have been small in number and total  
17 a relatively small amount; and the Form 501 has been filed well before the election.  
18 Finally, we ask you to take into account that, upon becoming aware of this issue, the  
19 Committee decided to proactively present this matter to you for a speedy resolution  
20 of the issue.

21 20. WAHLSTROM further contends, and alleges, that prior to, during and after the  
22 FPPC’s adjudication of the GLORIA Complaints referenced herein, GLORIA’s attorney Kaufman  
23 was employed by and acted as the lead consultant to the FPPC to draft, implement and suggest  
24 ongoing revisions to the regulations, processes and procedures that implement FPPC’s “Streamline  
25 Program” by which the GLORIA Complaints were adjudicated as evidenced by **Exhibit 5** (280-  
26 282) attached hereto and he states:  
27

28 We appreciate the opportunity to serve the Commission and the People of California on these  
significant issues of public importance. We look forward to working with the Commission as we  
enter this next election cycle.

21. WAHLSTROM further contends, and alleges KAUFMAN’s concurrent work for  
GLORIA and FPPC creates an illegal conflict of interest that voids the FPPC’s GLORIA Order.

1           22.     Attached hereto as **Exhibit 6** (283-284) is a copy of FPPC’s September 24, 2019  
2 letter to WAHLSTROM regarding his September 11, 2019 Complaint which states in part:

3                   Re: COM-09112019-01765; Sworn Complaint Against Todd Gloria & Todd Gloria  
4                   for Assembly 2020

5                   Dear Mr. Wahlstrom:

6                   This letter is in response to the sworn complaint you submitted on September 11,  
7                   2019, to the Enforcement Division of the Fair Political Practices Commission  
8                   regarding the above-referenced committee. Since the allegations in your complaint  
9                   are the subject of an existing case, we will not open a new complaint on this matter.  
                  The parts of your complaint regarding campaign filing have been incorporated into  
                  our existing case, FPPC Case No. 2019-00969.

10           23.     Attached hereto as **Exhibit 7** (285-291) is a copy of an article dated November 9,  
11 2019 published by Katy Grimes in the California Globe titled “Assemblyman Todd Gloria Gets  
12 Stern Finger Wag From FPPC on Campaign Violations” which states in part:

13                   San Diego Democrat Assemblyman Todd Gloria and the Fair Political Practices  
14                   commission have reached a settlement over Gloria’s violations of the California  
15                   Political Reform Act — a whopping \$200 fine.

16                   If this tiny penalty suggests a tiny violation, think again. Gloria improperly funded a  
17                   bogus committee for his re-election to the Assembly in order to use funds left over  
18                   from his successful 2018 run for that office to now fund his campaign for Mayor of  
19                   San Diego, which is totally illegal.

20                   Gloria was trying to skirt the fact that the two elected offices have significant  
21                   differing campaign contribution limits. The Assembly limits \$4,700 per donor and  
22                   the Mayor limit in San Diego is \$1,150. By transferring the 2018 Assembly funds to  
23                   another 2020 Assembly fund, no reduction in the amounts contributed was required.  
24                   Had that money been transferred to the Mayor committee, any donation over the City  
25                   imposed limit of \$1,150 would have had to be reduced.

26           24.     Attached hereto as **Exhibit 8** (292-295) is a copy of an article dated November 17,  
27 2019 published by Susan Shelley in the Orange County Register titled “Does the FPPC help voters  
28 or those in power?” in which she writes:

                  The California Fair Political Practices Commission (FPPC) is about to fine  
                  Assemblyman Todd Gloria, D-San Diego, for violating the law when he moved  
                  nearly \$300,000 in leftover funds from his 2018 Assembly campaign to a 2020 re-

1 election committee even though he wasn't really running for re-election, then used  
2 the money in ways that supported his 2020 campaign for mayor of San Diego.

3 Total proposed fine: \$200.

4 Gloria created the 2020 re-election campaign early in 2019 and transferred the  
5 money from the 2018 committee before the funds became "surplus" under the law,  
6 which limits their use in politics. It was illegal because Gloria didn't file the required  
7 Statement of Intention to run in 2020 before opening the committee to raise money.  
8 Those forms have to be signed under penalty of perjury. The statement was finally  
9 filed on August 13, after a community newspaper questioned the campaign manager  
10 about the missing form. The FPPC says Gloria's campaign "self-reported" the  
11 omission. The Enforcement Division reached a quick settlement in its "streamline"  
12 program for minor violations, checking the box on the form marked, "Found no  
13 evidence of intent to conceal."

14 Judge for yourself.

15 By pretending to be a candidate for re-election in 2020, Gloria was able to raise more  
16 money from individual donors than was allowed by city election rules in San Diego.  
17 The per-donor contribution limit is \$4,700 for the Assembly campaign, but only  
18 \$1,150 in the mayor's race.

19 Gloria's \$200 fine is set to be considered by the FPPC at its meeting on November  
20 21.

21 In comparison, another case on the November agenda involves Scott Jackson, who  
22 was elected in 2016 as a Superior Court judge in Alameda County. Jackson hired a  
23 professional treasurer, who filed the required pre-election campaign finance reports  
24 with the Alameda County Registrar of Voters. However, because the campaign  
25 raised more than \$25,000, it was also supposed to file the same reports with the  
26 Secretary of State's office, and the treasurer neglected to do that. The FPPC  
27 Enforcement Division contacted the campaign about the omission. Jackson hired a  
28 new treasurer, had all the reports reviewed and filed, and "fully cooperated" with the  
investigation, according to the FPPC's stipulated agreement.

The proposed fine against Judge Jackson is \$6,000 for three counts of "violating the  
Political Reform Act."

What is going on in this agency? We don't know, because there's no oversight of any  
kind. But consider its 2017 resolution of cases involving high-level political figures  
including former Gov. Jerry Brown.

In 2016, Consumer Watchdog filed a sworn complaint with the FPPC alleging that  
millions of dollars in political contributions from energy companies, including the  
investor-owned utilities such as PG&E, were being laundered through the California  
Democratic Party to evade contribution limits to campaigns and to influence  
decisions made by the Brown administration.

1 FPPC Enforcement Chief Galena West responded to the organization in a letter dated  
2 September 23, 2016, stating that the agency would open an investigation into the  
3 California Democratic Party, but not "the other persons identified in your  
4 complaint." Gov. Brown was not investigated.

5 Here's how that case, No. 16/19635, turned out: the FPPC determined that the  
6 California Democratic Party and its treasurer "failed to identify the committee bank  
7 account as 'all purpose: failed to notify a recipient of contributions from which  
8 account the funds derived, and failed to deposit contributions into the correct  
9 account." Total fine: \$3,500.

10 In a scathing letter to the FPPC dated May 24, 2017, Consumer Watchdog accused  
11 the Enforcement Division of failing to interview witnesses and ignoring evidence in  
12 that case as well as in case No. 16/263, which involved Nancy McFadden, a former  
13 Pacific Gas & Electric executive who became a top adviser to Brown. She failed to  
14 disclose energy-related holdings on her required annual Statement of Economic  
15 Interests for three years straight, at a time when legislation affecting her holdings  
16 was moving through the state Legislature. The FPPC fined her a total of \$300.

17 As you know, I have more than a passing interest in this subject. Last month, the  
18 FPPC approved a fine against me for what they acknowledge is the unintentional late  
19 filing of some pre-election reports in a 2013 special election for the Assembly, when  
20 I was a first-time state candidate with an all-volunteer campaign. Although the  
21 Enforcement Division wrote in 2017 that my campaign had "substantially complied"  
22 with the law, that I had made a good-faith effort throughout, and that there was no  
23 evidence of intent to conceal or deceive, the commission approved a fine of \$11,500.

24 At the FPPC's next meeting on November 21, the commissioners will consider  
25 whether to approve the stipulated agreements reached with Assemblyman Todd  
26 Gloria and Judge Scott Jackson. Then, at a second meeting on November 22, the  
27 commissioners will hear from Enforcement Division chief Galena West about  
28 "topics for input and discussion from the public and the Commission." Among the  
29 topics: "Analysis of 2019 Enforcement Trends."

30 25. Attached hereto as **Exhibit 9** (296-297) is an email dated November 18, 2019 from a  
31 person called Mike Bailey asking FPPC to explain why the fines it imposes on politicians such as  
32 GLORIA and Nancy McFadden are significantly lower than the fines it imposes on non-politicians  
33 who are running for office as follows:

34 As a California taxpayer for over 50 years, I demand to know why the fines for Todd  
35 Gloria and Nancy McFadden are in the hundreds (and \$3500 for Democrat Party),  
36 while Judge Jackson and Susan Shelley were fined \$6000 and \$11500?

1           26.     Attached hereto as **Exhibit 10** (298-299) is an email dated November 18, 2019 from  
2 a person called Doug Butler asserting:

3                   Todd Gloria's fine should be increased to at least \$100,000.00. Experienced  
4                   politicians and political parties, in power, should face high fines for their  
5                   transgressions. New candidates, particularly without powerful political backers  
6                   should not face high fines. The fine for Scott Jackson should be \$100.00.

7           27.     Attached hereto as **Exhibit 11** (300-304) is a letter erroneously dated October 1,  
8 2019 (should have been dated November 8, 2019) by which counsel for WAHLSTROM, David  
9 Kenney, informed the FPPC their proposed GLORIA Order which is the subject of this action  
10 would be void because it failed to comply with applicable provisions of the California Constitution,  
11 common law, statutes and/or regulations and/or is arbitrary, capricious or an abuse of discretion  
12 based on the Exhibits, documents, facts, circumstances and allegations referenced herein as follows:

13                   I represent Mat Wahlstrom, who filed a sworn complaint alleging multiple violations  
14                   of the Political Reform Act (PRA) in matter no. COM-09112019-01765 against  
15                   Assemblymember Todd Gloria. By letter dated September 24, 2019, Chief of  
16                   Enforcement Galena West notified my client that parts of his complaint have been  
17                   incorporated into existing FPPC case no. 2019-00969, that a small portion was  
18                   deemed to lack foundation, and that the remainder had not yet received a  
19                   determination. Case no. 2019-00969 arises from a self-reported violation by Mr.  
20                   Gloria and is discussed in the Chief of Enforcement's executive staff report for the  
21                   upcoming meeting on November 21, 2019. My client objects to the proposed  
22                   resolution of case no. 2019-00969.

23                   In San Diego, Mr. Gloria has been openly campaigning for Mayor of San Diego  
24                   since December 2018. However, he formed his Todd Gloria for Assembly 2020  
25                   committee in March 2019, transferred more than \$293,000 from his Assembly 2018  
26                   committee to his Assembly 2020 committee, and accepted more than \$25,000 in  
27                   contributions to his Assembly 2020 committee. The problem is that he had not filed  
28                   his Form 501 to run for re-election to the Assembly in 2020. When this fact was  
29                   reported in a local San Diego newspaper, Mr. Gloria denied that he was running for  
30                   Assembly and affirmed that he was running only for Mayor. Amid mounting public  
31                   scrutiny, he filed his Form 501 for re-election to the Assembly in 2020 on August 13,  
32                   2019 – under penalty of perjury – but simultaneously issued a public statement that  
33                   he was running for Mayor and was not running for re-election to the Assembly.

34                   The reason Mr. Gloria created his Assembly 2020 committee despite having no  
35                   intention to run for re-election to the Assembly – and despite filing Form 501 under  
36                   penalty of perjury to the contrary – is to launder money raised in connection with his  
37                   Assembly office into his Mayoral campaign. The City of San Diego limits the

1 amount of contributions to \$1,150 per election cycle and limits the source of  
2 contributions to natural persons and political parties. For his phony Assembly re-  
3 election, however, Mr. Gloria enjoys contribution limits that are more than four  
4 times higher and can accept corporate donations. Mr. Gloria may now launder his  
5 Assembly monies into the San Diego County Democratic Party to spend on his  
6 behalf in the Mayoral race, which includes a donation in excess of \$25,000 directly  
7 to his Mayoral committee and party expenditure for his benefit.

8  
9 The foregoing accusations are substantiated by the evidence that my client  
10 previously submitted to the FPPC when he submitted his sworn complaint. The  
11 executive staff report indicates that Ms. West will be imposing a paltry fine of \$200  
12 on Mr. Gloria as part of the FPPC's streamlined penalty process. My client believes  
13 that this result is improper and illegal for multiple reasons.

14  
15 First, there is no statutory authority for the streamlined program. FPPC Regulation  
16 18360.1 authorizes the Chief of Enforcement to include or exclude any filer from the  
17 streamlined program. However, the PRA does not authorize this delegation of  
18 authority. The Legislature has authorized the FPPC to delegate authority to the  
19 Chair and to the Executive Director. Gov't Code § 83108. As a public agency with a  
20 special and limited jurisdiction, the FPPC has only those express (or necessarily  
21 implied) powers which are specifically granted to it by statute. *See, e.g., City of*  
22 *Ceres v. City of Modesto*, 274 Cal. App. 2D 545, 550 (1969). The authority for the  
23 FPPC to delegate an enforcement decision to the Chief of Enforcement does not  
24 exist.

25  
26 Second, even if such authority existed and Regulation 18360.1 were valid, Mr.  
27 Gloria's belated filing of Form 501 declaring his candidacy for an office that he has  
28 never intended to occupy does falls under multiple exceptions to the process for  
streamlined treatment. The late filing is excluded by sub-section (c)(2)(B)(i) because  
there is evidence that Mr. Gloria, who has run for elected office multiple times and is  
thoroughly familiar with the rules, intended to conceal his fundraising for Mayor by  
abusing his Assembly 2020 campaign and intended to violate the PRA in the  
process; up until the day he filed his Form 501 and every moment since, he has  
denied that he is a candidate for re-election to that office. The late filing is also  
excluded by sub-section (c)(2)(B)(vii) because laundering any amount of money, or  
even attempting to do so, causes serious public harm and erodes the public's  
confidence in the integrity of the electoral process. The late filing is further excluded  
by sub-section (c)(2)(B)(viii) because Mr. Gloria remains under investigation for  
other aspects of my client's sworn complaint, as confirmed by Ms. West's letter dated  
September 24. At a minimum the matter should be put into abeyance until his  
Assembly 2020 committee and his Mayoral committee issue their next campaign-  
finance reports so that you can follow the money and see exactly how badly he has  
abused the system.<sup>1</sup>

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<sup>1</sup> The worksheet showing how the \$200 penalty was calculated is very disturbing. For example, it fails to recognize that Mr. Gloria has a high level of experience and sophistication on election matters, contrary to sub-section (c)(2)(A)(i); only "self-reported" after his violations were detailed by the press,

1 Third, even if Mr. Gloria arguably qualified for streamlined treatment under  
2 Regulation 18360.1, he is not being properly punished under Regulation 18360.2  
3 with a meager penalty of \$200. His Assembly 2020 committee received nearly  
4 \$320,000 during the first half of 2019, and only Mr. Gloria knows how much he  
5 raised prior to the day he filed his Form 501 on August 13, 2019. Yet the worksheet  
6 showing how the penalty was calculated does not include the 1% of contributions  
7 required by the Regulation. Because the PRA defines “contributions” to include  
8 transfers from a candidate's own committee, the fine should include at least \$3,200  
9 on top of the \$200 penalty. Under no circumstances should the fine be less than  
10 \$3,400, and you should be waiting until after his Assembly 2020 reports its  
11 contributions through August 13, 2019, in order to know the full amount of  
12 contributions that should be subject to the additional 1% penalty.

13 In closing, I urge you to carefully consider the propriety of allowing Mr. Gloria to be  
14 slapped on the wrist when the evidence clearly establishes a premeditated plan to  
15 launder money from his state-office committee into his local-office committee.  
16 Doing so would violate the PRA and your own regulations.

17 Perhaps more importantly, I urge you to consider how the public will perceive the  
18 fine imposed on Mr. Gloria for such egregious conduct. The press has already  
19 written multiple articles critical of the FPPC's minimization of Mr. Gloria's fraud on  
20 the public.<sup>2</sup> The fact that Mr. Gloria's attorney in the matter, Gary Winuk, is the  
21 FPPC's former enforcement chief fuels the public's perception that the FPPC is  
22 primarily an incumbent-protection racket rather than an agency charged with  
23 protecting the integrity of the electoral system.

24 For these reasons, I encourage the FPPC to reject the proposed \$200 fine against Mr.  
25 Gloria, to conduct a thorough investigation of all the pending allegations against him  
26 (which means waiting until all relevant campaign-finance reports are available to the  
27 public), and then to take action that fully recognizes the gravity of the scam that Mr.  
28 Gloria was perpetrating against the public.

At the time this letter was submitted to the FPPC WAHLSTROM did not know GLORIA's attorney  
Kaufman was concurrently employed by and acted as a consultant to the FPPC to draft, implement  
and suggest on going revisions to the regulations, processes and procedures that implement FPPC's

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contrary to sub-section (c)(2)(A)(ii); has caused major public harm by creating a scheme to launder  
excessive, corporate donations into a campaign that prohibits both, contrary to sub-section (c)(2)(A)(iii);  
received nearly \$320,000 into this Assembly 2020 committee before filing Form 501, contrary to sub-section  
(c)(2)(A)(iv); and the Form 501 is related to the re-election that triggered the filing obligation, contrary to  
sub-section (c)(2)(A)(xiv). None of those factors is mentioned in the worksheet.

<sup>2</sup> See, e.g., <https://californiaglobe.com/section-2/assemblyman-todd-gloria-gets-stern-finger-wag-from-fppc-on-campaign-violations/>; and <https://www.ocregister.com/2019/11/17/does-the-fppc-help-voters-or-those-in-power-susan-shelley/>.

1 “Streamline Program” by which the GLORIA Complaints were adjudicated as evidenced by  
2 **Exhibit 5.**

3 28. Attached hereto as **Exhibit 12** (305-312) is a copy of the November 21, 2019  
4 Meeting Agenda for the FPPC. The GLORIA Complaint was addressed in Item 24 entitled  
5 Executive Staff Reports.

6 29. Attached hereto as **Exhibit 13** (313-328) is a copy of the November 21, 2019  
7 Executive Staff Reports from the FPPC. The GLORIA Complaint was addressed on page 7 of the  
8 Executive Staff Reports.

9 30. Attached hereto as **Exhibit 14** (329-332) is a copy of the November 21, 2019  
10 Regular Meeting Minutes for the FPPC that state on page 3 “The Executive Staff reports were  
11 accepted as submitted, without further action by the Commission.”

12 31. Attached hereto as **Exhibit 16** (336-363) is a copy of California Code of Regulations  
13 (“CCR”) § 18360 et seq., entitled “Enforcement Complaints.”

14  
15 **SECOND CAUSE OF ACTION**  
16 **(Order to Exclude KAUFMAN and KAUFMAN LEGAL GROUP, APC**  
17 **per Government Code § 87404)**

18 32. Petitioner incorporates the prior allegations as through fully recited herein

19 33. The Milton Marks Postgovernment Employment Restrictions Act of 1990 (codified  
20 at Government Code § 87406 which is part of Article 4, Chapter 2, Title 9 of the Government Code)  
21 states in relevant part in paragraph (d)(1):

22 ...[A]ny officer, employee, or consultant of a state administrative agency who holds  
23 a position that entails the making, or participation in the making, of decisions that  
24 may foreseeably have a material effect on any financial interest... shall not, for  
25 compensation, act as agent or attorney for, or otherwise represent, any other person,  
26 by making any formal or informal appearance, or by making any oral or written  
27 communication, before any state administrative agency, or officer or employee  
28 thereof, for which he or she worked or represented during the 12 months before  
leaving office or employment, if the appearance or communication is made for the  
purpose of influencing administrative...action.

1           34.     WAHLSTROM alleges KAUFMAN, as Chair of the FPPC Enforcement Review  
2 Task Force was an “officer, employee, or consultant of a state administrative agency who holds a  
3 position that entails the making, or participation in the making, of decisions” of FPPC, namely the  
4 enactment and enforcement of FPPC’s streamlined enforcement program as referenced in **Exhibit**  
5 **5.**

6           35.     WAHLSTROM alleges KAUFMAN had a financial interest within the meaning of  
7 Government Code § 87406 because he is paid as an attorney to shepherds clients like GLORIA  
8 through FPPC’s streamlined enforcement program.

9           36.     WAHLSTROM alleges KAUFMAN violated the Milton Marks Postgovernment  
10 Employment Restrictions Act of 1990 on numerous occasions including, but not limited to,  
11 KAUFMAN’s August 14, 2019 letter to FPPC on behalf of GLORIA (**Exhibit 3**) which was a  
12 written communication to a state administrative agency (FPPC) for which KAUFFMAN worked  
13 (Chair of the FPPC Enforcement Review Task Force) within at least one year prior and made for the  
14 purpose of influencing administrative action by the FPPC, namely FPPC’s GLORIA Order attached  
15 as **Exhibit 1.**

16           37.     Additionally, WAHLSTROM further alleges KAUFMAN was still working for the  
17 FPPC as the Chair of the FPPC Enforcement Review Task Force on the very day that the GLORIA  
18 Order was considered by the FPPC was evidenced by **Exhibit 15** (333-335) attached hereto which  
19 is a copy of the November 21, 2019 Enforcement Meeting Minutes for the FPPC which states on  
20 page 3:

21  
22                     Stephen Kaufman, Chair of the Enforcement Review Task Force, gave public  
23 comment on the presentation and suggested reforms to the Streamline Regulations  
24 (in particular, monetary thresholds; timelines for 24-hour reports; and, inclusion of  
25 major donors and behested payments into the Streamline program). Mr. Kaufman  
26 also encouraged the Commission to support a legislative change to limit private  
27 rights of actions for violations of the Political Reform Act.



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6. For such other and further relief as the Court deems just and proper.

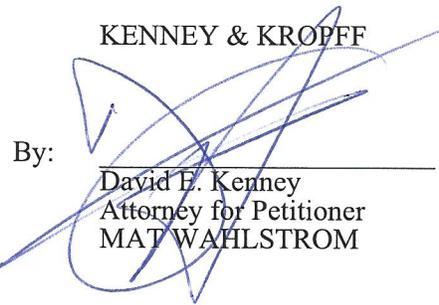
**On the Second Cause of Action**

1. That the Court issue an order to exclude KAUFMAN and his KAUFMAN LEGAL GROUP, APC, from further participation in or assisting or counseling any other participant in this action and the related action of San Diego Superior Court Case No:37-2019-00043494-CU-JR-CTL

2. For such other and further relief as the Court deems just and proper.

Dated: 8-31-20

KENNEY & KROPPF

By:   
\_\_\_\_\_  
David E. Kenney  
Attorney for Petitioner  
MAT WAHLSTROM

1  
2 VERIFICATION

3 I, Mat Wahlstrom, declare as follows:

4 1. I am MAT WAHLSTROM.

5 2. I have read the foregoing Petition for Writ of Mandate made pursuant to California  
6 Code of Civil Procedure §1084 et seq., and For Order to Exclude pursuant to Government Code  
7 §87400 et seq., and know the contents thereof. I am informed and believe that the matters stated  
8 therein are true and on that basis verify that the matters stated therein are true.

9 I declare under penalty of perjury under the laws of the State of California that the foregoing  
10 is true and correct and that this Verification was executed on this 30<sup>th</sup> day of August, 2020 at San  
11 Diego, California.

12   
13 \_\_\_\_\_  
14 Mat Wahlstrom

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# Exhibit 1

**FAIR POLITICAL PRACTICES COMMISSION  
STIPULATION, DECISION AND ORDER  
Campaign Statement/Report Late Filer Violations  
(Streamline Program)**

Complainant, the Enforcement Division of the Fair Political Practices Commission, and Respondent(s) hereby agree that this stipulation will be included on the next regularly scheduled meeting, or as soon thereafter as the matter can be heard, of the Fair Political Practices Commission. Once presented and approved by the Chief of Enforcement, the parties agree that this stipulation will be the final disposition of the violation(s) described herein.

FPPC CASE NO.: 2019-00969

RESPONDENT(S): Todd Gloria

REPRESENTATION:  N/A  Kaufman Legal Group

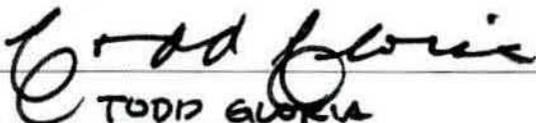
GOV'T CODE SECTION VIOLATED:  84200  84200.5  84203  84204  85200

Total Penalty:           \$200          

**STATEMENT BY RESPONDENT(S):**

I acknowledge that the violation(s) of the Political Reform Act described above have occurred and voluntarily request that the Fair Political Practices Commission resolve this matter by imposition of the monetary penalty specified above. I acknowledge receipt of the *Statement of Respondent's Rights* and voluntarily waive any and all procedural rights to contest this matter in an administrative hearing. Any required outstanding reports in connection with these violations have now been filed. I have paid the amount of the penalty described above.

Dated: 11/1/19

Print Name:   
TODD GLORIA

**ORDER OF THE COMMISSION:**

I have reviewed this stipulation, taken into consideration any comments made regarding this item, and am executing this agreement under the authority of the Fair Political Practices Commission granted to me by Regulation 18360.2. This agreement is effective upon execution below.

**IT IS SO ORDERED.**

Dated: 11/20/19

  
GALENA WEST, ENFORCEMENT CHIEF

**FAIR POLITICAL PRACTICES COMMISSION  
STATEMENT OF RESPONDENT'S RIGHTS**

The Complainant, the Enforcement Division of the Fair Political Practices Commission, and Respondent, both identified by name on the front of this document, hereby agree that this Stipulation, Decision and Order will be submitted for notice at the next regularly scheduled Fair Political Practices Commission meeting, or as soon as the matter can be heard.

The parties agree to enter into this Stipulation to resolve all factual and legal issues raised in this matter and to reach a final disposition without the necessity of holding an administrative hearing to determine the liability of Respondent.

Respondent understands and hereby knowingly and voluntarily waives any and all procedural rights under California Government Code sections 83115.5, 11500, *et seq.*, and 2 California Code of Regulations sections 18361.4 through 18361.11, including but not limited to the issuance and receipt of an accusation, and the right to appear personally and be represented by counsel at his or her own expense in any administrative hearing held in this matter, to confront and cross examine all witnesses testifying at the hearing, to subpoena witnesses to testify at the hearing, and to have an impartial administrative law judge present at the hearing to act as a hearing officer.

It is further stipulated and agreed that Respondent has violated the Political Reform Act as described herein.

Respondent agrees to the issuance of the Decision and Order and imposition by the Commission through the Chief of Enforcement of a penalty in the amount specified on the Stipulation, and a payment in full has been submitted by Respondent to be held by the State of California until the issuance of the Decision and Order. This will be the final disposition of the violation(s) described herein.

The parties agree that in the event the Chief of Enforcement refuses to accept this Stipulation, it shall become null and void, and within fifteen (15) business days after the Commission meeting at which this Stipulation is presented, payments tendered shall be reimbursed to Respondent. Respondent further stipulates and agrees that in the event the Chief of Enforcement rejects the Stipulation and a full evidentiary hearing before the Commission becomes necessary, neither a member of the Commission, nor the Executive Director, shall be disqualified because of prior consideration of this Stipulation.

**DESCRIPTION OF VIOLATION:**

Campaign Statement/Report						
Ct.	Statement/Report	Reporting Period	Due Date	Date Filed	Describe Reportable Activity	Penalty*
1	Statement of Intention			8.13.19	n/a	\$200
<b>Total Proposed Penalty</b>						<b>\$200</b>

\* = Base + 1% of contributions received or expenditures made if filed 7 days prior to the election or 3% if filed after that time

- Filer has filed all pertinent statements.
- Respondent(s) has not received a penalty from the Commission for failing to timely file in the past five years.
- The committee did not have more than the amount prescribed in Regulation 18360.1 for its jurisdiction in contributions received or expenditures made per campaign statement.
- Found no evidence of intent to conceal.

Filer is a:

- State Candidate Committee.
- State General Purpose Committee.
- State Primarily Formed Committee.
- State Major Donor.
- Local Candidate Committee.
- Local General Purpose Committee.
- Local Primarily Formed Committee.
- Local Major Donor.

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# Exhibit 2

In violation of the Political Reform Act, Todd Gloria (1) rolled over surplus funds from his Todd Gloria for Assembly 2018 committee into his Todd Gloria for Assembly 2020 committee, (2) accepted donations into his Todd Gloria for Assembly 2020 committee, and (3) spent money from his Todd Gloria for Assembly 2020 committee before he declared his intention to run for re-election to the Assembly in 2020 by filing his Statement of Intention (Form 501). After the press reported these violations, he filed his Statement of Intention to run for Assembly, under penalty of perjury, on August 13, 2019. At all times since at least December 20, 2018, Mr. Gloria has been running for Mayor of the City of San Diego.

Despite filing his Form 501 on August 13, 2019, Mr. Gloria was not a candidate for re-election to the Assembly; notwithstanding the filing, at no time has he ever been a candidate for re-election in 2020. In fact, on March 27, 2019, he donated money to another candidate for the same office, Christopher Ward, and donated money from his Todd Gloria for Assembly 2020 committee to Mr. Ward's Assembly committee. Mr. Gloria also endorsed Mr. Ward as his replacement in the Assembly.

On the same day that Mr. Gloria filed his Form 501 under penalty of perjury for the Assembly, his campaign manager, Nick Serrano, went on Twitter and denied that his boss was running for the Assembly. That following day, Mr. Gloria himself went on Twitter and posted this message: “Some news reports have suggested that I am seeking re-election to the Assembly. I want to be very clear: I am not running for the Assembly, I am running for Mayor. [¶] My Assembly committee remains open to fulfill my responsibilities as Member of the State Assembly until the end of my current term.”

Mr. Gloria is not a candidate for the Assembly in 2020 but has been raising money into and spending money from his Todd Gloria for Assembly 2020 committee for political purposes other than his campaign for re-election to the Assembly – because he never has been and to this day is not running for re-election to that office – and not for any related legislative or governmental purposes. Mr. Gloria has been endorsed by the San Diego County Democratic Party, and I believe he intends to use the money rolled into and raised by his Todd Gloria for 2020 committee for political purposes associated with his Mayoral campaign (either directly or through the Party).

Attached to this sworn complaint (i.e., uploaded herewith) is a copy of my complaint against Mr. Gloria in San Diego County Superior Court, along with the application for a temporary restraining order that I recently filed and Mr. Gloria's opposition thereto. My sworn complaint is based on the information contained in my TRO filing.

In opposition to my TRO, Mr. Gloria's attorney filed an opposition that included a copy of a letter that the attorney sent to the FPPC's Enforcement Division Chief, Galena West. In the letter, Mr. Gloria's lawyer's makes a number of false claims. First, he claims that “the contributions received by the Committee to date have been small in number and total a relatively small amount.” That is false because his Assembly 2020 committee raised approximately \$25,000 in donations, which is not small. Furthermore, his Assembly 2020 committee received a transfer of more than \$290,000 from his Assembly 2018 committee, and Government Code section 82015(b)(2) defines “contributions” to include transfers “of anything of value received

by a committee from another committee.”

Second, the lawyer claims that Mr. Gloria’s failure to file the Form 501 was brought to the FPPC’s attention as soon as the failure had been brought to Mr. Gloria’s attention. That claim is misleading at best. Mr. Gloria has known all along that he had to file the Form 501 in order to open a new committee for his (bogus) campaign for re-election to the Assembly. He is a seasoned, experienced politician and candidate. He has run for different offices many times and knows the purpose of the Form 501 and is aware that it is a legal prerequisite to forming a committee, receiving any contribution (whether a donation or transfer), and spending money from that committee. In fact, he filed his Form 501 in December 2018 for his Mayoral campaign, before he started raising money. If he truly were running for both offices at the same time, he would have filed his Form 501 for re-election to the Assembly and before being called out by the press. His claim that he made an honest mistake is a fabrication; he made a calculated decision based on the supposition that if he got caught, he would simply ask for forgiveness. His real goal has been to raise money under the auspices of running for re-election a state office (despite having no intention to seek re-election to that office) and take advantage of the higher donation limits for state office (more than four times the \$1,150 limit imposed on his Mayoral campaign under City of San Diego regulations), and then transfer his war chest from his bogus Assembly 2020 committee to his Mayor 2020 committee and/or the San Diego County Democratic Party.

This plan not only violated the Form 501 requirement, but it is another form of money laundering that you should investigate and punish. Mr. Gloria is raising money through his Assembly 2020 committee – all of which so far has come from non-individual sources that could not lawfully donate to his Mayor 2020 committee – in order to gain an unfair advantage in his Mayoral campaign.

When the judge initially denied my ex parte TRO request, he authorized me to file a formal motion for a preliminary injunction based on normal motion scheduling. I am asking the FPPC to enjoin Mr. Gloria’s use of his Assembly 2020 funds for any purpose other than legislative or government purposes until the FPPC and/or the Superior Court has issued a final ruling on my sworn complaint. Because Mr. Gloria’s Mayoral committee has raised more than \$700,000 so far and he has out-raised all of his competition, he would not be harmed by an injunction.

At a minimum, you should require Mr. Gloria, not his surrogates, to testify under oath based on personal knowledge, not information and belief, about (1) whether he has ever been running for re-election to the Assembly in 2020; (2) if so, whether he is still running for re-election; and (3) if he is was running but is no longer running for re-election, when did he stop. You should also require him to testify under oath based on personal knowledge whether he was running for re-election at the time he filed the Form 501 on August 13, 2019.

Complaint Submitted

Thank you! Your complaint id is COM-09112019-01765

**FILE  
ATTACHMENT**

1 David E. Kenney, Esq. SBN 114412  
KENNEY & KROPPF  
2 8337 Telegraph Road, Suite 200  
Pico Rivera, CA 90660  
3 Telephone: (562) 928-2300  
Facsimile: (562) 928-2311  
4

5 Attorneys for Plaintiff

**ELECTRONICALLY FILED**  
Superior Court of California,  
County of San Diego  
**08/19/2019** at 04:47:04 PM  
Clerk of the Superior Court  
By Melinda McClure, Deputy Clerk

6  
7 **SUPERIOR COURT OF THE STATE OF CALIFORNIA, COUNTY OF SAN DIEGO**  
8 **CENTRAL DIVISION**

9 MAT WAHLSTROM

10 Plaintiff,

11 vs.

12 TODD GLORIA; SAN DIEGO COUNTY  
13 DEMOCRATIC PARTY, and DOES 1-100,  
inclusive,

14 Defendants.  
15

Case No. 37-2019-00043494-CU-JR-CTL

VERIFIED COMPLAINT FOR  
DECLARATORY AND INJUNCTIVE  
RELIEF

16 Comes now, PLAINTIFF Mat Wahlstrom, alleging as follows:

17 1. PLAINTIFF is registered to vote in the City of San Diego and brings this lawsuit on  
18 behalf of the public for the sole purpose of protecting the integrity of the electoral process, and not  
19 for any personal benefit.

20 2. Defendant TODD GLORIA (GLORIA) is a registered Democrat and is currently the  
21 elected representative of California's 78<sup>th</sup> Assembly District (AD78), which consists primarily of  
22 the coastal communities of the City of San Diego. On December 20, 2018, he filed FPPC Form 501  
23 with the San Diego City Clerk and under penalty of perjury declared his intention to run for the  
24 office of Mayor of the City of San Diego in 2020. See Exhibit A hereto.

25 3. Defendant SAN DIEGO COUNTY DEMOCRATIC PARTY (SDCDP) is a political  
26 party with its principal place of business in the County of San Diego.  
27  
28

1           4.       The true names and capacities of the Defendants identified as DOES 1 through 100  
2 are unknown to PLAINTIFF; once their true names and capacities are known, PLAINTIFF will  
3 seek leave to amend this lawsuit. On information and belief, PLAINTIFF alleges that each  
4 fictitiously named Defendant has a legally recognized interest in the outcome of this lawsuit.

5           5.       On information and belief, PLAINTIFF alleges that each of the Defendants is, and at  
6 all relevant times was, the agent, servant, or employee of every other Defendant and acting within  
7 the scope of said agency, servitude, or employment.

8           6.       The violations of law alleged herein were committed primarily if not entirely in the  
9 County of San Diego.

10           7.       In November 2018, GLORIA was re-elected to the office of AD78 representative.  
11 To support that re-election campaign, he had created a candidate-controlled committee known as  
12 "Todd Gloria for Assembly 2018" approximately two years earlier.

13           8.       On February 20, 2019, GLORIA created a new candidate-controlled committee  
14 known as "Todd Gloria for Assembly 2020" and around this date established a bank account for this  
15 committee. See Exhibit B hereto. GLORIA's Assembly 2020 committee is a "committee" within  
16 the meaning of Government Code section 82013(a).

17           9.       On March 19, 2019, GLORIA transferred the remaining \$293,079.05 held by his  
18 Assembly 2018 committee to his new Assembly 2020 committee. See Exhibit C hereto.

19           10.       GLORIA did not file FPPC Form 501 and declare his intention to run for re-election  
20 to the office of AD78 representative under penalty of perjury until August 13, 2019. See Exhibit D  
21 hereto.

22           11.       On August 13, 2019, *Times of San Diego* published a news article announcing that  
23 earlier in the day GLORIA had filed his FPPC Form 501 and under penalty of perjury declared his  
24

1 intention to run for re-election to the office of AD78 representative. The article's reporter, KEN  
2 STONE (STONE), "tweeted" his article on Twitter. See Exhibit E hereto.

3 12. On August 13, 2019, NICK SERRANO (SERRANO) responded to STONE's tweet  
4 about the article and stated: "Nothing has changed, Ken. Todd is running for mayor." See Exhibit F  
5 hereto.

6 13. Since at least December 20, 2018, SERRANO has been GLORIA's mayoral  
7 campaign manager and a paid member of GLORIA's legislative staff for AD78.

8 14. On August 14, 2019, GLORIA tweeted the following statement (with his own  
9 emphasis): "Some news reports have suggested that I am seeking re-election to the Assembly. I  
10 want to be very clear: **I am not running for the Assembly, I am running for Mayor.** [¶] My  
11 Assembly committee remains open to fulfill my responsibilities as Member of the State Assembly  
12 until the end of my current term. The form filed by my committee yesterday simply corrected an  
13 administrative oversight that occurred when the original paperwork was filed months ago." See  
14 Exhibit G hereto.  
15

16 15. Since declaring his intention to run for the office of Mayor of the City of San Diego  
17 in 2020, GLORIA has maintained a website ([www.toddgloria.com](http://www.toddgloria.com)), a Facebook account, and a  
18 Twitter account to support his Mayoral campaign. At no time has any of those communications  
19 media give any indication that GLORIA was running for re-election in 2020 to the office of AD78  
20 representative. See Exhibit H hereto.  
21

22 16. Between the time GLORIA first declared his intention to run for the office of Mayor  
23 of the City of San Diego in 2020 and the time he filed his FPPC Form 501 for re-election to the  
24 office of AD78 representative, GLORIA had never made any public statement that he was running  
25 or intended to run for re-election to the office of AD78 representative.  
26  
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28

1 17. Despite filing FPPC Form 501 for re-election to the office of AD78 representative  
2 under penalty of perjury on August 13, 2019, GLORIA has never intended to run for re-election to  
3 the office of AD78 representative.

4 18. GLORIA has been soliciting and accepting donations into his Assembly 2020  
5 committee with the intend to use that money, either directly or indirectly, to support his campaign  
6 for the office of Mayor of the City of San Diego in 2020.

7 19. GLORIA and at least one member of SDCDP's executive committee agreed, prior to  
8 the filing of this lawsuit, that if GLORIA transfers money from his Assembly 2020 campaign to  
9 SDCDP, SDCDP will use the money to support GLORIA's campaign for the office of Mayor of the  
10 City of San Diego in 2020.  
11

12 **FIRST CAUSE OF ACTION: ILLEGAL CAMPAIGN FINANCING**

13 **(Against All Defendants)**

14 20. PLAINTIFF incorporates the prior allegations as through fully recited herein.

15 21. Government Code section 85200 states (emphasis added): "**Prior to the solicitation**  
16 **or receipt of any contribution** or loan, an **individual who intends to be a candidate for an**  
17 **elective state office**, as that term is defined by Section 82024, **shall file with the Secretary of**  
18 **State an original statement, signed under penalty of perjury, of intention to be a candidate for**  
19 **a specific office.**"

20 22. Government Code section 85201(a) states (emphasis added): "Upon the filing of the  
21 statement of intention pursuant to Section 85200, the individual **shall establish one campaign**  
22 **contribution account** at an office of a financial institution located in the state."  
23

24 23. Section 18521(a) of the FPPC's regulations states (emphasis added): "A candidate  
25 who is required to file a statement of organization for a controlled committee pursuant to  
26 Government Code section 84101 shall establish **a separate controlled committee and campaign**  
27  
28

1 **bank account** for each specific office identified in statements filed by the candidate pursuant to  
2 Government Code section 85200 and 2 Cal. Code Regs. Section 18520.”

3 24. Section 18525(a) of the FPPC’s regulations states (emphasis added): “An **incumbent**  
4 **elected officer** shall make expenditures for the following **campaign expenses** from the appropriate  
5 campaign bank account **for election to a future term of office**: (1) Payments for fundraising and  
6 campaign strategy expenses for election to a future term of office. (2) Payments for mass mailings,  
7 political advertising, opinion polls or surveys, and other communications in connection with  
8 election to a future term of office. For purposes of this section, a mass mailing, political  
9 advertisement, opinion poll or survey, or other communication shall be considered ‘in connection  
10 with election to a future term of office’ if it makes reference to the officer’s future election or status  
11 as a candidate for a future term of office, or if it is made by an incumbent officer within 3 months  
12 prior to an election for which he or she has filed any of the following: (A) A statement of intention  
13 to be a candidate for a specific office, pursuant to Government Code Section 85200. (B) A  
14 declaration of candidacy or nomination papers, as specified in Chapter 1 (commencing with Section  
15 8000) of Division 8 of the Elections Code. (C) Any other documents necessary to be listed on the  
16 ballot as a candidate for any state or local office. (3) Payments for services and actual expenses of  
17 political consultants, the campaign treasurer and other campaign staff, pollsters and other persons  
18 providing services directly in connection with a future election. (4) Payments for voter registration  
19 and get-out-the-vote drives.”

22 25. Section 18525(b) of the FPPC’s regulations states (emphasis added): “An incumbent  
23 elected officer may make expenditures for purposes not enumerated in subdivision (a) from either  
24 the campaign bank account established pursuant to Government Code Section 85201 for election to  
25 the incumbent term of office or from a campaign bank account established pursuant to Government  
26 Code Section 85201 for election to a future term of office. **This section shall not be construed to**  
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28

1 **permit an incumbent elected officer to make expenditures from any campaign bank account**  
2 **for expenses other than those associated with his or her election to the specific office for which**  
3 **the account was established and expenses associated with holding that office.”**

4 26. Government Code section 89510(b) states (emphasis added): “All contributions  
5 deposited into the campaign account shall be deemed to be **held in trust** for expenses associated  
6 with the election of the candidate or for expenses associated with holding office.”

7 27. Government Code section 89512(a) states (emphasis added): “An expenditure **to**  
8 **seek office** is within the lawful execution of the trust imposed by Section 89510 if it is **reasonably**  
9 **related to a political purpose**. An expenditure **associated with holding office** is within the lawful  
10 execution of the trust imposed by Section 89510 if it is **reasonably related to a legislative or**  
11 **governmental purpose**. Expenditures which confer a substantial personal benefit shall be directly  
12 related to a political, legislative, or governmental purpose.”

13 28. Government Code section 89519(a) states (emphasis added): “Upon the 90th day  
14 after leaving an elective office, or the 90th day following the end of the postelection reporting  
15 period following the defeat of a candidate for elective office, whichever occurs last, **campaign**  
16 **funds under the control of the former candidate or elected officer shall be considered surplus**  
17 **campaign funds** and shall be disclosed pursuant to Chapter 4 (commencing with Section 84100).”

18 29. Government Code section 89519(b) states (emphasis added): “Surplus campaign  
19 funds shall be used **only** for the following purposes: (1) The payment of outstanding campaign  
20 debts or elected officer’s expenses. (2) The repayment of contributions. (3) Donations to any bona  
21 fide charitable, educational, civic, religious, or similar tax-exempt, nonprofit organization, where no  
22 substantial part of the proceeds will have a material financial effect on the former candidate or  
23 elected officer, any member of his or her immediate family, or his or her campaign treasurer. (4)  
24 Contributions to a political party committee, provided the campaign funds are not used to support or  
25  
26  
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1 oppose candidates for elective office. However, the campaign funds may be used by a political party  
2 committee to conduct partisan voter registration, partisan get-out-the-vote activities, and slate  
3 mailers as that term is defined in Section 82048.3. (5) Contributions to support or oppose any  
4 candidate for federal office, any candidate for elective office in a state other than California, or any  
5 ballot measure. (6) The payment for professional services reasonably required by the committee to  
6 assist in the performance of its administrative functions, including payment for attorney's fees for  
7 litigation that arises directly out of a candidate's or elected officer's activities, duties, or status as a  
8 candidate or elected officer, including, but not limited to, an action to enjoin defamation, defense of  
9 an action brought for a violation of state or local campaign, disclosure, or election laws, and an  
10 action from an election contest or recount."

12 30. Section 18951 of the FPPC's regulations states in part (emphasis added): "For  
13 purposes of Section 89519: (a) Campaign funds under the control of a candidate or elected officer  
14 will be considered surplus campaign funds on the following dates: (1) Incumbent Candidates: The  
15 90th day after leaving an elective office for which the campaign funds were raised, or if the  
16 candidate is defeated for reelection, the 90th day after the end of the postelection reporting period  
17 following his or her defeat, whichever is later. **An incumbent candidate who wishes to use funds  
18 for a future election must transfer those funds to a new committee for a future election no  
19 later than this date.**"

21 31. Section 18951(b) of the FPPC's regulations states: "The 'end of the postelection  
22 reporting period' means June 30 with respect to elections occurring in the first six months of the  
23 calendar year and December 31 for elections occurring in the latter six months of the calendar  
24 year."  
25

26 32. Page 11.7 of FPPC Manual 1, which governs candidates for state office and their  
27 committees, states in part (emphasis in original): "In order for a candidate to use leftover (non-  
28

1 surplus) campaign funds for a future election, the candidate must (•) File a new **Form 501**  
2 (Candidate Intention Statement) for the specific future election; (•) File an initial **Form 410**  
3 (Statement of Organization to obtain a new committee identification number; and, (•) Open **new**  
4 **bank account**. Transfers to a committee for a different state office must be attributed to specific  
5 contributors as described in Chapter 1.”

6  
7 33. The money raised by GLORIA’s Assembly 2020 committee may not lawfully be  
8 used for any political purpose because, despite filing his FPPC Form 501 for re-election to the  
9 office of AD78 representative under penalty of perjury, he has no intention of running for re-  
10 election to that office.

11 34. Because GLORIA has no intention of running for re-election to the office of AD78  
12 representative, the money raised by GLORIA’s Assembly 2020 committee may only be used for a  
13 governmental or legislative purpose associated with his current term of office. However, GLORIA  
14 has used that money mostly, if not exclusively, for illegal political purposes, and he intends to  
15 continue to use that money for such illegal purposes. The expenditure of any portion of that money  
16 to SDCDP for direct or indirect us to support GLORIA and/or oppose any of his opponents would  
17 constitute an illegal political purpose.  
18

19 35. Because GLORIA received money in his Assembly 2020 committee before he filed  
20 his FPPC Form 501 for re-election to the office of AD78 representative under penalty of perjury,  
21 the money received by that committee prior to August 13, 2019, may not be spent by Gloria either  
22 because it became “surplus” money on March 31, 2019, or was otherwise illegally placed into the  
23 Assembly 2020 committee.  
24

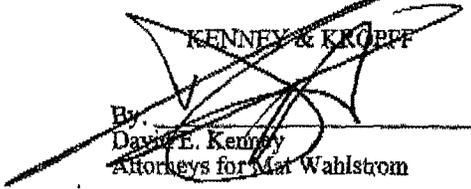
25 36. On information and belief, PLAINTIFF alleges that DEFENDANTS dispute the  
26 foregoing allegations. Declaratory relief is therefore appropriate.  
27  
28

1 37. The public will be irreparably harmed if DEFENDANTS are allowed to use the  
2 money illegally raised by GLORIA to support him, directly or indirectly, in the race for the office of  
3 Mayor of the City of San Diego in 2020. Money damages would be an inadequate remedy.  
4 Equitable relief is therefore appropriate.

5  
6 WHEREFORE, PLAINTIFF prays for the following relief against all DEFENDANTS as  
7 the Court deems appropriate:

- 8
- 9 1. An order or judgment declaring that DEFENDANTS are illegally financing
  - 10 GLORIA's campaign for election to the office of Mayor of the City of San Diego in 2020;
  - 11 2. An order or judgment enjoining DEFENDANTS from using any money from
  - 12 GLORIA's Assembly 2020 committee, directly or indirectly, to support his campaign for the office
  - 13 of Mayor of the City of San Diego in 2020;
  - 14 3. An award of attorney fees and court costs as authorized by law; and
  - 15 4. Any additional relief that the Court deems appropriate.
- 16

17 Dated: August 19, 2019

18  
19   
20 By: Day E. Kenney  
Attorneys for Mat Wahlstrom

**VERIFICATION**

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I, Mat Wahlstrom, have read the foregoing Verified Complaint and know its contents. I am informed and believe and on that ground allege that the matters stated in the Complaint are true except as to those matters which are stated on information and belief, and as to those matters I believe them to be true.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on this 19th day of August, 2019 at San Diego, California.



Mat Wahlstrom

# **Exhibit A**

72

# Candidate Intention Statement

Date Stamp:	<b>CALIFORNIA FORM 501</b>
18 DEC 20 PM 3:01	For Official Use Only
SAN DIEGO, CALIF.	

Check One:  Initial  Amendment (Explain) \_\_\_\_\_

## 1. Candidate Information:

NAME OF CANDIDATE (Last, First Middle Initial)	DAYTIME TELEPHONE NUMBER	FAX NUMBER (optional)	EMAIL (optional)
Todd Gloria			
STREET ADDRESS	CITY	STATE	ZIP CODE
	San Diego	CA	92110
OFFICE SOUGHT (POSITION TITLE)	AGENCY NAME	DISTRICT NUMBER, if applicable	<input checked="" type="checkbox"/> NON-PARTISAN OFFICE
Mayor	City of San Diego		PARTY PREFERENCE:
OFFICE JURISDICTION			(Check one box, if applicable.)
<input type="checkbox"/> State (Complete Part 2.)			<input checked="" type="checkbox"/> PRIMARY / GENERAL
<input checked="" type="checkbox"/> City <input type="checkbox"/> County <input type="checkbox"/> Multi-County: _____	(Name of Multi-County Jurisdiction)		<input type="checkbox"/> SPECIAL / RUNOFF
		2020	(Year of Election)

## 2. State Candidate Expenditure Limit Statement:

(CalPERS and CalSTRS candidates, Judges, judicial candidates, and candidates for local offices do not complete Part 2.)

(Check one box)

- I accept the voluntary expenditure ceiling for the election stated above.
- I do not accept the voluntary expenditure ceiling for the election stated above.

Amendment:

- I did not exceed the expenditure ceiling in the primary or special election held on: \_\_\_/\_\_\_/\_\_\_ and I accept the voluntary expenditure ceiling for the general or special run-off election.

(Mark if applicable)

On \_\_\_/\_\_\_/\_\_\_, I contributed personal funds in excess of the expenditure ceiling for the election stated above.

## 3. Verification:

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on December 20, 2018  
(month, day, year)

Signature   
(Candidate)

FPPC Form 501 (August/2018)  
FPPC Advice: advice@fppc.ca.gov (866/275-3772)  
www.fppc.ca.gov

# **Exhibit B**

1416118

Statement of Organization  
Recipient Committee

Statement Type

<input checked="" type="checkbox"/> Initial	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination - See Part 5
<input checked="" type="radio"/> Not yet qualified or		
<input type="radio"/> Date qualification threshold met	Date qualification threshold met	Date of termination
____/____/____	____/____/____	____/____/____

Date Stamp  
**RECEIVED AND FILED**  
in the office of the Secretary of State  
of the State of California  
**FEB 20 2019**

**CALIFORNIA FORM 410**  
For Official Use Only

**1. Committee Information**      I.D. Number (If applicable)      **2. Treasurer and Other Principal Officers**

NAME OF COMMITTEE  
**TODD GLORIA FOR ASSEMBLY 2020**

STREET ADDRESS (NO P.O. BOX)

CITY      STATE      ZIP CODE      AREA CODE/PHONE  
**SAN DIEGO      CA      92101**

FULL MAILING ADDRESS (IF DIFFERENT)  
**ENCINITAS, CA 92024**

E-MAIL ADDRESS (REQUIRED) / FAX (OPTIONAL)

COUNTY OF DOMICILE      JURISDICTION WHERE COMMITTEE IS ACTIVE  
**SAN DIEGO**

NAME OF TREASURER  
**NANCY R HALEY**

STREET ADDRESS (NO P.O. BOX)

CITY      STATE      ZIP CODE      AREA CODE/PHONE  
**ENCINITAS      CA      92024**

NAME OF ASSISTANT TREASURER, IF ANY  
**DANIELLE STEPHEN**

STREET ADDRESS (NO P.O. BOX)

CITY      STATE      ZIP CODE      AREA CODE/PHONE  
**ENCINITAS      CA      92024**

NAME OF PRINCIPAL OFFICER(S)

STREET ADDRESS (NO P.O. BOX)

CITY      STATE      ZIP CODE      AREA CODE/PHONE

Attach additional information on appropriately labeled continuation sheets.

**3. Verification**

I have used all reasonable diligence in preparing this statement and to the best of my knowledge the information contained herein is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on **FEB 19 2019** By Nancy R Haley SIGNATURE OF TREASURER OR ASSISTANT TREASURER

Executed on **FEB 19 2019** By Todd Gloria SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

Executed on \_\_\_\_\_ By \_\_\_\_\_ SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

Executed on \_\_\_\_\_ By \_\_\_\_\_ SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

**RECEIVED**  
**CITY CLERK'S OFFICE**  
**19 FEB 27 PM 4:55**  
**SAN DIEGO, CALIF.**

FPPC Form 410 (August/2018)  
FPPC Advice: advice@fppc.ca.gov (866/275-3772)  
www.fppc.ca.gov

**Statement of Organization  
Recipient Committee**

INSTRUCTIONS ON REVERSE

**CALIFORNIA  
FORM 410**

Page 2

COMMITTEE NAME  
TODD GLORIA FOR ASSEMBLY 2020

I.D. NUMBER

- All committees must list the financial institution where the campaign bank account is located.

NAME OF FINANCIAL INSTITUTION TORREY PINES BANK	AREA CODE/PHONE	BANK ACCOUNT NUMBER		
ADDRESS	CITY CARLSBAD	STATE CA	ZIP CODE 92009	

**4. Type of Committee** Complete the applicable sections:

**Controlled Committee**

- List the name of each controlling officeholder, candidate, or state measure proponent. If candidate or officeholder controlled, also list the elective office sought or held, and district number, if any, and the year of the election.
- List the political party with which each officeholder or candidate is affiliated or check "nonpartisan." Stating "No party preference" is acceptable.
- If this committee acts jointly with another controlled committee, list the name and identification number of the other controlled committee.

NAME OF CANDIDATE/OFFICEHOLDER/STATE MEASURE PROPONENT	ELECTIVE OFFICE SOUGHT OR HELD (INCLUDE DISTRICT NUMBER IF APPLICABLE)	YEAR OF ELECTION	PARTY CHECK ONE		(list political party below)
			Nonpartisan	Partisan	
TODD GLORIA	STATE ASSEMBLY, DISTRICT 78	2020	<input type="checkbox"/>	<input checked="" type="checkbox"/>	DEMOCRATIC
TODD GLORIA FOR ASM. 2018 #1392977			<input type="checkbox"/>	<input type="checkbox"/>	(list political party below)

**Primarily Formed Committee**

Primarily formed to support or oppose specific candidates or measures in a single election. List below:

CANDIDATE(S) NAME OR MEASURE(S) FULL TITLE (INCLUDE BALLOT NO. OR LETTER) IF A RECALL, STATE "RECALL" IN FRONT OF THE OFFICEHOLDER'S NAME.	CANDIDATE(S) OFFICE SOUGHT OR HELD OR MEASURE(S) JURISDICTION (INCLUDE DISTRICT NO., CITY OR COUNTY, AS APPLICABLE)	CHECK ONE	
		SUPPORT	OPPOSE
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>

**Statement of Organization  
Recipient Committee**

INSTRUCTIONS ON REVERSE

Page 3

I.D. NUMBER

COMMITTEE NAME  
TODD GLORIA FOR ASSEMBLY 2020

**4. Type of Committee** (Continued)

**General Purpose Committee**

Not formed to support or oppose specific candidates or measures in a single election. Check only one box:

- CITY Committee       COUNTY Committee       STATE Committee

PROVIDE BRIEF DESCRIPTION OF ACTIVITY

**Sponsored Committee**

List additional sponsors on an attachment.

NAME OF SPONSOR

INDUSTRY GROUP OR AFFILIATION OF SPONSOR

STREET ADDRESS

NO. AND STREET

CITY

STATE

ZIP CODE

AREA CODE/PHONE

**Small Contributor Committee**

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
Date qualified

**5. Termination Requirements**

By signing the verification, the treasurer, assistant treasurer and/or candidate, officeholder, or proponent certify that all of the following conditions have been met:

- This committee has ceased to receive contributions and make expenditures;
  - This committee does not anticipate receiving contributions or making expenditures in the future;
  - This committee has eliminated or has no intention or ability to discharge all debts, loans received, and other obligations;
  - This committee has no surplus funds; and
  - This committee has filed all campaign statements required by the Political Reform Act disclosing all reportable transactions.
- There are restrictions on the disposition of surplus campaign funds held by elected officers who are leaving office and by defeated candidates. Refer to Government Code Section 89519.
- Leftover funds of ballot measure committees may be used for political, legislative or governmental purposes under Government Code Sections 89511 - 89518, and are subject to Elections Code Section 18680 and FPPC Regulation 18521.5.

**Statement of Organization  
Recipient Committee**

Statement Type

Initial  
Not yet qualified or

Amendment  
List I.D. number:

# 1416118

Termination - See Part 5  
List I.D. number:

# \_\_\_\_\_

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
Date qualified as committee

02/26/19  
Date qualified as committee  
(if applicable)

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
Date of Termination

RECEIVED  
CITY CLERK'S OFFICE

Date Stamp  
**19 FEB 27 PM 4: 56**  
SAN DIEGO, CALIF.

**CALIFORNIA  
FORM 410**

For Official Use Only

**1. Committee Information**

NAME OF COMMITTEE

Todd Gloria for Assembly 2020

STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE

San Diego CA 92101

MAILING ADDRESS (IF DIFFERENT)

Encinitas, CA 92024

FAX / E-MAIL ADDRESS

COUNTY OF DOMICILE

JURISDICTION WHERE COMMITTEE IS ACTIVE

San Diego

**2. Treasurer and Other Principal Officers**

NAME OF TREASURER

Nancy R Haley

STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE

Encinitas CA 92024

NAME OF ASSISTANT TREASURER, IF ANY

Danielle Stephen

STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE

Encinitas CA 92024

NAME OF PRINCIPAL OFFICER(S)

STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE

Attach additional information on appropriately labeled continuation sheets.

**3. Verification**

I have used all reasonable diligence in preparing this statement and to the best of my knowledge the information contained herein is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on FEB 26 2019 By \_\_\_\_\_

DATE

SIGNATURE OF TREASURER OR ASSISTANT TREASURER

Executed on FEB 26 2019 By \_\_\_\_\_

DATE

SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

Executed on \_\_\_\_\_ By \_\_\_\_\_

DATE

SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

Executed on \_\_\_\_\_ By \_\_\_\_\_

DATE

SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

FPPC Form 410 (Dec/2012)  
FPPC Advice: advice@fppc.ca.gov (866/275-3772)  
www.fppc.ca.gov

**Statement of Organization  
Recipient Committee**

INSTRUCTIONS ON REVERSE

COMMITTEE NAME Todd Gloria for Assembly 2020	I.D. NUMBER 1416118
---	------------------------

- All committees must list the financial institution where the campaign bank account is located.

NAME OF FINANCIAL INSTITUTION Torrey Pines Bank	AREA CODE/PHONE	BANK ACCOUNT NUMBER
ADDRESS	CITY Carlsbad	STATE CA
		ZIP CODE 92009

**Type of Committee** Complete the applicable sections.

**Controlled Committee**

- List the name of each controlling officeholder, candidate, or state measure proponent. If candidate or officeholder controlled, also list the elective office sought or held, and district number, if any, and the year of the election.
- List the political party with which each officeholder or candidate is affiliated or check "nonpartisan." Stating "No party preference" is acceptable.
- If this committee acts jointly with another controlled committee, list the name and identification number of the other controlled committee.

NAME OF CANDIDATE/OFFICEHOLDER/STATE MEASURE PROponent	ELECTIVE OFFICE SOUGHT OR HELD (INCLUDE DISTRICT NUMBER IF APPLICABLE)	YEAR OF ELECTION	PARTY		(list political party below)
			Nonpartisan	Partisan	
Todd Gloria	State Assembly Person: Assembly District 78		Nonpartisan 2020	Partisan X	Democratic Party
			Nonpartisan	Partisan	(list political party below)

**Primarily Formed Committee**

Primarily formed to support or oppose specific candidates or measures in a single election. List below:

CANDIDATE(S) NAME OR MEASURE(S) FULL TITLE (INCLUDE BALLOT NO. OR LETTER) IF A RECALL, STATE "RECALL" IN FRONT OF THE OFFICEHOLDER'S NAME.	CANDIDATE(S) OFFICE SOUGHT OR HELD OR MEASURE(S) JURISDICTION (INCLUDE DISTRICT NO., CITY OR COUNTY, AS APPLICABLE)	CHECK ONE	
		SUPPORT	OPPOSE

Statement of Organization  
Recipient Committee

INSTRUCTIONS ON REVERSE

COMMITTEE NAME

Todd Gloria for Assembly 2020

I.D. NUMBER

1416118

4 Type of Committee (Continued)

General Purpose Committee

Not formed to support or oppose specific candidates or measures in a single election. Check only one box:

CITY Committee

COUNTY Committee

STATE Committee

PROVIDE BRIEF DESCRIPTION OF ACTIVITY

Sponsored Committee

List additional sponsors on an attachment.

NAME OF SPONSOR

INDUSTRY GROUP OR AFFILIATION OF SPONSOR

STREET ADDRESS

NO. AND STREET

CITY

STATE

ZIP CODE

AREA CODE/PHONE

Small Contributor Committee

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
Date qualified

5 Termination Requirements

By signing the verification, the treasurer, assistant treasurer and/or candidate, officeholder, or proponent, certify that all of the following conditions have been met:

- This committee has ceased to receive contributions and make expenditures;
  - This committee does not anticipate receiving contributions or making expenditures in the future;
  - This committee has eliminated or has no intention or ability to discharge all debts, loans received, and other obligations;
  - This committee has no surplus funds; and
  - This committee has filed all campaign statements required by the Political Reform Act disclosing all reportable transactions.
- There are restrictions on the disposition of surplus campaign funds held by elected officers who are leaving office and by defeated candidates. Refer to Government Code Section 89519.
- Leftover funds of ballot measure committees may be used for political, legislative or governmental purposes under Government Code Sections 89511 - 89518, and are subject to Elections Code Section 18680 and FPPC Regulation 18521.5.

Additional Comments  
For Form 410

ADDITIONAL COMMENTS

**CALIFORNIA**  
**FORM 410**

Page 4 of 4

COMMITTEE NAME

Todd Gloria for Assembly 2020

I.D. NUMBER

1416118

Qualification & Banking Information

COPY

Statement of Organization Recipient Committee

Statement Type

Initial [ ] Amendment [x] Termination - See Part 5 [ ]
Not yet qualified or Date qualification threshold met
Date qualification threshold met 02 / 26 / 2019
Date of termination

Date Stamp

CALIFORNIA FORM 410 For Official Use Only

1. Committee Information I.D. Number (if applicable) 1416118
NAME OF COMMITTEE Todd Gloria for Assembly 2020
STREET ADDRESS (NO P.O. BOX)
CITY STATE ZIP CODE AREA CODE/PHONE San Diego CA 92101
FULL MAILING ADDRESS (IF DIFFERENT)
E-MAIL ADDRESS (REQUIRED) / FAX (OPTIONAL)
COUNTY OF DOMICILE JURISDICTION WHERE COMMITTEE IS ACTIVE San Diego

2. Treasurer and Other Principal Officers
NAME OF TREASURER Nancy Haley
STREET ADDRESS (NO P.O. BOX)
CITY STATE ZIP CODE AREA CODE/PHONE Encinitas CA 92024
NAME OF ASSISTANT TREASURER, IF ANY Danielle Stephen
STREET ADDRESS (NO P.O. BOX)
CITY STATE ZIP CODE AREA CODE/PHONE Encinitas CA 92024
NAME OF PRINCIPAL OFFICER(S)
STREET ADDRESS (NO P.O. BOX)
CITY STATE ZIP CODE AREA CODE/PHONE

19 JUN 18 AM 10:08
SAN DIEGO, CALIF.
F. COEN
P

Attach additional information on appropriately labeled continuation sheets.

3. Verification

I have used all reasonable diligence in preparing this statement and to the best of my knowledge the information contained herein is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on JUN 07 2018 By [Signature] SIGNATURE OF TREASURER OR ASSISTANT TREASURER
Executed on JUN 07 2018 By [Signature] SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT
Executed on \_\_\_\_\_ By \_\_\_\_\_ SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT
Executed on \_\_\_\_\_ By \_\_\_\_\_ SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

**Statement of Organization  
Recipient Committee**

INSTRUCTIONS ON REVERSE

COMMITTEE NAME Todd Gloria for Assembly 2020	I.D. NUMBER 1416118
---	------------------------

- All committees must list the financial institution where the campaign bank account is located.

NAME OF FINANCIAL INSTITUTION Torrey Pines Bank	AREA CODE/PHONE	BANK ACCOUNT NUMBER
ADDRESS	CITY Carlsbad	STATE CA
		ZIP CODE 92009

**4. Type of Committee** Complete the applicable sections:

**Controlled Committee**

- List the name of each controlling officeholder, candidate, or state measure proponent. If candidate or officeholder controlled, also list the elective office sought or held, and district number, if any, and the year of the election.
- List the political party with which each officeholder or candidate is affiliated or check "nonpartisan." Stating "No party preference" is acceptable.
- If this committee acts jointly with another controlled committee, list the name and identification number of the other controlled committee.

NAME OF CANDIDATE/OFFICEHOLDER/STATE MEASURE PROPONENT	ELECTIVE OFFICE SOUGHT OR HELD (INCLUDE DISTRICT NUMBER IF APPLICABLE)	YEAR OF ELECTION	PARTY		(list political party below)
			Nonpartisan	Partisan	
Todd Gloria	State Assembly Person: Assembly District 78	2020	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Democratic Party
			<input type="checkbox"/>	<input type="checkbox"/>	(list political party below)

**Primarily Formed Committee**

Primarily formed to support or oppose specific candidates or measures in a single election. List below:

CANDIDATE(S) NAME OR MEASURE(S) FULL TITLE (INCLUDE BALLOT NO. OR LETTER) IF A RECALL, STATE "RECALL" IN FRONT OF THE OFFICEHOLDER'S NAME.	CANDIDATE(S) OFFICE SOUGHT OR HELD OR MEASURE(S) JURISDICTION (INCLUDE DISTRICT NO., CITY OR COUNTY, AS APPLICABLE)	CHECK ONE	
		SUPPORT	OPPOSE
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>

**Statement of Organization  
Recipient Committee**

INSTRUCTIONS ON REVERSE

**CALIFORNIA  
FORM 410**

Page 3 of 4

COMMITTEE NAME

Todd Gloria for Assembly 2020

I.D. NUMBER

1416118

**4. Type of Committee** (Continued)

**General Purpose Committee**

Not formed to support or oppose specific candidates or measures in a single election. Check only one box:

CITY Committee

COUNTY Committee

STATE Committee

PROVIDE BRIEF DESCRIPTION OF ACTIVITY

**Sponsored Committee**

List additional sponsors on an attachment.

NAME OF SPONSOR

INDUSTRY GROUP OR AFFILIATION OF SPONSOR

STREET ADDRESS

NO. AND STREET

CITY

STATE

ZIP CODE

AREA CODE/PHONE

**Small Contributor Committee**

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
Date qualified

**5. Termination Requirements**

By signing the verification, the treasurer, assistant treasurer, and/or candidate, officeholder, or proponent certify that all of the following conditions have been met:

- This committee has ceased to receive contributions and make expenditures;
  - This committee does not anticipate receiving contributions or making expenditures in the future;
  - This committee has eliminated or has no intention or ability to discharge all debts, loans received, and other obligations;
  - This committee has no surplus funds; and
  - This committee has filed all campaign statements required by the Political Reform Act disclosing all reportable transactions.
- There are restrictions on the disposition of surplus campaign funds held by elected officers who are leaving office and by defeated candidates. Refer to Government Code Section 89519.
- Leftover funds of ballot measure committees may be used for political, legislative or governmental purposes under Government Code Sections 89511 - 89518, and are subject to Elections Code Section 18680 and FPPC Regulation 18521.5.

FPPC Form 410 (August/2018)  
FPPC Advice: [advice@fppc.ca.gov](mailto:advice@fppc.ca.gov) (866/275-3772)  
[www.fppc.ca.gov](http://www.fppc.ca.gov)

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**Additional Comments**  
**For Form 410**

ADDITIONAL COMMENTS

**CALIFORNIA** **410**  
**FORM**

Page 4 of 4

COMMITTEE NAME

Todd Gloria for Assembly 2020

I.D. NUMBER

1416118

Amendment to update committee address, treasurer & assistant treasurer information.

# Exhibit C

Recipient Committee Campaign Statement Cover Page

(Government Code Sections 84200-84216.5)

Date Stamp: E-Filed 07/24/2019 16:03:32, Filing ID: 181770964. Page 1 of 25. For Official Use Only.

Statement covers period from 01/01/2019 through 06/30/2019. Date of election if applicable: 03/03/2020.

SEE INSTRUCTIONS ON REVERSE

1. Type of Recipient Committee: All Committees - Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee (checked)
State Candidate Election Committee (checked)
Recall
General Purpose Committee
Sponsored
Small Contributor Committee
Political Party/Central Committee
Primarily Formed Ballot Measure Committee
Controlled
Sponsored
Primarily Formed Candidate/Officeholder Committee

2. Type of Statement:

- Preelection Statement
Semi-annual Statement (checked)
Termination Statement
Amendment (Explain below)
Quarterly Statement
Special Odd-Year Report
Supplemental Preelection Statement - Attach Form 495

3. Committee Information

I.D. NUMBER 1416118

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE) Todd Gloria for Assembly 2020

STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE San Diego CA 92101 (858)361-0542

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

CITY STATE ZIP CODE AREA CODE/PHONE Encinitas CA 92024

OPTIONAL: FAX / E-MAIL ADDRESS nancy@haleyandcompany.com

Treasurer(s)

NAME OF TREASURER Nancy Haley

MAILING ADDRESS

CITY STATE ZIP CODE AREA CODE/PHONE Encinitas CA 92024 (619)708-9744

NAME OF ASSISTANT TREASURER, IF ANY

Danielle Stephen

MAILING ADDRESS

CITY STATE ZIP CODE AREA CODE/PHONE Encinitas CA 92024 (619)708-9744

OPTIONAL: FAX / E-MAIL ADDRESS

4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 07/24/2019 Date

By Nancy R Haley Signature of Treasurer or Assistant Treasurer

Executed on 07/24/2019 Date

By Todd Gloria Signature of Controlling Officeholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

Executed on Date

By Signature of Controlling Officeholder, Candidate, State Measure Proponent

Executed on Date

By Signature of Controlling Officeholder, Candidate, State Measure Proponent

**Recipient Committee  
Campaign Statement  
Cover Page — Part 2**

**5. Officeholder or Candidate Controlled Committee**

NAME OF OFFICEHOLDER OR CANDIDATE  
 Todd Gloria

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)  
 State Assembly Person: Assembly District 78

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET)	CITY	STATE	ZIP
	San Diego	CA	92101

**Related Committees Not Included in this Statement:** *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME Todd Gloria for Mayor 2020	I.D. NUMBER 1414821		
NAME OF TREASURER Nancy Haley	CONTROLLED COMMITTEE? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO		
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)		
CITY	STATE	ZIP CODE	AREA CODE/PHONE
Encinitas	CA	92024	(619) 708-9744
COMMITTEE NAME	I.D. NUMBER		
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO		
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)		
CITY	STATE	ZIP CODE	AREA CODE/PHONE

**6. Primarily Formed Ballot Measure Committee**

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER	JURISDICTION	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
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**Identify the controlling officeholder, candidate, or state measure proponent, if any.**

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

OFFICE SOUGHT OR HELD	DISTRICT NO. IF ANY
-----------------------	---------------------

**7. Primarily Formed Candidate/Officeholder Committee** *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

*Attach continuation sheets if necessary*

# Campaign Disclosure Statement Summary Page

SUMMARY PAGE

Amounts may be rounded  
to whole dollars.

Statement covers period from <u>01/01/2019</u>	<b>CALIFORNIA FORM 460</b>
through <u>06/30/2019</u>	
Page <u>3</u> of <u>25</u>	I.D. NUMBER <u>1416118</u>

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

## Contributions Received

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions ..... Schedule A, Line 3	\$ <u>25,246.00</u>	\$ <u>25,246.00</u>
2. Loans Received ..... Schedule B, Line 3	<u>0.00</u>	<u>0.00</u>
3. SUBTOTAL CASH CONTRIBUTIONS ..... Add Lines 1 + 2	\$ <u>25,246.00</u>	\$ <u>25,246.00</u>
4. Nonmonetary Contributions ..... Schedule C, Line 3	<u>0.00</u>	<u>0.00</u>
5. TOTAL CONTRIBUTIONS RECEIVED ..... Add Lines 3 + 4	\$ <u>25,246.00</u>	\$ <u>25,246.00</u>

## Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

	1/1 through 6/30	7/1 to Date
20. Contributions Received	\$ _____	\$ _____
21. Expenditures Made	\$ _____	\$ _____

## Expenditures Made

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
6. Payments Made ..... Schedule E, Line 4	\$ <u>43,201.81</u>	\$ <u>43,201.81</u>
7. Loans Made ..... Schedule H, Line 3	<u>0.00</u>	<u>0.00</u>
8. SUBTOTAL CASH PAYMENTS ..... Add Lines 6 + 7	\$ <u>43,201.81</u>	\$ <u>43,201.81</u>
9. Accrued Expenses (Unpaid Bills) ..... Schedule F, Line 3	<u>1,044.51</u>	<u>1,044.51</u>
10. Nonmonetary Adjustment ..... Schedule C, Line 3	<u>0.00</u>	<u>0.00</u>
11. TOTAL EXPENDITURES MADE ..... Add Lines 8 + 9 + 10	\$ <u>44,246.32</u>	\$ <u>44,246.32</u>

## Expenditure Limit Summary for State Candidates

**22. Cumulative Expenditures Made\***  
(If Subject to Voluntary Expenditure Limit)

Date of Election (mm/dd/yy)	Total to Date
<u> / /</u>	\$ _____
<u> / /</u>	\$ _____

## Current Cash Statement

12. Beginning Cash Balance ..... Previous Summary Page, Line 16	\$ <u>0.00</u>
13. Cash Receipts ..... Column A, Line 3 above	<u>25,246.00</u>
14. Miscellaneous Increases to Cash ..... Schedule I, Line 4	<u>293,078.05</u>
15. Cash Payments ..... Column A, Line 8 above	<u>43,201.81</u>
16. <b>ENDING CASH BALANCE</b> ..... Add Lines 12 + 13 + 14, then subtract Line 15	\$ <u>275,122.24</u>

If this is a termination statement, Line 16 must be zero.

17. LOAN GUARANTEES RECEIVED ..... Schedule B, Part 2	\$ <u>0.00</u>
---	----------------

## Cash Equivalents and Outstanding Debts

18. Cash Equivalents ..... See instructions on reverse	\$ <u>0.00</u>
19. Outstanding Debts ..... Add Line 2 + Line 9 in Column B above	\$ <u>1,044.51</u>

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

\*Amounts in this section may be different from amounts reported in Column B.

# Schedule A Monetary Contributions Received

Amounts may be rounded  
to whole dollars.

SCHEDULE A

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
through	06/30/2019	Page 4 of 25

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER Todd Gloria for Assembly 2020	I.D. NUMBER 1416118
--	------------------------

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (F COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (F SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
02/26/2019	CA Chiropractic Assn. PAC (ID# 742986) Sacramento, CA 95814	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	2,500.00	2,500.00	P2020 \$2,500.00
03/04/2019	Pechanga Band of Luiseno Indians Temecula, CA 92593	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	2,000.00	2,000.00	P2020 \$2,000.00
04/12/2019	Yocha Dehe Wintun Nation Brooks, CA 95606	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	1,150.00	1,150.00	P2020 \$1,150.00
04/15/2019	New Car Dealers Association PAC (ID# 902797) San Diego, CA 92121	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	2,000.00	2,000.00	P2020 \$2,000.00
04/19/2019	California Association of Community Managers PAC (ID# 983494) Laguna Hills, CA 92653	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	1,500.00	1,500.00	P2020 \$1,500.00

**SUBTOTAL \$** 9,150.00

## Schedule A Summary

- Amount received this period – itemized monetary contributions.  
(Include all Schedule A subtotals.) ..... \$ 25,150.00
- Amount received this period – unitemized monetary contributions of less than \$100 ..... \$ 96.00
- Total monetary contributions received this period.  
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) ..... **TOTAL \$** 25,246.00

\*Contributor Codes  
IND – Individual  
COM – Recipient Committee  
(other than PTY or SCC)  
OTH – Other (e.g., business entity)  
PTY – Political Party  
SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
through	06/30/2019	Page 5 of 25

NAME OF FILER Todd Gloria for Assembly 2020	I.D. NUMBER 1416118
--	------------------------

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (F COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (F SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
04/19/2019	Ghost Management Group LLC Irvine, CA 92618	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	4,700.00	4,700.00	P2020 \$4,700.00
04/24/2019	Sycuan Band of the Kumeyaay Nation El Cajon, CA 92019-1832	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	2,000.00	2,000.00	P2020 \$2,000.00
05/01/2019	CA Veterinary Medical Assn. (ID# 771044) Sacramento, CA 95815	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	1,300.00	1,300.00	P2020 \$1,300.00
05/13/2019	CA Professional Firefighters PAC - SCC (ID# 744058) Sacramento, CA 95833	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input checked="" type="checkbox"/> SCC	N/A N/A	1,000.00	1,000.00	P2020 \$1,000.00
05/16/2019	Apartment Assn of Orange County PAC (ID# 980470) Santa Ana, CA 92701	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	1,500.00	1,500.00	P2020 \$1,500.00
<b>SUBTOTAL \$</b>				10,500.00		

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
     (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 01/01/2019  
through 06/30/2019

**CALIFORNIA  
FORM 460**

Page 6 of 25

NAME OF FILER

Todd Gloria for Assembly 2020

I.D. NUMBER

1416118

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (F COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (F SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
05/16/2019	CA New Car Dealers Association PAC (ID# 741623) Sacramento, CA 95814	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	1,000.00	1,000.00	P2020 \$1,000.00
05/21/2019	Deloitte Services LP Hermitage, TN 37076	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	2,000.00	2,000.00	P2020 \$2,000.00
05/23/2019	Re-Elect Fiona Ma for State Treasurer 2022 (ID# 1414254) Sacramento, CA 95864	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	2,500.00	2,500.00	P2020 \$2,500.00
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
<b>SUBTOTAL \$</b>				5,500.00		

\*Contributor Codes  
IND – Individual  
COM – Recipient Committee  
(other than PTY or SCC)  
OTH – Other (e.g., business entity)  
PTY – Political Party  
SCC – Small Contributor Committee

**Schedule D**  
**Summary of Expenditures**  
**Supporting/Opposing Other**  
**Candidates, Measures and Committees**

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA</b> <b>FORM</b> <b>460</b>
from	01/01/2019	
through	06/30/2019	Page <u>7</u> of <u>25</u>

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER Todd Gloria for Assembly 2020	I.D. NUMBER 1416118
--	------------------------

DATE	NAME OF CANDIDATE, OFFICE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE	TYPE OF PAYMENT	DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (F REQUIRED)
03/27/2019	Chris Ward State Assembly Person District: 78	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		4,700.00	4,700.00	P2020 \$4,700.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
03/27/2019	Kamala Harris President United States	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		1,000.00	1,000.00	P2020 \$1,000.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
03/27/2019	Tasha Boerner Horvath State Assembly Person District: 76	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		4,700.00	4,700.00	P2020 \$4,700.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
<b>SUBTOTAL \$</b>				10,400.00		

**Schedule D Summary**

- Contributions and independent expenditures made this period of \$100 or more. (Include all Schedule D subtotals.) ..... \$ 21,345.85
- Unitemized contributions and independent expenditures made this period of under \$100..... \$ 25.00
- Total contributions and independent expenditures made this period. (Add Lines 1 and 2. Do not enter on the Summary Page.) ..... **TOTAL \$** 21,370.85

**Schedule D  
(Continuation Sheet)  
Summary of Expenditures  
Supporting/Opposing Other  
Candidates, Measures and Committees**

Amounts may be rounded  
to whole dollars.

SCHEDULE D (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
through	06/30/2019	Page 8 of 25

NAME OF FILER Todd Gloria for Assembly 2020	I.D. NUMBER 1416118
--	------------------------

DATE	NAME OF CANDIDATE, OFFICE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE	TYPE OF PAYMENT	DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (F REQUIRED)
05/02/2019	San Diego Democrats for Equality	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		150.00	150.00	P2020 \$150.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
05/03/2019	California Democratic Party	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		295.85	295.85	P2020 \$295.85
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
05/20/2019	API Leadership PAC	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		500.00	500.00	P2020 \$500.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
05/23/2019	San Diego County Democratic Party	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		5,000.00	5,000.00	P2020 \$5,000.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
<b>SUBTOTAL \$</b>				5,945.85		

**Schedule D  
(Continuation Sheet)  
Summary of Expenditures  
Supporting/Opposing Other  
Candidates, Measures and Committees**

Amounts may be rounded  
to whole dollars.

SCHEDULE D (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
through	06/30/2019	Page <u>9</u> of <u>25</u>

NAME OF FILER Todd Gloria for Assembly 2020	I.D. NUMBER 1416118
--	------------------------

DATE	NAME OF CANDIDATE, OFFICE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE	TYPE OF PAYMENT	DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (F REQUIRED)
06/24/2019	Christy Smith State Assembly Person District: 38	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		1,000.00	1,000.00	P2020 \$1,000.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
06/24/2019	Cottie Petrie-Norris State Assembly Person District: 74	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		1,000.00	1,000.00	P2020 \$1,000.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
06/24/2019	Rebecca Bauer-Kahan State Assembly Person District: 16	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		1,000.00	1,000.00	P2020 \$1,000.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
06/24/2019	James Ramos State Assembly Person District: 40	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		1,000.00	1,000.00	P2020 \$1,000.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
<b>SUBTOTAL \$</b>				4,000.00		

**Schedule D  
(Continuation Sheet)  
Summary of Expenditures  
Supporting/Opposing Other  
Candidates, Measures and Committees**

Amounts may be rounded  
to whole dollars.

SCHEDULE D (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
through	06/30/2019	Page 10 of 25

NAME OF FILER Todd Gloria for Assembly 2020	I.D. NUMBER 1416118
--	------------------------

DATE	NAME OF CANDIDATE, OFFICE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE	TYPE OF PAYMENT	DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (F REQUIRED)
06/24/2019	Sabrina Cervantes State Assembly Person District: 60	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		1,000.00	1,000.00	2020 \$1,000.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
		<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure				
	<input type="checkbox"/> Support <input type="checkbox"/> Oppose					
		<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure				
	<input type="checkbox"/> Support <input type="checkbox"/> Oppose					
		<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure				
	<input type="checkbox"/> Support <input type="checkbox"/> Oppose					
<b>SUBTOTAL \$</b>				1,000.00		

**Schedule E  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
through	06/30/2019	Page 11 of 25
I.D. NUMBER		1416118

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (F COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Safeguard Business Systems Chicago, IL 60680-1043	OFC			118.96
Nick Serrano San Diego, CA 92101	CNS			500.00
Eduardo Martinez West Sacramento, CA 95691	MTG			31.39

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 650.35

**Schedule E Summary**

1. Itemized payments made this period. (Include all Schedule E subtotals.) .....	\$	42,827.44
2. Unitemized payments made this period of under \$100 .....	\$	374.37
3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).) .....	\$	0.00
4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.) .....	<b>TOTAL \$</b>	<b>43,201.81</b>

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
through	06/30/2019	Page 12 of 25
NAME OF FILER		I.D. NUMBER
Todd Gloria for Assembly 2020		1416118

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

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CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
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LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Chris Ward for Assembly 2020 (ID# 1415056) Encinitas, CA 92024	CTB			4,700.00
Kamala Harris for the People (ID# C00694455) Washington, DC 20001	CTB			1,000.00
Tasha Boerner Horvath for Assembly 2020 (ID# 1414240) Encinitas, CA 92024	CTB			4,700.00
Ella Dining Room & Bar Sacramento, CA 95814	MTG		03/26/19: Staff Mtg. w/Candidate +5	103.85
The Brigantine Imperial Beach, CA 91932	MTG		4/5/19: Staff Lunch post Border Summit w/Candidate +3	155.11

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 10,658.96

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
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NAME OF FILER		I.D. NUMBER
Todd Gloria for Assembly 2020		1416118

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
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LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Eduardo Martinez West Sacramento, CA 95691	MTG			73.74
San Diego LGBT Pride San Diego, CA 92104-3555	CVC			500.00
Bay Cities Transportation San Carlos, CA 94070	TRC		Debit Card Adj. - Leg. Event Transportation	192.00
Scott & Cronin, LLP Encinitas, CA 92024-8705	PRO			2,301.36
The Gemini Group Williamsburg, VA 23185	WEB			268.04

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 3,335.14

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
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NAME OF FILER		I.D. NUMBER
Todd Gloria for Assembly 2020		1416118

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
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LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
The San Diego Padres San Diego, CA 92101	MTG		4/19/19 'Out at the Park Night' - 7 tickets @ \$25 ea.	175.00
Climate Action Campaign San Diego, CA 92116	CVC			1,500.00
Southwest Airlines Dallas, TX 75235-1908	TRC		5/6-5/9/19 SMF-SAN for Leg Duties	251.96
Barrio Star San Diego, CA 92101	MTG		05/02/19: 'Dining Out for Life' Staff Lunch (Candidate +6)	251.35
San Diego Democrats for Equality (ID# 861405) San Diego, CA 92163	CTB			150.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 2,328.31

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
through	06/30/2019	Page 15 of 25
NAME OF FILER		I.D. NUMBER
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
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CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
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LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
California Democratic Party (ID# 741666) Sacramento, CA 95814	CTB			295.85
KM Strategies, Inc. San Diego, CA 92103	FND	See Sch. G		619.44
Southwest Airlines Dallas, TX 75235-1908	TRC	5/13-5/16/19 SMF - SAN for Leg Duties		358.96
The SD LGBT Center San Diego, CA 92163	CVC			1,500.00
Scott & Cronin, LLP Encinitas, CA 92024-8705	PRO			1,000.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 3,774.25

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
through	06/30/2019	Page 16 of 25
NAME OF FILER		I.D. NUMBER
Todd Gloria for Assembly 2020		1416118

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
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LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Ambrosia Fine Food, Inc. Sacramento, CA 95815	MTG		5/21/19: Latino Caucus Bkfst. Mtg.	241.02
KM Strategies, Inc. San Diego, CA 92103	CNS			2,377.50
Puja Navaney Sacramento, CA 95814	TRS		Staff: 5/31-6/1/19 Lodging for CA Dem Party Convention	463.94
Southwest Airlines Dallas, TX 75235-1908	TRC		5/20-5/21 SMF-SAN for Leg Duties	271.96
Southwest Airlines Dallas, TX 75235-1908	TRC		5/31-6/2/19: SAN-SFO-SAN for CA Dem Party Convention	269.96

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 3,624.38

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
through	06/30/2019	Page 17 of 25
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Todd Gloria for Assembly 2020		1416118

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

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CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
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LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
API Leadership PAC (ID# 1410185) Sacramento, CA 95814	CTB			500.00
Puja Navaney Sacramento, CA 95814	OFC			31.97
San Diego County Democratic Party (ID# 741906) San Diego, CA 92111-1320	CTB			5,000.00
Black American Political Action of CA (BAPAC) SD Chapter San Diego, CA 92174	CVC			500.00
Southwest Airlines Dallas, TX 75235-1908	TRS		Staff: 5/31-5/2/19 SAN-SFO-SAN for CA Dem Party Convention	349.96

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 6,381.93

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
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Todd Gloria for Assembly 2020		1416118

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

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NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Puja Navaney Sacramento, CA 95814	MTG			72.77
Archive Bar & Kitchen San Francisco, CA 94105	MTG		5/31/19: Staff Lunch @ CA Dem Party Convention (Candidate +6)	210.65
Eduardo Martinez West Sacramento, CA 95691	TRS		Staff: 5/31-6/1/19 Lodging for CA Dem Party Convention	426.68
Tropisueno San Francisco, CA 94103	MTG		06/01/19: Staff Lunch @ CA Dem Party Convention (Candidate +7)	106.56
Haley & Company LLC Encinitas, CA 92024	PRO			1,000.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 1,816.66

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
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Todd Gloria for Assembly 2020		1416118

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

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CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
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NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Lorena Gonzalez for Assembly 2020 (ID# 1414350) Encinitas, CA 92024	MTG		Reimb. Delegates Breakfast @ CA Dem Party Convention	1,037.50
San Francisco Marriott Marquis San Francisco, CA 94103	TRC		5/31-6/1/19 Lodging for CA Dem Party Convention	673.38
San Francisco Marriott Marquis San Francisco, CA 94103	TRS		Staff: Lodging 5/31-6/1/19 CA Dem Party Convention	673.38
San Francisco Marriott Marquis San Francisco, CA 94103	TRS		Staff: Lodging 5/31-6/1/19 CA Dem Party Convention	650.14
KM Strategies, Inc. San Diego, CA 92103	CNS			1,395.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 4,429.40

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

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NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Javier Gomez San Diego, CA 92116	TRS		Staff: Airfare & Lodging for CA Dem Party Convention	267.50
Christy Smith for Assembly 2020 (ID# 1414296) Valencia, CA 91355	CTB			1,000.00
Cottie Petrie-Norris for Assembly 2020 (ID# 1414368) Costa Mesa, CA 92627	CTB			1,000.00
Alina Hernandez Sacramento, CA 95811	TRS		See Sch. G	560.56
Rebecca Bauer-Kahan for Assembly 2020 (ID# 1414500) Orinda, CA 94563	CTB			1,000.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 3,828.06

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
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Todd Gloria for Assembly 2020		1416118

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

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LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Re-Elect James Ramos for Assembly 2020 (ID# 1414557) Sacramento, CA 95814	CTB			1,000.00
Sabrina Cervantes for Assembly 2020 (ID# 1414122) Sacramento, CA 95815	CTB			1,000.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 2,000.00



**Schedule G**  
**Payments Made by an Agent or Independent Contractor (on Behalf of This Committee)**

SCHEDULE G

Amounts may be rounded to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
through	06/30/2019	Page <u>23</u> of <u>25</u>

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

I.D. NUMBER

1416118

NAME OF AGENT OR INDEPENDENT CONTRACTOR

Alina Hernandez

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |     |   |     |   |     |   |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants  | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations   | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events  | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense   | PRO | professional services (legal, accounting) | VOT | voter registration  |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
InterContinental Hotel & Resort San Francisco, CA 94103	TRS		Staff: Lodging 5/31-6/1/19 CA Dem Party Convention	560.56

Attach additional information on appropriately labeled continuation sheets.

**TOTAL\* \$** 560.56

\* Do not transfer to any other schedule or to the Summary Page. This total may not equal the amount paid to the agent or independent contractor as reported on Schedule E.

**Schedule G**  
**Payments Made by an Agent or Independent Contractor (on Behalf of This Committee)**

Amounts may be rounded to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
through	06/30/2019	Page <u>24</u> of <u>25</u>

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

I.D. NUMBER

1416118

NAME OF AGENT OR INDEPENDENT CONTRACTOR

KM Strategies, Inc.

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Brasserie Capitale Sacramento, CA 95814-3973	FND			619.44

Attach additional information on appropriately labeled continuation sheets.

**TOTAL\* \$** 619.44

\* Do not transfer to any other schedule or to the Summary Page. This total may not equal the amount paid to the agent or independent contractor as reported on Schedule E.

**Schedule I  
Miscellaneous Increases to Cash**

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 01/01/2019  
through 06/30/2019

SCHEDULE I

**CALIFORNIA FORM 460**

Page 25 of 25

I.D. NUMBER  
1416118

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

DATE RECEIVED	FULL NAME AND ADDRESS OF SOURCE ( F COMMITTEE, ALSO ENTER I D. NUMBER)	DESCRIPTION OF RECEIPT	AMOUNT OF INCREASE TO CASH
03/19/2019	Todd Gloria for Assembly 2018 (ID# 1392977) San Diego, CA 92101	Transfer	293,078.05

Attach additional information on appropriately labeled continuation sheets.

**SUBTOTAL \$** 293,078.05

**Schedule I Summary**

- 1. Itemized increases to cash this period. .... \$ 293,078.05
- 2. Unitemized increases to cash of under \$100 this period. .... \$ 0.00
- 3. Total of all interest received this period on loans made to others. (Schedule H, Column (e).) .... \$ 0.00
- 4. Total miscellaneous increases to cash this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Line 14.) ..... **TOTAL \$** 293,078.05

# Exhibit D

**Candidate Intention Statement**

<b>RECEIVED AND FILED</b> in the office of the Secretary of State of the State of California  <b>AUG 13 2019</b>	<b>CALIFORNIA FORM 501</b>
	For Official Use Only

Check One:  Initial  Amendment (Explain) \_\_\_\_\_

Hand Delivered, Sacramento

**1. Candidate Information:**

NAME OF CANDIDATE (Last, First Middle Initial)	DAYTIME TELEPHONE NUMBER	FAX NUMBER (optional)	EMAIL (optional)
Gloria, Todd	( 619 ) 708-9744	( )	
STREET ADDRESS	CITY	STATE	ZIP CODE
374 N. Coast Hwy 101, Suite 2	Encinitas	CA	92024
OFFICE SOUGHT (POSITION TITLE)	AGENCY NAME	DISTRICT NUMBER, if applicable.	<input type="checkbox"/> NON-PARTISAN OFFICE
Assemblymember	State of California	78	PARTY PREFERENCE: <b>Democratic</b>
OFFICE JURISDICTION			(Check one box, if applicable.)
<input checked="" type="checkbox"/> State (Complete Part 2.)			<input checked="" type="checkbox"/> PRIMARY / GENERAL
<input type="checkbox"/> City <input type="checkbox"/> County <input type="checkbox"/> Multi-County: _____	(Name of Multi-County Jurisdiction)	2020	<input type="checkbox"/> SPECIAL / RUNOFF
		(Year of Election)	

**2. State Candidate Expenditure Limit Statement:**

*(CalPERS and CalSTRS candidates, judges, judicial candidates, and candidates for local offices do not complete Part 2.)*

*(Check one box)*

- I accept the voluntary expenditure ceiling for the election stated above.
- I do not accept the voluntary expenditure ceiling for the election stated above.

Amendment:

- I did not exceed the expenditure ceiling in the primary or special election held on: \_\_\_\_/\_\_\_\_/\_\_\_\_ and I accept the voluntary expenditure ceiling for the general or special run-off election.

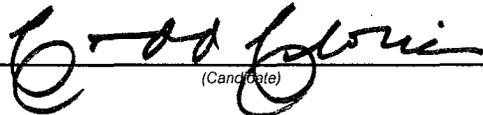
*(Mark if applicable)*

On \_\_\_\_/\_\_\_\_/\_\_\_\_, I contributed personal funds in excess of the expenditure ceiling for the election stated above.

**3. Verification:**

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 8/13/2019  
*(month, day, year)*

Signature   
*(Candidate)*

FPPC Form 501 (August/2018)  
FPPC Advice: advice@fppc.ca.gov (866/275-3772)  
www.fppc.ca.gov

# **Exhibit E**



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# Todd Gloria Files for Assembly Re-Election While Running for San Diego Mayor

POSTED BY KEN STONE ON AUGUST 13, 2019 IN POLITICS | 885 VIEWS | 2 COMMENTS | LEAVE A COMMENT

Share This Article:



Todd Gloria faced off against fellow Democrat and main mayoral rival Barbara Bry at Friday debate. Photo by Chris Stone

By Ken Stone

Four days after declaring that "I am absolutely running for mayor of San Diego," **Assemblyman Todd Gloria** filed paperwork Tuesday morning to seek re-election in his San Diego district.

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Gloria signed the Form 501 "candidate intention statement" dated Aug. 13. Under "Office Sought" is typed "Assemblymember State of California."

But the development appears to be fallout from an issue raised by **Councilwoman Barbara Bry** — his main rival — during her closing statement Friday in a mayoral debate at San Diego City College.

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[VIDEO] Hear How OBGYNs use the MyoSure® REACH device

By Hologic

Bry noted the existence of a fundraising committee Gloria set up for the 2020 Assembly race and asked: "Mr. Gloria, I want to know what office you are running for."

He didn't respond on stage, but told Times of San Diego afterward that the committee was part of his Assembly majority whip role to raise money for fellow Democrats in Sacramento and beyond.

On Twitter Tuesday night, Gloria spokesman Nick Serrano said: "Nothing has changed, Ken. Todd is running for Mayor." He didn't respond to questions about the 501 filing.

**Ken Stone** @KenStoneMedia · 20h

This morning, @AsmToddGloria rep filed a Form 501 statement of candidacy for re-election in 78th District. He's already filed paperwork as a San Diego mayor candidate. Story coming soon. [timesofsandiego.com/wp-content/upl...](https://www.timesofsandiego.com/wp-content/uploads/2019/08/2019-08-13-Asm-Todd-Gloria-501-Filing.pdf) @cmarinucci @barbarabryd1 @LoveForgivPeace

**Candidate Intention Statement**

Check One:  Initial  Amendment (page) \_\_\_\_\_

RECEIVED PRINT FILE CALIFORNIA FORM 501 AUG 13 2019

**1. Candidate Information:**

NAME OF CANDIDATE (Last, first, middle initial)  
 Gloria, Todd  
 DATE OF BIRTH (MM/DD/YYYY)  
 07/07/1974  
 COUNTY  
 Encinitas  
 DISTRICT TO WHICH APPLICANT IS APPLICATING  
 CA  
 78  
 OFFICE ADDRESS (Street, P.O. Box, or other address)  
 374 N. Coast Hwy 101, Suite 2  
 OFFICE CITY (City, County, or Multi-County)  
 Encinitas, CA 92024  
 OFFICE STATE (State of California)  
 OFFICE POSITION (Assemblymember)  
 OFFICE TYPE (Primary/General, Special/Election)  
 PRIMARY/GENERAL

**2. State Candidate Expenditure Limit Statement:**

I accept the voluntary expenditure ceiling for the election stated above.

I do not accept the voluntary expenditure ceiling for the election stated above.

I did not exceed the expenditure ceiling in the primary or special election held on: \_\_\_\_\_ and I accept the voluntary expenditure ceiling for the general or special runoff election.

**3. Verification:**

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on: 8/13/2019  
 Signature: *Todd Gloria*  
 FPPC Form 501 (August 2018)  
 FPPC Admin: admin@pp.ca.gov (650.779.5773)  
 www.fppc.ca.gov

**Nick Serrano** @TheNickSerrano

Nothing has changed, Ken. Todd is running for Mayor.

2 6:47 PM - Aug 13, 2019 - San Diego, CA

See Nick Serrano's other Tweets

On Monday, however, Alberto Garcia of La Prensa San Diego — the largest local Hispanic newspaper — reported that Gloria may have violated state law by failing to file his intention to run for re-election before raising over \$300,000 for his Assembly committee.

"State law requires that all candidates file a Form 501 Candidate Intention Statement declaring their candidacy for election or re-election before any money can be raised for a campaign committee, even if it's the candidate's own money," La Prensa said.

In further reporting Tuesday, La Prensa said Gloria's 501 filing for re-election to the Assembly was hand delivered to the Secretary of State's Office the morning after the paper posed questions to Gloria's campaign manager about the missing form.

Ad closed by G  
 Report this ad Why ti

Even with Tuesday morning's filing, the California Secretary of State website by 6:15 p.m. had yet to show a Gloria candidacy statement for Assembly District 78.

- Related: Gloria Stumping for Assembly? Bry's Stunner at Mayoral Debate



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- Local Men Plead Guilty to Laundering Millions of Dollars Through Gold Exchange 300 views
- Border Patrol Arrests U.S. Citizen Smuggling \$238,000 of Meth on Interstate 8 250 views



"But if he is concerned with transparency, compliance with local campaign finance laws and independence from Sacramento special interests, it's a bad way to start his campaign," he said.

Updated at 7 30 a m Aug 14 2019

TODD GLORIA FILES FOR ASSEMBLY RE-ELECTION WHILE RUNNING FOR SAN DIEGO MAYOR was last modified: August 14th, 2019 by Ken Stone

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**Upland, CA: Notice For Cars Used Less Than 25 Miles A Day**



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ProstaGenix

# **Exhibit F**



**Ken Stone** @KenStoneMedia · 14h



This morning, @AsmToddGloria rep filed a Form 501 statement of candidacy for re-election in 78th District. He's already filed paperwork as a San Diego mayor candidate. Story coming soon. [timesofsandiego.com/wp-content/upl...](https://timesofsandiego.com/wp-content/upl...) @cmarinucci @barbarabryd1 @LoveForgivPeace

**Candidate Intention Statement**

Check One:  Initial  Amendment (Explain) \_\_\_\_\_



**1. Candidate Information:**

NAME OF CANDIDATE (Last, First Middle Initial) Gloria, Todd		DAYTIME TELEPHONE NUMBER ( 819 ) 708-9744	FAX NUMBER (optional) ( )	EMAIL (optional)
STREET ADDRESS 374 N. Coast Hwy 101, Suite 2		CITY Encinitas	STATE CA	ZIP CODE 92024
OFFICE SOUGHT (POSITION TITLE) Assemblymember	AGENCY NAME State of California	DISTRICT NUMBER, if applicable 78	NON-PARTISAN OFFICE <input type="checkbox"/>	
OFFICE JURISDICTION <input checked="" type="checkbox"/> State (Complete Part 2.) <input type="checkbox"/> City <input type="checkbox"/> County <input type="checkbox"/> Multi-County: _____ (Name of Multi-County Jurisdiction)		2020 (Year of Election)	PARTY PREFERENCE: Democratic (Check one box, if applicable.) <input checked="" type="checkbox"/> PRIMARY / GENERAL <input type="checkbox"/> SPECIAL / RUNOFF	

**2. State Candidate Expenditure Limit Statement:**

(CaPERS and CalSTRS candidates, judges, judicial candidates, and candidates for local offices do not complete Part 2.)

(Check one box)  
 I accept the voluntary expenditure ceiling for the election stated above.  
 I do not accept the voluntary expenditure ceiling for the election stated above.  
Amendment:  
 I did not exceed the expenditure ceiling in the primary or special election held on: \_\_\_\_/\_\_\_\_/\_\_\_\_ and I accept the voluntary expenditure ceiling for the general or special run-off election.

(Mark if applicable)  
 On \_\_\_\_/\_\_\_\_/\_\_\_\_, I contributed personal funds in excess of the expenditure ceiling for the election stated above.

**3. Verification:**

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 8/13/2019 Signature *Todd Gloria*  
(month, day, year) (Candidate)

FPPC Form 501 (August/2018)  
FPPC Advice: [advice@fppc.ca.gov](mailto:advice@fppc.ca.gov) (866/275-3772)  
[www.fppc.ca.gov](http://www.fppc.ca.gov)



**Nick Serrano**  
@TheNickSerrano

Nothing has changed, Ken. Todd is running for Mayor.

♥ 2 6:47 PM - Aug 13, 2019 · San Diego, CA

See Nick Serrano's other Tweets



0067

# **Exhibit G**



**Todd Gloria**

@ToddGloria



I want to be very clear.



"Some news reports have suggested that I am seeking re-election to the Assembly. I want to be very clear: **I am not running for the Assembly, I am running for Mayor.**

"My Assembly committee remains open to fulfill my responsibilities as a Member of the State Assembly until the end of my current term. The form filed by my committee yesterday simply corrected an administrative oversight that occurred when the original paperwork was filed months ago."

**- ASSEMBLYMEMBER TODD GLORIA**

**TODD GLORIA**  
SAN DIEGO MAYOR



♥ 76 3:45 PM - Aug 14, 2019 · San Diego, CA



See Todd Gloria's other Tweets

**0069**

# Exhibit H



**Todd Gloria**

16.7K Tweets



**Following**

**Todd Gloria**

@ToddGloria

Third Generation San Diegan. Proud son of a maid and a gardener. CA's 1st Native American-Filipino-Latino-LGBT Assemblyman. Candidate for San Diego Mayor.

San Diego, CA <http://www.toddgloria.com> Joined March 2009

3,098 Following 29.3K Followers

Tweets

Tweets & replies

Media

Likes

Pinned Tweet



**Todd Gloria** @ToddGloria · Jul 18

Diversity. Inclusion. Acceptance. These are hallmarks of our city and why I am running to be your Mayor. All of us can have a future in San Diego. Let's build it together. [#BelieveinSD](#) [#ForAllOfUs](#)



0074

ESPAÑOL

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I'M  
RUNNING  
FOR  
MAYOR



Todd Gloria @toddgloria

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- Endorsements
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- Notes
- Videos
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- Events
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Tag Friends Check in

Photos



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- Invite your friends to like this Page
- 17,529 people like this
- 19,702 people follow this
- Nathan Fletcher and 53 other friends like this

**About** See All

- (858) 361-0542
- www.toddgloria.com
- Politician
- Suggest Edits



Chris Ward for State Assembly, 78th Dist @chrisward4ad78

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Like Follow Share

Chris Ward for State Assembly, 78th Dist February 19

Truly an honor to have the support of current AD78 Assemblymember Todd Gloria. Todd is ready to use his experience at Capitol to benefit San Diego as our next Mayor, and I'm excited to work w him as next AD78 member to keep our region's connections to our state strong.



"Chris Ward has been serving our communities for over 20 years and will continue his tradition of working, listening to the district, and acting as a strong representative voice for all of us. I am proud to endorse him for the State Assembly, 78th District."

Hon. Todd Gloria Assemblymember, 78th Assembly District

VOTEFORWARD.com

Chris WARD

STATE ASSEMBLY

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Todd Gloria @toddgloria

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  - Videos
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Like Follow Share

Todd Gloria April 1

Grateful to have the endorsement of my colleague and a phenomenal representative for North County, Assemblymember Tasha Boerner Horvath! Regional collaboration is an essential part to making real progress on the issues we face as a city. I know we can do more by working together, and that's what I intend to do as Mayor of San Diego. #ForAllOfUs

**ASSEMBLYMEMBER TASHA BOERNER HORVATH**

**TODD GLORIA**  
SAN DIEGO MAYOR

"TODD GLORIA HAS THE EXPERIENCE, LEADERSHIP, AND ABILITY TO BE EFFECTIVE ON THE ISSUES SAN DIEGO NEEDS TO THRIVE. WHEN TODD IS MAYOR, HE WILL RAISE UP OUR COMMUNITIES, WHETHER WE LIVE ON THE MEXICAN BORDER OR IN NORTH COUNTY."

27 1 Comment 4 Shares

Like Comment Share

Suggest Edits

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Todd Gloria  
@toddgloria

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Like Follow Share

Todd Gloria  
April 26

BIG NEWS: ALL of my Democratic colleagues in the Assembly have endorsed our campaign for San Diego Mayor! 🎉 These are the people I have been fortunate to work with to help lead California. Now, I want to bring that leadership home to help build a San Diego that works #ForAllofUs.

**✓ ENDORSED!**

California State Assemblymembers:

- Cecilia Aguiar-Curry
- Wendy Carrillo
- Dr. Joaquin Arambula
- Sabrina Cervantes
- Rebecca Bauer-Kahan
- Ed Chau
- Marc Berman
- David Chiu
- Richard Bloom
- Kansen Chu
- Tasha Boerner Horvath
- Ken Cooley
- Rob Bonta
- Jim Cooper
- Autumn Burke
- Tom Daly
- Ian Calderon
- Susan Talamantes Eggman

**TODD★GLORIA**

**✓ ENDORSED!** **✓ ENDORSED!** **✓ ENDORSED!**

California State Assemblymembers:

- Jim Frazier
- Chris Holden
- Kevin McCarty
- James Ramos
- Christy Smith
- Laura Friedman
- Jacqui Irwin
- Jose Medina
- Anthony Rendón
- Mark Stone
- Jesse Gabriel
- Reggie Jones-Sawyer
- Kevin Mullin
- Eloisa Gómez Reyes
- Phil Ting
- Cristina Garcia
- Ash Kalra
- Al Muratsuchi
- Luz Rivas
- Dr. Shirley Weber
- Edgardo Garcia
- Sydney Kamlager-Dove
- Adria Nazarian
- Robert Rivas
- Buffy Wicks
- Mike Gipson
- Marc Levine
- Patrick O'Donnell
- Freddie Rodriguez
- Jim Wood
- Lorena Gonzalez
- Monique Limón
- Cottie Petrie-Norris
- Blanca Rubio
- Adam Gray
- Evan Low
- Bill Quirk
- Rudy Salas
- Timothy Grayson
- Brian Malenschein
- Sharon Quirk-Silva
- Miguel Santiago

**TODD★GLORIA** **TODD★GLORIA** **TODD★GLORIA**

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0076

# **FILE ATTACHMENT**

1 David E. Kenney, Esq. SBN 114412  
KENNEY & KROPPF  
2 8337 Telegraph Road, Suite 200  
Pico Rivera, CA 90660  
3 Telephone: (562) 928-2300  
Facsimile: (562) 928-2311  
4

5 Attorneys for Plaintiff MAT WAHLSTROM

6 **SUPERIOR COURT OF THE STATE OF CALIFORNIA, COUNTY OF SAN DIEGO**  
7  
8 **CENTRAL DIVISION**

9 MAT WAHLSTROM )  
10 )  
11 ) Plaintiff, )  
12 ) vs. )  
13 ) TODD GLORIA; SAN DIEGO COUNTY )  
14 ) DEMOCRATIC PARTY, and DOES 1-100, )  
15 ) inclusive, )  
16 ) Defendants. )

**CASE No:37-2019-00043494-CU-JR-CTL**  
**PLAINTIFF’S NOTICE OF *EX PARTE***  
**APPLICATION FOR TEMPORARY**  
**RESTRAINING ORDER AND ORDER**  
**TO SHOW CAUSE REGARDING**  
**PRELIMINARY INJUNCTION;**  
**DECLARATIONS OF MAT**  
**WAHLSTROM AND DAVID KENNEY**

Action Filed: August 19, 2019  
Department: C-65/Hon. Ronald F. Frazier  
Hearing Date: August 29, 2019  
Hearing Time: 8:30 a.m

17 TO THE COURT AND TO ALL PARTIES/ATTORNEYS:

18 PLEASE TAKE NOTICE that Plaintiff MAT WAHLSTROM seeks a temporary restraining  
19 order and an order to show cause regarding issuance of a preliminary injunction against Defendants  
20 TODD GLORIA (GLORIA) and SAN DIEGO COUNTY DEMOCRATIC PARTY (SDCDP).  
21 Specifically, Plaintiff seeks an order enjoining GLORIA from directly or indirectly using any money  
22 received by the “Todd Gloria for Assembly 2020” committee to support GLORIA’s candidacy for  
23 Mayor of the City of San Diego or to oppose any of his opponents in that race, including but not  
24 limited to any transfer to SDCDP that would allow it to spend money to support GLORIA’s Mayoral  
25 candidacy or to oppose any of his opponents in that race.

26 The injunctive relief is requested against Defendants; any and all officers, employees,  
27 contractors, and other agents of Defendants; and any and all of Defendants’ privies. The injunctive  
28 relief is requested to last until the Court rules on the merits of the operative complaint or issues a

1 judgment in Plaintiff's favor or otherwise grants final injunctive relief.

2 Plaintiff has not previously submitted an application of this character or for the same relief.

3 The basis for the request relief is set forth in the accompanying

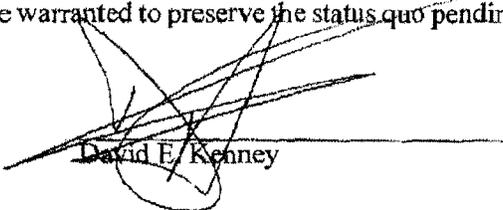
4 GLORIA is represented by attorney Stephen J. Kaufman, Kaufman Legal Group, 777 South  
5 Figueroa Street, Suite 4050, Los Angeles, CA 90017, 213-452-6565. SDCDP is represented by  
6 Richard Rios, Olson Hagel & Fishburn LLP, 555 Capitol Mall, Suite 400, Sacramento, CA 95814,  
7 916-442-2952. Their offices have been contacted, and a representative from each is expected to  
8 appear at the hearing on this application.

9 Service of the supporting papers has been e-mailed to the attorneys listed above.

10 As shown in the attached declaration of David E. Kenney, Plaintiff satisfies the notice  
11 requirements of CRC 3.1204. The operative complaint and the accompanying memorandum of points  
12 and authorities demonstrate that a temporary restraining order and order to show cause regarding  
13 issuance of a preliminary injunction are warranted to preserve the status quo pending a ruling on the  
14 merits of the operative complaint.

15 Date: August 27, 2019.

16 28

  
17 David E. Kenney

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**DECLARATION OF DAVID E. KENNEY**

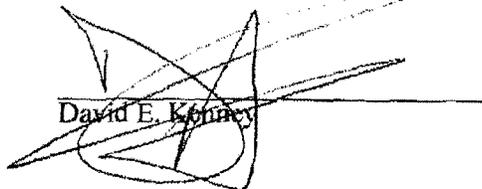
I, David E. Kenney, am over the age of 18 years and, if called as a witness in this case, will testify as follows:

1. I am an attorney licensed to practice law in California. My firm, Kenney & Kropff, represents Plaintiff Mat Wahlstrom in this action.

2. I caused notice of this ex parte application to be e-mailed to attorneys Stephen J. Kaufman and Richard Rios prior to 10:00 a.m. on August 28, 2019, and caused the moving papers to be served on these individuals via e-mail prior to the hearing on the application in accordance with the California Rules of Court.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date: August 28, 2019.

  
David E. Kenney

## DECLARATION OF MAT WAHLSTROM

I, Mat Wahlstrom, am over the age of 18 years and, if called as a witness in this case, will testify as follows:

1. Todd Gloria is a registered Democrat and has represented California's 78th Assembly District (AD78), which consists primarily of the coastal communities of the City of San Diego, since December 2016; that is when he was termed out from representing San Diego City Council District 3 (CD3). For years I have been a resident of AD78 and CD3 and have been registered to vote therein.

2. To support his 2018 re-election campaign, Mr. Gloria created a candidate-controlled committee known as "Todd Gloria for Assembly 2018" in the early part of 2017. Attached hereto as Exhibit A is a true and correct copy of his first 2017 campaign disclosure, which shows that committee starting with no money, and his final 2018 campaign disclosure. I obtained them from the California Secretary of State's website.

3. Attached hereto as Exhibit B is a true and correct copy of the Fair Political Practices Commission (FPPC) Form 501 that Mr. Gloria filed with the San Diego City Clerk to run for Mayor of the City of San Diego in 2020. I obtained it from the San Diego City Clerk's website.

4. Attached hereto as Exhibit C is a true and correct copy of an article published on August 12, 2019 by *La Prensa San Diego*. I obtained it from the publisher's website.

5. Attached hereto as Exhibit D is a true and correct copy of Mr. Gloria's FPPC Form 410 filings that created and later amended campaign committee no. 1416118, named "Todd Gloria for Assembly 2020." I obtained the filings from the San Diego City Clerk's website.

6. Attached hereto as Exhibit E is a true and correct copy of Mr. Gloria's FPPC Form 460 campaign disclosure for the "Todd Gloria for Assembly 2020" committee for the period covering January 1, 2019 through June 30, 2019. I obtained it from the San Diego City Clerk's website. It shows that he transferred \$293,079.05 from his "Todd Gloria for Assembly 2018" campaign committee to his "Todd Gloria for Assembly 2020" campaign committee, and that he was receiving other contributions into the 2020 committee.

7. Attached hereto as Exhibit F is a true and correct copy of an article published on August 13, 2019 by *Times of San Diego*, and attached hereto as Exhibit G a true and correct copy of Mr. Gloria's FPPC Form 501 for re-election to the Assembly in 2020. I obtained both from the publisher's website.

8. Attached hereto as Exhibit H is a true and correct copy of a "tweet" by *Times of San Diego* reporter Ken Stone on the Twitter social-media platform and the response thereto by Nick Serrano. I obtained both from the Twitter platform.

9. I personally know Nick Serrano. Since at least January 1, 2019, he has been employed by Mr. Gloria in his AD78 office and has been his manager for the Mayoral campaign. Attached hereto as Exhibit I is a true and correct copy of Mr. Serrano's Twitter home page. I obtained it from the Twitter platform.

10. Attached hereto as Exhibit J is a true and correct copy of a "tweet" by Mr. Gloria. I obtained it from the Twitter platform.

11. In addition to having access to the Twitter platform, I have access to the Facebook social-media platform and to the internet generally. Attached hereto as Exhibit K is a true and correct copy of the home page of Mr. Gloria's website, [www.toddgloria.com](http://www.toddgloria.com). Attached

Exhibit L is a true and correct copy of Mr. Gloria's Facebook home page. Attached hereto as Exhibit M is a true and correct copy of Mr. Gloria's Twitter home page.

12. Because I am a concerned citizen and believe that Mr. Gloria is a prime example of a dishonest politician, I have been following him in the news, in social media, and on the internet for several years. At no time prior to August 9, 2019, had I seen or heard any news article, social-media communication, or other information indicating that he was running for re-election to the Assembly in 2020. I have reviewed his Facebook and Twitter accounts and his website, and nowhere have they ever indicated that he was running for re-election to the Assembly in 2020. Likewise I have never seen or heard any communication from Mr. Gloria indicating in his own voice of words that he is running for re-election to the Assembly in 2020.

13. The Secretary of State maintains a list of candidates who have filed a statement of intention to run for state office. Attached hereto as Exhibit N is a true and correct copy of the list as it existed on August 9, 2019. I obtained it from the Secretary of State's website.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date: August 27, 2019.



Mat Wahlstrom

Exhibit A

# Recipient Committee Campaign Statement

(Government Code Sections 84200-84216.5)

Type or print in ink.

Date Stamp

Page 1 of 60

For Official Use Only

<b>Statement covers period</b> from <u>01/01/2017</u>  through <u>06/30/2017</u>	<b>Date of election if applicable:</b> (Month, Day, Year)  <u>06/05/2018</u>
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SEE INSTRUCTIONS ON REVERSE

## 1. Type of Recipient Committee: All Committees - Complete Parts 1,2,3, and 4.

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> Officeholder, Candidate Controlled Committee | <input type="checkbox"/> Ballot Measure Committee                            |
| <input checked="" type="checkbox"/> State Candidate Election Committee           | <input type="checkbox"/> Primary Formed                                      |
| <input type="checkbox"/> Recall  | <input type="checkbox"/> Controlled  |
| (Also Complete Part 5.)  | <input type="checkbox"/> Sponsored   |
| <input type="checkbox"/> General Purpose Committee                               | (Also Complete Part 6.)  |
| <input type="checkbox"/> Sponsored   | <input type="checkbox"/> Primary Formed Candidate/<br>Officeholder Committee |
| <input type="checkbox"/> Small Contributor Committee                             | (Also Complete Part 7.)  |
| <input type="checkbox"/> Political Party/Central Committee                       |  |

## 2. Type of Statement:

- |   |  |
|---|--|
| <input type="checkbox"/> Pre-election Statement           | <input type="checkbox"/> Quarterly Statement                                     |
| <input checked="" type="checkbox"/> Semi-annual Statement | <input type="checkbox"/> Special Odd-Year Report                                 |
| <input type="checkbox"/> Termination Statement            | <input type="checkbox"/> Supplemental Preelection<br>Statement - Attach Form 495 |
| <input type="checkbox"/> Amendment (Explain below)        |  |

## 3. Committee Information

I.D. NUMBER  
1392977

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)  
Todd Gloria for Assembly 2018

STREET ADDRESS (NO P.O. BOX)

CITY	STATE	ZIP CODE	AREA CODE/PHONE
San Diego	CA	92101	(858)361-0542

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

CITY	STATE	ZIP CODE	AREA CODE/PHONE
Encinitas	CA	92024	

OPTIONAL: FAX/E-MAIL ADDRESS  
nhaley@thinkcpa.com

## Treasurer(s)

NAME OF TREASURER  
Nancy R. Haley

MAILING ADDRESS

CITY	STATE	ZIP CODE	AREA CODE/PHONE
Encinitas	CA	92024	760-632-3600

NAME OF ASSISTANT TREASURER, IF ANY  
Danielle Stephen

MAILING ADDRESS

CITY	STATE	ZIP CODE	AREA CODE/PHONE
Encinitas	CA	92024	760-632-3600

OPTIONAL: FAX/E-MAIL ADDRESS

## 4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 07/18/2017 By Nancy R. Haley  
DATE SIGNATURE OF TREASURER OR ASSISTANT TREASURER

Executed on 07/18/2017 By Todd Gloria  
DATE SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, STATE MEASURE PROPONENT OR RESPONSIBLE OFFICER OF SPONSOR

Executed on \_\_\_\_\_ By \_\_\_\_\_  
DATE SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, STATE MEASURE PROPONENT

Executed on \_\_\_\_\_ By \_\_\_\_\_  
DATE SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, STATE MEASURE PROPONENT

**Recipient Committee  
Campaign Statement  
Cover Page – Part 2**

Type or print in ink.

**CALIFORNIA FORM 460**  
Page 2 of 60

**5. Officeholder or Candidate Controlled Committee**

NAME OF OFFICEHOLDER OR CANDIDATE  
Todd Gloria

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)  
Held: State Assembly Person  
Assembly District 78

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP  
San Diego CA 92101

**Related Committees Not Included in this Statement:** List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or to make expenditures on behalf of your candidacy.

COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS STREET ADDRESS (NO P.O.BOX)	
CITY	STATE ZIP CODE AREA CODE/PHONE

COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS STREET ADDRESS (NO P.O.BOX)	
CITY	STATE ZIP CODE AREA CODE/PHONE

**6. Ballot Measure Committee**

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER	JURISDICTION	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
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**Identify the controlling officeholder, candidate, or state measure proponent, if any.**

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

OFFICE SOUGHT OR HELD DISTRICT NO. IF ANY

**7. Primarily Formed Committee**

List names of officeholder(s) or candidate(s) for which this committee is primarily formed.

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

Attach continuation sheets if necessary

# Campaign Disclosure Statement Summary Page

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SUMMARY PAGE

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 3 of 60
		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

## Contributions Received

		Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions	Schedule A, Line 3	\$165,893.10	\$165,893.10
2. Loans Received	Schedule B, Line 7	\$0.00	\$0.00
3. SUBTOTAL CASH CONTRIBUTIONS	Add Lines 1 + 2	\$165,893.10	\$165,893.10
4. Nonmonetary Contributions	Schedule C, Line 3	\$4,216.90	\$4,216.90
5. TOTAL CONTRIBUTIONS RECEIVED	Add Lines 3 + 4	\$170,110.00	\$170,110.00

### Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

	1/1 through 6/30	7/1 to Date
20. Contribution Received	\$0.00	\$0.00
21. Expenditures Made	\$0.00	\$0.00

## Expenditures Made

		Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
6. Payments Made	Schedule E, Line 4	\$113,374.80	\$113,374.80
7. Loans Made	Schedule H, Line 7	\$0.00	\$0.00
8. SUBTOTAL CASH PAYMENTS	Add Lines 6 + 7	\$113,374.80	\$113,374.80
9. Accrued Expenses (Unpaid Bills)	Schedule F, Line 3	\$13,138.79	\$13,138.79
10. Nonmonetary Adjustment	Schedule C, Line 3	\$4,216.90	\$4,216.90
11. TOTAL EXPENDITURES MADE	Add Lines 8 + 9 + 10	\$130,730.49	\$130,730.49

### Expenditure Limit Summary for State Candidates

#### 22. Cumulative Expenditures Made\* (If Subject to Voluntary Expenditure Limit)

Date of Election (mm/dd/yy)	Total to Date

## Current Cash Statement

12. Beginning Cash Balance	Previous Summary Page, Line 16	\$0.00
13. Cash Receipts	Column A, Line 3 above	\$165,893.10
14. Miscellaneous Increases to Cash	Schedule I, Line 4	\$179,244.34
15. Cash Payments	Column A, Line 8 above	\$113,374.80
16. ENDING CASH BALANCE	Add Lines 12 + 13 + 14, then subtract Line 15	\$231,762.64

If this is a termination statement, Line 16 must be zero.

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

17. LOAN GUARANTEES RECEIVED	Schedule B, Part 2	\$0.00
------------------------------	--------------------	--------

## Cash Equivalents and Outstanding Debts

18. Cash Equivalents	See instructions on reverse	\$0.00
19. Outstanding Debts	Add Line 2 + Line 9 in Column B above	\$13,138.79

\*Since January 1, 2001. Amounts in this section may be different from amounts reported in Column B.

# Schedule A Monetary Contributions Received

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 4 of 60
NAME OF FILER Todd Gloria for Assembly 2018		I.D. Number 1392977

SEE INSTRUCTIONS ON REVERSE

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
1/20/2017	SolarCity Corp. San Mateo, CA 94402	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018P: \$1,000.00
1/23/2017	Bridgepoint Education Inc. San Diego, CA 92128	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018P: \$1,000.00
1/24/2017	Gold & Silver PAC Woodland Hills, CA 91367 Committee ID: 1330902	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,400.00	\$1,400.00	2018P: \$1,400.00
1/26/2017	CA Bankers Association State PAC Sacramento, CA 95814 Committee ID: 742694	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018P: \$1,000.00
1/27/2017	California Pawnbrokers Association PAC Sacramento, CA 95814 Committee ID: 743255	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018P: \$1,000.00

**SUBTOTAL**

## Schedule A Summary

1. Amount received this period - contributions of \$100 or more. (Include all Schedule A subtotals.) .....	\$165,158.10
2. Amount received this period - unitemized contributions of less than \$100 .....	\$735.00
3. Total monetary contributions received this period. (Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) .....	<b>TOTAL</b> \$165,893.10

\*Contributor Codes  
IND - Individual  
COM - Recipient Committee  
(other than PTY or SCC)  
OTH - Other  
PTY - Political Party  
SCC - Small Contributor Committee

FPPC Form 460 (JUNE/01)  
FPPC Toll-Free Helpline: 866/ASK-FPPC

**0088**

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 5 of 60
NAME OF FILER Todd Gloria for Assembly 2018		I.D. Number 1392977

SEE INSTRUCTIONS ON REVERSE

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
1/27/2017	International Union of Painters & Allied Trades PAC (IUPAT) Hanover, MD 21076 Committee ID: 1242103	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$2,000.00	\$2,000.00	2018P: \$2,000.00
1/30/2017	CA Beer & Beverage Distributors Community Affairs Sacramento, CA 95814 Committee ID: 761487	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,400.00	\$1,400.00	2018P: \$1,400.00
2/3/2017	CA Professional Firefighters PAC Sacramento, CA 95833 Committee ID: 744058	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input checked="" type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$2,000.00	2018P: \$2,000.00
2/6/2017	Assn of CA State Supervisors PAC (ACSS PAC) Sacramento, CA 95814-4602 Committee ID: 1303937	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,400.00	\$8,500.00	2018P: \$4,400.00 2018G: \$4,100.00
2/6/2017	Building Owners & Managers Assn of CA (BOMA PAC) Sacramento, CA 95814-4439 Committee ID: 911607	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,400.00	\$1,400.00	2018P: \$1,400.00

**SUBTOTAL**

\*Contributor Codes  
IND - Individual  
COM - Recipient Committee  
(other than PTY or SCC)  
OTH - Other  
PTY - Political Party  
SCC - Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 6 of 60
NAME OF FILER Todd Gloria for Assembly 2018		I.D. Number 1392977

SEE INSTRUCTIONS ON REVERSE

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
2/6/2017	California Mortgage Association PAC Sacramento, CA 95814 Committee ID: 990462	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018P: \$1,000.00
2/6/2017	CA-Nevada Conference of Operating Engineers PAC - SCC Sacramento, CA 95814 Committee ID: 1237671	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input checked="" type="checkbox"/> SCC	N/A N/A	\$2,500.00	\$2,500.00	2018P: \$2,500.00
2/9/2017	Peace Officers Research Assn. of CA PAC (PORAC PAC)-SCC Sacramento, CA 95834 Committee ID: 810830	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input checked="" type="checkbox"/> SCC	N/A N/A	\$1,400.00	\$1,400.00	2018P: \$1,400.00
2/13/2017	Agua Caliente Bank of Cahuilla Indians Palm Springs, CA 92264	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,400.00	\$1,400.00	2018P: \$1,400.00
2/13/2017	Charter Schools PAC Sacramento, CA 95814 Committee ID: 1302433	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,300.00	\$3,300.00	2018P: \$3,300.00

**SUBTOTAL**

\*Contributor Codes  
IND - Individual  
COM - Recipient Committee  
(other than PTY or SCC)  
OTH - Other  
PTY - Political Party  
SCC - Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 7 of 60
		I.D. Number 1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2018

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
2/13/2017	Professional Engineers In CA Govt. PECG-PAC Sacramento, CA 95814 Committee ID: 822501	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input checked="" type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018P: \$1,000.00
2/16/2017	Pechanga Band of Luiseno Indians Temecula, CA 92593	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$2,000.00	\$4,400.00	2018P: \$4,400.00
2/21/2017	Sunovion Marlborough, MA 01752	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018P: \$1,000.00
2/27/2017	Assn of CA State Supervisors PAC (ACSS PAC) Sacramento, CA 95814-4602 Committee ID: 1303937	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$2,000.00	\$8,500.00	2018P: \$4,400.00 2018G: \$4,100.00
2/27/2017	Honeywell International PAC Washington, DC 20001 Committee ID: C00096156	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$2,000.00	\$2,000.00	2018P: \$2,000.00
<b>SUBTOTAL</b>						

\*Contributor Codes  
IND - Individual  
COM - Recipient Committee  
(other than PTY or SCC)  
OTH - Other  
PTY - Political Party  
SCC - Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 8 of 60
NAME OF FILER Todd Gloria for Assembly 2018		I.D. Number 1392977

SEE INSTRUCTIONS ON REVERSE

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
3/6/2017	CA Building Industry Assn (CBIA-PAC) Sacramento, CA 95814-3947 Committee ID: 890483	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$900.00	\$4,400.00	2018P: \$4,400.00
3/8/2017	Takeda Pharmaceuticals USA Inc. Deerfield, IL 60015	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$3,000.00	\$4,500.00	2018P: \$4,400.00 2018G: \$100.00
3/10/2017	CASE PAC Sacramento, CA 95814 Committee ID: 840154	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,400.00	\$1,400.00	2018P: \$1,400.00
3/14/2017	Laura Galinson San Diego, CA 92130	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	The Galinson Family Foundation President/Founder	\$500.00	\$500.00	2018P: \$500.00
3/15/2017	AT&T Inc. and its Affiliates Sacramento, CA 95814-3947	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,400.00	\$1,475.00	2018P: \$1,475.00

**SUBTOTAL**

\*Contributor Codes  
IND - Individual  
COM - Recipient Committee  
(other than PTY or SCC)  
OTH - Other  
PTY - Political Party  
SCC - Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
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NAME OF FILER Todd Gloria for Assembly 2018		I.D. Number 1392977

SEE INSTRUCTIONS ON REVERSE

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
3/16/2017	LeadingAge California PAC Sacramento, CA 95814 Committee ID: 1371227	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018P: \$1,000.00
3/17/2017	GMRI, Inc. Orlando, FL 32869	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018P: \$1,000.00
3/20/2017	Barona Band of Mission Indians Lakeside, CA 92040-1516	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$2,200.00	\$4,400.00	2018P: \$4,400.00
3/21/2017	CAPA Political Action Committee Santa Ana, CA 92704 Committee ID: 981553	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$150.00	\$1,350.00	2018P: \$1,350.00
3/27/2017	Brooke Garcia San Diego, CA 92101	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A Homemaker	\$150.00	\$150.00	2018P: \$150.00

**SUBTOTAL**

\*Contributor Codes  
IND - Individual  
COM - Recipient Committee  
(other than PTY or SCC)  
OTH - Other  
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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

Type or print in ink.  
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SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
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SEE INSTRUCTIONS ON REVERSE

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
3/28/2017	Northern California Water Association PAC Sacramento, CA 95814 Committee ID: 921655	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,500.00	\$1,500.00	2018P: \$1,500.00
3/29/2017	Southern Wine & Spirits of America, Inc. Miami, FL 33169	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$4,400.00	\$4,400.00	2018P: \$4,400.00
3/31/2017	SWS Engineering, Inc. San Marcos, CA 92069	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$150.00	\$150.00	2018P: \$150.00
4/3/2017	Amgen Inc. Alexandria, VA 22303	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,500.00	\$1,500.00	2018P: \$1,500.00
4/3/2017	California Dental PAC- SCC Sacramento, CA 95814-4439 Committee ID: 742855	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input checked="" type="checkbox"/> SCC	N/A N/A	\$1,500.00	\$1,500.00	2018P: \$1,500.00

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**Schedule A (Continuation Sheet)  
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SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
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4/3/2017	CAPA Political Action Committee Santa Ana, CA 92704 Committee ID: 981553	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,200.00	\$1,350.00	2018P: \$1,350.00
4/5/2017	Winthrop A Anderson San Diego, CA 92103-1709	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Self, Law Practice of Winthrop A. Anderson, Esq. Attorney	\$500.00	\$700.00	2018P: \$700.00
4/6/2017	Thomas Hebrank San Diego, CA 92116	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	E3 Advisors CPA	\$150.00	\$150.00	2018P: \$150.00
4/7/2017	Sempra Energy San Diego, CA 92101	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$2,000.00	\$2,000.00	2018P: \$2,000.00
4/7/2017	Rosalind Winstead San Diego, CA 92103-3287	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Winstead & Company Public Relations Consultant	\$250.00	\$250.00	2018P: \$250.00

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SCHEDULE A (CONT.)

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Todd Gloria for Assembly 2018

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4/13/2017	California Real Estate PAC - California Association of REALTORS (CREPAC) Los Angeles, CA 90020 Committee ID: 890106	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input checked="" type="checkbox"/> SCC	N/A N/A	\$500.00	\$500.00	2018P: \$500.00
4/13/2017	Viejas Tribal Government Alpine, CA 91901	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$4,400.00	\$4,400.00	2018P: \$4,400.00
4/17/2017	Assn of CA State Supervisors PAC (ACSS PAC) Sacramento, CA 95814-4602 Committee ID: 1303937	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$8,500.00	2018P: \$4,400.00 2018G: \$4,100.00
4/17/2017	Assn of CA State Supervisors PAC (ACSS PAC) Sacramento, CA 95814-4602 Committee ID: 1303937	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$2,900.00	\$8,500.00	2018P: \$4,400.00 2018G: \$4,100.00
4/17/2017	Southwest Reg Coun of Carpenters Pol Action Fund- SCC Los Angeles, CA 90071-1715 Committee ID: 870169	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input checked="" type="checkbox"/> SCC	N/A N/A	\$1,500.00	\$1,500.00	2018P: \$1,500.00
<b>SUBTOTAL</b>						

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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

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SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
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NAME OF FILER

Todd Gloria for Assembly 2018

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4/24/2017	Associated General Contractors PAC Sacramento, CA 95691 Committee ID: 890194	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,400.00	\$1,400.00	2018P: \$1,400.00
4/24/2017	Astellas Pharma US, Inc. Northbrook, IL 60062	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018P: \$1,000.00
4/24/2017	Faculty For Our Univ. Future, A Comm. Sponsored by the CA Faculty Assn.-SCC Sacramento, CA 95814 Committee ID: 850007	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input checked="" type="checkbox"/> SCC	N/A N/A	\$2,800.00	\$2,800.00	2018P: \$2,800.00
4/24/2017	San Diego Credit Unions PAC San Diego, CA 92123-1407 Committee ID: 1239870	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$2,500.00	\$2,500.00	2018P: \$2,500.00
4/26/2017	Clarissa Falcon Bonita, CA 91902-2127	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Falcon Strategies Principal Consultant	\$150.00	\$150.00	2018P: \$150.00

**SUBTOTAL**

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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

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SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
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NAME OF FILER

Todd Gloria for Assembly 2018

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4/27/2017	SWBG Operations Group Orlando, FL 32819	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018P: \$1,000.00
5/1/2017	BIOCOM PAC San Diego, CA 92119-1695 Committee ID: 963088	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$2,000.00	\$2,000.00	2018P: \$2,000.00
5/1/2017	Encore Capital Group, Inc. San Diego, CA 92123	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018P: \$1,000.00
5/1/2017	Personal Insurance Fed of CA Agents & Emp PAC Sacramento, CA 95814-3991 Committee ID: 1338487	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$500.00	\$500.00	2018P: \$500.00
5/1/2017	Pharmaceutical Research & Manufacturers Assn of America PAC Sacramento, CA 95814 Committee ID: 1282378	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018P: \$1,000.00

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# Schedule A (Continuation Sheet) Monetary Contributions Received

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SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
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5/1/2017	Planned Parenthood Action Fund of the Pacific Southwest PAC San Diego, CA 92108 Committee ID: 1280724	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$250.00	\$250.00	2018P: \$250.00
5/3/2017	CA Life Sciences Assn PAC Sacramento, CA 95814 Committee ID: 1272633	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$858.10	\$1,575.00	2018P: \$1,575.00
5/5/2017	Winthrop A Anderson San Diego, CA 92103-1709	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Self, Law Practice of Winthrop A. Anderson, Esq. Attorney	\$200.00	\$700.00	2018P: \$700.00
5/5/2017	Edwards Lifesciences LLC Santa Ana, CA 92711	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018P: \$1,000.00
5/7/2017	Ira Sharp Del Mar, CA 92014-2834	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A Retired	\$200.00	\$200.00	2018P: \$200.00

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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

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SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
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Todd Gloria for Assembly 2018

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5/8/2017	CA Hospital Association PAC West Sacramento, CA 95814 Committee ID: 790773	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018P: \$1,000.00
5/8/2017	Jill Ellis Del Mar, CA 92014	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Anesthesia Service Medical Group, Inc. Physician	\$100.00	\$100.00	2018P: \$100.00
5/8/2017	Carol Kerridge Del Mar, CA 92014	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A Retired	\$100.00	\$100.00	2018P: \$100.00
5/10/2017	Gordon Clanton Del Mar, CA 92014	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	San Diego State University Professor	\$100.00	\$100.00	2018P: \$100.00
5/10/2017	Iris H. Engstrand San Diego, CA 92111-7647	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	University of San Diego History Professor	\$500.00	\$500.00	2018P: \$500.00

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SCHEDULE A (CONT.)

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5/10/2017	Harold J. Feder Del Mar, CA 92014	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Self, Harold J. Feder Lawyer	\$100.00	\$100.00	2018P: \$100.00
5/10/2017	Nicholas R. Frost Del Mar, CA 92014	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Psychiatric Centers of San Diego Physician	\$100.00	\$100.00	2018P: \$100.00
5/10/2017	Timothy H. Haviland Del Mar, CA 92014	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Farmers Insurance Sales	\$200.00	\$200.00	2018P: \$200.00
5/10/2017	Carl B. Hilliard San Diego, CA 92106	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A Retired	\$100.00	\$100.00	2018P: \$100.00
5/10/2017	Susan Resnik Del Mar, CA 92014	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Self: Susan Resnik, Ph.D. Oral Historian	\$100.00	\$100.00	2018P: \$100.00

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5/10/2017	Jean Ann Williamson Del Mar, CA 92014-2515	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A Retired	\$100.00	\$100.00	2018P: \$100.00
5/11/2017	Abbott Laboratories Employee PAC Abbott Park, IL 60064 Committee ID: 1332968	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,500.00	\$1,500.00	2018P: \$1,500.00
5/11/2017	Charter Communications, Inc. St. Louis, MO 63131	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,500.00	\$1,500.00	2018P: \$1,500.00
5/12/2017	Cigna Health & Life Insurance Company Philadelphia, PA 19192	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018P: \$1,000.00
5/15/2017	CA Council for Affordable Housing PAC Sacramento, CA 95814 Committee ID: 1388166	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$3,000.00	\$3,000.00	2018P: \$3,000.00

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Todd Gloria for Assembly 2018

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5/15/2017	Merck & Co., Inc. Charlotte, NC 28273	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,500.00	\$1,500.00	2018P: \$1,500.00
5/22/2017	AbbVie PAC Washington, DC 20005 Committee ID: C00536573	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,500.00	\$1,500.00	2018P: \$1,500.00
5/22/2017	AT&T Inc. and its Affiliates Sacramento, CA 95814-3947	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$75.00	\$1,475.00	2018P: \$1,475.00
5/22/2017	Barona Band of Mission Indians Lakeside, CA 92040-1516	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$2,200.00	\$4,400.00	2018P: \$4,400.00
5/22/2017	Dart Container Corporation Irvine, CA 92604-1713	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$2,500.00	\$2,500.00	2018P: \$2,500.00

**SUBTOTAL**

\*Contributor Codes  
IND - Individual  
COM - Recipient Committee  
(other than PTY or SCC)  
OTH - Other  
PTY - Political Party  
SCC - Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 20 of 60
		I.D. Number 1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2018

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
5/22/2017	PG&E Corporation San Francisco, CA 94105-1814	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,400.00	\$1,400.00	2018P: \$1,400.00
5/25/2017	Novartis Finance Corporation Fort Worth, TX 76134	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$2,000.00	\$2,000.00	2018P: \$2,000.00
5/30/2017	Assn of CA School Administrators PAC Sacramento, CA 95814 Committee ID: 842151	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$4,400.00	\$4,400.00	2018P: \$4,400.00
5/30/2017	EdVoice for the Kids PAC Sacramento, CA 95814 Committee ID: 1243091	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$2,000.00	\$2,000.00	2018P: \$2,000.00
5/30/2017	NRG Energy Inc. Princeton, NJ 08540	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,500.00	\$1,500.00	2018P: \$1,500.00
<b>SUBTOTAL</b>						

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OTH - Other  
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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

Type or print in ink.  
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to whole dollars.

SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 21 of 60
NAME OF FILER Todd Gloria for Assembly 2018		I.D. Number 1392977

SEE INSTRUCTIONS ON REVERSE

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
6/1/2017	Assn of CA State Supervisors PAC (ACSS PAC) Sacramento, CA 95814-4602 Committee ID: 1303937	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,200.00	\$8,500.00	2018P: \$4,400.00 2018G: \$4,100.00
6/7/2017	Anheuser Busch Companies Sacramento, CA 95814	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$4,400.00	\$4,400.00	2018P: \$4,400.00
6/8/2017	Sanofi US Services Inc. Employees' PAC Bridgewater, NJ 08807 Committee ID: C00144345	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,500.00	\$1,500.00	2018P: \$1,500.00
6/12/2017	Sycuan Band of the Kumeyaay Nation El Cajon, CA 92019-1832	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$2,000.00	\$2,000.00	2018P: \$2,000.00
6/13/2017	Genentech Inc. San Francisco, CA 94080	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,500.00	\$1,500.00	2018P: \$1,500.00

**SUBTOTAL**

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(other than PTY or SCC)  
OTH - Other  
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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 22 of 60
NAME OF FILER Todd Gloria for Assembly 2018		I.D. Number 1392977

SEE INSTRUCTIONS ON REVERSE

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
6/15/2017	King's Casino Management Corp. Citrus Heights, CA 95621	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$2,000.00	\$2,000.00	2018P: \$2,000.00
6/15/2017	The Doctors Company PAC Napa, CA 94558-6270 Committee ID: 923140	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,200.00	\$1,200.00	2018P: \$1,200.00
6/16/2017	Takeda Pharmaceuticals USA Inc. Deerfield, IL 60015	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,400.00	\$4,500.00	2018P: \$4,400.00 2018G: \$100.00
6/16/2017	Takeda Pharmaceuticals USA Inc. Deerfield, IL 60015	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$100.00	\$4,500.00	2018P: \$4,400.00 2018G: \$100.00
6/19/2017	Cox Communications and its Subsidiaries San Diego, CA 92111-7903	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018P: \$1,000.00

**SUBTOTAL**

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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 23 of 60
NAME OF FILER Todd Gloria for Assembly 2018		I.D. Number 1392977

SEE INSTRUCTIONS ON REVERSE

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
6/26/2017	Affordable Housing PAC Agoura Hills, CA 91301 Committee ID: 1349036	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018P: \$1,000.00
6/26/2017	Albertsons Safeway Phoenix, AZ 85027-3241	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018P: \$1,000.00
6/26/2017	CA Credit Union League PAC Ontario, CA 91761 Committee ID: 760225	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,200.00	\$1,200.00	2018P: \$1,200.00
6/26/2017	CA New Car Dealers Association PAC Sacramento, CA 95814 Committee ID: 741623	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,200.00	\$1,200.00	2018P: \$1,200.00
6/26/2017	LGBT Caucus Leadership Fund Sacramento, CA 95841 Committee ID: 1339123	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$4,400.00	\$8,800.00	2018P: \$4,400.00 2018G: \$4,400.00

**SUBTOTAL**

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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

Type or print in ink.  
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to whole dollars.

SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 24 of 60
I.D. Number		1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2018

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
6/26/2017	LGBT Caucus Leadership Fund Sacramento, CA 95841 Committee ID: 1339123	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$4,400.00	\$8,800.00	2018P: \$4,400.00 2018G: \$4,400.00
6/26/2017	Pechanga Band of Luiseno Indians Temecula, CA 92593	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$2,400.00	\$4,400.00	2018P: \$4,400.00
6/26/2017	Rincon Band of Luiseno Mission Indians of the Rincon Reservation Valley Center, CA 92082	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018P: \$1,000.00
6/26/2017	The Clorox Company Oakland, CA 94623	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,200.00	\$1,200.00	2018P: \$1,200.00
6/28/2017	CA Professional Firefighters PAC Sacramento, CA 95833 Committee ID: 744058	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input checked="" type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$2,000.00	2018P: \$2,000.00
<b>SUBTOTAL</b>						

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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

Type or print in ink.  
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to whole dollars.

SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 25 of 60
		I.D. Number 1392977

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NAME OF FILER

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DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
6/29/2017	American Medical Response Granite Bay, CA 95746	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$500.00	\$500.00	2018P: \$500.00
6/29/2017	AstraZeneca Wilmington, DE 19850	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,500.00	\$1,500.00	2018P: \$1,500.00
6/29/2017	Karen Brett San Diego, CA 92130-1350	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A Retired	\$100.00	\$100.00	2018P: \$100.00
6/29/2017	CA State Council of Laborers PAC- SCC Sacramento, CA 95814 Committee ID: 902770	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input checked="" type="checkbox"/> SCC	N/A N/A	\$8,800.00	\$8,800.00	2018P: \$8,800.00
6/29/2017	Hewlett Packard (HP Inc.) Colorado Springs, CO 80919	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018P: \$1,000.00

**SUBTOTAL**

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(other than PTY or SCC)  
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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

Type or print in ink.  
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to whole dollars.

SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 26 of 60
		I.D. Number 1392977

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NAME OF FILER

Todd Gloria for Assembly 2018

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
6/29/2017	Michael L. Kirkeby San Diego, CA 92101-2549	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Target Corp. Retail Cashier	\$125.00	\$125.00	2018P: \$125.00
6/29/2017	Liberty Dental Plan of California Irvine, CA 92602	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018P: \$1,000.00
6/29/2017	Chris Shaw San Diego, CA 92103	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	DCSS, Inc. Restaurant Owner	\$250.00	\$250.00	2018P: \$250.00
6/30/2017	CA Medical Assn PAC (CALPAC) Sacramento, CA 95814 Committee ID: 742617	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$2,000.00	\$2,000.00	2018P: \$2,000.00
6/30/2017	CA State Pipe Trades Council PAC- SCC Sacramento, CA 95814 Committee ID: 743895	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input checked="" type="checkbox"/> SCC	N/A N/A	\$2,500.00	\$2,500.00	2018P: \$2,500.00
<b>SUBTOTAL</b>						

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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

Type or print in ink.  
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to whole dollars.

SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 27 of 60
I.D. Number		1392977

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NAME OF FILER

Todd Gloria for Assembly 2018

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
6/30/2017	Candace M. Carroll La Jolla, CA 92037-5731	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Sullivan Hill Lewin Rez & Engel Attorney	\$500.00	\$500.00	2018P: \$500.00
6/30/2017	Charter Schools PAC Sacramento, CA 95814 Committee ID: 1302433	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$2,000.00	\$3,300.00	2018P: \$3,300.00
6/30/2017	Harry Cooper La Jolla, CA 92037	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	CollegeSource, Inc Owner/ Chairman	\$1,000.00	\$1,000.00	2018P: \$1,000.00
6/30/2017	Del Mar Thoroughbred Club Del Mar, CA 92014	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$750.00	\$750.00	2018P: \$750.00
6/30/2017	Maureen Steiner Coronado, CA 92118-2407	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A Retired	\$250.00	\$250.00	2018P: \$250.00

**SUBTOTAL** \$165,158.10

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IND - Individual  
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# Schedule B - Part 1 Loans Received

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period  
from 01/01/2017  
through 06/30/2017

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

I.D. NUMBER  
1392977

FULL NAME, STREET ADDRESS AND ZIP CODE OF LENDER (IF COMMITTEE, ALSO ENTER I D. NUMBER)	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	(a) OUTSTANDING BALANCE BEGINNING THIS PERIOD	(b) AMOUNT RECEIVED THIS PERIOD	(c) AMOUNT PAID OR FORGIVEN THIS PERIOD*	(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD	(e) INTEREST PAID THIS PERIOD	(f) ORIGINAL AMOUNT OF LOAN	(g) CUMULATIVE CONTRIBUTIONS TO DATE
<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				<input type="checkbox"/> PAID          <input type="checkbox"/> FORGIVEN	          DATE DUE	          RATE %	          DATE INCURRED	          CALENDAR YEAR  PER ELECTION**
<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				<input type="checkbox"/> PAID          <input type="checkbox"/> FORGIVEN	          DATE DUE	          RATE %	          DATE INCURRED	          CALENDAR YEAR  PER ELECTION**
<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				<input type="checkbox"/> PAID          <input type="checkbox"/> FORGIVEN	          DATE DUE	          RATE %	          DATE INCURRED	          CALENDAR YEAR  PER ELECTION**

**SUBTOTALS**

## Schedule B Summary

1. Loans received this period. \_\_\_\_\_  
(Total Column (b) plus unitemized loans less than \$100.)

2. Loans paid or forgiven this period \_\_\_\_\_  
(Total Column (c) plus loans under \$100 paid or forgiven.)  
(Include loans paid by a third party that are also itemized on Schedule A.)

3. Net change this period. (Subtract Line 2 from Line 1.) \_\_\_\_\_ **Net** \_\_\_\_\_  
Enter the net here and on the Summary Page, Column A, Line 2. (may be a negative number)

(Enter (e) on  
Schedule E, Line 3)

\* Amounts forgiven or paid by another party also must be reported on Schedule A.

\*\* If required.

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IND-Individual    COM-Recipient Committee (other than PTY or SCC)    OTH-Other    PTY-Political Party    SCC-Small Contributor Committee

**Schedule B - Part 2  
Loan Guarantors**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE B - PART 2

Statement covers period from <u>01/01/2017</u> through <u>06/30/2017</u>	<b>CALIFORNIA FORM 460</b>
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	I.D. Number 1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

FULL NAME, STREET ADDRESS AND ZIP CODE OF GUARANTOR (IF COMMITTEE, ALSO ENTER I D. NUMBER)	CONTRIBUTOR CODE	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	LOAN	AMOUNT GUARANTEED THIS PERIOD	CUMULATIVE TO DATE	BALANCE OUTSTANDING TO DATE
	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		LENDER _____ DATE _____		CALENDAR YEAR _____ PER ELECTION (IF REQUIRED) _____	
	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		LENDER _____ DATE _____		CALENDAR YEAR _____ PER ELECTION (IF REQUIRED) _____	
	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		LENDER _____ DATE _____		CALENDAR YEAR _____ PER ELECTION (IF REQUIRED) _____	
	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		LENDER _____ DATE _____		CALENDAR YEAR _____ PER ELECTION (IF REQUIRED) _____	

**SUBTOTAL**

Enter on  
Summary Page,  
Line 17 only.

# Schedule C Nonmonetary Contributions Received

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE C

Statement covers period from <u>01/01/2017</u> through <u>06/30/2017</u>	<b>CALIFORNIA FORM 460</b>
	Page <u>30</u> of <u>60</u>
I.D. Number 1392977	

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	DESCRIPTION OF GOODS OR SERVICES	AMOUNT/ FAIR MARKET VALUE	CUMULATIVE TO DATE CALENDAR YEAR (JAN 1 - DEC 31)	PER ELECTION TO DATE (IF REQUIRED)
4/26/2017	CA Life Sciences Assn PAC Sacramento, CA 95814  Committee ID: 1272633	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	FND	\$716.90	\$1,575.00	2018P: \$1,575.00
2/23/2017	CA Building Industry Assn (CBIA-PAC) Sacramento, CA 95814-3947  Committee ID: 890483	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	Rental Space for FND	\$3,500.00	\$4,400.00	2018P: \$4,400.00
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					

Attach additional information on appropriately labeled continuation sheets.

**SUBTOTAL** \$4,216.90

## Schedule C Summary

1. Amount received this period - nonmonetary contributions of \$100 or more. (Include all Schedule C subtotals.).....	\$4,216.90
2. Amount received this period - unitemized nonmonetary contributions of less than \$100 .....	\$0.00
3. Total nonmonetary contributions received this period. (Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Lines 4 and 10.) .....	<b>TOTAL</b> \$4,216.90

\*Contributor Codes  
IND - Individual  
COM- Recipient Committee  
(other than PTY or SCC)  
OTH - Other  
PTY - Political Party  
SCC - Small Contributor Committee

**Schedule D  
Summary of Expenditures  
Supporting/Opposing Other  
Candidates, Measures and Committees**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period  
from 01/01/2017  
through 06/30/2017

SCHEDULE D

**CALIFORNIA  
FORM 460**

Page 31 of 60

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

I.D. NUMBER  
1392977

DATE	NAME OF CANDIDATE, OFFICE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE	TYPE OF PAYMENT	DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN.1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
6/7/2017	Payee Name: Muratsuchi for Assembly 2018 Candidate Name: Al Muratsuchi State Assembly Person District 66 Jurisdiction: Assembly District	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		\$4,400.00	\$4,400.00	2018P: \$4,400.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
6/7/2017	Payee Name: Sabrina Cervantes for Assembly 2018 Candidate Name: Sabrina Cervantes State Assembly Person District 60 Jurisdiction: Assembly District	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		\$4,400.00	\$4,400.00	2018P: \$4,400.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
6/7/2017	Payee Name: Sharon Quick-Silva for Assembly 2018 Candidate Name: Sharon Quick-Silva State Assembly Person District 65 Jurisdiction: Assembly District	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		\$4,400.00	\$4,400.00	2018P: \$4,400.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					

**SUBTOTAL**

**Schedule D Summary**

- Contributions and independent expenditures made this period of \$100 or more. (Include all Schedule D subtotals.) ..... \$14,780.00
- Unitemized contributions and independent expenditures made this period of under \$100 ..... \$0.00
- Total contributions and independent expenditures made this period. (Add Lines 1 and 2. Do not enter on the Summary Page.) ..... **TOTAL** \$14,780.00

FPPC Form 460 (June/01)  
FPPC Toll-Free Helpline: 866/ASK-FPPC

**00115**

**Schedule D  
(Continuation Sheet)  
Summary of Expenditures  
Supporting/Opposing Other  
Candidates, Measures and Committees**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE D (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 32 of 60
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

DATE	NAME OF CANDIDATE, OFFICE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE	TYPE OF PAYMENT	DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN.1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
5/8/2017	San Diego Democrats for Equality	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Non-Monetary Contribution <input type="checkbox"/> Independent Expenditure	2 Tickets: 2017 Freedom Awards	\$100.00	\$100.00	2018P: \$100.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
5/22/2017	California Democratic Party	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		\$130.00	\$1,480.00	2018P: \$1,480.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
4/18/2017	California Democratic Party	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		\$1,350.00	\$1,480.00	2018P: \$1,480.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
		<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure				
	<input type="checkbox"/> Support <input type="checkbox"/> Oppose					

**SUBTOTAL** \$14,780.00

**Schedule E  
Payments Made**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 33 of 60
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP campaign paraphernalia/misc.	MBR member communications	RAD radio airtime and production costs
CNS campaign consultants	MTG meetings and appearances	RFD returned contributions
CTB contribution (explain nonmonetary)*	OFC office expenses	SAL campaign workers' salaries
CVC civic donations	PET petition circulating	TEL t.v. or cable airtime and production costs
FIL candidate filing/ballot fees	PHO phone banks	TRC candidate travel, lodging, and meals
FND fundraising events	POL polling and survey research	TRS staff/spouse travel, lodging, and meals
IND independent expenditure supporting/opposing others (explain)*	POS postage, delivery and messenger services	TSF transfer between committees of the same candidate/sponsor
LEG legal defense	PRO professional services (legal, accounting)	VOT voter registration
LIT campaign literature and mailings	PRT print ads	WEB information technology costs (internet, email)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
KM Strategies, Inc. San Diego, CA 92167-0955	FND		See Sch. G	\$949.44
Anne W. Kearns Fort Myers, FL 33919-7534	LIT		Graphic Design	\$950.00
eFundraising Connections Sacramento, CA 95816	OFC			\$3.88

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL**

**Schedule E Summary**

1. Payments made this period of \$100 or more. (Include all Schedule E subtotals.) .....	\$112,742.28
2. Unitemized payments made this period of under \$100. ....	\$632.52
3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).) .....	\$0.00
4. Total payments made this period. (Add lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.) .....	<b>TOTAL</b> \$113,374.80

**Schedule E  
(Continuation Sheet)  
Payments Made**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 34 of 60
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

I.D. NUMBER  
1392977

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense   | PRO professional services (legal, accounting) | VOT voter registration  |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
California Jewish Legislative Caucus Leadership Foundation San Anselmo, CA 94960	CVC		\$300.00
eFundraising Connections Sacramento, CA 95816	OFC		\$2.75
eFundraising Connections Sacramento, CA 95816	OFC		\$3.88
The Gemini Group San Diego, CA 92101-3978	WEB		\$202.04
UC Regents La Jolla, CA 92093	MTG		\$1,000.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period  
from 01/01/2017  
through 06/30/2017

**CALIFORNIA  
FORM 460**

Page 35 of 60

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

I.D. NUMBER  
1392977

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense   | PRO professional services (legal, accounting) | VOT voter registration  |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Nick Serrano San Diego, CA 92101	CNS			\$1,000.00
Nick Serrano San Diego, CA 92101	OFC			\$20.00
KM Strategies, Inc. San Diego, CA 92167-0955	FND	See Sch. G		\$1,036.80
eFundraising Connections Sacramento, CA 95816	OFC			\$7.25
The Gemini Group San Diego, CA 92101-3978	CNS			\$1,000.00

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**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 36 of 60
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense   | PRO professional services (legal, accounting) | VOT voter registration  |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
KM Strategies, Inc. San Diego, CA 92167-0955	POS		\$16.19
KM Strategies, Inc. San Diego, CA 92167-0955	CNS		\$3,967.50
Scott & Cronin, LLP Encinitas, CA 92024-8705	PRO		\$2,000.00
eFundraising Connections Sacramento, CA 95816	OFC		\$2.75
Strategies 360 Inc. Seattle, WA 98109	POL		\$17,650.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
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through	06/30/2017	Page 37 of 60
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense   | PRO professional services (legal, accounting) | VOT voter registration  |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
eFundraising Connections Sacramento, CA 95816	OFC			\$19.50
eFundraising Connections Sacramento, CA 95816	OFC			\$9.50
Tequila Museo Mayahuel Sacramento, CA 95814-3950	MTG			\$88.49
Southwest Airlines Dallas, TX 75235-1908	TRS		04/04/17 SAN-SMF for Holocaust Survivor Capitol Floor Ceremony	\$251.91
El Camino Little Italy Super Cocina Mexicana San Diego, CA 92101	MTG		4/6/17: Leg. Retreat Dinner w/Candidate +7 Staff	\$420.46

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period  
from 01/01/2017  
through 06/30/2017

**CALIFORNIA  
FORM 460**

Page 38 of 60

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

I.D. NUMBER  
1392977

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense   | PRO professional services (legal, accounting) | VOT voter registration  |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Sheraton San Diego Hotel & Marina San Diego, CA 92101	MTG		Sacramento Seminar Breakfast	\$140.87
The SD LGBT Center San Diego, CA 92163	CVC			\$1,500.00
KM Strategies, Inc. San Diego, CA 92167-0955	WEB			\$27.29
Mail Chimp Atlanta, GA 30308	WEB			\$75.00
Southwest Airlines Dallas, TX 75235-1908	TRS		5/19-5/21/17: Staff, SAN-SMF; CA Dem Party Convention	\$345.95

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 39 of 60
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense   | PRO professional services (legal, accounting) | VOT voter registration  |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Evan V. Jones Parkade San Diego, CA 92101	OFC			\$200.00
Ambrosia Fine Food, Inc. Sacramento, CA 95815	FND		Breakfast w/Candidate +24 Supporters	\$612.63
The Porch Restaurant Sacramento, CA 95811	MTG		4/25/17: Freshman Assemblymembers Dinner	\$188.45
KM Strategies, Inc. San Diego, CA 92167-0955	CNS			\$1,320.00
Will Copy & Print San Diego, CA 92106-6071	CMP			\$511.81

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period  
from 01/01/2017  
through 06/30/2017

**CALIFORNIA  
FORM 460**

Page 40 of 60

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

I.D. NUMBER  
1392977

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense   | PRO professional services (legal, accounting) | VOT voter registration  |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Nick Serrano San Diego, CA 92101	CNS		\$1,000.00
Nick Serrano San Diego, CA 92101	OFC		\$20.00
KM Strategies, Inc. San Diego, CA 92167-0955	CNS		\$5,374.95
KM Strategies, Inc. San Diego, CA 92167-0955	WEB		\$30.28
Scott & Cronin, LLP Encinitas, CA 92024-8705	PRO		\$2,000.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 41 of 60
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

I.D. NUMBER  
1392977

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense   | PRO professional services (legal, accounting) | VOT voter registration  |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Muratsuchi for Assembly 2018 Sacramento, CA 95814	CTB			\$4,400.00
Committee ID: 1392662 Sabrina Cervantes for Assembly 2018 Sacramento, CA 95815	CTB			\$4,400.00
Committee ID: 1392561 Sharon Quick-Silva for Assembly 2018 Sacramento, CA 95814	CTB			\$4,400.00
Committee ID: 1392962 Puesto San Diego, CA 92101	MTG		4/27/17: 'Dine Out for Life' Staff Lunch w/Candidate +4	\$117.87
Brasserie Capitale Sacramento, CA 95814-3973	MTG			\$59.08

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 42 of 60
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
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NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
The Prado at Balboa Park San Diego, CA 92101	MTG		5/11/17: Staff Leg Mtg. w/Candidate +5	\$116.01
The Porch Restaurant Sacramento, CA 95811	MTG		5/10/17: Staff Leg. Lunch Mtg. w/Candidate +6	\$145.84
San Diego Leadership Alliance San Diego, CA 92122	CVC			\$250.00
Mail Chimp Atlanta, GA 30308	WEB			\$75.00
Southwest Airlines Dallas, TX 75235-1908	TRS		6/5/17: SAN-SMF for Small Business Ceremony Honorees (2)	\$633.94

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**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Type or print in ink.  
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Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 43 of 60
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

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**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

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|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
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NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
San Diego Padres San Diego, CA 92101	MTG		6/30/17: Game Tickets for Candidate & 3 Guests attending the State Board & Commissions Appt. Workshop	\$356.00
Hannibal's Catering Sacramento, CA 95824	MTG		5/20/17: Lunch Boxes @ CA Dem Party Convention	\$371.13
San Diego Democrats for Equality San Diego, CA 92163	CTB		2 Tickets: 2017 Freedom Awards	\$100.00
Committee ID: 861405 California Democratic Party Sacramento, CA 95814	CTB			\$130.00
Committee ID: C00105668 Pizza Rock Sacramento, CA 95814	MTG		5/19/17 Staff Dinner @ CA Dem Party Convention w/Candidate +5	\$101.44

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**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

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<b>Statement covers period</b>		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
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NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
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| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
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| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Marriott Rancho Cordova Rancho Cordova, CA 95742	TRC		5/19-5/20/17: Lodging for CA Dem Party Convention	\$270.36
Brasserie Capitale Sacramento, CA 95814-3973	FND			\$654.74
Marriott Rancho Cordova Rancho Cordova, CA 95742	TRS		5/19-5/20/17: Staff Lodging for CA Dem Party Convention	\$270.36
Eduardo Martinez West Sacramento, CA 95691	MTG			\$72.40
Eduardo Martinez West Sacramento, CA 95691	TRS		6/25/17: Staff SMF-SAN for Mtg. in District	\$287.96

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**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Type or print in ink.  
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to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
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| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
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| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
KM Strategies, Inc. San Diego, CA 92167-0955	FND		See Sch. G	\$1,288.60
eFundraising Connections Sacramento, CA 95816	OFC			\$2.75
Nick Serrano San Diego, CA 92101	CNS			\$1,096.00
Nick Serrano San Diego, CA 92101	OFC			\$20.00
Strategies 360 Inc. Seattle, WA 98109	POL			\$17,650.00

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**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Type or print in ink.  
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Statement covers period		<b>CALIFORNIA FORM 460</b>
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through 06/30/2017		Page 46 of 60
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
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NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
California Veterans Benefit Fund Sacramento, CA 95814	CVC			\$225.00
San Diego-Imperial Counties Labor Council AFL-CIO San Diego, CA 92108-4010	MTG			\$3,000.00
Capitol Promotions Inc. Glenside, PA 19038	CMP			\$1,254.05
Nick Serrano San Diego, CA 92101	CNS			\$1,000.00
858 Graphics San Diego, CA 92110	CMP	CMP		\$505.35

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**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Type or print in ink.  
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Statement covers period		<b>CALIFORNIA FORM 460</b>
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Todd Gloria for Assembly 2018

I.D. NUMBER  
1392977

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- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
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NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Bottle & Barlow Sacramento, CA 95811	MTG			\$108.93
Eclipse Chocolate Bar & Bistro San Diego, CA 92104	MTG		Community Coffee	\$235.68
Mail Chimp Atlanta, GA 30308	WEB			\$75.00
North Park Community Assn. San Diego, CA 92104	CVC			\$500.00
Southwest Airlines Dallas, TX 75235-1908	TRS		6/21/17 SAN-SMF for Veteran of the Year Ceremony	\$232.96

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**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Type or print in ink.  
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Statement covers period  
from 01/01/2017  
through 06/30/2017

**CALIFORNIA  
FORM 460**

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Todd Gloria for Assembly 2018

I.D. NUMBER  
1392977

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
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| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Nick Serrano San Diego, CA 92101	OFC			\$20.00
Ocean Beach Main Street Assn San Diego, CA 92107-3014	CVC			\$150.00
Human Rights Campaign Washington, DC 20036	MTG			\$300.00
Southwest Airlines Dallas, TX 75235-1908	TRS		3/6-3/7/17: SAN-SMF for Woman of the Year Honoree	\$434.88
Golden 1 Center Sacramento, CA 95814	FND			\$1,484.01

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**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

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Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 49 of 60
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

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| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
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NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Scott & Cronin, LLP Encinitas, CA 92024-8705	PRO			\$2,000.00
KM Strategies, Inc. San Diego, CA 92167-0955	FND	See Sch. G		\$566.50
KM Strategies, Inc. San Diego, CA 92167-0955	CNS			\$2,910.00
KM Strategies, Inc. San Diego, CA 92167-0955	FND	See Sch. G		\$996.00
Amy Sandoval Sacramento, CA 95834	OFC			\$192.52

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**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

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Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
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NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

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NAME OF FILER  
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| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
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NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
State of California Sacramento, CA 94249	OFC		Incidental Use	\$100.00
Mission Hills Florist San Diego, CA 92103	OFC		3/9/17: Sympathy Flower, Sen. Killea	\$227.89
eFundraising Connections Sacramento, CA 95816	OFC			\$30.76
Nick Serrano San Diego, CA 92101	OFC			\$49.99
Nick Serrano San Diego, CA 92101	CNS			\$1,000.00

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**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

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Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 51 of 60
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

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NAME OF FILER  
Todd Gloria for Assembly 2018

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NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
eFundraising Connections Sacramento, CA 95816	OFC		\$7.25
eFundraising Connections Sacramento, CA 95816	OFC		\$7.25
KM Strategies, Inc. San Diego, CA 92167-0955	CNS		\$2,685.00
San Diego LGBT Pride San Diego, CA 92104-3555	MTG		\$100.00
Ticketmaster Charleston, WV 25311	FND		\$2,056.76

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**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

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Statement covers period		<b>CALIFORNIA FORM 460</b>
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NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Mail Chimp Atlanta, GA 30308	WEB			\$75.00
Southwest Airlines Dallas, TX 75235-1908	TRC		3/24/17: SFO-SAN LGBT Retreat to District	\$112.20
San Diego LGBT Pride San Diego, CA 92104-3555	MTG			\$500.00
Specialty Cafe & Bakery San Diego, CA 92101	MTG			\$117.16
Bay Cities Transportation San Carlos, CA 94070	TRC		3/24/17 Transportation LGBT Caucus Retreat to Airport	\$120.00

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**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

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<b>Statement covers period</b>		<b>CALIFORNIA FORM 460</b>
from <u>01/01/2017</u>		
through <u>06/30/2017</u>		Page <u>53</u> of <u>60</u>
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

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NAME OF FILER  
Todd Gloria for Assembly 2018

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| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense   | PRO professional services (legal, accounting) | VOT voter registration  |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Scott & Cronin, LLP Encinitas, CA 92024-8705	PRO		\$2,024.09
eFundraising Connections Sacramento, CA 95816	OFC		\$30.25
eFundraising Connections Sacramento, CA 95816	OFC		\$11.75
The Gemini Group San Diego, CA 92101-3978	CNS		\$2,000.00
San Diego-Imperial Counties Labor Council AFL-CIO San Diego, CA 92108-4010	PRT		\$1,500.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period  
from 01/01/2017  
through 06/30/2017

**CALIFORNIA  
FORM 460**

Page 54 of 60

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

I.D. NUMBER  
1392977

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense   | PRO professional services (legal, accounting) | VOT voter registration  |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
California Democratic Party Sacramento, CA 95814	CTB		\$1,350.00
Committee ID: C00105668 Capitol Promotions Inc. Glenside, PA 19038	CMP		\$927.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL** \$112,742.28

**Schedule F  
Accrued Expenses (Unpaid Bills)**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 55 of 60
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense   | PRO professional services (legal, accounting) | VOT voter registration  |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

NAME AND ADDRESS OF CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR DESCRIPTION OF PAYMENT	(a) OUTSTANDING BALANCE BEGINNING OF THIS PERIOD	(b) AMOUNT INCURRED THIS PERIOD	(c) AMOUNT PAID THIS PERIOD (ALSO REPORT ON E)	(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD
The Gemini Group San Diego, CA 92101-3978	CNS	\$0.00	\$2,000.00	\$0.00	\$2,000.00
Rubio for Assembly 2018 Sacramento, CA 95815	MTG Reimb: Freshman Asm Reception @ CA Dem Party Convention	\$0.00	\$355.50	\$0.00	\$355.50
Committee ID: 1393364 Gabriel Castellanos Jr. Sacramento, CA 95814	FND	\$0.00	\$119.94	\$0.00	\$119.94

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTALS**

**Schedule F Summary**

- Total accrued expenses incurred this period. (Include all Schedule F, Column (b) subtotals for accrued expenses of \$100 or more, plus total unitemized accrued expenses under \$100.)..... **INCURRED TOTALS** \$13,138.79
- Total accrued expenses paid this period. (Include all Schedule F, Column (c) subtotals for payments on accrued expenses of \$100 or more, plus total unitemized payments on accrued expenses under \$100.)..... **PAID TOTALS** \$0.00
- Net change this period. (**Subtract** Line 2 from Line 1. Enter the difference here and on the Summary Page, Column A, Line 9.)..... **NET** \$13,138.79  
May be a negative number.

**Schedule F  
(Continuation Sheet)  
Accrued Expenses (Unpaid Bills)**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

<b>Statement covers period</b>		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 56 of 60
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense   | PRO professional services (legal, accounting) | VOT voter registration  |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

\*Payments that are contributions or independent expenditures must also be summarized on Schedule D.

NAME AND ADDRESS OF CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR DESCRIPTION OF PAYMENT	(a) OUTSTANDING BALANCE BEGINNING OF THIS PERIOD	(b) AMOUNT INCURRED THIS PERIOD	(c) AMOUNT PAID THIS PERIOD (ALSO REPORT ON E)	(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD
KM Strategies, Inc. San Diego, CA 92167-0955	CNS	\$0.00	\$8,626.50	\$0.00	\$8,626.50
Scott & Cronin, LLP Encinitas, CA 92024-8705	PRO	\$0.00	\$2,000.00	\$0.00	\$2,000.00
KM Strategies, Inc. San Diego, CA 92167-0955	WEB	\$0.00	\$30.40	\$0.00	\$30.40
KM Strategies, Inc. San Diego, CA 92167-0955	POS	\$0.00	\$6.45	\$0.00	\$6.45
<b>SUBTOTALS</b>		\$0.00	\$13,138.79	\$0.00	\$13,138.79

**Schedule G**  
**Payments Made by an Agent or Independent Contractor (on Behalf of This Committee)**

Type or print in ink.  
 Amounts may be rounded to whole dollars.

Statement covers period  
 from 01/01/2017  
 through 06/30/2017

**CALIFORNIA FORM 460**  
 Page 57 of 60

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
 Todd Gloria for Assembly 2018

I.D. NUMBER  
 1392977

NAME OF AGENT OR INDEPENDENT CONTRACTOR  
 KM Strategies, Inc.

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense   | PRO professional services (legal, accounting) | VOT voter registration  |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Ella Dining Room & Bar Sacramento, CA 95814	FND			\$949.44
The Shout House San Diego, CA 92101	FND			\$566.50
Tequila Museo Mayahuel Sacramento, CA 95814-3950	FND			\$1,288.60
The Shout House San Diego, CA 92101	FND			\$1,036.80

Attach additional information on appropriately labeled continuation sheets.

**TOTAL\*** \$3841.34

\* Do not transfer to any other schedule or to the Summary Page. This total may not equal the amount paid to the agent or independent contractor as reported on Schedule E.

**Schedule G  
Payments Made by an Agent or Independent Contractor (on Behalf of This Committee)**

Type or print in ink.  
Amounts may be rounded to whole dollars.

Statement covers period  
from 01/01/2017  
through 06/30/2017

**CALIFORNIA FORM 460**  
Page 58 of 60

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

I.D. NUMBER  
1392977

NAME OF AGENT OR INDEPENDENT CONTRACTOR  
KM Strategies, Inc.

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense   | PRO professional services (legal, accounting) | VOT voter registration  |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Ticketmaster Charleston, WV 25311	FND			\$996.00

Attach additional information on appropriately labeled continuation sheets.

**TOTAL\*** \$996.00

\* Do not transfer to any other schedule or to the Summary Page. This total may not equal the amount paid to the agent or independent contractor as reported on Schedule E.

**Schedule H –  
Loans Made to Others\***

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period from <u>01/01/2017</u> through <u>06/30/2017</u>	<b>CALIFORNIA FORM 460</b>
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I.D. NUMBER 1392977	

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

FULL NAME, STREET ADDRESS AND ZIP CODE OF RECIPIENT (IF COMMITTEE, ALSO ENTER I D. NUMBER)	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	(a) OUTSTANDING BALANCE BEGINNING THIS PERIOD	(b) AMOUNT LOANED THIS PERIOD	(c) REPAYMENT OR FORGIVENESS THIS PERIOD*	(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD	(e) INTEREST RECEIVED	(f) ORIGINAL AMOUNT OF LOAN	(g) CUMULATIVE LOANS TO DATE
				<input type="checkbox"/> PAID  <input type="checkbox"/> FORGIVEN		_____% RATE		CALENDAR YEAR  PER ELECTION**
					DATE DUE		DATE INCURRED	
				<input type="checkbox"/> PAID  <input type="checkbox"/> FORGIVEN		_____% RATE		CALENDAR YEAR  PER ELECTION**
					DATE DUE		DATE INCURRED	
		<b>SUBTOTALS</b>						

\*Loans that are contributions to another candidate or committee must also be summarized on Schedule D. Loans forgiven must also be reported on Schedule E.

(Enter (e) on  
Schedule I, Line 3)

**Schedule H Summary**

- Loans made this period .....  
(Total Column (b) plus unitemized loans less than \$100.)
- Payments received on loans .....  
(Total Column (c) plus unitemized payments less than \$100.)
- Net change this period. (Subtract Line 2 from Line 1.) ..... **NET**  
(Enter the net here and on the Summary Page, Column A, Line 7.) (May be a negative number)

\*\* If Required

**Schedule I  
Miscellaneous Increases to Cash**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE I

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2017	
through	06/30/2017	Page 60 of 60
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

DATE RECEIVED	FULL NAME AND ADDRESS OF SOURCE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	DESCRIPTION OF RECEIPT	AMOUNT OF INCREASE TO CASH
3/10/2017	Todd Gloria for Assembly 2016 San Diego, CA 92101-3978	Transfer	\$179,244.34

Attach additional information on appropriately labeled continuation sheets.

**SUBTOTAL** \$179,244.34

**Schedule I Summary**

1. Increases to cash of \$100 or more this period.....	\$179,244.34
2. Unitemized increases to cash under \$100 this period.....	\$0.00
3. Total of all interest received this period on loans made to others. (Schedule H, Column (e).).....	\$0.00
4. Total miscellaneous increases to cash this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Line 14.).....	<b>TOTAL</b> \$179,244.34

# Recipient Committee Campaign Statement

(Government Code Sections 84200-84216.5)

Type or print in ink.

Date Stamp

Page 1 of 33

For Official Use Only

<b>Statement covers period</b> from <u>10/21/2018</u>  through <u>12/31/2018</u>	<b>Date of election if applicable:</b> (Month, Day, Year)  <u>06/05/2018</u>
---	---

SEE INSTRUCTIONS ON REVERSE

## 1. Type of Recipient Committee: All Committees - Complete Parts 1,2,3, and 4.

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> Officeholder, Candidate Controlled Committee | <input type="checkbox"/> Ballot Measure Committee                            |
| <input checked="" type="checkbox"/> State Candidate Election Committee           | <input type="checkbox"/> Primary Formed                                      |
| <input type="checkbox"/> Recall  | <input type="checkbox"/> Controlled  |
| (Also Complete Part 5.)  | <input type="checkbox"/> Sponsored   |
| <input type="checkbox"/> General Purpose Committee                               | (Also Complete Part 6.)  |
| <input type="checkbox"/> Sponsored   | <input type="checkbox"/> Primary Formed Candidate/<br>Officeholder Committee |
| <input type="checkbox"/> Small Contributor Committee                             | (Also Complete Part 7.)  |
| <input type="checkbox"/> Political Party/Central Committee                       |  |

## 2. Type of Statement:

- |   |  |
|---|--|
| <input type="checkbox"/> Pre-election Statement           | <input type="checkbox"/> Quarterly Statement                                     |
| <input checked="" type="checkbox"/> Semi-annual Statement | <input type="checkbox"/> Special Odd-Year Report                                 |
| <input type="checkbox"/> Termination Statement            | <input type="checkbox"/> Supplemental Preelection<br>Statement - Attach Form 495 |
| <input type="checkbox"/> Amendment (Explain below)        |  |

## 3. Committee Information

I.D. NUMBER  
1392977

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)  
Todd Gloria for Assembly 2018

STREET ADDRESS (NO P.O. BOX)

CITY	STATE	ZIP CODE	AREA CODE/PHONE
San Diego	CA	92101	(858)361-0542

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

CITY	STATE	ZIP CODE	AREA CODE/PHONE
Encinitas	CA	92024	

OPTIONAL: FAX/E-MAIL ADDRESS  
nhaley@thinkcpa.com

## Treasurer(s)

NAME OF TREASURER  
Nancy R. Haley

MAILING ADDRESS

CITY	STATE	ZIP CODE	AREA CODE/PHONE
Encinitas	CA	92024	760-632-3600

NAME OF ASSISTANT TREASURER, IF ANY  
Danielle Stephen

MAILING ADDRESS

CITY	STATE	ZIP CODE	AREA CODE/PHONE
Encinitas	CA	92024	760-632-3600

OPTIONAL: FAX/E-MAIL ADDRESS

## 4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 01/28/2019 By Nancy R. Haley  
DATE SIGNATURE OF TREASURER OR ASSISTANT TREASURER

Executed on 01/28/2019 By Todd Gloria  
DATE SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, STATE MEASURE PROPONENT OR RESPONSIBLE OFFICER OF SPONSOR

Executed on \_\_\_\_\_ By \_\_\_\_\_  
DATE SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, STATE MEASURE PROPONENT

Executed on \_\_\_\_\_ By \_\_\_\_\_  
DATE SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, STATE MEASURE PROPONENT

**Recipient Committee  
Campaign Statement  
Cover Page – Part 2**

Type or print in ink.

COVER PAGE - PART 2

**CALIFORNIA  
FORM 460**

Page 2 of 33

**5. Officeholder or Candidate Controlled Committee**

NAME OF OFFICEHOLDER OR CANDIDATE			
Todd Gloria			
OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)			
Held: State Assembly Person			
Assembly District			78
RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET)	CITY	STATE	ZIP
	San Diego	CA	92101

**Related Committees Not Included in this Statement:** List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or to make expenditures on behalf of your candidacy.

COMMITTEE NAME	I.D. NUMBER		
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO		
COMMITTEE ADDRESS STREET ADDRESS (NO P.O.BOX)			
CITY	STATE	ZIP CODE	AREA CODE/PHONE

COMMITTEE NAME	I.D. NUMBER		
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO		
COMMITTEE ADDRESS STREET ADDRESS (NO P.O.BOX)			
CITY	STATE	ZIP CODE	AREA CODE/PHONE

**6. Ballot Measure Committee**

NAME OF BALLOT MEASURE		
BALLOT NO. OR LETTER	JURISDICTION	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
<b>Identify the controlling officeholder, candidate, or state measure proponent, if any.</b>		
NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT		
OFFICE SOUGHT OR HELD	DISTRICT NO. IF ANY	

**7. Primarily Formed Committee**

List names of officeholder(s) or candidate(s) for which this committee is primarily formed.

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

Attach continuation sheets if necessary

# Campaign Disclosure Statement Summary Page

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SUMMARY PAGE

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through	12/31/2018	Page 3 of 33
		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

## Contributions Received

		Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions .....	Schedule A, Line 3	\$44,300.00	\$521,705.00
2. Loans Received .....	Schedule B, Line 7	\$0.00	\$0.00
3. SUBTOTAL CASH CONTRIBUTIONS .....	Add Lines 1 + 2	\$44,300.00	\$521,705.00
4. Nonmonetary Contributions .....	Schedule C, Line 3	\$0.00	\$555.76
5. TOTAL CONTRIBUTIONS RECEIVED .....	Add Lines 3 + 4	\$44,300.00	\$522,260.76

### Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

	1/1 through 6/30	7/1 to Date
20. Contribution Received	\$229,330.00	\$292,930.76
21. Expenditures Made	\$179,467.50	\$274,440.34

## Expenditures Made

6. Payments Made .....	Schedule E, Line 4	\$59,866.07	\$458,379.44
7. Loans Made .....	Schedule H, Line 7	\$0.00	\$0.00
8. SUBTOTAL CASH PAYMENTS .....	Add Lines 6 + 7	\$59,866.07	\$458,379.44
9. Accrued Expenses (Unpaid Bills) .....	Schedule F, Line 3	(\$13,457.92)	\$5,932.39
10. Nonmonetary Adjustment .....	Schedule C, Line 3	\$0.00	\$555.76
11. TOTAL EXPENDITURES MADE .....	Add Lines 8 + 9 + 10	\$46,408.15	\$464,867.59

### Expenditure Limit Summary for State Candidates

#### 22. Cumulative Expenditures Made\* (If Subject to Voluntary Expenditure Limit)

Date of Election (mm/dd/yy)	Total to Date
11/6/2018	\$136,691.16
6/5/2018	\$300,360.35
_____	_____
_____	_____
_____	_____
_____	_____

## Current Cash Statement

12. Beginning Cash Balance .....	Previous Summary Page, Line 16	\$327,439.38	To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).
13. Cash Receipts .....	Column A, Line 3 above	\$44,300.00	
14. Miscellaneous Increases to Cash .....	Schedule I, Line 4	\$0.00	
15. Cash Payments .....	Column A, Line 8 above	\$59,866.07	
16. ENDING CASH BALANCE .....	Add Lines 12 + 13 + 14, then subtract Line 15	\$311,873.31	
If this is a termination statement, Line 16 must be zero.			

17. LOAN GUARANTEES RECEIVED .....	Schedule B, Part 2	\$0.00
------------------------------------	--------------------	--------

## Cash Equivalents and Outstanding Debts

18. Cash Equivalents .....	See instructions on reverse	\$0.00
19. Outstanding Debts .....	Add Line 2 + Line 9 in Column B above	\$5,932.39

\*Since January 1, 2001. Amounts in this section may be different from amounts reported in Column B.

# Schedule A Monetary Contributions Received

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through	12/31/2018	Page 4 of 33
NAME OF FILER Todd Gloria for Assembly 2018		I.D. Number 1392977

SEE INSTRUCTIONS ON REVERSE

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
10/22/2018	Association of Collectors PAC Sacramento, CA 95814 Committee ID: 790689	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018G: \$1,000.00
10/22/2018	Wells Fargo & Co. Employee PAC Minneapolis, MN 55402 Committee ID: 13333483	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018G: \$1,000.00
10/23/2018	Richard Leib Solana Beach, CA 92075	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Dunleer Strategies, Inc. President and CEO	\$500.00	\$500.00	2018G: \$500.00
10/25/2018	Deloitte Hermitage, TN 37076	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,300.00	\$1,300.00	2018G: \$1,300.00
10/25/2018	SEIU Local 1000 Candidate PAC - SCC Sacramento, CA 95814 Committee ID: 1273063	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input checked="" type="checkbox"/> SCC	N/A N/A	\$4,400.00	\$4,400.00	2018G: \$4,400.00

**SUBTOTAL**

## Schedule A Summary

1. Amount received this period - contributions of \$100 or more. (Include all Schedule A subtotals.) .....	\$44,125.00
2. Amount received this period - unitemized contributions of less than \$100 .....	\$175.00
3. Total monetary contributions received this period. (Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) .....	<b>TOTAL</b> \$44,300.00

\*Contributor Codes  
IND - Individual  
COM - Recipient Committee  
(other than PTY or SCC)  
OTH - Other  
PTY - Political Party  
SCC - Small Contributor Committee

FPPC Form 460 (JUNE/01)  
FPPC Toll-Free Helpline: 866/ASK-FPPC

**00148**

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through	12/31/2018	Page 5 of 33
NAME OF FILER Todd Gloria for Assembly 2018		I.D. Number 1392977

SEE INSTRUCTIONS ON REVERSE

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
10/26/2018	Lawrence Cushman San Diego, CA 92108	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Self: CHEL Holdings Ltd. Personal Investments	\$1,000.00	\$2,000.00	2018G: \$2,000.00
10/26/2018	Dart Container Corporation Irvine, CA 92606	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,300.00	\$6,300.00	2018P: \$4,400.00 2018G: \$4,400.00
10/26/2018	Park West Casinos Inc. Los Angeles, CA 90015	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018G: \$1,000.00
10/29/2018	Best Best & Krieger Riverside, CA 92501	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018G: \$1,000.00
10/29/2018	CA State Retirees PAC - SCC Sacramento, CA 95814 Committee ID: 1302173	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input checked="" type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018G: \$1,000.00

**SUBTOTAL**

\*Contributor Codes  
IND - Individual  
COM - Recipient Committee  
(other than PTY or SCC)  
OTH - Other  
PTY - Political Party  
SCC - Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through	12/31/2018	Page 6 of 33
I.D. Number		1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2018

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
10/29/2018	Charles Herzfeld San Diego, CA 92122	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	C. April Boling, CPA Manager/Report Specialist	\$100.00	\$100.00	2018G: \$100.00
10/30/2018	Sempra Energy San Diego, CA 92101	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$2,100.00	\$2,100.00	2018P: \$4,400.00 2018G: \$4,400.00
10/30/2018	Dennis Wahl El Cajon, CA 92020	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	NA Retired	\$25.00	\$250.00	2018P: \$125.00 2018G: \$125.00
10/31/2018	Atria Management Company LLC Louisville, KY 40202	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018G: \$1,000.00
10/31/2018	Lyft, Inc. San Francisco, CA 94107	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,500.00	\$1,500.00	2018G: \$1,500.00
<b>SUBTOTAL</b>						

\*Contributor Codes  
IND - Individual  
COM - Recipient Committee  
(other than PTY or SCC)  
OTH - Other  
PTY - Political Party  
SCC - Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through	12/31/2018	Page 7 of 33
		I.D. Number 1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2018

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
11/1/2018	Cox Communications and Affiliated Entities San Diego, CA 92111-7903	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$4,400.00	\$4,400.00	2018P: \$4,400.00 2018G: \$4,400.00
11/1/2018	United Nurses Assn of CA/Union of Hlth Care Prof. PAC (UNAC PAC)-SCC Sacramento, CA 95814 Committee ID: 1295768	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input checked="" type="checkbox"/> SCC	N/A N/A	\$3,000.00	\$6,000.00	2018P: \$3,000.00 2018G: \$3,000.00
11/1/2018	UPSPAC Atlanta, GA 30328 Committee ID: C00064766	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,500.00	\$1,500.00	2018P: \$2,000.00 2018G: \$1,500.00
11/4/2018	Abbott Laboratories Employee PAC Abbott Park, IL 60064 Committee ID: 1332968	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,500.00	\$1,500.00	2018P: \$1,500.00 2018G: \$1,500.00
11/4/2018	Shingle Springs Band Miwok Indians Shingle Springs, CA 95682	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$2,500.00	2018P: \$1,500.00 2018G: \$1,000.00
<b>SUBTOTAL</b>						

\*Contributor Codes  
IND - Individual  
COM - Recipient Committee  
(other than PTY or SCC)  
OTH - Other  
PTY - Political Party  
SCC - Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through	12/31/2018	Page 8 of 33
NAME OF FILER Todd Gloria for Assembly 2018		I.D. Number 1392977

SEE INSTRUCTIONS ON REVERSE

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
11/5/2018	Bank of America State & Fed PAC Sacramento, CA 95814 Committee ID: 1357000	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,250.00	\$1,250.00	2018G: \$1,250.00
11/5/2018	Charter Public Schools PAC Sacramento, CA 95814 Committee ID: 1302433	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$2,600.00	\$2,600.00	2018P: \$3,300.00 2018G: \$2,600.00
11/5/2018	PG&E Corporation San Francisco, CA 94105-1814	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$2,000.00	\$3,000.00	2018P: \$3,000.00 2018G: \$2,000.00
11/5/2018	United Auburn Indian Community of the Auburn Rancheria Sacramento, CA 95814	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$4,400.00	\$6,400.00	2018P: \$2,000.00 2018G: \$4,400.00
11/5/2018	Vertex Pharmaceuticals Inc. Boston, MA 02210	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$3,000.00	2018P: \$2,200.00 2018G: \$3,000.00

**SUBTOTAL**

\*Contributor Codes  
IND - Individual  
COM - Recipient Committee  
(other than PTY or SCC)  
OTH - Other  
PTY - Political Party  
SCC - Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through	12/31/2018	Page 9 of 33
I.D. Number		1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2018

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
11/5/2018	Michael Weiss San Diego, CA 92116	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Woody's Bar & Lei Lounge Restaurant/Bar Owner	\$250.00	\$250.00	2018G: \$250.00
11/6/2018	CA Medical Assn PAC (CALPAC) Sacramento, CA 95814 Committee ID: 742617	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$3,000.00	2018P: \$2,000.00 2018G: \$3,000.00
11/6/2018	Coca-Cola North America Los Angeles, CA 90021	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018G: \$1,000.00
11/6/2018	John Edward York & Affiliated Entities, including the Forty Niners Football Co. LLC Santa Clara, CA 95054	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	\$1,000.00	\$1,000.00	2018G: \$1,000.00
11/12/2018	Maureen Steiner Lafayette, CA 94549	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A Retired	\$50.00	\$475.00	2018P: \$475.00 2018G: \$250.00
<b>SUBTOTAL</b>						

\*Contributor Codes  
IND - Individual  
COM - Recipient Committee  
(other than PTY or SCC)  
OTH - Other  
PTY - Political Party  
SCC - Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE A (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through	12/31/2018	Page 10 of 33
I.D. Number		1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2018

DATE RECEIVED	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
11/12/2018	***RETURNED*** Maureen Steiner Lafayette, CA 94549	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A Retired	(\$50.00)	\$475.00	2018P: \$475.00 2018G: \$250.00
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				

**SUBTOTAL** \$44,125.00

\*Contributor Codes  
IND - Individual  
COM - Recipient Committee  
(other than PTY or SCC)  
OTH - Other  
PTY - Political Party  
SCC - Small Contributor Committee

# Schedule B - Part 1 Loans Received

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period  
from 10/21/2018  
through 12/31/2018

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

I.D. NUMBER  
1392977

FULL NAME, STREET ADDRESS AND ZIP CODE OF LENDER (IF COMMITTEE, ALSO ENTER I D. NUMBER)	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	(a) OUTSTANDING BALANCE BEGINNING THIS PERIOD	(b) AMOUNT RECEIVED THIS PERIOD	(c) AMOUNT PAID OR FORGIVEN THIS PERIOD*	(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD	(e) INTEREST PAID THIS PERIOD	(f) ORIGINAL AMOUNT OF LOAN	(g) CUMULATIVE CONTRIBUTIONS TO DATE
<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				<input type="checkbox"/> PAID          <input type="checkbox"/> FORGIVEN	          DATE DUE	          RATE %	          DATE INCURRED	          CALENDAR YEAR  PER ELECTION**
<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				<input type="checkbox"/> PAID          <input type="checkbox"/> FORGIVEN	          DATE DUE	          RATE %	          DATE INCURRED	          CALENDAR YEAR  PER ELECTION**
<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				<input type="checkbox"/> PAID          <input type="checkbox"/> FORGIVEN	          DATE DUE	          RATE %	          DATE INCURRED	          CALENDAR YEAR  PER ELECTION**
<b>SUBTOTALS</b>								

## Schedule B Summary

1. Loans received this period. \_\_\_\_\_  
(Total Column (b) plus unitemized loans less than \$100.)

2. Loans paid or forgiven this period \_\_\_\_\_  
(Total Column (c) plus loans under \$100 paid or forgiven.)  
(Include loans paid by a third party that are also itemized on Schedule A.)

3. Net change this period. (Subtract Line 2 from Line 1.) \_\_\_\_\_ **Net** \_\_\_\_\_  
Enter the net here and on the Summary Page, Column A, Line 2. (may be a negative number)

(Enter (e) on  
Schedule E, Line 3)

\* Amounts forgiven or paid by another party also must be reported on Schedule A.

\*\* If required.

\*Contributor Codes  
IND-Individual    COM-Recipient Committee (other than PTY or SCC)    OTH-Other    PTY-Political Party    SCC-Small Contributor Committee

**Schedule B - Part 2  
Loan Guarantors**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period from <u>10/21/2018</u> through <u>12/31/2018</u>	<b>CALIFORNIA FORM 460</b>
	Page <u>12</u> of <u>33</u>
I.D. Number 1392977	

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

FULL NAME, STREET ADDRESS AND ZIP CODE OF GUARANTOR (IF COMMITTEE, ALSO ENTER I D. NUMBER)	CONTRIBUTOR CODE	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	LOAN	AMOUNT GUARANTEED THIS PERIOD	CUMULATIVE TO DATE	BALANCE OUTSTANDING TO DATE
	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		LENDER _____ DATE _____		CALENDAR YEAR _____ PER ELECTION (IF REQUIRED) _____	
	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		LENDER _____ DATE _____		CALENDAR YEAR _____ PER ELECTION (IF REQUIRED) _____	
	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		LENDER _____ DATE _____		CALENDAR YEAR _____ PER ELECTION (IF REQUIRED) _____	
	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		LENDER _____ DATE _____		CALENDAR YEAR _____ PER ELECTION (IF REQUIRED) _____	

**SUBTOTAL**

Enter on  
Summary Page,  
Line 17 only.

# Schedule C Nonmonetary Contributions Received

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE C

Statement covers period from <u>10/21/2018</u> through <u>12/31/2018</u>	<b>CALIFORNIA FORM 460</b>
	Page <u>13</u> of <u>33</u>
I.D. Number 1392977	

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	DESCRIPTION OF GOODS OR SERVICES	AMOUNT/ FAIR MARKET VALUE	CUMULATIVE TO DATE CALENDAR YEAR (JAN 1 - DEC 31)	PER ELECTION TO DATE (IF REQUIRED)
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					

Attach additional information on appropriately labeled continuation sheets.

**SUBTOTAL**

## Schedule C Summary

- Amount received this period - nonmonetary contributions of \$100 or more.  
(Include all Schedule C subtotals.)..... \_\_\_\_\_
- Amount received this period - unitemized nonmonetary contributions of less than \$100 ..... \_\_\_\_\_
- Total nonmonetary contributions received this period.  
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Lines 4 and 10.) ..... **TOTAL** \_\_\_\_\_

\*Contributor Codes  
 IND - Individual  
 COM- Recipient Committee  
       (other than PTY or SCC)  
 OTH - Other  
 PTY - Political Party  
 SCC - Small Contributor Committee

**Schedule D  
Summary of Expenditures  
Supporting/Opposing Other  
Candidates, Measures and Committees**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE D

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through	12/31/2018	Page 14 of 33
		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

DATE	NAME OF CANDIDATE, OFFICE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE	TYPE OF PAYMENT	DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN.1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
10/22/2018	San Diegans for Full Voter Participation, Yes on D, sponsored by SEIU Local 221 PAC San Diegans for Full Voter Participation Ballot Number or Letter: D Jurisdiction: San Diego County	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		\$5,000.00	\$15,000.00	2018P: \$10,000.00 2018G: \$5,000.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
11/1/2018	San Diego County Democratic Party	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		\$1,000.00	\$43,580.00	2018P: \$14,150.00 2018G: \$34,580.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
11/6/2018	San Diego County Democratic Party	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		\$1,000.00	\$43,580.00	2018P: \$14,150.00 2018G: \$34,580.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					

**SUBTOTAL**

**Schedule D Summary**

1. Contributions and independent expenditures made this period of \$100 or more. (Include all Schedule D subtotals.)	\$9,980.00
2. Unitemized contributions and independent expenditures made this period of under \$100	\$0.00
3. Total contributions and independent expenditures made this period. (Add Lines 1 and 2. Do not enter on the Summary Page.)	<b>TOTAL</b> \$9,980.00

FPPC Form 460 (June/01)  
FPPC Toll-Free Helpline: 866/ASK-FPPC

**00158**

**Schedule D  
(Continuation Sheet)  
Summary of Expenditures  
Supporting/Opposing Other  
Candidates, Measures and Committees**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE D (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through	12/31/2018	Page 15 of 33
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

DATE	NAME OF CANDIDATE, OFFICE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE	TYPE OF PAYMENT	DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN.1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
11/6/2018	San Diego County Democratic Party	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Non-Monetary Contribution <input type="checkbox"/> Independent Expenditure		\$2,500.00	\$43,580.00	2018P: \$14,150.00 2018G: \$34,580.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
12/31/2018	San Diego County Democratic Party	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		\$40.00	\$43,580.00	2018P: \$14,150.00 2018G: \$34,580.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
12/31/2018	San Diego County Democrats for Environmental Action	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		\$400.00	\$400.00	2018P: \$175.00 2018G: \$400.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
12/14/2018	San Diego County Democratic Party	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		\$40.00	\$43,580.00	2018P: \$14,150.00 2018G: \$34,580.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
<b>SUBTOTAL</b>				\$9,980.00		

**Schedule E  
Payments Made**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through 12/31/2018		Page 16 of 33
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense   | PRO professional services (legal, accounting) | VOT voter registration  |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
San Diegans for Full Voter Participation, Yes on D, sponsored by SEIU Local 221 PAC Sacramento, CA 95841	CTB		\$5,000.00
Committee ID: 1400712 eFundraising Connections Sacramento, CA 95816	OFC		\$23.00
eFundraising Connections Sacramento, CA 95816	OFC		\$7.25

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL**

**Schedule E Summary**

1. Payments made this period of \$100 or more. (Include all Schedule E subtotals.) .....	\$59,374.46
2. Unitemized payments made this period of under \$100. ....	\$491.61
3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).) .....	\$0.00
4. Total payments made this period. (Add lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.) .....	<b>TOTAL</b> \$59,866.07

**Schedule E  
(Continuation Sheet)  
Payments Made**

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Statement covers period		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through	12/31/2018	Page 17 of 33
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

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NAME OF FILER  
Todd Gloria for Assembly 2018

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| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
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| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
KM Strategies, Inc. San Diego, CA 92167-0955	POS		\$25.00
KM Strategies, Inc. San Diego, CA 92167-0955	FND		\$50.64
Integrated Solutions Political Inc. (ISP) San Diego, CA 92116	OFC		\$125.00
The Gemini Group Williamsburg, VA 23185	WEB		\$23.84
Nick Serrano San Diego, CA 92101	CNS		\$1,500.00

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**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

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to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through	12/31/2018	Page 18 of 33
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

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NAME OF FILER  
Todd Gloria for Assembly 2018

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|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
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| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Nick Serrano San Diego, CA 92101	OFC			\$20.00
Chase Cardmember Service Carol Stream, IL 60197	OFC	See Sch. G		\$847.72
eFundraising Connections Sacramento, CA 95816	OFC			\$45.50
Border Angels San Diego, CA 92138	CVC			\$200.00
eFundraising Connections Sacramento, CA 95816	OFC			\$5.00

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**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

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Statement covers period		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through	12/31/2018	Page 19 of 33
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

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|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
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| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
San Diego County Democratic Party San Diego, CA 92111-1320  Committee ID: 741906	CTB		\$1,000.00
Scott & Cronin, LLP Encinitas, CA 92024-8705	PRO		\$2,000.00
eFundraising Connections Sacramento, CA 95816	OFC		\$1.63
Southland Publishing Inc. Pasadena, CA 91105	PRT		\$649.00
San Diego County Democratic Party San Diego, CA 92111-1320  Committee ID: 741906	CTB		\$1,000.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Type or print in ink.  
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to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through	12/31/2018	Page 20 of 33
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

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- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
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| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
San Diego County Democratic Party San Diego, CA 92111-1320	CTB		\$2,500.00
Committee ID: 741906 eFundraising Connections Sacramento, CA 95816	OFC		\$11.75
eFundraising Connections Sacramento, CA 95816	OFC		\$2.75
KM Strategies, Inc. San Diego, CA 92167-0955	POS		\$10.62
KM Strategies, Inc. San Diego, CA 92167-0955	CNS		\$16,518.75

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**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Type or print in ink.  
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Statement covers period		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through	12/31/2018	Page 21 of 33
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

I.D. NUMBER  
1392977

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|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
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NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
KM Strategies, Inc. San Diego, CA 92167-0955	CNS		\$2,767.50
Scott & Cronin, LLP Encinitas, CA 92024-8705	PRO		\$327.23
Juan Reyes Sacramento, CA 95818	MTG		\$44.52
Jennifer Lopez Sacramento, CA 95814	OFC		\$76.49
Southland Publishing Inc. Pasadena, CA 91105	PRT		\$499.00

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**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

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Statement covers period		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through	12/31/2018	Page 22 of 33
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

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| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Integrated Solutions Political Inc. (ISP) San Diego, CA 92116	OFC		\$125.00
Nick Serrano San Diego, CA 92101	CNS		\$1,500.00
Nick Serrano San Diego, CA 92101	OFC		\$20.00
John G. Thomson San Diego, CA 92116-2103	MTG		\$86.38
Integrated Solutions Political Inc. (ISP) San Diego, CA 92116	OFC		\$125.00

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**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through	12/31/2018	Page 23 of 33
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

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| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
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| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Secretary of State Sacramento, CA 95814-5701	FIL			\$50.00
Scott & Cronin, LLP Encinitas, CA 92024-8705	PRO			\$2,000.00
The Gemini Group Williamsburg, VA 23185	CNS			\$5,000.00
Nick Serrano San Diego, CA 92101	CNS			\$5,000.00
Anne W. Kearns Fort Myers, FL 33919-7534	PRT		Graphic Design	\$175.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Type or print in ink.  
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to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through	12/31/2018	Page 24 of 33
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

I.D. NUMBER  
1392977

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John G. Thomson San Diego, CA 92116-2103	MTG			\$204.81
The Gemini Group Williamsburg, VA 23185	WEB	See Sch. G		\$349.99
San Diego County Democratic Party San Diego, CA 92111-1320	CTB			\$40.00
Committee ID: 741906 San Diego County Democrats for Environmental Action San Diego, CA 92176	CTB			\$400.00
Committee ID: 1369147 The Gemini Group Williamsburg, VA 23185	CNS			\$4,000.00

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**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Type or print in ink.  
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Statement covers period		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through	12/31/2018	Page 25 of 33
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

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NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Habit San Diego, CA 92108	MTG		\$69.21
Starbucks La Jolla, CA 92037	MTG		\$33.90
Savory Thai Restaurant Oceanside, CA 92056	MTG	Food for Joint Holiday Party in Dist. Ofc.	\$102.36
San Diego Voice & Viewpoint San Diego, CA 92112	PRT		\$1,150.90
Habit San Diego, CA 92108	MTG		\$32.34

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**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

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to whole dollars.

<b>Statement covers period</b>		<b>CALIFORNIA FORM 460</b>
from <u>10/21/2018</u>		
through <u>12/31/2018</u>		Page <u>26</u> of <u>33</u>
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

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NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Bankers Hill San Diego, CA 92101	MTG		11/6/18: Election Night Dinner w/Candidate + 4 Guests	\$321.83
Mail Chimp Atlanta, GA 30308	WEB			\$75.00
Westin Long Beach Hotel Long Beach, CA 90802	TRC		11/19/18: Lodging for CA Dem Party Executive Board Mtg.	\$334.85
Southwest Airlines Dallas, TX 75235-1908	TRS		12/2-12/3/18 Staff (6) SAN-SMF for Swearing In	\$815.76
Costco San Diego, CA 92102	MTG			\$95.20

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**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

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<b>Statement covers period</b>		<b>CALIFORNIA FORM 460</b>
from <u>10/21/2018</u>		
through <u>12/31/2018</u>		Page <u>27</u> of <u>33</u>
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

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- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense   | PRO professional services (legal, accounting) | VOT voter registration  |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Southwest Airlines Dallas, TX 75235-1908	TRS		12/3/18: Staff Change Fee: Return Flight from SMF Swearing In	\$662.00
Buckhorn Grill Sacramento, CA 95811	MTG		12/3/18: Staff (10) Lunch Swearing In	\$288.34
Broderick Midtown Sacramento, CA 95811	MTG		12/3/18: Swearing In Dinner w/Candidate, Family & Staff (13)	\$348.72
San Diego County Democratic Party San Diego, CA 92111-1320	CTB			\$40.00
Committee ID: 741906 JW Marriott Washington, DC 20004	TRC			\$74.90

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through 12/31/2018		Page 28 of 33
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense   | PRO professional services (legal, accounting) | VOT voter registration  |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Brueggers Bagels San Diego, CA 92101	MTG			\$58.29
Mail Chimp Atlanta, GA 30308	WEB			\$75.00
Wood Ranch BBQ Grill San Diego, CA 92108	MTG		12/13/18: Staff Holiday Dinner Party w/Candidate +13	\$437.49

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL** \$59,374.46

**Schedule F  
Accrued Expenses (Unpaid Bills)**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through	12/31/2018	Page 29 of 33
I.D. NUMBER		1392977

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP campaign paraphernalia/misc.	MBR member communications	RAD radio airtime and production costs
CNS campaign consultants	MTG meetings and appearances	RFD returned contributions
CTB contribution (explain nonmonetary)*	OFC office expenses	SAL campaign workers' salaries
CVC civic donations	PET petition circulating	TEL t.v. or cable airtime and production costs
FIL candidate filing/ballot fees	PHO phone banks	TRC candidate travel, lodging, and meals
FND fundraising events	POL polling and survey research	TRS staff/spouse travel, lodging, and meals
IND independent expenditure supporting/opposing others (explain)*	POS postage, delivery and messenger services	TSF transfer between committees of the same candidate/sponsor
LEG legal defense	PRO professional services (legal, accounting)	VOT voter registration
LIT campaign literature and mailings	PRT print ads	WEB information technology costs (internet, email)

NAME AND ADDRESS OF CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR DESCRIPTION OF PAYMENT	(a) OUTSTANDING BALANCE BEGINNING OF THIS PERIOD	(b) AMOUNT INCURRED THIS PERIOD	(c) AMOUNT PAID THIS PERIOD (ALSO REPORT ON E)	(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD
Scott & Cronin, LLP Encinitas, CA 92024-8705	PRO	\$2,000.00	\$0.00	\$2,000.00	\$0.00
KM Strategies, Inc. San Diego, CA 92167-0955	CNS	\$16,518.75	\$0.00	\$16,518.75	\$0.00
Chase Cardmember Service Carol Stream, IL 60197	OFC See Sch. G	\$847.72	\$0.00	\$847.72	\$0.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTALS**

**Schedule F Summary**

1. Total accrued expenses incurred this period. (Include all Schedule F, Column (b) subtotals for accrued expenses of \$100 or more, plus total unitemized accrued expenses under \$100.).....	<b>INCURRED TOTALS</b> \$5,932.39
2. Total accrued expenses paid this period. (Include all Schedule F, Column (c) subtotals for payments on accrued expenses of \$100 or more, plus total unitemized payments on accrued expenses under \$100.).....	<b>PAID TOTALS</b> \$19,390.31
3. Net change this period. ( <b>Subtract</b> Line 2 from Line 1. Enter the difference here and on the Summary Page, Column A, Line 9.).....	<b>NET</b> (\$13,457.92) May be a negative number.

**Schedule F  
(Continuation Sheet)  
Accrued Expenses (Unpaid Bills)**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

<b>Statement covers period</b>		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through	12/31/2018	Page 30 of 33
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense   | PRO professional services (legal, accounting) | VOT voter registration  |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

\*Payments that are contributions or independent expenditures must also be summarized on Schedule D.

NAME AND ADDRESS OF CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR DESCRIPTION OF PAYMENT	(a) OUTSTANDING BALANCE BEGINNING OF THIS PERIOD	(b) AMOUNT INCURRED THIS PERIOD	(c) AMOUNT PAID THIS PERIOD (ALSO REPORT ON E)	(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD
Scott & Cronin, LLP Encinitas, CA 92024-8705	PRO	\$0.00	\$2,036.14	\$0.00	\$2,036.14
KM Strategies, Inc. San Diego, CA 92167-0955	CNS	\$0.00	\$3,896.25	\$0.00	\$3,896.25
<b>SUBTOTALS</b>		\$19,366.47	\$5,932.39	\$19,366.47	\$5,932.39

**Schedule G**  
**Payments Made by an Agent or Independent Contractor (on Behalf of This Committee)**

Type or print in ink.  
 Amounts may be rounded to whole dollars.

Statement covers period  
 from 10/21/2018  
 through 12/31/2018

**CALIFORNIA FORM 460**  
 Page 31 of 33

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
 Todd Gloria for Assembly 2018

I.D. NUMBER  
 1392977

NAME OF AGENT OR INDEPENDENT CONTRACTOR  
 The Gemini Group

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense   | PRO professional services (legal, accounting) | VOT voter registration  |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, email)            |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Facebook Inc. Menlo Park, CA 94025	WEB			\$349.99

Attach additional information on appropriately labeled continuation sheets.

**TOTAL\*** \$349.99

\* Do not transfer to any other schedule or to the Summary Page. This total may not equal the amount paid to the agent or independent contractor as reported on Schedule E.

**Schedule H –  
Loans Made to Others\***

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

Statement covers period from <u>10/21/2018</u> through <u>12/31/2018</u>	<b>CALIFORNIA FORM 460</b>
	Page <u>32</u> of <u>33</u>
I.D. NUMBER 1392977	

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER  
Todd Gloria for Assembly 2018

FULL NAME, STREET ADDRESS AND ZIP CODE OF RECIPIENT (IF COMMITTEE, ALSO ENTER I D. NUMBER)	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	(a) OUTSTANDING BALANCE BEGINNING THIS PERIOD	(b) AMOUNT LOANED THIS PERIOD	(c) REPAYMENT OR FORGIVENESS THIS PERIOD*	(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD	(e) INTEREST RECEIVED	(f) ORIGINAL AMOUNT OF LOAN	(g) CUMULATIVE LOANS TO DATE
				<input type="checkbox"/> PAID  <input type="checkbox"/> FORGIVEN		_____% RATE		CALENDAR YEAR  PER ELECTION**
					DATE DUE		DATE INCURRED	
				<input type="checkbox"/> PAID  <input type="checkbox"/> FORGIVEN		_____% RATE		CALENDAR YEAR  PER ELECTION**
					DATE DUE		DATE INCURRED	
		<b>SUBTOTALS</b>						

\*Loans that are contributions to another candidate or committee must also be summarized on Schedule D. Loans forgiven must also be reported on Schedule E.

(Enter (e) on  
Schedule I, Line 3)

**Schedule H Summary**

- Loans made this period .....  
(Total Column (b) plus unitemized loans less than \$100.)
- Payments received on loans .....  
(Total Column (c) plus unitemized payments less than \$100.)
- Net change this period. (Subtract Line 2 from Line 1.) ..... **NET**  
(Enter the net here and on the Summary Page, Column A, Line 7.) (May be a negative number)

**\*\* If Required**

**Schedule I  
Miscellaneous Increases to Cash**

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SCHEDULE I

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	10/21/2018	
through	12/31/2018	Page 33 of 33
NAME OF FILER Todd Gloria for Assembly 2018		I.D. NUMBER 1392977

SEE INSTRUCTIONS ON REVERSE

DATE RECEIVED	FULL NAME AND ADDRESS OF SOURCE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	DESCRIPTION OF RECEIPT	AMOUNT OF INCREASE TO CASH

Attach additional information on appropriately labeled continuation sheets.

**SUBTOTAL** \$ .00

**Schedule I Summary**

- 1. Increases to cash of \$100 or more this period..... \$ .00
- 2. Unitemized increases to cash under \$100 this period..... \$ .00
- 3. Total of all interest received this period on loans made to others. (Schedule H, Column (e).)..... \$ .00
- 4. Total miscellaneous increases to cash this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Line 14.)..... **TOTAL** \$ .00

Exhibit B

TC

### Candidate Intention Statement

Date Stamp	<b>CALIFORNIA FORM 501</b>
	For Official Use Only
	18 DEC 20 PM 3:01
	SAN DIEGO, CALIF.

Check One:  Initial  Amendment (Explain) \_\_\_\_\_

#### 1. Candidate Information:

NAME OF CANDIDATE (Last, First Middle Initial)	DAYTIME TELEPHONE NUMBER	FAX NUMBER (optional)	EMAIL (optional)
Todd Gloria		( )	
STREET ADDRESS	CITY	STATE	ZIP CODE
	San Diego	CA	92110
OFFICE SOUGHT (POSITION TITLE)	AGENCY NAME	DISTRICT NUMBER, if applicable	<input checked="" type="checkbox"/> NON-PARTISAN OFFICE
Mayor	City of San Diego		PARTY PREFERENCE:
OFFICE JURISDICTION			(Check one box, if applicable.)
<input type="checkbox"/> State (Complete Part 2.)			<input checked="" type="checkbox"/> PRIMARY / GENERAL
<input checked="" type="checkbox"/> City <input type="checkbox"/> County <input type="checkbox"/> Multi-County: _____	(Name of Multi-County Jurisdiction)	2020 (Year of Election)	<input type="checkbox"/> SPECIAL / RUNOFF

#### 2. State Candidate Expenditure Limit Statement:

(CalPERS and CalSTRS candidates, judges, judicial candidates, and candidates for local offices do not complete Part 2.)

(Check one box)

- I accept the voluntary expenditure ceiling for the election stated above.
- I do not accept the voluntary expenditure ceiling for the election stated above.

Amendment:

- I did not exceed the expenditure ceiling in the primary or special election held on: \_\_\_/\_\_\_/\_\_\_ and I accept the voluntary expenditure ceiling for the general or special run-off election.

(Mark if applicable)

On \_\_\_/\_\_\_/\_\_\_, I contributed personal funds in excess of the expenditure ceiling for the election stated above.

#### 3. Verification:

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on December 20, 2018  
(month, day, year)

Signature   
(Candidate)

FPPC Form 501 (August/2018)  
FPPC Advice: advice@fppc.ca.gov (866/275-3772)  
www.fppc.ca.gov

Exhibit C

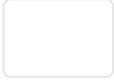
# La Prensa SAN DIEGO

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## Todd Gloria Failed to File Candidacy Form Before Raising Money

August 12, 2019

By **Alberto Garcia**

State Assemblyman Todd Gloria, a candidate for San Diego Mayor, may have violated state law by failing to file his intention to run for re-election to the State Assembly before raising over \$300,000 in a campaign committee at the same time he's been running and raising money for San Diego Mayor.

A campaign committee, Todd Gloria for Assembly 2020, was created in March of this year when Gloria transferred \$293,078.05 that remained in his 2018 Assembly campaign committee after the November 2018 election.

State law requires that all candidates file a Form 501 Candidate Intention Statement declaring their candidacy for election or re election before any money can be raised for a campaign committee, even if it's the candidate's own money.

According to the California Secretary of State's office, there was no Form 501 on file for Todd Gloria for Assembly 2020 as of the end of day on August 8th.

Under state law, Gloria had to empty and close out the 2018 committee by the end of March or the money would become "surplus" and subject to strict limits to donate the money to charity. Gloria instead transferred the money to the new Assembly 2020 committee and closed out the Assembly 2018 committee on March 19th.

The June 30th report for the Assembly 2020 campaign committee reflects contributions of \$25,246, plus the nearly \$300,000 he transferred from the 2018 committee. Those contributions have all come from special interests, including Native American tribes, new car dealers, an apartment association, and lobbying groups for chiropractors and firefighters, and one contribution from State Treasurer Fiona Ma's 2020 re election campaign. No individual contributions were reported. The committee ended the June period with \$275,122.24 cash on hand.

Since March, Gloria has spent \$44,246.32 from that committee, including donating to other campaigns and organizations. Gloria donated \$5,000 to the San Diego Democratic Party; a maximum \$4,700 contribution to Councilman Chris Ward's 2020 Assembly campaign to replace Gloria; \$1,500 to the Climate Action Campaign; \$1,000 to Kamala Harris' Presidential campaign; and \$1,037.50 to reimburse Lorena Gonzalez' Assembly campaign for delegate breakfast at the recent Democratic Party convention, among other expenditures.

At the time the 2020 Assembly committee was created, Gloria was already a declared candidate for San Diego Mayor and was raising money for a separate committee, Todd Gloria for Mayor 2020.

Gloria filed a 501 form with the San Diego City Clerk on December 20, 2018 declaring his candidacy for Mayor before raising money for that campaign.

At the end of June, Gloria reported having raised a total of \$656,108.28 for the 2020 San Diego Mayor's campaign since January 1st, and ended the period with \$474,735.49 cash on hand.

Gloria's mayoral campaign received the endorsement of California Governor Gavin Newsom last week, in addition to several Assembly members, including locals Lorena Gonzalez Fletcher and Shirley Weber, as well as Congress members Juan Vargas and Susan Davis.

A call to Todd Gloria's cell phone for comment was returned by Nick Serrano, Gloria's Communications Director in the Assembly who currently serves as campaign manager. Serrano appears to have received a \$500 payment from Gloria's mayor campaign committee in March, as

well as \$6,500 in payments from Gloria's 2020 Assembly committee between February and June of this year. Serrano said he would pass the message on to Gloria.

No comments were received from Gloria at the time this story was released.

Councilman Chris Ward, running to replace Gloria in the Assembly, filed his own 501 form for the Assembly before beginning to raise money in January. Ward's 2020 Assembly committee reported having raised a total of \$284,393.27 through June 30th. Ward received a contribution of \$4,700 from Gloria's 2020 Assembly committee.

Candidates do not usually donate money to other candidates running for the same office, but it is not clear if Ward knew whether or not Gloria intended to run for the Assembly before he declared his own candidacy for the same seat.

Gloria donated \$4,700 to Ward's campaign on March 27, just eight days after Gloria created his 2020 Assembly committee.

A message for comment left on Ward's cell phone was not returned when this story was published.

The California Political Reform Act, which requires the 501 form be filed before raising money, states that knowing or willful violations are misdemeanors, punishable by up to a year in jail and a \$1,000 fine. Additionally, a fine of \$10,000, or three times the amount which was improperly received, may be assessed.

A violation of the filing requirement may be prosecuted by the California Attorney General, the District Attorney, the elected City Attorney, or through a civil lawsuit called a Private Attorney General action.

Local attorney Cory Briggs, a frequent critic of San Diego's leadership and a current candidate for City Attorney, refused to comment on the issue so he wouldn't create a possible conflict of interest.

"The press and the public must remember that everyone is entitled to a presumption of innocence until proven guilty in a court of law," Briggs said. "If I am elected as City Attorney, this matter could fall within my prosecutorial purview so it would therefore be inappropriate for me to make any comment," Briggs concluded.

Current San Diego City Attorney Mara Elliott has endorsed Gloria's campaign for Mayor.

Exhibit D

1416118

Statement of Organization  
Recipient Committee

Statement Type

<input checked="" type="checkbox"/> Initial	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination - See Part 5
<input type="radio"/> Not yet qualified or		
<input type="radio"/> Date qualification threshold met	Date qualification threshold met	Date of termination
____/____/____	____/____/____	____/____/____

Date Stamp  
**RECEIVED AND FILED**  
in the office of the Secretary of State  
of the State of California  
**FEB 20 2019**

**CALIFORNIA FORM 410**  
For Official Use Only

**1. Committee Information** I.D. Number (If applicable) **2. Treasurer and Other Principal Officers**

NAME OF COMMITTEE  
**TODD GLORIA FOR ASSEMBLY 2020**

STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE  
**SAN DIEGO CA 92101**

FULL MAILING ADDRESS (IF DIFFERENT)  
**ENCINITAS, CA 92024**

E-MAIL ADDRESS (REQUIRED) / FAX (OPTIONAL)

COUNTY OF DOMICILE JURISDICTION WHERE COMMITTEE IS ACTIVE  
**SAN DIEGO**

NAME OF TREASURER  
**NANCY R HALEY**

STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE  
**ENCINITAS CA 92024**

NAME OF ASSISTANT TREASURER, IF ANY  
**DANIELLE STEPHEN**

STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE  
**ENCINITAS CA 92024**

NAME OF PRINCIPAL OFFICER(S)

STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE

Attach additional information on appropriately labeled continuation sheets.

**3. Verification**

I have used all reasonable diligence in preparing this statement and to the best of my knowledge the information contained herein is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on **FEB 19 2019** By Nancy R Haley  
DATE SIGNATURE OF TREASURER OR ASSISTANT TREASURER

Executed on **FEB 19 2019** By Todd Gloria  
DATE SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

Executed on \_\_\_\_\_ By \_\_\_\_\_  
DATE SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

Executed on \_\_\_\_\_ By \_\_\_\_\_  
DATE SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

**RECEIVED**  
**CITY CLERK'S OFFICE**  
**19 FEB 27 PM 4:55**  
**SAN DIEGO, CALIF.**

FPPC Form 410 (August/2018)  
FPPC Advice: advice@fppc.ca.gov (866/275-3772)  
www.fppc.ca.gov

**Statement of Organization  
Recipient Committee**

INSTRUCTIONS ON REVERSE

Page 2

COMMITTEE NAME  
TODD GLORIA FOR ASSEMBLY 2020

I.D. NUMBER

- All committees must list the financial institution where the campaign bank account is located.

NAME OF FINANCIAL INSTITUTION TORREY PINES BANK	AREA CODE/PHONE	BANK ACCOUNT NUMBER		
ADDRESS	CITY CARLSBAD	STATE CA	ZIP CODE 92009	

**4. Type of Committee** Complete the applicable sections:

**Controlled Committee**

- List the name of each controlling officeholder, candidate, or state measure proponent. If candidate or officeholder controlled, also list the elective office sought or held, and district number, if any, and the year of the election.
- List the political party with which each officeholder or candidate is affiliated or check "nonpartisan." Stating "No party preference" is acceptable.
- If this committee acts jointly with another controlled committee, list the name and identification number of the other controlled committee.

NAME OF CANDIDATE/OFFICEHOLDER/STATE MEASURE PROPONENT	ELECTIVE OFFICE SOUGHT OR HELD (INCLUDE DISTRICT NUMBER IF APPLICABLE)	YEAR OF ELECTION	PARTY CHECK ONE		
TODD GLORIA	STATE ASSEMBLY, DISTRICT 78	2020	Nonpartisan <input type="checkbox"/>	Partisan <input checked="" type="checkbox"/>	(list political party below) DEMOCRATIC
TODD GLORIA FOR ASM. 2018 #1392977			Nonpartisan <input type="checkbox"/>	Partisan <input type="checkbox"/>	(list political party below)

**Primarily Formed Committee**

Primarily formed to support or oppose specific candidates or measures in a single election. List below:

CANDIDATE(S) NAME OR MEASURE(S) FULL TITLE (INCLUDE BALLOT NO. OR LETTER) IF A RECALL, STATE "RECALL" IN FRONT OF THE OFFICEHOLDER'S NAME.	CANDIDATE(S) OFFICE SOUGHT OR HELD OR MEASURE(S) JURISDICTION (INCLUDE DISTRICT NO., CITY OR COUNTY, AS APPLICABLE)	CHECK ONE	
		SUPPORT <input type="checkbox"/>	OPPOSE <input type="checkbox"/>
		SUPPORT <input type="checkbox"/>	OPPOSE <input type="checkbox"/>

Statement of Organization  
Recipient Committee

INSTRUCTIONS ON REVERSE

Page 3

I.D. NUMBER

COMMITTEE NAME  
TODD GLORIA FOR ASSEMBLY 2020

4. Type of Committee (Continued)

General Purpose Committee

Not formed to support or oppose specific candidates or measures in a single election. Check only one box:

CITY Committee

COUNTY Committee

STATE Committee

PROVIDE BRIEF DESCRIPTION OF ACTIVITY

Sponsored Committee

List additional sponsors on an attachment.

NAME OF SPONSOR

INDUSTRY GROUP OR AFFILIATION OF SPONSOR

STREET ADDRESS

NO. AND STREET

CITY

STATE

ZIP CODE

AREA CODE/PHONE

Small Contributor Committee

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
Date qualified

5. Termination Requirements

By signing the verification, the treasurer, assistant treasurer and/or candidate, officeholder, or proponent certify that all of the following conditions have been met:

- This committee has ceased to receive contributions and make expenditures;
  - This committee does not anticipate receiving contributions or making expenditures in the future;
  - This committee has eliminated or has no intention or ability to discharge all debts, loans received, and other obligations;
  - This committee has no surplus funds; and
  - This committee has filed all campaign statements required by the Political Reform Act disclosing all reportable transactions.
- There are restrictions on the disposition of surplus campaign funds held by elected officers who are leaving office and by defeated candidates. Refer to Government Code Section 89519.
- Leftover funds of ballot measure committees may be used for political, legislative or governmental purposes under Government Code Sections 89511 - 89518, and are subject to Elections Code Section 18680 and FPPC Regulation 18521.5.

**Statement of Organization  
Recipient Committee**

Statement Type  Initial  Amendment  Termination - See Part 5  
 Not yet qualified or List I.D. number: # 1416118  
 Date qualified as committee 02/26/19 Date qualified as committee (if applicable) # \_\_\_\_\_  
 Date of Termination \_\_\_\_\_

RECEIVED  
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19 FEB 27 PM 4:56  
SAN DIEGO, CALIF.

**CALIFORNIA  
FORM 410**

For Official Use Only

**1. Committee Information**

NAME OF COMMITTEE  
Todd Gloria for Assembly 2020  
 STREET ADDRESS (NO P.O. BOX)  
 CITY STATE ZIP CODE AREA CODE/PHONE  
San Diego CA 92101  
 MAILING ADDRESS (IF DIFFERENT)  
Encinitas, CA 92024  
 FAX / E-MAIL ADDRESS  
 COUNTY OF DOMICILE JURISDICTION WHERE COMMITTEE IS ACTIVE  
San Diego

**2. Treasurer and Other Principal Officers**

NAME OF TREASURER  
Nancy R Haley  
 STREET ADDRESS (NO P.O. BOX)  
 CITY STATE ZIP CODE AREA CODE/PHONE  
Encinitas CA 92024  
 NAME OF ASSISTANT TREASURER, IF ANY  
Danielle Stephen  
 STREET ADDRESS (NO P.O. BOX)  
 CITY STATE ZIP CODE AREA CODE/PHONE  
Encinitas CA 92024  
 NAME OF PRINCIPAL OFFICER(S)  
 STREET ADDRESS (NO P.O. BOX)  
 CITY STATE ZIP CODE AREA CODE/PHONE

Attach additional information on appropriately labeled continuation sheets.

**3. Verification**

I have used all reasonable diligence in preparing this statement and to the best of my knowledge the information contained herein is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on FEB 26 2019 By Nancy R Haley  
 DATE SIGNATURE OF TREASURER OR ASSISTANT TREASURER  
 Executed on FEB 26 2019 By [Signature]  
 DATE SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT  
 Executed on \_\_\_\_\_ By \_\_\_\_\_  
 DATE SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT  
 Executed on \_\_\_\_\_ By \_\_\_\_\_  
 DATE SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

FPPC Form 410 (Dec/2012)  
 FPPC Advice: advice@fppc.ca.gov (866/275-3772)  
 www.fppc.ca.gov

**Statement of Organization  
Recipient Committee**

INSTRUCTIONS ON REVERSE

COMMITTEE NAME Todd Gloria for Assembly 2020	I.D. NUMBER 1416118
---	------------------------

- All committees must list the financial institution where the campaign bank account is located.

NAME OF FINANCIAL INSTITUTION Torrey Pines Bank	AREA CODE/PHONE	BANK ACCOUNT NUMBER
ADDRESS	CITY Carlsbad	STATE CA
		ZIP CODE 92009

**Type of Committee** Complete the applicable sections.

**Controlled Committee**

- List the name of each controlling officeholder, candidate, or state measure proponent. If candidate or officeholder controlled, also list the elective office sought or held, and district number, if any, and the year of the election.
- List the political party with which each officeholder or candidate is affiliated or check "nonpartisan." Stating "No party preference" is acceptable.
- If this committee acts jointly with another controlled committee, list the name and identification number of the other controlled committee.

NAME OF CANDIDATE/OFFICEHOLDER/STATE MEASURE PROPONENT	ELECTIVE OFFICE SOUGHT OR HELD (INCLUDE DISTRICT NUMBER IF APPLICABLE)	YEAR OF ELECTION	PARTY		(list political party below)
			Nonpartisan	Partisan	
Todd Gloria	State Assembly Person: Assembly District 8	2020	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Democratic Party
			<input type="checkbox"/>	<input type="checkbox"/>	(list political party below)

**Primarily Formed Committee**

Primarily formed to support or oppose specific candidates or measures in a single election. List below:

CANDIDATE(S) NAME OR MEASURE(S) FULL TITLE (INCLUDE BALLOT NO. OR LETTER) IF A RECALL, STATE "RECALL" IN FRONT OF THE OFFICEHOLDER'S NAME.	CANDIDATE(S) OFFICE SOUGHT OR HELD OR MEASURE(S) JURISDICTION (INCLUDE DISTRICT NO., CITY OR COUNTY, AS APPLICABLE)	CHECK ONE	
		SUPPORT	OPPOSE
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>

Statement of Organization  
Recipient Committee

INSTRUCTIONS ON REVERSE

COMMITTEE NAME

Todd Gloria for Assembly 2020

I.D. NUMBER

1416118

4 Type of Committee (Continued)

General Purpose Committee

Not formed to support or oppose specific candidates or measures in a single election. Check only one box:

CITY Committee

COUNTY Committee

STATE Committee

PROVIDE BRIEF DESCRIPTION OF ACTIVITY

Sponsored Committee

List additional sponsors on an attachment.

NAME OF SPONSOR

INDUSTRY GROUP OR AFFILIATION OF SPONSOR

STREET ADDRESS

NO. AND STREET

CITY

STATE

ZIP CODE

AREA CODE/PHONE

Small Contributor Committee

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
Date qualified

5 Termination Requirements

By signing the verification, the treasurer, assistant treasurer and/or candidate, officeholder, or proponent certifies that all of the following conditions have been met:

- This committee has ceased to receive contributions and make expenditures;
  - This committee does not anticipate receiving contributions or making expenditures in the future;
  - This committee has eliminated or has no intention or ability to discharge all debts, loans received, and other obligations;
  - This committee has no surplus funds; and
  - This committee has filed all campaign statements required by the Political Reform Act disclosing all reportable transactions.
- There are restrictions on the disposition of surplus campaign funds held by elected officers who are leaving office and by defeated candidates. Refer to Government Code Section 89519.
- Leftover funds of ballot measure committees may be used for political, legislative or governmental purposes under Government Code Sections 89511 - 89518, and are subject to Elections Code Section 18680 and FPPC Regulation 18521.5.

Additional Comments  
For Form 410

ADDITIONAL COMMENTS

**CALIFORNIA  
FORM 410**

Page 4 of 4

COMMITTEE NAME

Todd Gloria for Assembly 2020

I.D. NUMBER

1416118

Qualification & Banking Information

COPY

Statement of Organization Recipient Committee

Date Stamp

CALIFORNIA FORM 410 For Official Use Only

Statement Type

Initial, Amendment, Termination - See Part 5. Includes checkboxes and date fields for qualification and termination.

1. Committee Information I.D. Number (if applicable) 1416118

2. Treasurer and Other Principal Officers

NAME OF COMMITTEE: Todd Gloria for Assembly 2020. CITY: San Diego, STATE: CA, ZIP CODE: 92101. COUNTY OF DOMICILE: San Diego.

NAME OF TREASURER: Nancy Haley. CITY: Encinitas, STATE: CA, ZIP CODE: 92024. NAME OF ASSISTANT TREASURER, IF ANY: Danielle Stephen. CITY: Encinitas, STATE: CA, ZIP CODE: 92024.

19 JUN 18 AM 10:08 RECEIVED NANCY HALEY

Attach additional information on appropriately labeled continuation sheets.

3. Verification

I have used all reasonable diligence in preparing this statement and to the best of my knowledge the information contained herein is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on JUN 07 2019 By [Signature] SIGNATURE OF TREASURER OR ASSISTANT TREASURER. Executed on JUN 07 2019 By [Signature] SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT.

**Statement of Organization  
Recipient Committee**

INSTRUCTIONS ON REVERSE

**CALIFORNIA  
FORM 410**

Page 2 of 4

COMMITTEE NAME

Todd Gloria for Assembly 2020

I.D. NUMBER

1416118

- All committees must list the financial institution where the campaign bank account is located.

NAME OF FINANCIAL INSTITUTION Torrey Pines Bank	AREA CODE/PHONE	BANK ACCOUNT NUMBER		
ADDRESS	CITY Carlsbad	STATE CA	ZIP CODE 92009	

**4. Type of Committee** Complete the applicable sections:

**Controlled Committee**

- List the name of each controlling officeholder, candidate, or state measure proponent. If candidate or officeholder controlled, also list the elective office sought or held, and district number, if any, and the year of the election.
- List the political party with which each officeholder or candidate is affiliated or check "nonpartisan." Stating "No party preference" is acceptable.
- If this committee acts jointly with another controlled committee, list the name and identification number of the other controlled committee.

NAME OF CANDIDATE/OFFICEHOLDER/STATE MEASURE PROPONENT	ELECTIVE OFFICE SOUGHT OR HELD (INCLUDE DISTRICT NUMBER IF APPLICABLE)	YEAR OF ELECTION	PARTY CHECK ONE		(list political party below)
			Nonpartisan	Partisan	
Todd Gloria	State Assembly Person: Assembly District 78	2020	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Democratic Party
			<input type="checkbox"/>	<input type="checkbox"/>	(list political party below)

**Primarily Formed Committee**

Primarily formed to support or oppose specific candidates or measures in a single election. List below:

CANDIDATE(S) NAME OR MEASURE(S) FULL TITLE (INCLUDE BALLOT NO. OR LETTER) IF A RECALL, STATE "RECALL" IN FRONT OF THE OFFICEHOLDER'S NAME.	CANDIDATE(S) OFFICE SOUGHT OR HELD OR MEASURE(S) JURISDICTION (INCLUDE DISTRICT NO., CITY OR COUNTY, AS APPLICABLE)	CHECK ONE	
		SUPPORT	OPPOSE
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>

**Statement of Organization  
Recipient Committee**

INSTRUCTIONS ON REVERSE

COMMITTEE NAME

Todd Gloria for Assembly 2020

I.D. NUMBER

1416118

**4. Type of Committee** (Continued)

**General Purpose Committee**

Not formed to support or oppose specific candidates or measures in a single election. Check only one box:

CITY Committee

COUNTY Committee

STATE Committee

PROVIDE BRIEF DESCRIPTION OF ACTIVITY

**Sponsored Committee**

List additional sponsors on an attachment.

NAME OF SPONSOR

INDUSTRY GROUP OR AFFILIATION OF SPONSOR

STREET ADDRESS

NO. AND STREET

CITY

STATE

ZIP CODE

AREA CODE/PHONE

**Small Contributor Committee**

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
Date qualified

**5. Termination Requirements**

By signing the verification, the treasurer, assistant treasurer, and/or candidate, officeholder, or proponent certify that all of the following conditions have been met:

- This committee has ceased to receive contributions and make expenditures;
  - This committee does not anticipate receiving contributions or making expenditures in the future;
  - This committee has eliminated or has no intention or ability to discharge all debts, loans received, and other obligations;
  - This committee has no surplus funds; and
  - This committee has filed all campaign statements required by the Political Reform Act disclosing all reportable transactions.
- There are restrictions on the disposition of surplus campaign funds held by elected officers who are leaving office and by defeated candidates. Refer to Government Code Section 89519.
- Leftover funds of ballot measure committees may be used for political, legislative or governmental purposes under Government Code Sections 89511 - 89518, and are subject to Elections Code Section 18680 and FPPC Regulation 18521.5.

**Additional Comments  
For Form 410**

ADDITIONAL COMMENTS

**CALIFORNIA  
FORM 410**

Page 4 of 4

**COMMITTEE NAME**

Todd Gloria for Assembly 2020

**I.D. NUMBER**

1416118

Amendment to update committee address, treasurer & assistant treasurer information.

Exhibit E

Recipient Committee Campaign Statement Cover Page

(Government Code Sections 84200-84216.5)

Date Stamp

E-Filed 07/24/2019 16:03:32 Filing ID: 181770964

Statement covers period from 01/01/2019 through 06/30/2019

Date of election if applicable: (Month, Day, Year) 03/03/2020

SEE INSTRUCTIONS ON REVERSE

1. Type of Recipient Committee: All Committees - Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee (checked)
State Candidate Election Committee (checked)
Recall
General Purpose Committee
Sponsored
Small Contributor Committee
Political Party/Central Committee
Primarily Formed Ballot Measure Committee
Controlled
Sponsored
Primarily Formed Candidate/Officeholder Committee

2. Type of Statement:

- Preelection Statement
Semi-annual Statement (checked)
Termination Statement
Amendment (Explain below)
Quarterly Statement
Special Odd-Year Report
Supplemental Preelection Statement - Attach Form 495

3. Committee Information

I.D. NUMBER 1416118

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE) Todd Gloria for Assembly 2020

STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE San Diego CA 92101 (858)361-0542

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

CITY STATE ZIP CODE AREA CODE/PHONE Encinitas CA 92024

OPTIONAL: FAX / E-MAIL ADDRESS nancy@haleyandcompany.com

Treasurer(s)

NAME OF TREASURER Nancy Haley

MAILING ADDRESS

CITY STATE ZIP CODE AREA CODE/PHONE Encinitas CA 92024 (619)708-9744

NAME OF ASSISTANT TREASURER, IF ANY

Danielle Stephen

MAILING ADDRESS

CITY STATE ZIP CODE AREA CODE/PHONE Encinitas CA 92024 (619)708-9744

OPTIONAL: FAX / E-MAIL ADDRESS

4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 07/24/2019 Date

By Nancy R Haley Signature of Treasurer or Assistant Treasurer

Executed on 07/24/2019 Date

By Todd Gloria Signature of Controlling Officeholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

Executed on Date

By Signature of Controlling Officeholder, Candidate, State Measure Proponent

Executed on Date

By Signature of Controlling Officeholder, Candidate, State Measure Proponent

**Recipient Committee  
Campaign Statement  
Cover Page — Part 2**

**5. Officeholder or Candidate Controlled Committee**

NAME OF OFFICEHOLDER OR CANDIDATE  
 Todd Gloria

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)  
 State Assembly Person: Assembly District 78

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET)	CITY	STATE	ZIP
	San Diego	CA	92101

**Related Committees Not Included in this Statement:** *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME Todd Gloria for Mayor 2020	I.D. NUMBER 1414821
NAME OF TREASURER Nancy Haley	CONTROLLED COMMITTEE? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY Encinitas	STATE CA
ZIP CODE 92024	AREA CODE/PHONE (619) 708-9744
COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE
ZIP CODE	AREA CODE/PHONE

**6. Primarily Formed Ballot Measure Committee**

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER	JURISDICTION	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
----------------------	--------------	---

**Identify the controlling officeholder, candidate, or state measure proponent, if any.**

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

OFFICE SOUGHT OR HELD	DISTRICT NO. IF ANY
-----------------------	---------------------

**7. Primarily Formed Candidate/Officeholder Committee** *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

*Attach continuation sheets if necessary*

# Campaign Disclosure Statement Summary Page

SUMMARY PAGE

Amounts may be rounded  
to whole dollars.

Statement covers period from <u>01/01/2019</u>	<b>CALIFORNIA FORM 460</b>
through <u>06/30/2019</u>	
Page <u>3</u> of <u>25</u>	I.D. NUMBER <u>1416118</u>

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

## Contributions Received

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions ..... Schedule A, Line 3	\$ 25,246.00	\$ 25,246.00
2. Loans Received ..... Schedule B, Line 3	0.00	0.00
3. SUBTOTAL CASH CONTRIBUTIONS ..... Add Lines 1 + 2	\$ 25,246.00	\$ 25,246.00
4. Nonmonetary Contributions ..... Schedule C, Line 3	0.00	0.00
5. TOTAL CONTRIBUTIONS RECEIVED ..... Add Lines 3 + 4	\$ 25,246.00	\$ 25,246.00

## Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

	1/1 through 6/30	7/1 to Date
20. Contributions Received	\$ _____	\$ _____
21. Expenditures Made	\$ _____	\$ _____

## Expenditures Made

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
6. Payments Made ..... Schedule E, Line 4	\$ 43,201.81	\$ 43,201.81
7. Loans Made ..... Schedule H, Line 3	0.00	0.00
8. SUBTOTAL CASH PAYMENTS ..... Add Lines 6 + 7	\$ 43,201.81	\$ 43,201.81
9. Accrued Expenses (Unpaid Bills) ..... Schedule F, Line 3	1,044.51	1,044.51
10. Nonmonetary Adjustment ..... Schedule C, Line 3	0.00	0.00
11. TOTAL EXPENDITURES MADE ..... Add Lines 8 + 9 + 10	\$ 44,246.32	\$ 44,246.32

## Expenditure Limit Summary for State Candidates

**22. Cumulative Expenditures Made\***  
(If Subject to Voluntary Expenditure Limit)

Date of Election (mm/dd/yy)	Total to Date
/ /	\$ _____
/ /	\$ _____

## Current Cash Statement

12. Beginning Cash Balance ..... Previous Summary Page, Line 16	\$ 0.00
13. Cash Receipts ..... Column A, Line 3 above	25,246.00
14. Miscellaneous Increases to Cash ..... Schedule I, Line 4	293,078.05
15. Cash Payments ..... Column A, Line 8 above	43,201.81
16. ENDING CASH BALANCE ..... Add Lines 12 + 13 + 14, then subtract Line 15	\$ 275,122.24

If this is a termination statement, Line 16 must be zero.

17. LOAN GUARANTEES RECEIVED ..... Schedule B, Part 2	\$ 0.00
---	---------

## Cash Equivalents and Outstanding Debts

18. Cash Equivalents ..... See instructions on reverse	\$ 0.00
19. Outstanding Debts ..... Add Line 2 + Line 9 in Column B above	\$ 1,044.51

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

\*Amounts in this section may be different from amounts reported in Column B.

**Schedule A  
Monetary Contributions Received**

Amounts may be rounded  
to whole dollars.

SCHEDULE A

<b>Statement covers period</b>		<b>CALIFORNIA FORM 460</b>
from <u>01/01/2019</u>	through <u>06/30/2019</u>	
Page <u>4</u> of <u>25</u>		I.D. NUMBER 1416118

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (F COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (F SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
02/26/2019	CA Chiropractic Assn. PAC (ID# 742986) Sacramento, CA 95814	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	2,500.00	2,500.00	P2020 \$2,500.00
03/04/2019	Pechanga Band of Luiseno Indians Temecula, CA 92593	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	2,000.00	2,000.00	P2020 \$2,000.00
04/12/2019	Yocha Dehe Wintun Nation Brooks, CA 95606	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	1,150.00	1,150.00	P2020 \$1,150.00
04/15/2019	New Car Dealers Association PAC (ID# 902797) San Diego, CA 92121	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	2,000.00	2,000.00	P2020 \$2,000.00
04/19/2019	California Association of Community Managers PAC (ID# 983494) Laguna Hills, CA 92653	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	1,500.00	1,500.00	P2020 \$1,500.00
<b>SUBTOTAL \$</b>				9,150.00		

**Schedule A Summary**

- Amount received this period – itemized monetary contributions.  
(Include all Schedule A subtotals.) ..... \$ 25,150.00
- Amount received this period – unitemized monetary contributions of less than \$100 ..... \$ 96.00
- Total monetary contributions received this period.  
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) ..... **TOTAL \$** 25,246.00

**\*Contributor Codes**  
 IND – Individual  
 COM – Recipient Committee  
       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

# Schedule A (Continuation Sheet) Monetary Contributions Received

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
through	06/30/2019	Page 5 of 25

NAME OF FILER Todd Gloria for Assembly 2020	I.D. NUMBER 1416118
--	------------------------

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (F COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (F SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
04/19/2019	Ghost Management Group LLC Irvine, CA 92618	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	4,700.00	4,700.00	P2020 \$4,700.00
04/24/2019	Sycuan Band of the Kumeyaay Nation El Cajon, CA 92019-1832	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	2,000.00	2,000.00	P2020 \$2,000.00
05/01/2019	CA Veterinary Medical Assn. (ID# 771044) Sacramento, CA 95815	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	1,300.00	1,300.00	P2020 \$1,300.00
05/13/2019	CA Professional Firefighters PAC - SCC (ID# 744058) Sacramento, CA 95833	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input checked="" type="checkbox"/> SCC	N/A N/A	1,000.00	1,000.00	P2020 \$1,000.00
05/16/2019	Apartment Assn of Orange County PAC (ID# 980470) Santa Ana, CA 92701	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	1,500.00	1,500.00	P2020 \$1,500.00
<b>SUBTOTAL \$</b>				10,500.00		

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
     (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

00201

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 01/01/2019  
through 06/30/2019

**CALIFORNIA  
FORM 460**

Page 6 of 25

NAME OF FILER

Todd Gloria for Assembly 2020

I.D. NUMBER

1416118

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (F COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (F SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
05/16/2019	CA New Car Dealers Association PAC (ID# 741623) Sacramento, CA 95814	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	1,000.00	1,000.00	P2020 \$1,000.00
05/21/2019	Deloitte Services LP Hermitage, TN 37076	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	2,000.00	2,000.00	P2020 \$2,000.00
05/23/2019	Re-Elect Fiona Ma for State Treasurer 2022 (ID# 1414254) Sacramento, CA 95864	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	N/A N/A	2,500.00	2,500.00	P2020 \$2,500.00
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
<b>SUBTOTAL \$</b>				5,500.00		

\*Contributor Codes  
IND – Individual  
COM – Recipient Committee  
(other than PTY or SCC)  
OTH – Other (e.g., business entity)  
PTY – Political Party  
SCC – Small Contributor Committee

00202

**Schedule D  
Summary of Expenditures  
Supporting/Opposing Other  
Candidates, Measures and Committees**

SCHEDULE D

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER Todd Gloria for Assembly 2020	I.D. NUMBER 1416118
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DATE	NAME OF CANDIDATE, OFFICE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE	TYPE OF PAYMENT	DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (F REQUIRED)
03/27/2019	Chris Ward State Assembly Person District: 78	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		4,700.00	4,700.00	P2020 \$4,700.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
03/27/2019	Kamala Harris President United States	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		1,000.00	1,000.00	P2020 \$1,000.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
03/27/2019	Tasha Boerner Horvath State Assembly Person District: 76	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		4,700.00	4,700.00	P2020 \$4,700.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
<b>SUBTOTAL \$</b>				10,400.00		

**Schedule D Summary**

- Contributions and independent expenditures made this period of \$100 or more. (Include all Schedule D subtotals.) ..... \$ 21,345.85
- Unitemized contributions and independent expenditures made this period of under \$100..... \$ 25.00
- Total contributions and independent expenditures made this period. (Add Lines 1 and 2. Do not enter on the Summary Page.) ..... **TOTAL \$** 21,370.85

**Schedule D  
(Continuation Sheet)  
Summary of Expenditures  
Supporting/Opposing Other  
Candidates, Measures and Committees**

Amounts may be rounded  
to whole dollars.

SCHEDULE D (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
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NAME OF FILER Todd Gloria for Assembly 2020	I.D. NUMBER 1416118
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DATE	NAME OF CANDIDATE, OFFICE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE	TYPE OF PAYMENT	DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (F REQUIRED)
05/02/2019	San Diego Democrats for Equality	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		150.00	150.00	P2020 \$150.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
05/03/2019	California Democratic Party	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		295.85	295.85	P2020 \$295.85
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
05/20/2019	API Leadership PAC	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		500.00	500.00	P2020 \$500.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
05/23/2019	San Diego County Democratic Party	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		5,000.00	5,000.00	P2020 \$5,000.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
<b>SUBTOTAL \$</b>				5,945.85		

**Schedule D  
(Continuation Sheet)  
Summary of Expenditures  
Supporting/Opposing Other  
Candidates, Measures and Committees**

Amounts may be rounded  
to whole dollars.

SCHEDULE D (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
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NAME OF FILER Todd Gloria for Assembly 2020	I.D. NUMBER 1416118
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DATE	NAME OF CANDIDATE, OFFICE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE	TYPE OF PAYMENT	DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (F REQUIRED)
06/24/2019	Christy Smith State Assembly Person District: 38	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		1,000.00	1,000.00	P2020 \$1,000.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
06/24/2019	Cottie Petrie-Norris State Assembly Person District: 74	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		1,000.00	1,000.00	P2020 \$1,000.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
06/24/2019	Rebecca Bauer-Kahan State Assembly Person District: 16	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		1,000.00	1,000.00	P2020 \$1,000.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
06/24/2019	James Ramos State Assembly Person District: 40	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		1,000.00	1,000.00	P2020 \$1,000.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
<b>SUBTOTAL \$</b>				4,000.00		

**Schedule D  
(Continuation Sheet)  
Summary of Expenditures  
Supporting/Opposing Other  
Candidates, Measures and Committees**

Amounts may be rounded  
to whole dollars.

SCHEDULE D (CONT.)

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
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NAME OF FILER Todd Gloria for Assembly 2020	I.D. NUMBER 1416118
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DATE	NAME OF CANDIDATE, OFFICE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE	TYPE OF PAYMENT	DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (F REQUIRED)
06/24/2019	Sabrina Cervantes State Assembly Person District: 60	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure		1,000.00	1,000.00	2020 \$1,000.00
	<input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose					
		<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure				
	<input type="checkbox"/> Support <input type="checkbox"/> Oppose					
		<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure				
	<input type="checkbox"/> Support <input type="checkbox"/> Oppose					
		<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Nonmonetary Contribution <input type="checkbox"/> Independent Expenditure				
	<input type="checkbox"/> Support <input type="checkbox"/> Oppose					
<b>SUBTOTAL \$</b>				1,000.00		

**Schedule E  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (F COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Safeguard Business Systems Chicago, IL 60680-1043	OFC			118.96
Nick Serrano San Diego, CA 92101	CNS			500.00
Eduardo Martinez West Sacramento, CA 95691	MTG			31.39

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 650.35

**Schedule E Summary**

1. Itemized payments made this period. (Include all Schedule E subtotals.) .....	\$	42,827.44
2. Unitemized payments made this period of under \$100 .....	\$	374.37
3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).) .....	\$	0.00
4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.) .....	<b>TOTAL \$</b>	<b>43,201.81</b>

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
through	06/30/2019	Page <u>12</u> of <u>25</u>
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Todd Gloria for Assembly 2020		1416118

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

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CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
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CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
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FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Chris Ward for Assembly 2020 (ID# 1415056) Encinitas, CA 92024	CTB			4,700.00
Kamala Harris for the People (ID# C00694455) Washington, DC 20001	CTB			1,000.00
Tasha Boerner Horvath for Assembly 2020 (ID# 1414240) Encinitas, CA 92024	CTB			4,700.00
Ella Dining Room & Bar Sacramento, CA 95814	MTG	03/26/19:	Staff Mtg. w/Candidate +5	103.85
The Brigantine Imperial Beach, CA 91932	MTG	4/5/19:	Staff Lunch post Border Summit w/Candidate +3	155.11

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 10,658.96

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

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CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
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LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Eduardo Martinez West Sacramento, CA 95691	MTG			73.74
San Diego LGBT Pride San Diego, CA 92104-3555	CVC			500.00
Bay Cities Transportation San Carlos, CA 94070	TRC		Debit Card Adj. - Leg. Event Transportation	192.00
Scott & Cronin, LLP Encinitas, CA 92024-8705	PRO			2,301.36
The Gemini Group Williamsburg, VA 23185	WEB			268.04

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 3,335.14

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

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CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
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CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
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LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
The San Diego Padres San Diego, CA 92101	MTG		4/19/19 'Out at the Park Night' - 7 tickets @ \$25 ea.	175.00
Climate Action Campaign San Diego, CA 92116	CVC			1,500.00
Southwest Airlines Dallas, TX 75235-1908	TRC		5/6-5/9/19 SMF-SAN for Leg Duties	251.96
Barrio Star San Diego, CA 92101	MTG		05/02/19: 'Dining Out for Life' Staff Lunch (Candidate +6)	251.35
San Diego Democrats for Equality (ID# 861405) San Diego, CA 92163	CTB			150.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 2,328.31

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
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LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
California Democratic Party (ID# 741666) Sacramento, CA 95814	CTB			295.85
KM Strategies, Inc. San Diego, CA 92103	FND	See Sch. G		619.44
Southwest Airlines Dallas, TX 75235-1908	TRC	5/13-5/16/19 SMF - SAN for Leg Duties		358.96
The SD LGBT Center San Diego, CA 92163	CVC			1,500.00
Scott & Cronin, LLP Encinitas, CA 92024-8705	PRO			1,000.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 3,774.25

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
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IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Ambrosia Fine Food, Inc. Sacramento, CA 95815	MTG		5/21/19: Latino Caucus Bkfst. Mtg.	241.02
KM Strategies, Inc. San Diego, CA 92103	CNS			2,377.50
Puja Navaney Sacramento, CA 95814	TRS		Staff: 5/31-6/1/19 Lodging for CA Dem Party Convention	463.94
Southwest Airlines Dallas, TX 75235-1908	TRC		5/20-5/21 SMF-SAN for Leg Duties	271.96
Southwest Airlines Dallas, TX 75235-1908	TRC		5/31-6/2/19: SAN-SFO-SAN for CA Dem Party Convention	269.96

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 3,624.38

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

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CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
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LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
API Leadership PAC (ID# 1410185) Sacramento, CA 95814	CTB			500.00
Puja Navaney Sacramento, CA 95814	OFC			31.97
San Diego County Democratic Party (ID# 741906) San Diego, CA 92111-1320	CTB			5,000.00
Black American Political Action of CA (BAPAC) SD Chapter San Diego, CA 92174	CVC			500.00
Southwest Airlines Dallas, TX 75235-1908	TRS		Staff: 5/31-5/2/19 SAN-SFO-SAN for CA Dem Party Convention	349.96

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 6,381.93

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

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CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
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FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
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LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Puja Navaney Sacramento, CA 95814	MTG			72.77
Archive Bar & Kitchen San Francisco, CA 94105	MTG		5/31/19: Staff Lunch @ CA Dem Party Convention (Candidate +6)	210.65
Eduardo Martinez West Sacramento, CA 95691	TRS		Staff: 5/31-6/1/19 Lodging for CA Dem Party Convention	426.68
Tropisueno San Francisco, CA 94103	MTG		06/01/19: Staff Lunch @ CA Dem Party Convention (Candidate +7)	106.56
Haley & Company LLC Encinitas, CA 92024	PRO			1,000.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 1,816.66

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
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LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Lorena Gonzalez for Assembly 2020 (ID# 1414350) Encinitas, CA 92024	MTG		Reimb. Delegates Breakfast @ CA Dem Party Convention	1,037.50
San Francisco Marriott Marquis San Francisco, CA 94103	TRC		5/31-6/1/19 Lodging for CA Dem Party Convention	673.38
San Francisco Marriott Marquis San Francisco, CA 94103	TRS		Staff: Lodging 5/31-6/1/19 CA Dem Party Convention	673.38
San Francisco Marriott Marquis San Francisco, CA 94103	TRS		Staff: Lodging 5/31-6/1/19 CA Dem Party Convention	650.14
KM Strategies, Inc. San Diego, CA 92103	CNS			1,395.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 4,429.40

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
through	06/30/2019	Page <u>20</u> of <u>25</u>
NAME OF FILER		I.D. NUMBER
Todd Gloria for Assembly 2020		1416118

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Javier Gomez San Diego, CA 92116	TRS		Staff: Airfare & Lodging for CA Dem Party Convention	267.50
Christy Smith for Assembly 2020 (ID# 1414296) Valencia, CA 91355	CTB			1,000.00
Cottie Petrie-Norris for Assembly 2020 (ID# 1414368) Costa Mesa, CA 92627	CTB			1,000.00
Alina Hernandez Sacramento, CA 95811	TRS		See Sch. G	560.56
Rebecca Bauer-Kahan for Assembly 2020 (ID# 1414500) Orinda, CA 94563	CTB			1,000.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 3,828.06

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
through	06/30/2019	Page <u>21</u> of <u>25</u>
NAME OF FILER		I.D. NUMBER
Todd Gloria for Assembly 2020		1416118

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
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CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Re-Elect James Ramos for Assembly 2020 (ID# 1414557) Sacramento, CA 95814	CTB			1,000.00
Sabrina Cervantes for Assembly 2020 (ID# 1414122) Sacramento, CA 95815	CTB			1,000.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 2,000.00

**Schedule F  
Accrued Expenses (Unpaid Bills)**

Amounts may be rounded  
to whole dollars.

<b>Statement covers period</b>		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
through	06/30/2019	Page 22 of 25
I.D. NUMBER		1416118

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

Todd Gloria for Assembly 2020

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP campaign paraphernalia/misc.	MBR member communications	RAD radio airtime and production costs
CNS campaign consultants	MTG meetings and appearances	RFD returned contributions
CTB contribution (explain nonmonetary)*	OFC office expenses	SAL campaign workers' salaries
CVC civic donations	PET petition circulating	TEL t.v. or cable airtime and production costs
FIL candidate filing/ballot fees	PHO phone banks	TRC candidate travel, lodging, and meals
FND fundraising events	POL polling and survey research	TRS staff/spouse travel, lodging, and meals
IND independent expenditure supporting/opposing others (explain)*	POS postage, delivery and messenger services	TSF transfer between committees of the same candidate/sponsor
LEG legal defense	PRO professional services (legal, accounting)	VOT voter registration
LIT campaign literature and mailings	PRT print ads	WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR DESCRIPTION OF PAYMENT	(a) OUTSTANDING BALANCE BEGINNING OF THIS PERIOD	(b) AMOUNT INCURRED THIS PERIOD	(c) AMOUNT PAID THIS PERIOD (ALSO REPORT ON E)	(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD
Haley & Company LLC Encinitas, CA 92024	PRO	0.00	1,017.79	0.00	1,017.79
<b>SUBTOTALS \$</b>		0.00\$	1,017.79\$	0.00\$	1,017.79

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**Schedule F Summary**

- Total accrued expenses incurred this period. (Include all Schedule F, Column (b) subtotals for accrued expenses of \$100 or more, plus total unitemized accrued expenses under \$100.) ..... **INCURRED TOTALS \$** 1,044.51
- Total accrued expenses paid this period. (Include all Schedule F, Column (c) subtotals for payments on accrued expenses of \$100 or more, plus total unitemized payments on accrued expenses under \$100.) ..... **PAID TOTALS \$** 0.00
- Net change this period. (**Subtract** Line 2 from Line 1. Enter the difference here and on the Summary Page, Column A, Line 9.) ..... **NET \$** 1,044.51  
May be a negative number

**Schedule G**  
**Payments Made by an Agent or Independent Contractor (on Behalf of This Committee)**

Amounts may be rounded to whole dollars.

Statement covers period  
 from 01/01/2019  
 through 06/30/2019

SCHEDULE G

**CALIFORNIA FORM 460**

Page 23 of 25

I.D. NUMBER  
1416118

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

NAME OF AGENT OR INDEPENDENT CONTRACTOR

Alina Hernandez

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |   |   |   |
|---|---|---|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants  | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations   | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events  | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense   | PRO professional services (legal, accounting) | VOT voter registration  |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, e-mail)           |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
InterContinental Hotel & Resort San Francisco, CA 94103	TRS		Staff: Lodging 5/31-6/1/19 CA Dem Party Convention	560.56

Attach additional information on appropriately labeled continuation sheets.

**TOTAL\* \$ 560.56**

\* Do not transfer to any other schedule or to the Summary Page. This total may not equal the amount paid to the agent or independent contractor as reported on Schedule E.

**Schedule G**  
**Payments Made by an Agent or Independent Contractor (on Behalf of This Committee)**

Amounts may be rounded to whole dollars.

Statement covers period		<b>CALIFORNIA FORM 460</b>
from	01/01/2019	
through	06/30/2019	Page 24 of 25

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

I.D. NUMBER

1416118

NAME OF AGENT OR INDEPENDENT CONTRACTOR

KM Strategies, Inc.

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Brasserie Capitale Sacramento, CA 95814-3973	FND			619.44

Attach additional information on appropriately labeled continuation sheets.

**TOTAL\* \$** 619.44

\* Do not transfer to any other schedule or to the Summary Page. This total may not equal the amount paid to the agent or independent contractor as reported on Schedule E.

**Schedule I  
Miscellaneous Increases to Cash**

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 01/01/2019  
through 06/30/2019

SCHEDULE I

**CALIFORNIA FORM 460**  
Page 25 of 25  
I.D. NUMBER  
1416118

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Todd Gloria for Assembly 2020

DATE RECEIVED	FULL NAME AND ADDRESS OF SOURCE ( F COMMITTEE, ALSO ENTER I D. NUMBER)	DESCRIPTION OF RECEIPT	AMOUNT OF INCREASE TO CASH
03/19/2019	Todd Gloria for Assembly 2018 (ID# 1392977) San Diego, CA 92101	Transfer	293,078.05

Attach additional information on appropriately labeled continuation sheets.

**SUBTOTAL \$** 293,078.05

**Schedule I Summary**

- 1. Itemized increases to cash this period. .... \$ 293,078.05
- 2. Unitemized increases to cash of under \$100 this period. .... \$ 0.00
- 3. Total of all interest received this period on loans made to others. (Schedule H, Column (e).) .... \$ 0.00
- 4. Total miscellaneous increases to cash this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Line 14.) ..... **TOTAL \$** 293,078.05

Exhibit F



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## Todd Gloria Files for Assembly Re-Election While Running for San Diego Mayor

POSTED BY [KEN STONE](#) ON AUGUST 13, 2019 IN [POLITICS](#) | [885 VIEWS](#) | [2 COMMENTS](#) | [LEAVE A COMMENT](#)

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Todd Gloria faced off against fellow Democrat and main mayoral rival Barbara Bry at Friday debate. Photo by Chris Stone

By [Ken Stone](#)

Four days after declaring that "I am absolutely running for mayor of San Diego," **Assemblyman Todd Gloria** filed paperwork Tuesday morning to seek re-election in his San Diego district.

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Gloria signed the Form 501 "candidate intention statement" dated Aug. 13. Under "Office Sought" is typed "Assemblymember State of California."

But the development appears to be fallout from an issue raised by **Councilwoman Barbara Bry** — his main rival — during her closing statement Friday in a mayoral debate at San Diego City College.

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By [Hologic](#)

Bry noted the existence of a fundraising committee Gloria set up for the 2020 Assembly race and asked: "Mr. Gloria, I want to know what office you are running for."

He didn't respond on stage, but told Times of San Diego afterward that the committee was part of his Assembly majority whip role to raise money for fellow Democrats in Sacramento and beyond.

On Twitter Tuesday night, Gloria spokesman Nick Serrano said: "Nothing has changed, Ken. Todd is running for Mayor." He didn't respond to questions about the 501 filing.

**Ken Stone** @KenStoneMedia · 20h

This morning, @AsmToddGloria rep filed a Form 501 statement of candidacy for re-election in 78th District. He's already filed paperwork as a San Diego mayor candidate. Story coming soon. [timesofsandiego.com/wp-content/upl...](https://timesofsandiego.com/wp-content/upl...) @cmarinucci @barbarabryd1 @LoveForgivPeace

**Nick Serrano** @TheNickSerrano

Nothing has changed, Ken. Todd is running for Mayor.

2 6:47 PM - Aug 13, 2019 · San Diego, CA

See Nick Serrano's other Tweets

On Monday, however, Alberto Garcia of La Prensa San Diego — the largest local Hispanic newspaper — **reported that Gloria** may have violated state law by failing to file his intention to run for re-election before raising over \$300,000 for his Assembly committee.

"State law requires that all candidates file a Form 501 Candidate Intention Statement declaring their candidacy for election or re-election before any money can be raised for a campaign committee, even if it's the candidate's own money," La Prensa said.

In further reporting Tuesday, **La Prensa said** Gloria's 501 filing for re-election to the Assembly was hand delivered to the Secretary of State's Office the morning after the paper posed questions to Gloria's campaign manager about the missing form.

Ad closed by G

Report this ad Why tl

Even with Tuesday morning's filing, the California **Secretary of State website** by 6:15 p.m. had yet to show a Gloria candidacy statement for Assembly District 78.

- **Related: Gloria Stumping for Assembly? Bry's Stunner at Mayoral Debate**



**MOST POPULAR TODAY**

- 3 People Dead, 2 Injured In Bluff Collapse on Encinitas Beach 3,300 views
- Carl DeMaio Plans 'Major Announcement' on Bid for Hunter Congress Seat 460 views
- Encinitas Beach Reopens After Cliff Collapse 330 views
- Local Men Plead Guilty to Laundering Millions of Dollars Through Gold Exchange Business 300 views
- Border Patrol Arrests U.S. Citizen Smuggling \$238,000 of Meth on Interstate 8 250 views

A spokesman for the state **Fair Political Practices Commission** said Monday that no complaints had been filed about Gloria's Assembly 2020 committee.

The spokesman, Jay Wierenga, told Times of San Diego that it's "quite common" for people to have two fundraising committees.

"The most common is that an incumbent candidate would have an open committee for the office he/she holds and one for an election to a different office," he said.

Wierenga wouldn't comment on Gloria's case specifically but said: "It's perfectly fine to have two committees as long as they are for what they say they are and proper recordkeeping/reporting is being done."

Also Monday, veteran San Diego political consultant **Tom Shepard** explained why Bry didn't raise the issue until the last moments of the debate — when Gloria couldn't respond.



"She anticipated the issue would be the subject of a question during the debate," Shepard said via email. "Since it didn't come up, she added it to her closing remarks."

Shepard said there was nothing technically illegal about Gloria establishing the account, but "it raises several ethical issues and one potential Political Reform Act violation.

"We haven't been able to find any record that he filed a Form 501 Declaration of Candidacy Form for the 2020 Assembly race, which is required before a candidate-controlled committee can begin raising funds," he noted. "If confirmed, this would be a violation of the Political Reform Act and could taint funds raised and expended by the 2020 committee."

What did Bry hope to gain by noting the Assembly 2020 issue?

Shepard, a strategist for her campaign, said: "I don't think most San Diego voters want Sacramento special interests helping finance a candidate for mayor, nor would they support an attempt by a mayoral candidate to circumvent the city's campaign finance ordinance."



He added: "You can clearly see in Gloria's 2020 Assembly committee campaign report that money has been paid to state legislators' campaign committees and subsequently these legislators endorsed his candidacy for mayor. [The committee] also made expenditures for items that are not related to legitimate Assembly-related activities."

Shepard said he fully anticipated that Gloria would run for mayor.

“But if he is concerned with transparency, compliance with local campaign finance laws and independence from Sacramento special interests, it’s a bad way to start his campaign,” he said.

Updated at 7:30 a.m. Aug 14, 2019

TODD GLORIA FILES FOR ASSEMBLY RE-ELECTION WHILE RUNNING FOR SAN DIEGO MAYOR was last modified: August 14th, 2019 by Ken Stone

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ProstaGenix

Exhibit G

Candidate Intention Statement

**RECEIVED AND FILED**  
 in the office of the Secretary of State  
 of the State of California  
**AUG 13 2019**

**CALIFORNIA FORM 501**  
 For Official Use Only

Check One:  Initial  Amendment (Explain) \_\_\_\_\_  
 \_\_\_\_\_

Hand Delivered, Sacramento

**1. Candidate Information:**

NAME OF CANDIDATE (Last, First Middle Initial)		DAYTIME TELEPHONE NUMBER	FAX NUMBER (optional)	EMAIL (optional)
Gloria, Todd		( 619 ) 708-9744	( )	
STREET ADDRESS		CITY	STATE	ZIP CODE
374 N. Coast Hwy 101, Suite 2		Encinitas	CA	92024
OFFICE SOUGHT (POSITION TITLE)	AGENCY NAME	DISTRICT NUMBER, if applicable	<input type="checkbox"/> NON-PARTISAN OFFICE	
Assemblymember	State of California	78	PARTY PREFERENCE: Democratic	
OFFICE JURISDICTION		(Check one box, if applicable.)		
<input checked="" type="checkbox"/> State (Complete Part 2)				
<input type="checkbox"/> City	<input type="checkbox"/> County	<input type="checkbox"/> Multi-County: _____	2020	<input checked="" type="checkbox"/> PRIMARY / GENERAL
			(Year of Election)	<input type="checkbox"/> SPECIAL / RUNOFF

**2. State Candidate Expenditure Limit Statement:**

(CalPERS and CalSTRS candidates, judges, judicial candidates, and candidates for local offices do not complete Part 2.)

(Check one box)

I accept the voluntary expenditure ceiling for the election stated above.

I do not accept the voluntary expenditure ceiling for the election stated above.

Amendment:

I did not exceed the expenditure ceiling in the primary or special election held on: \_\_\_\_/\_\_\_\_/\_\_\_\_ and I accept the voluntary expenditure ceiling for the general or special run-off election.

(Mark if applicable)

On \_\_\_\_/\_\_\_\_/\_\_\_\_, I contributed personal funds in excess of the expenditure ceiling for the election stated above.

**3. Verification:**

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 8/13/2019  
(month, day, year)

Signature *Gloria Todd*  
(Candidate)

Exhibit H



**Ken Stone** @KenStoneMedia · 14h



This morning, @AsmToddGloria rep filed a Form 501 statement of candidacy for re-election in 78th District. He's already filed paperwork as a San Diego mayor candidate. Story coming soon. [timesofsandiego.com/wp-content/upl...](https://timesofsandiego.com/wp-content/upl...) @cmarinucci @barbarabryd1 @LoveForgivPeace

**Candidate Intention Statement**

Check One:  Initial  Amendment (Explain) \_\_\_\_\_



Hand Delivered, Sacramento

**1. Candidate Information:**

NAME OF CANDIDATE (Last, First Middle Initial) Gloria, Todd	DAYTIME TELEPHONE NUMBER ( 818 ) 708-9744	FAX NUMBER (optional) ( )	EMAIL (optional)
STREET ADDRESS 374 N. Coast Hwy 101, Suite 2	CITY Encinitas	STATE CA	ZIP CODE 92024
OFFICE SOUGHT (POSITION TITLE) Assemblymember	AGENCY NAME State of California	DISTRICT NUMBER, if applicable 78	NON-PARTISAN OFFICE <input type="checkbox"/>
OFFICE JURISDICTION <input checked="" type="checkbox"/> State (Complete Part 2) <input type="checkbox"/> City <input type="checkbox"/> County <input type="checkbox"/> Multi-County (Name of Multi-County Jurisdiction)		YEAR OF ELECTION 2020	PARTY PREFERENCE Democratic (Check one box, if applicable.) <input checked="" type="checkbox"/> PRIMARY / GENERAL <input type="checkbox"/> SPECIAL / RUNOFF

**2. State Candidate Expenditure Limit Statement:**

(CaPERS and CalSTRS candidates, judges, judicial candidates, and candidates for local offices do not complete Part 2.)

(Check one box)  
 I accept the voluntary expenditure ceiling for the election stated above.  
 I do not accept the voluntary expenditure ceiling for the election stated above.  
Amendment  
 I did not exceed the expenditure ceiling in the primary or special election held on: \_\_\_\_/\_\_\_\_/\_\_\_\_ and I accept the voluntary expenditure ceiling for the general or special run-off election.

(Mark if applicable)  
 On \_\_\_\_/\_\_\_\_/\_\_\_\_, I contributed personal funds in excess of the expenditure ceiling for the election stated above.

**3. Verification:**

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 8/13/2019  
(month, day, year)

Signature *Todd Gloria*  
(Candidate)

FPPC Form 501 (August/2018)  
FPPC Advice: [advice@fppc.ca.gov](mailto:advice@fppc.ca.gov) (866/275-3772)  
[www.fppc.ca.gov](http://www.fppc.ca.gov)



**Nick Serrano**  
@TheNickSerrano

Nothing has changed, Ken. Todd is running for Mayor.

♥ 2 6:47 PM - Aug 13, 2019 · San Diego, CA

See Nick Serrano's other Tweets

Exhibit I



# Nick Serrano

3,864 Tweets



Follow

## Nick Serrano

@TheNickSerrano Follows you

Communications Director, @AsmToddGloria. Campaign Manager, @ToddGloria for Mayor. Co-Chair, @SanDiegoPride. @SDSU Alum. Opinions are my own. #ForThePeople

San Diego, CA [linkedin.com/in/nserrano1](https://www.linkedin.com/in/nserrano1) Joined July 2011

1,027 Following 1,168 Followers

Followed by SD City Attorney, ProgressiveSanDiego, and 78 others you follow

Tweets

Tweets & replies

Media

Likes 00232

Exhibit J



**Todd Gloria**

@ToddGloria



I want to be very clear.



"Some news reports have suggested that I am seeking re-election to the Assembly. I want to be very clear: **I am not running for the Assembly, I am running for Mayor.**

"My Assembly committee remains open to fulfill my responsibilities as a Member of the State Assembly until the end of my current term. The form filed by my committee yesterday simply corrected an administrative oversight that occurred when the original paperwork was filed months ago."

**- ASSEMBLYMEMBER TODD GLORIA**

**TODD GLORIA**  
SAN DIEGO MAYOR



♥ 76 3:45 PM - Aug 14, 2019 · San Diego, CA



See Todd Gloria's other Tweets

**00234**

Exhibit K

ESPAÑOL

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I'M  
RUNNING  
FOR  
MAYOR

Exhibit L



Todd Gloria  
@toddgloria

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- Videos
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Create a Page



Like Follow Share ...

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- 19,702 people follow this
- Nathan Fletcher and 53 other friends like this

About See All

- (858) 361-0542
- www.toddgloria.com
- Politician
- Suggest Edits

Exhibit M

# Todd Gloria

16.7K Tweets



Following

## Todd Gloria

@ToddGloria

Third Generation San Diegan. Proud son of a maid and a gardener. CA's 1st Native American-Filipino-Latino-LGBT Assemblyman. Candidate for San Diego Mayor.

San Diego, CA <http://www.toddgloria.com> Joined March 2009

3,098 Following 29.3K Followers

Tweets

Tweets & replies

Media

Likes

Pinned Tweet



**Todd Gloria** @ToddGloria · Jul 18

Diversity. Inclusion. Acceptance. These are hallmarks of our city and why I am running to be your Mayor. All of us can have a future in San Diego. Let's build it together. [#BelieveinSD](#) [#ForAllOfUs](#)



Exhibit N



Cal-Access Search

GO

Advanced Search

Cal-Access Home

Campaign Finance

Candidates & Elected Officials

Propositions & Ballot Measures

Committees, Parties, Major Donors & State Matters

Daily/Late/ Special Filings

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# Cal-Access

## CAMPAIGN FINANCE: Candidates & Elected Officials

### Choose a Listing:

- Certified Election Candidates
- Incumbent Elected State Officials
- Candidates and Elected Officials By Name
- Who filed a Statement of Intention to run for What State Office? (Filers may not have qualified for the ballot)
- CalPERS and CalSTRS Candidate Filings, Scanned Images

### Choose an Election:

- 2030 PRIMARY
- 2026 PRIMARY
- 2022 PRIMARY
- 2022 SPECIAL ELECTION (PUBLIC EMPLOYEES RETIREMENT BOARD)
- 2020 PRIMARY
- 2019 SPECIAL ELECTION (ASSEMBLY 01)
- Prior Elections

Before raising any campaign funds, state candidates file "Statements of Intention" to run for a specific office. Candidates can file Statements of Intention for more than one office. They also indicate if they accept or reject Proposition 34 voluntary campaign spending limits.

CANDIDATE NAME	CONTEST	PARTY	ACCEPT SPENDING LIMITS
ACOSTA, DANTE	ASSEMBLY DISTRICT 38	REPUBLICAN	NO
AGUIAR-CURRY, CECILIA	ASSEMBLY DISTRICT 04	DEMOCRATIC	YES
AKBAR, ASAD ALI	ASSEMBLY DISTRICT 09	GREEN PARTY	YES
AKINJO, PAUL	ASSEMBLY DISTRICT 12	DEMOCRATIC	NO
ANDRADE, JESUS M.	STATE SENATE DISTRICT 05	REPUBLICAN	NO
ARAMBULA, JOAQUIN	ASSEMBLY DISTRICT 31	DEMOCRATIC	YES
ATKINS, TONI G.	STATE SENATE DISTRICT 39	DEMOCRATIC	NO
AUSTIN, AL	STATE SENATE DISTRICT 33	DEMOCRATIC	NO
BAJWA, VIPANDEEP S.	ASSEMBLY DISTRICT 20	DEMOCRATIC	YES
BALDWIN-KENNEDY, RONDA N.	ASSEMBLY DISTRICT 44	REPUBLICAN	YES

BAUER-KAHAN, REBECCA	ASSEMBLY DISTRICT 16	DEMOCRATIC	NO
BECKER, JOSH	STATE SENATE DISTRICT 13	DEMOCRATIC	YES
BECKLES, JOVANKA	ASSEMBLY DISTRICT 15	DEMOCRATIC	YES
BENITEZ, RICARDO	ASSEMBLY DISTRICT 39	REPUBLICAN	YES
BERMAN, MARC	ASSEMBLY DISTRICT 24	DEMOCRATIC	YES
BETANCOURT, ELIZABETH	ASSEMBLY DISTRICT 01	DEMOCRATIC	YES
BIGELOW, FRANK	ASSEMBLY DISTRICT 05	REPUBLICAN	YES
BLOOM, RICHARD	ASSEMBLY DISTRICT 50	DEMOCRATIC	YES
BOERNER HORVATH, TASHA	ASSEMBLY DISTRICT 76	DEMOCRATIC	YES
BOGH, ROSILICIE OCHOA	STATE SENATE DISTRICT 23	REPUBLICAN	NO
BONTA, ROB	ASSEMBLY DISTRICT 18	DEMOCRATIC	YES
BRADFORD, STEVEN	STATE SENATE DISTRICT 35	DEMOCRATIC	YES
BRANNING, EVAN	STATE SENATE DISTRICT 07	DEMOCRATIC	YES
BRINK, BURTON	ASSEMBLY DISTRICT 49	REPUBLICAN	YES
BROUGH, BILL	ASSEMBLY DISTRICT 73	REPUBLICAN	YES
BROWN, CHERYL	ASSEMBLY DISTRICT 47	DEMOCRATIC	YES
BROWNRIGG, MICHAEL	STATE SENATE DISTRICT 13	DEMOCRATIC	YES
BRUNTON, ROBERT L.	ASSEMBLY DISTRICT 25	REPUBLICAN	YES
BURKE, AUTUMN	ASSEMBLY DISTRICT 62	DEMOCRATIC	NO
BURKHOLDER, MELANIE G.	ASSEMBLY DISTRICT 76	REPUBLICAN	YES
CALDERON, JIAN	ASSEMBLY DISTRICT 57	DEMOCRATIC	YES
CAMPOS, NORA	STATE SENATE DISTRICT 15	DEMOCRATIC	YES
CANOVA, JAMES D.	ASSEMBLY DISTRICT 25	DEMOCRATIC	YES
CARRILLO, WENDY	ASSEMBLY DISTRICT 51	DEMOCRATIC	YES
CERVANTES, SABRINA	ASSEMBLY DISTRICT 60	DEMOCRATIC	YES
CHANG, LING LING	STATE SENATE DISTRICT 29	REPUBLICAN	YES
CHAU, ED	ASSEMBLY DISTRICT 49	DEMOCRATIC	NO
CHAVEZ, ROCKY	ASSEMBLY DISTRICT 76	REPUBLICAN	YES
CHEN, PHILLIP	ASSEMBLY DISTRICT 55	REPUBLICAN	YES
CHIU, DAVID	ASSEMBLY DISTRICT 17	DEMOCRATIC	YES
CHO, JOSEPH J.	STATE SENATE DISTRICT 29	DEMOCRATIC	YES
CHOL, STEVEN	ASSEMBLY DISTRICT 68	REPUBLICAN	YES
CHU, KANSEN	ASSEMBLY DISTRICT 25	DEMOCRATIC	YES
COOLEY, KEN	ASSEMBLY DISTRICT 08	DEMOCRATIC	NO
COOPER, JIM	ASSEMBLY DISTRICT 09	DEMOCRATIC	NO
CORTESE, DAVID D.	STATE SENATE DISTRICT 15	DEMOCRATIC	YES
	ASSEMBLY		

CUNNINGHAM, DAVID	ASSEMBLY DISTRICT 64	DEMOCRATIC	YES
CUNNINGHAM, JORDAN	ASSEMBLY DISTRICT 35	REPUBLICAN	NO
CUTLER, JUNE YANG	ASSEMBLY DISTRICT 77	REPUBLICAN	YES
DAHLE, BRIAN	STATE SENATE DISTRICT 01	REPUBLICAN	YES
DAHLE, MEGAN	ASSEMBLY DISTRICT 01	REPUBLICAN	YES
DALY, TOM	ASSEMBLY DISTRICT 69	DEMOCRATIC	YES
DAVIES, LAURIE A.	ASSEMBLY DISTRICT 73	REPUBLICAN	YES
DAVIS, SARAH K.	ASSEMBLY DISTRICT 78	DEMOCRATIC	YES
DIAZ, DENISE	STATE SENATE DISTRICT 33	DEMOCRATIC	YES
DIEP, TYLER	ASSEMBLY DISTRICT 72	REPUBLICAN	YES
DIMA-SMITH, GABRIEL	ASSEMBLY DISTRICT 55	NO PARTY PREFERENCE	YES
DIXON, DIANE	ASSEMBLY DISTRICT 74	REPUBLICAN	YES
DODD, BILL	STATE SENATE DISTRICT 03	DEMOCRATIC	YES
DOMINGUEZ, KARINA R	ASSEMBLY DISTRICT 25	NO PARTY PREFERENCE	YES
DZIUBA, THEODORE J	STATE SENATE DISTRICT 01	REPUBLICAN	YES
EGGMAN, SUSAN	STATE SENATE DISTRICT 05	DEMOCRATIC	NO
EGGMAN, SUSAN	ASSEMBLY DISTRICT 13	DEMOCRATIC	YES
ELLIOTT, ROBERT V.	STATE SENATE DISTRICT 05	REPUBLICAN	YES
ERNBY, KELLY	ASSEMBLY DISTRICT 74	REPUBLICAN	YES
ERVIN, JOHNATHON	ASSEMBLY DISTRICT 36	DEMOCRATIC	YES
ESTRADA, MARIA	ASSEMBLY DISTRICT 63	DEMOCRATIC	YES
EUSTICE, MELANIE	ASSEMBLY DISTRICT 73	REPUBLICAN	NO
FIELDS, EUGENE W.	ASSEMBLY DISTRICT 68	DEMOCRATIC	YES
FLORA, HEATH	ASSEMBLY DISTRICT 12	REPUBLICAN	YES
FOLEY, KATRINA	STATE SENATE DISTRICT 37	DEMOCRATIC	NO
FONG, VINCENT	ASSEMBLY DISTRICT 34	REPUBLICAN	YES
FOX, MELISSA	ASSEMBLY DISTRICT 68	DEMOCRATIC	NO
FOX, STEVE	ASSEMBLY DISTRICT 36	DEMOCRATIC	YES
FRAZIER, JR., JAMES I.	ASSEMBLY DISTRICT 11	DEMOCRATIC	YES
FRIEDMAN, LAURA	ASSEMBLY DISTRICT 43	DEMOCRATIC	YES
FRITCHLE, GREGG D.	ASSEMBLY DISTRICT 55	DEMOCRATIC	NO
FUGAZI, CHRISTINA K.	ASSEMBLY DISTRICT 13	DEMOCRATIC	YES
GABRIEL, JESSE	ASSEMBLY DISTRICT 45	DEMOCRATIC	YES
GAINES, BETH B.	STATE SENATE DISTRICT 01	REPUBLICAN	YES
GALLAGHER, JAMES	ASSEMBLY DISTRICT 03	REPUBLICAN	YES
GARCIA, CRISTINA	ASSEMBLY DISTRICT 58	DEMOCRATIC	YES
GARCIA, EDUARDO	ASSEMBLY DISTRICT 56	DEMOCRATIC	YES

GARCIA, KATHLEEN A	STATE SENATE DISTRICT 05	REPUBLICAN	YES
GILDERSLEEVE, TIM T.	STATE SENATE DISTRICT 15	NO PARTY PREFERENCE	YES
GIPSON, MIKE	ASSEMBLY DISTRICT 64	DEMOCRATIC	YES
GLAZER, STEVEN	STATE SENATE DISTRICT 07	DEMOCRATIC	YES
GONZALEZ, LENA	STATE SENATE DISTRICT 33	DEMOCRATIC	YES
GONZALEZ, LORENA S.	ASSEMBLY DISTRICT 80	DEMOCRATIC	YES
GOODFELLOW, KRISTIN	STATE SENATE DISTRICT 23	DEMOCRATIC	NO
GORDON, RICHARD S.	STATE SENATE DISTRICT 13	DEMOCRATIC	YES
GOVER, SUNDAY	ASSEMBLY DISTRICT 77	DEMOCRATIC	NO
GRAHAM, PHIL E.	ASSEMBLY DISTRICT 76	REPUBLICAN	YES
GRAY, ADAM C.	ASSEMBLY DISTRICT 21	DEMOCRATIC	NO
GRAYSON, TIM	ASSEMBLY DISTRICT 14	DEMOCRATIC	YES
GREENBERG - WITHDRAWN, RICHIE	STATE SENATE DISTRICT 11	REPUBLICAN	YES
GREWAL, MANMEET	STATE SENATE DISTRICT 05	DEMOCRATIC	NO
HAMILTON, MICHELLE D.	ASSEMBLY DISTRICT 55	DEMOCRATIC	YES
HEATON JR., STANLEY WARREN	STATE SENATE DISTRICT 21	DEMOCRATIC	YES
HILL, JERRY A.	ASSEMBLY DISTRICT 22	DEMOCRATIC	YES
HILL, STEVE	STATE SENATE DISTRICT 21	DEMOCRATIC	YES
HIME, REX	STATE SENATE DISTRICT 01	REPUBLICAN	NO
HOLDEN, CHRIS	ASSEMBLY DISTRICT 41	DEMOCRATIC	NO
HOLLE, TONI M.	ASSEMBLY DISTRICT 52	REPUBLICAN	YES
IRWIN, JACQUELYN	ASSEMBLY DISTRICT 44	DEMOCRATIC	NO
JOHNSON, NICHOLAS	ASSEMBLY DISTRICT 06	REPUBLICAN	YES
JONES, TRACY B.	ASSEMBLY DISTRICT 54	DEMOCRATIC	YES
JONES-SAWYER SR., REGINALD BYRON	ASSEMBLY DISTRICT 59	DEMOCRATIC	YES
KALRA, ASH	ASSEMBLY DISTRICT 27	DEMOCRATIC	YES
KAMLAGER, SYDNEY	ASSEMBLY DISTRICT 54	DEMOCRATIC	NO
KHAMIS, JOHNNY	STATE SENATE DISTRICT 15	NO PARTY PREFERENCE	YES
KILEY, KEVIN	ASSEMBLY DISTRICT 06	REPUBLICAN	YES
KILEY, KEVIN	STATE SENATE DISTRICT 01	REPUBLICAN	YES
KITCHENS, NEIL G.	STATE SENATE DISTRICT 17	REPUBLICAN	YES
KNECHEL, NANCY A.	STATE SENATE DISTRICT 01	DEMOCRATIC	YES
KOPP, QUENTIN L.	STATE SENATE DISTRICT 11	NO PARTY PREFERENCE	YES
LACKEY, THOMAS W.	ASSEMBLY DISTRICT 36	REPUBLICAN	NO
LAIRD, JOHN S.	STATE SENATE DISTRICT 17	DEMOCRATIC	YES
LARA, RICARDO	ASSEMBLY DISTRICT 58	DEMOCRATIC	NO
LEE, ALEY T.	ASSEMBLY	DEMOCRATIC	YES

NAME	DISTRICT	PARTY	YES/NO
LEVINE, MARC	ASSEMBLY DISTRICT 10	DEMOCRATIC	YES
LIEBER, SALLY	STATE SENATE DISTRICT 13	DEMOCRATIC	YES
LIMON, S. MONIQUE	ASSEMBLY DISTRICT 37	DEMOCRATIC	YES
LOW, EVAN	ASSEMBLY DISTRICT 28	DEMOCRATIC	YES
LOWENTHAL, BONNIE	STATE SENATE DISTRICT 33	DEMOCRATIC	NO
LOWENTHAL, JOSH	ASSEMBLY DISTRICT 72	DEMOCRATIC	NO
MAIENSCHN, BRIAN	ASSEMBLY DISTRICT 77	DEMOCRATIC	NO
MARTINEZ, EFREN	ASSEMBLY DISTRICT 59	DEMOCRATIC	YES
MARTINEZ, JULIO	STATE SENATE DISTRICT 23	DEMOCRATIC	YES
MASUR, SHELLY	STATE SENATE DISTRICT 13	DEMOCRATIC	YES
MATHIS, DEVON J.	ASSEMBLY DISTRICT 26	REPUBLICAN	YES
MAYES, CHAD	ASSEMBLY DISTRICT 42	REPUBLICAN	YES
MAZAREI, ALI	ASSEMBLY DISTRICT 61	REPUBLICAN	YES
MAZINGO, DENANTIONETTE J.	ASSEMBLY DISTRICT 42	DEMOCRATIC	YES
MC CARTY, KEVIN	ASSEMBLY DISTRICT 07	DEMOCRATIC	YES
MC CAULLEY, OLLIE M.	ASSEMBLY DISTRICT 36	DEMOCRATIC	YES
MC COY, MARVIN C.	STATE SENATE DISTRICT 35	DEMOCRATIC	YES
MEDINA, ABIGAIL M.	STATE SENATE DISTRICT 23	DEMOCRATIC	YES
MEDINA, JOSE J.	ASSEMBLY DISTRICT 61	DEMOCRATIC	YES
MELLENDEZ, MELISSA	ASSEMBLY DISTRICT 67	REPUBLICAN	YES
MILLER, KATHERINE M.	ASSEMBLY DISTRICT 13	DEMOCRATIC	NO
MIN, DAVE	STATE SENATE DISTRICT 37	DEMOCRATIC	NO
MOORLACH, JOHN	STATE SENATE DISTRICT 37	REPUBLICAN	YES
MORENO SORIA, JOSE C.	ASSEMBLY DISTRICT 20	NO PARTY PREFERENCE	NO
MORRELL (WITHDRAWN), MIKE	ASSEMBLY DISTRICT 40	REPUBLICAN	YES
MORRELL, MIKE	STATE SENATE DISTRICT 23	REPUBLICAN	YES
MORRELL, MIKE	ASSEMBLY DISTRICT 41	REPUBLICAN	YES
MUELLER, KIPP	STATE SENATE DISTRICT 21	DEMOCRATIC	NO
MULLIN, KEVIN	ASSEMBLY DISTRICT 22	DEMOCRATIC	YES
MURATSUCHI, AL	ASSEMBLY DISTRICT 66	DEMOCRATIC	NO
MURRAY, MICHAEL	ASSEMBLY DISTRICT 76	REPUBLICAN	YES
NAZARIAN, ADRIN	ASSEMBLY DISTRICT 46	DEMOCRATIC	NO
NELSON, MATTHEW	ASSEMBLY DISTRICT 04	REPUBLICAN	YES
NEWMAN, JOSU B.	STATE SENATE DISTRICT 29	DEMOCRATIC	YES
NGUYEN, MADISON	STATE SENATE DISTRICT 15	DEMOCRATIC	YES
NGUYEN, SON	ASSEMBLY DISTRICT 20	REPUBLICAN	YES

NOHRDEN, VICKI L.	STATE SENATE DISTRICT 17	REPUBLICAN	YES
DBERNOLTE, JAY	ASSEMBLY DISTRICT 33	REPUBLICAN	YES
O'DONNELL, PATRICK	ASSEMBLY DISTRICT 70	DEMOCRATIC	NO
OHLSEN, ERIC A.	ASSEMBLY DISTRICT 36	DEMOCRATIC	YES
OLIVA, ANNE E.	STATE SENATE DISTRICT 13	DEMOCRATIC	YES
PATTERSON, JIM	ASSEMBLY DISTRICT 23	REPUBLICAN	NO
PEARSON, RAY	ASSEMBLY DISTRICT 76	REPUBLICAN	YES
PERLIN, MICAH JEREMY	ASSEMBLY DISTRICT 78	DEMOCRATIC	YES
PETRIE-NORRIS, COTTIE	ASSEMBLY DISTRICT 74	DEMOCRATIC	NO
PHELPS, ANDREW	ASSEMBLY DISTRICT 26	DEMOCRATIC	YES
PLATA, GODFREY	ASSEMBLY DISTRICT 53	DEMOCRATIC	YES
PORTANTINO, ANTHONY	STATE SENATE DISTRICT 25	DEMOCRATIC	NO
PURACI, CRISTINA S.	STATE SENATE DISTRICT 23	REPUBLICAN	YES
QUINTANA, ANA MARIA	STATE SENATE DISTRICT 33	DEMOCRATIC	YES
QUIRK, BILL	ASSEMBLY DISTRICT 20	DEMOCRATIC	YES
QUIRK-SILVA, SHARON	ASSEMBLY DISTRICT 65	DEMOCRATIC	NO
RAMOS, JAMES	ASSEMBLY DISTRICT 40	DEMOCRATIC	NO
RAVEL, ANN	STATE SENATE DISTRICT 15	DEMOCRATIC	YES
RENDON, ANTHONY	ASSEMBLY DISTRICT 63	DEMOCRATIC	NO
REYES, ELOISE	ASSEMBLY DISTRICT 47	DEMOCRATIC	NO
RHINEHART, SCOTT	ASSEMBLY DISTRICT 73	DEMOCRATIC	YES
RIVAS, LUZ	ASSEMBLY DISTRICT 39	DEMOCRATIC	NO
RIVAS, ROBERT	ASSEMBLY DISTRICT 30	DEMOCRATIC	NO
RIZO, AILEEN M.	ASSEMBLY DISTRICT 23	DEMOCRATIC	YES
RODRIGUEZ, ANDREW E.	ASSEMBLY DISTRICT 55	DEMOCRATIC	YES
RODRIGUEZ, FREDDIE	ASSEMBLY DISTRICT 52	DEMOCRATIC	NO
ROTH, CHRISTOPHER M.	ASSEMBLY DISTRICT 53	DEMOCRATIC	YES
ROTH, RICHARD D.	STATE SENATE DISTRICT 31	DEMOCRATIC	NO
RUBIO, BLANCA	ASSEMBLY DISTRICT 48	DEMOCRATIC	YES
SACHS, ED	ASSEMBLY DISTRICT 73	REPUBLICAN	YES
SALAS JR., RUDY	ASSEMBLY DISTRICT 32	DEMOCRATIC	NO
SALEH, ALI	STATE SENATE DISTRICT 33	DEMOCRATIC	YES
SANTIAGO, MIGUEL	ASSEMBLY DISTRICT 53	DEMOCRATIC	YES
SHEN, GEORGE	STATE SENATE DISTRICT 29	REPUBLICAN	NO
SKINNER, NANCY	STATE SENATE DISTRICT 09	DEMOCRATIC	YES
SMITH, CHRISTY	ASSEMBLY DISTRICT 38	DEMOCRATIC	NO
SMITH, JACKIE	ASSEMBLY DISTRICT 26	DEMOCRATIC	YES

	DISTRICT	UP	
SOLACHE, JOSE L.	STATE SENATE DISTRICT 33	DEMOCRATIC	YES
SONG, ANNA	ASSEMBLY DISTRICT 25	DEMOCRATIC	YES
STERN, HENRY	STATE SENATE DISTRICT 27	DEMOCRATIC	NO
STONE, MARK	ASSEMBLY DISTRICT 29	DEMOCRATIC	YES
SWANSON, SANDRE	STATE SENATE DISTRICT 09	DEMOCRATIC	YES
THANN, JACK I.	STATE SENATE DISTRICT 05	DEMOCRATIC	YES
TING, PHIL	ASSEMBLY DISTRICT 19	DEMOCRATIC	YES
UENO, NICOLE A.	ASSEMBLY DISTRICT 78	DEMOCRATIC	YES
URANGA, ROBERTO	STATE SENATE DISTRICT 33	DEMOCRATIC	YES
VARTANIAN (WITHDRAWN), MICHAEL H.	ASSEMBLY DISTRICT 27	NO PARTY PREFERENCE	YES
VASQUEZ-WILSON, LETICIA	STATE SENATE DISTRICT 33	DEMOCRATIC	YES
VERDIN, VICTOR J.	ASSEMBLY DISTRICT 51	GREEN PARTY	YES
VILLALOBOS, ALEXIS	ASSEMBLY DISTRICT 20	DEMOCRATIC	YES
VILLAPUDUA, CARLOS	ASSEMBLY DISTRICT 13	DEMOCRATIC	YES
VOEPEL, RANDY G.	ASSEMBLY DISTRICT 71	REPUBLICAN	YES
WALDRON, MARIE	ASSEMBLY DISTRICT 75	REPUBLICAN	NO
WARD, CHRISTOPHER M.	ASSEMBLY DISTRICT 78	DEMOCRATIC	YES
WEBER, SHIRLEY	ASSEMBLY DISTRICT 79	DEMOCRATIC	YES
WHITE, ALFRED LLOYD	STATE SENATE DISTRICT 23	REPUBLICAN	NO
WICKS, BUFFY	ASSEMBLY DISTRICT 15	DEMOCRATIC	YES
WIENER, SCOTT D.	STATE SENATE DISTRICT 11	DEMOCRATIC	NO
WILK, SCOTT T.	STATE SENATE DISTRICT 21	REPUBLICAN	NO
WILLIAMS, DAS	STATE SENATE DISTRICT 19	DEMOCRATIC	NO
WILSKE, LARRY A.	ASSEMBLY DISTRICT 71	REPUBLICAN	YES
WOOD, JIM	ASSEMBLY DISTRICT 02	DEMOCRATIC	YES
YEAGER, KENNETH E.	STATE SENATE DISTRICT 15	DEMOCRATIC	YES
YU, BENJAMIN	ASSEMBLY DISTRICT 68	REPUBLICAN	NO

# **FILE ATTACHMENT**

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Todd Gloria

**ELECTRONICALLY FILED**  
Superior Court of California,  
County of San Diego  
**08/28/2019** at 06:59:00 PM  
Clerk of the Superior Court  
By Treva Cutts, Deputy Clerk

8 **SUPERIOR COURT OF THE STATE OF CALIFORNIA**  
9 **FOR THE COUNTY OF SAN DIEGO, CENTRAL DIVISION**

10 MAT WAHLSTROM,

11 Plaintiff,

12 v.

13 TODD GLORIA; SAN DIEGO COUNTY  
14 DEMOCRATIC PARTY, and DOES 1-100,  
inclusive,,

15 Defendants.

CASE NO.: 37-2019-00043494-CU-JR-CTL

[Assigned for All Purposes to Hon. Ronald F.  
Frazier - Dept. C-65]

**DEFENDANT TODD GLORIA'S  
OPPOSITION TO EX PARTE REQUEST FOR  
ISSUANCE OF TEMPORARY  
RESTRAINING ORDER AND ORDER TO  
SHOW CAUSE REGARDING  
PRELIMINARY INJUNCTION**

Date: August 29, 2019  
Time: 8:30 a.m.  
Dept: C-65

Complaint Filed: August 19, 2019  
Trial Date: None Set

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1 **MEMORANDUM OF POINTS AND AUTHORITIES**

2 **A. INTRODUCTION**

3 Plaintiff asks the Court for injunctive relief that would unconstitutionally suppress the free  
4 speech rights of Assembly Member Todd Gloria as he seeks to exercise his right to use his  
5 campaign funds for lawful purposes. This request for a Temporary Restraining Order, during a  
6 critical time of Assembly Member Gloria's campaign for Mayor of San Diego, is being brought  
7 solely for political purposes in an attempt to use the Court for political advantage. The Court  
8 should deny the Plaintiff's request to unnecessarily intervene in an electoral campaign.

9 Plaintiff does not meet the standard for the Court to issue a Temporary Restraining Order.  
10 First, Plaintiff has made absolutely no showing of imminent harm. Plaintiff made the unsupported  
11 statement in his Complaint that there is an agreement between Gloria and the San Diego County  
12 Democratic Party (SDCDP) that funds in Gloria's campaign account will be given to the SDCCDP  
13 in exchange for their support of his mayoral campaign. (*See* Complaint, ¶19.) Yet, Plaintiff has  
14 not presented a shred of evidence to this Court to suggest that any such transfer has been agreed  
15 upon or contemplated.

16 Gloria was admittedly late in filing a required campaign form (Form 501) for his 2020  
17 Assembly campaign. That form has now been filed. Gloria reported the issue to the California  
18 Fair Political Practices Commission (FPPC), the State agency charged with interpreting and  
19 enforcing the State's complex campaign rules, and the matter is currently pending before the FPPC.  
20 The Political Reform Act (Act) allows the Court to require a private party seeking an injunction  
21 under the Act to await FPPC action on a complaint before moving forward with the injunction  
22 request. Therefore, the Court should defer taking any action until the FPPC renders a decision.

23 Plaintiff previously filed a complaint with the District Attorney and City Attorney asking  
24 them to pursue these claims. Under the private right of action provisions of the Act, Plaintiff must  
25 wait 120 days to pursue a civil action while awaiting a decision by those agencies.

26 Plaintiff also is unlikely to prevail on the merits. Gloria's filing of the Form 501 on August  
27 13, 2019 cured his prior failure to file a Form 501, allowing him to spend his funds for any  
28 political, legislative or governmental purpose. The fact that Gloria has committees for two

1 different offices is of no consequence, and Gloria could have used the leftover funds in his 2018  
2 committee to make contributions to the SDCDP had he not transferred the funds to his 2020  
3 Assembly committee. Lastly, because the transferred funds were not “contributions” under the  
4 Act, it is arguable that Gloria was not even required to file a Form 501 prior to transferring leftover  
5 funds to his Assembly 2020 Committee.

6 There is simply no need for the Court to intervene in this electoral matter. Plaintiff is  
7 wrong in his legal assertions has made no showing of imminent harm, and the FPPC will determine  
8 the appropriate remedies. The Court should reject Plaintiff’s request for injunctive relief.

### 9 **B. BACKGROUND**

10 Following his 2018 re-election to the State Assembly, Gloria opened a committee to run for  
11 Mayor of San Diego and, as is permitted under State law, opened a separate campaign committee,  
12 Todd Gloria for Assembly 2020 (Assembly 2020 Committee). After creating the committee,  
13 Gloria transferred \$293,078.05 from his Assembly 2018 Committee to the Assembly 2020  
14 Committee. These funds could have remained in the Assembly 2018 Committee and been used for  
15 any political, legislative or governmental purpose – including contributions to SDCDP. Similarly,  
16 funds in the Assembly 2020 Committee may be used for any political, legislative or governmental  
17 purpose. At no point did these funds become surplus funds under the Political Reform Act, despite  
18 Plaintiff’s suggestions to the contrary.

19 The Assembly 2020 Committee inadvertently failed to initially file an FPPC Form 501  
20 Candidate Intention Statement, but corrected this inadvertent error on August 13, 2019. The  
21 following day, and before this civil action was initiated, Gloria filed a “self-referral” letter with the  
22 FPPC identifying the error. (Declaration of Stephen J. Kaufman, ¶ 2, Exhibit “1.”) The FPPC is  
23 currently reviewing the issue. Plaintiff filed a complaint that same day with the District Attorney  
24 and the City Attorney, asking them to pursue a civil lawsuit against Gloria under the private right  
25 of action provisions of the Act. (Kaufman Decl., Exhibit “2.”) Plaintiff then filed this suit on  
26 August 19, 2019.

27 ///

28 ///

1 **C. PLACING RESTRICTIONS ON GLORIA'S CAMPAIGN EXPENDITURES**  
2 **INFRINGES ON HIS RIGHT TO FREE SPEECH**

3 Plaintiff is taking the extraordinary step of asking this Court to enjoin Gloria from making  
4 campaign expenditures, such as contributions to the San Diego County Democratic Party  
5 ("SDCDP"), and prohibiting the SDCCDP from making any expenditures using these funds. Such  
6 expenditures – were they even contemplated by the parties – constitute the highest level of political  
7 speech. (*Buckley v. Valeo* (1976) 474 U.S. 1, 19-21.)

8 As the Supreme Court noted in *Buckley*, "restrictions on the amount of money that can be  
9 spent on political communication during a campaign 'necessarily reduces' the quantity of  
10 expression by limiting the number of issues discussed, the depth of the discussion, and the  
11 discussion's reach." (*Id.* at 19-21.) Accordingly, *Buckley* established that government efforts  
12 aimed at limiting campaign expenditures are subject to the strictest level of constitutional scrutiny.

13 The California Supreme Court, in *In re Barry*, specifically applied the strict scrutiny  
14 standard to evaluate the validity of injunctions, stating "it is clear that constitutionally permissible  
15 restrictions upon the exercise of First Amendment rights must be drawn with a narrow specificity  
16 calculated to prevent repression of expressive activities as to which restriction is constitutionally  
17 forbidden." (*In re Barry* (1968) 68 Cal. 2d 137, 155 (holding temporary restraining order was  
18 unconstitutionally overbroad in that it unnecessarily restricted the exercise of First Amendment  
19 rights).)

20 Here, Gloria's and the SDCCDP's first amendment rights to exercise free speech through the  
21 making of political campaign expenditures are at issue. These rights are subject to the highest level  
22 of scrutiny, and may not be abridged unless absolutely necessary. There is no showing by Plaintiff  
23 here that would warrant infringement upon these precious rights.

24 **D. PETITIONER IS NOT ENTITLED TO INJUNCTIVE RELIEF**

25 Petitioner's request for a Temporary Restraining Order ("TRO") should be denied. The  
26 granting of injunctive relief is an extraordinary power, to be exercised with great caution. A TRO  
27 is only appropriate to "restrain[] the . . . continuance of the act complained of" when "great or  
28 irreparable injury will result to the applicant before the matter can be heard on notice." (C.C.P. §§

1 526(a), 527(c).) Two interrelated factors must be considered in determining whether to issue a  
2 TRO: (1) the likelihood that the plaintiff will prevail on the merits at trial, and (2) the interim harm  
3 that the plaintiff is likely to sustain if the restraining order were denied as compared to the harm  
4 that the defendant is likely to suffer if the order were issued. (*Church of Christ in Hollywood v.*  
5 *Sup. Ct.* (2002) 99 Cal. App. 4th 1244, 1251.) While courts may balance the factors in making a  
6 determination, “[A] trial court may not grant a [restraining order], regardless of the balance of  
7 interim harm, unless there is some possibility that the plaintiff would ultimately prevail on the  
8 merits of the claim.” (*Id.* at 1251)

9 **1. Plaintiff Cannot Demonstrate Imminent Harm**

10 Plaintiff has not made *any* showing that irreparable public harm will occur if funds from  
11 Gloria’s Assembly 2020 Committee are contributed to the SDCDP and then spent by the Party to  
12 support Gloria’s Mayoral campaign. In fact, Plaintiff has not presented a shred of evidence to  
13 establish interim harm. Plaintiff’s claims are purely speculative, and even if such a contribution  
14 were contemplated, Plaintiff has not established a legal basis for claiming it would be illegal.  
15 As noted, this issue is currently being reviewed by the FPPC. The FPPC’s decision will guide the  
16 future use of the transferred funds from Gloria’s Assembly 2018 Committee to his Assembly 2020  
17 Committee. To our knowledge, the FPPC has never ordered a party to return or refrain from  
18 spending funds simply because a Form 501 was not timely filed. Thus, Plaintiff is asking the Court  
19 to wade into uncharted territory. Awaiting the determination of the FPPC – the State agency  
20 charged with interpreting and enforcing the Act – is, therefore, the most appropriate way to  
21 proceed under the circumstances. Because Plaintiff has not made any showing of imminent harm,  
22 Plaintiff’s request for relief must be denied.

23 **2. Plaintiff Is Not Likely to Prevail on the Merits**

24 Plaintiff is unlikely to prevail on the merits for several reasons. First, the matter pending  
25 before the FPPC is likely to preclude further civil or injunctive action. Second, the matter is also  
26 pending before the San Diego District Attorney and San Diego City Attorney, which necessitates  
27 Plaintiff waiting 120 days before filing a civil action. Third, the Plaintiff’s legal arguments are  
28 erroneous.

1 a. The FPPC Case Precludes Further Action.

2 Under the Act, if the FPPC takes administrative action to resolve a complaint, the issues  
3 involved may not be the subject of a civil action. (Govt Code § 91008.5.) Gloria made a self-  
4 referred complaint to the FPPC regarding the late filing of his Form 501. (Kaufman Declaration,  
5 ¶2, Exh. "1.") The FPPC can respond by requiring Gloria and his committee to (a) cease and desist  
6 any violations of the Act; (b) file any missing reports, statements, or other documents; or (c) pay a  
7 monetary penalty. (Govt. Code §83116.) If the Commission orders any of these actions, a civil  
8 action by Plaintiff would be precluded.

9 Further, private individuals seeking an injunction under the Act may be required by the  
10 Court to file a complaint with the FPPC *prior to* seeking injunctive relief. (Govt Code § 91003(a).)  
11 Since a complaint is currently pending before the FPPC in this matter, this Court should defer any  
12 action until that complaint is resolved.

13 b. Plaintiff Has Not Waited the Required 120 Days Before Filing a Civil  
14 Action

15 Under the Act, any private party seeking to enforce the Act's provisions must first give the  
16 FPPC or the civil prosecutor 120 days to either initiate a civil action or give permission to the  
17 private party to sue. (Govt Code §91007.) If the civil prosecutor or FPPC fail to respond within  
18 120 days, the private party has permission to initiate a civil action.

19 Plaintiff, in his persistent attempt to secure governmental interference in the San Diego  
20 Mayoral election, filed a request on August 14, 2019, with the District Attorney and City Attorney  
21 asking them to take action on the issues raised in this complaint. (Kaufman Decl., ¶3, Exh. "2.")  
22 Plaintiff has not received permission from the District Attorney or the City Attorney to initiate a  
23 civil suit, nor has 120 days passed since Plaintiff made his request. Therefore, Plaintiff is currently  
24 precluded from initiating a civil action on the issues that are the subject of this request for  
25 injunctive relief.

26 c. Plaintiff's Legal Arguments are Erroneous.

27 Even if Plaintiff's Complaint survives the procedural infirmities stated above, there is little  
28 possibility that Plaintiff will ultimately prevail on his claims. Plaintiff's arguments are both

1 factually inaccurate and legally flawed.

2 First, Gloria's filing of the Form 501 on August 13, 2019, cured any technical deficiencies  
3 in his paperwork. Although filed late, the Form 501 was filed months before the 2020 election and  
4 simply reiterated what was already publicly stated in the Form 410 Statement of Organization filed  
5 by Gloria's Assembly 2020 Committee on February 19, 2019. The Form 410 stated, among other  
6 things, Gloria's intention to be a candidate for State Assembly District 78 in the 2020 election.  
7 (*See* Form 410, Exh. "D" to Plaintiff's Decl.) Thus, despite Plaintiff's claims, the Form 501  
8 simply reiterated information that had been available to the public since February 19. And,  
9 expenditures made by Gloria's Assembly 2020 Committee before that form was filed legally could  
10 have been made by his Assembly 2018 Committee, had Gloria chosen to leave the transferred  
11 funds in that committee's bank account rather than transferring them to the new committee.

12 Plaintiff improperly asserts that the leftover funds in Gloria's Assembly 2018 committee  
13 were surplus funds, and that a contribution to the SDCDP would be an illegal use of surplus funds.  
14 Government Code section 89519(a), the "surplus funds" provision of the Act, states:

15 *Upon the 90th day after leaving an elective office, or the 90th day*  
16 *following the end of the postelection reporting period following the*  
17 *defeat of a candidate for elective office, whichever occurs last,*  
18 *campaign funds under the control of the former candidate or elected*  
*officer shall be considered surplus campaign funds and shall be*  
*disclosed pursuant to Chapter 4 (commencing with Section 84100).*

19 (Emphasis added.)

20 Gloria won his re-election campaign in 2018. He is the current incumbent Assembly  
21 Member representing the 78th Assembly District. He has neither left office, nor was he defeated in  
22 the 2018 election. Therefore, Gloria's leftover funds from his 2018 campaign are not – as Plaintiff  
23 asserts -- surplus funds.

24 Rather, as permitted by FPPC rules and regulations, Gloria may continue to use those funds  
25 for any political, legislative or governmental purpose until the 90th day after he leaves office.  
26 (Campaign Disclosure Manual 1, Chapter 6.1; *see also*, Government Code sections 89510 through  
27 89522.) The only restriction on Gloria's use of leftover funds from his 2018 campaign is that he  
28 could not have used the funds to run for future office unless he transferred them to a new

1 committee – which he did. (2 CCR §18525(b).)

2 All expenditures made by the Assembly 2020 Committee to date either have been  
3 associated with holding office or were contributions to other candidates or party committees.  
4 These expenditures all have a political, legislative or governmental purpose that could have applied  
5 equally to the Assembly 2018 committee had Gloria chosen to keep that committee open. This  
6 would also be true of a contribution to the SDCDP – if he wanted to do that. Thus, the failure to  
7 timely file a Form 501 does not change the purposes for which those funds may be spent.

8 Moreover, there is nothing in the Act that prohibits an elected official from maintaining two  
9 different committees for different offices. (*Martinez* FPPC Advice Letter, I-00-187.) In fact,  
10 FPPC regulations contemplate an individual running for both state and local office. “When an  
11 individual is *simultaneously a candidate for elective state office and elective office in a local*  
12 *government agency*, or for elective office in two different local jurisdictions, he or she shall, in  
13 addition to any other requirements relating to the filing of campaign statements, file campaign  
14 statements for all committees he or she controls with both jurisdictions at the locations specified in  
15 Section 84215 on the dates the candidate is required to file semiannual statements under Section  
16 84200 and preelection statements under Sections 84200.5 and 84200.8.” (2 CCR § 18405(b)(1)  
17 (emphasis added).) Thus, there is no basis for Plaintiff’s claim that expenditures from Gloria’s  
18 Assembly 2020 Committee are illegal by virtue of his status as a candidate for multiple offices.

19 Finally, we question whether it was even necessary for Gloria’s Assembly 2020 Committee  
20 to file a Form 501 before transferring leftover funds from his Assembly 2018 Committee to his  
21 Assembly 2020 Committee, because such funds are not considered “contributions” solicited or  
22 received under the Act.

23 A candidate is required to file a Form 501 “prior to the solicitation or receipt of any  
24 contribution.” (Cal Govt Code §85200.) Gloria moved the leftover funds remaining from his 2018  
25 campaign to his Assembly 2020 Committee on March 19, 2019 under the “carry over” provisions  
26 of the Act. Government Code section 85317 states:

27 Notwithstanding subdivision (a) of Section 85306, a candidate for  
28 elective state office may carry over contributions raised in  
connection with one election for elective state office to pay campaign  
expenditures incurred in connection with a subsequent election for

1 the same elective state office

2 FPPC regulations clarify that “carry over” – as that term is used in Section 85317 – “refers  
3 to the movement of campaign funds to the candidate's controlled committee established for a  
4 subsequent election to the *same* elective state office without attribution as required by Government  
5 Code section 85306(a).” (2 CCR §18537.1(a) (emphasis added).) “The committee receiving the  
6 funds is required to report the carry over transfer as a miscellaneous increase to cash on Schedule I  
7 -- rather than as a contribution on Schedule A.” (FPPC Campaign Disclosure Manual 1, Chapter  
8 1.11.) Gloria’s transfer of carry over funds was reported accordingly.<sup>1</sup>

9 Since Gloria’s “carry over” funds are not considered “contributions,” it is questionable  
10 whether he was even required to file a Form 501 before transferring such funds to his Assembly  
11 2020 Committee. Again, a Form 501 is only required “prior to the solicitation or receipt of any  
12 contribution.” (Cal Govt Code §85200.) Since the majority of funds at issue were not  
13 “contributions” received or solicited by the 2020 Assembly Committee, the existence of a Form  
14 501 prior to the transfer had no effect on these funds.

15 **E. CONCLUSION**

16 For all of the forgoing reasons, Plaintiff has not met the requirements for obtaining  
17 injunctive relief, and the Court should deny Plaintiff’s request for issuance of a Temporary  
18 Restraining Order and Order to Show Cause re Preliminary Injunction.

19 Dated: August 28, 2019

KAUFMAN LEGAL GROUP, APC

21 By: 

22 STEPHEN J. KAUFMAN  
23 GARY S. WINUK  
24 Attorneys for Defendant,  
25 Todd Gloria

26 \_\_\_\_\_  
27 <sup>1</sup> By contrast, transfers of funds from a committee formed for one state office to a committee formed to run for another  
28 state office must be attributed to the original committee’s donors using established accounting principles and must be  
reported on the contribution schedule (Schedule A). Those transferred contributions are also subject to applicable  
contribution limits.

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**PROOF OF SERVICE**

**STATE OF CALIFORNIA, COUNTY OF LOS ANGELES**

I am employed in the County of Los Angeles, State of California. I am over the age of 18 years and not a party to the within entitled action. My business address is Kaufman Legal Group, 777 S. Figueroa St., Ste. 4050, Los Angeles, California 90017.

On August 28, 2019, I served the following document(s):

**OPPOSITION TO EX PARTE REQUEST FOR ISSUANCE OF TEMPORARY RESTRAINING ORDER**

**DECLARATION OF STEPHEN J. KAUFMAN IN SUPPORT OF OPPOSITION TO EX PARTE REQUEST FOR ISSUANCE OF TEMPORARY RESTRAINING ORDER**

on all interested parties in this action, as stated on the attached Service List.

(BY U.S. POSTAL SERVICE, 1013a, 2015.5 C.C.R.) By placing a true and correct copy of the aforementioned document(s) in a sealed envelope and placing it in the mail depository at my place of business with postage prepaid, addressed as listed on the Service List.

(BY CERTIFIED MAIL DELIVERY) I served the above described documents in said action by placing a true copy thereof enclosed in a sealed envelope with postage thereon prepaid for CERTIFIED MAIL, Tracking No. \_\_\_\_\_, in the U.S. mail at Los Angeles, CA to the individuals on the Service List below.

(BY ELECTRONIC DELIVERY) By transmitting/delivering a true and correct copy of the aforementioned document(s) to said parties at the electronic mail address(es) listed on the attached Service List.

(BY HAND DELIVERY) I delivered the foregoing envelope by hand to the individual(s) on the attached Service List.

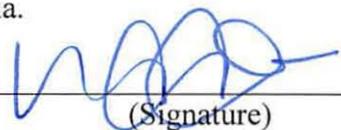
(BY FACSIMILE) I served the document described herein on the interested parties in this action by transmitting a true copy thereof via facsimile from fax number (213) 452-6575 at [time], to the individual(s) on the attached Service List. The fax machine I used complied with Rule of Court 2.306, and no error was reported by the machine. Pursuant to Rule of Court 2.306(h)(4), I caused the machine to print a record of the transmission and have attached it hereto. Such transmission report was properly issued by the sending fax machine.

(STATE) I declare under penalty of perjury under the laws of the State of California that the above is true and correct.

(FEDERAL) I declare that I am employed in the office of a member of the bar of this Court at whose direction the service was made.

Executed on August 28, 2019, at Los Angeles, California.

Victoria C. Caiazza  
(Type or print name)

  
(Signature)

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**SERVICE LIST**

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KAUFMAN LEGAL GROUP, APC  
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Los Angeles, CA 90017  
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# **FILE ATTACHMENT**

1 Stephen J. Kaufman, Bar No. 131605  
*skaufman@kaufmanlegalgroup.com*  
2 Gary S. Winuk, Bar No. 190313  
*gwinuk@kaufmanlegalgroup.com*  
3 **KAUFMAN LEGAL GROUP, APC**  
777 S. Figueroa Street, Suite 4050  
4 Los Angeles, CA 90017  
Tel: (213) 452-6565  
5 Fax: (213) 452-6575

**ELECTRONICALLY FILED**  
Superior Court of California,  
County of San Diego  
**08/28/2019** at 06:59:00 PM  
Clerk of the Superior Court  
By Treva Cutts, Deputy Clerk

6 Attorneys for Defendant,  
Todd Gloria  
7

8 **SUPERIOR COURT OF THE STATE OF CALIFORNIA**  
9 **FOR THE COUNTY OF SAN DIEGO, CENTRAL DIVISION**

10 MAT WAHLSTROM,

11 Plaintiff,

12 v.

13 TODD GLORIA; SAN DIEGO COUNTY  
DEMOCRATIC PARTY, and DOES 1-100,  
14 inclusive,,

15 Defendants.  
16  
17  
18

CASE NO.: 37-2019-00043494-CU-JR-CTL

[Assigned for All Purposes to Hon. Ronald F.  
Frazier - Dept. C-65]

**DECLARATION OF STEPHEN J. KAUFMAN  
IN SUPPORT OF DEFENDANT TODD  
GLORIA'S OPPOSITION TO EX PARTE  
REQUEST FOR ISSUANCE OF  
TEMPORARY RESTRAINING ORDER**

Date: August 29, 2019  
Time: 8:30 a.m.  
Dept: C-65

Complaint Filed: August 19, 2019  
Trial Date: None Set

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DECLARATION OF STEPHEN J. KAUFMAN

I, Stephen J. Kaufman, hereby declare as follows:

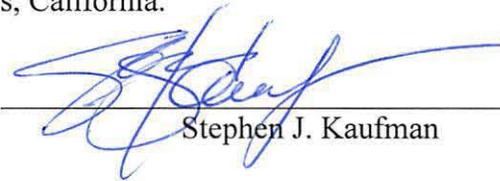
1. I am an attorney licensed to practice before all of the courts of the State of California and am a principal in the law firm Kaufman Legal Group APC, counsel of record for Defendant Todd Gloria (“Defendant”). I have personal knowledge of the facts set forth in this Declaration and, if called upon as a witness, I could and would competently testify thereto. This declaration is submitted in support of Todd Gloria’s Opposition To *Ex Parte* Request for Issuance of Temporary Restraining Order.

2. On August 14, 2019, I sent a “self-referral” letter to the California Fair Political Practices Commission (“FPPC”) on behalf of Todd Gloria for Assembly 2020 notifying the FPPC of a potential violation of the Political Reform Act as a result of the Committee’s failure to timely file a Form 501 Candidate Intention Statement at the time the Committee was initially formed. A true and correct copy of that letter is attached hereto as Exhibit “1.”

3. Attached hereto as Exhibit “2” is a true and correct copy of a “Request for Civil Prosecution” dated August 14, 2019, filed by Plaintiff Mat Wahlstrom with the San Diego County District Attorney and San Diego City Attorney, which I downloaded and printed (without exhibits) from an on-line posting by Plaintiff at <https://img1.wsimg.com/blobby/go/c061bdb6-d6fe-414d-9acd-f6a758883405/downloads/081419%20Demand.pdf?ver=1565832663228>.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on August 28, 2019, at Los Angeles, California.

  
Stephen J. Kaufman

# EXHIBIT “1”

**KAUFMAN LEGAL GROUP**  
A PROFESSIONAL CORPORATION

August 14, 2019

Direct (213) 452-6550

**VIA EMAIL & U.S. MAIL**

Galena West  
Chief, Enforcement Division  
Fair Political Practices Commission  
1102 Q Street, Suite 3000  
Sacramento, CA 95811

**Re: Self-Referral – Todd Gloria for Assembly 2020**  
**Our File No.: GLO2362.004**

Dear Ms. West:

We are writing on behalf of Todd Gloria for Assembly 2020 (“Committee”) (ID No. 1416118), to advise you of an issue regarding the Committee’s compliance with the Political Reform Act of 1974, as amended.

The Committee, which qualified in February of this year, is a controlled committee of Assemblymember Todd Gloria. Since its formation, the committee received a carryover transfer (*i.e.*, miscellaneous increase to cash) of \$293,078 from the Assemblymember’s 2018 Assembly committee, and approximately \$34,000 in contributions.

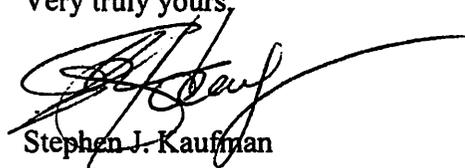
Upon review of the Committee’s records following a press inquiry, it appears that the Committee’s treasurer inadvertently failed to file a Form 501 – Candidate Intention Statement at the time the Committee was initially formed. The Form 501 has since been filed, a date-stamped copy of which is enclosed. Otherwise, the Committee has properly and timely reported all of its activities, as required by the Political Reform Act.

We do not believe that the Committee’s inadvertent oversight warrants any further action. Nonetheless, in determining what action, if any, to take against the Committee, we urge you to consider the totality of the facts and circumstances giving rise to this matter, including the fact that the Committee filed the Form 501 as soon as it discovered that it had not been filed; the public harm was negligible because the Form 410 – Statement of Organization filed by the committee upon its formation, contained virtually identical information as is listed on the Form 501; the contributions received by the Committee to date have been small in number and total a relatively small amount; and the Form 501 has been filed well before the election. Finally, we ask you to take into account that, upon becoming aware of this issue, the Committee decided to proactively present this matter to you for a speedy resolution of the issue.

Galena West  
August 14, 2019  
Page 2 of 2

Please feel free to contact us should you require any additional information to evaluate the facts and circumstances involved in this matter.

Very truly yours,

A handwritten signature in black ink, appearing to read "S. Kaufman", with a long horizontal flourish extending to the right.

Stephen J. Kaufman

SJK:vcc

Enclosure

Candidate Intention Statement

RECEIVED AND FILED in the office of the Secretary of State of the State of California AUG 13 2019 CALIFORNIA FORM 501 For Official Use Only

Check One: [X] Initial [ ] Amendment (Explain) \_\_\_\_\_

1. Candidate Information:

NAME OF CANDIDATE (Last, First Middle Initial) Gloria, Todd DAYTIME TELEPHONE NUMBER ( 619 ) 708-9744 FAX NUMBER (optional) ( ) EMAIL (optional) STREET ADDRESS 374 N. Coast Hwy 101, Suite 2 CITY Encinitas STATE CA ZIP CODE 92024 OFFICE SOUGHT (POSITION TITLE) Assemblymember AGENCY NAME State of California DISTRICT NUMBER, if applicable, 78 NON-PARTISAN OFFICE [ ] PARTY PREFERENCE: Democratic OFFICE JURISDICTION [X] State (Complete Part 2.) [ ] City [ ] County [ ] Multi-County: \_\_\_\_\_ (Name of Multi-County Jurisdiction) 2020 (Year of Election) [X] PRIMARY / GENERAL [ ] SPECIAL / RUNOFF

2. State Candidate Expenditure Limit Statement:

(CalPERS and CalSTRS candidates, judges, judicial candidates, and candidates for local offices do not complete Part 2.)

(Check one box) [ ] I accept the voluntary expenditure ceiling for the election stated above. [X] I do not accept the voluntary expenditure ceiling for the election stated above. Amendment: [ ] I did not exceed the expenditure ceiling in the primary or special election held on: \_\_\_/\_\_\_/\_\_\_ and I accept the voluntary expenditure ceiling for the general or special run-off election.

(Mark if applicable) [ ] On \_\_\_/\_\_\_/\_\_\_, I contributed personal funds in excess of the expenditure ceiling for the election stated above.

3. Verification:

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 8/13/2019 (month, day, year)

Signature [Handwritten Signature]

00267

# EXHIBIT “2”

# KENNEY & KROPFF

David E. Kenney, Esq.

LAWYERS

James B. Kropff, Esq.

8337 Telegraph Road, Suite 200  
Pico Rivera, California 90660  
Telephone: (562) 928-2300

August 14, 2019

*Via Email to SanDiegoDA@sdcda.org*

*Via Email to cityattorney@sandiego.gov*

Ms. Summer Stephan  
San Diego County District Attorney's Office  
330 West Broadway  
San Diego, CA 92101

Ms. Mara Elliott  
Office of the City Attorney  
1200 Third Avenue, Suite 1620  
San Diego, CA 92101

Re: Request for Civil Prosecution

Dear District Attorney Stephan and City Attorney Elliott:

My office represents Kathryn Burton and Mat Wahlstrom. On their behalf, I am requesting that you civilly prosecute Todd Gloria, a representative of California's 78<sup>th</sup> Assembly District and a candidate for Mayor of the City of San Diego, for violations of the Political Reform Act of 1974 (PRA), Gov't Code § 81000 et seq. PRA section 91001(b) designates the San Diego County District Attorney as the civil prosecutor with respect to local agencies for violations of the PRA, and section 91001.5 extends prosecutorial jurisdiction to a charter city's attorney for violations occurring in the city. If your offices do not prosecute, my clients intend to prosecute pursuant to PRA section 91007(a).

In short, my clients have reason to believe that there is a conspiracy to launder campaign money from an illegal campaign committee controlled by Mr. Gloria to the San Diego County Democratic Party, to a candidate to replace Mr. Gloria in the Assembly, and to several sitting members of the Assembly. Such illegal activity would violate the PRA and, for those participants aware of the criminal nature of their wrongdoing, also violate Penal Code section 186.10 (Money Laundering).

Attached to this letter are articles published by *La Prensa San Diego* on August 12 and 13, 2019, and another published by *Times of San Diego* on August 13, 2019. (Exhibits 1-3.) In essence, even though Mr. Gloria has formally been running for Mayor of the City of San Diego since December 2018, he established a separate campaign committee earlier this year, "Todd Gloria for Assembly 2020," for an office that he never intended to run for, Assembly District 78. (Exhibit 4.) Not only did he record contributions into his Assembly 2020 committee's bank account, but he transferred nearly \$300,000 from his Assembly 2018 committee to his Assembly 2020 committee a few days before those funds would have become "surplus" by operation of law.

Mmes. Stephen & Elliott  
August 14, 2019  
Page two

The problem is that Mr. Gloria did not file his declaration of intent to run for re-election to the Assembly in 2020 until August 13, 2019, which is one day after the first article on this subject ran. (Exhibit 5.) The PRA and the Fair Political Practices Commission (FPPC) both prohibit him from soliciting or receiving contributions into his Assembly 2020 committee without first filing his declaration, known as Form 501. Clearly Mr. Gloria created the Assembly 2020 committee for the sole purpose of extending the expiration date on the money left over from his Assembly 2018 committee and allowing him to continue to raise money from sources who would not be qualified to donate to his Mayoral campaign and in amounts exceeding city limitations. Because it was illegal for him to receive money into his 2020 Assembly committee prior to August 13, 2019, it was also illegal for him to use the money for any candidate-related purpose.

Like the form Mr. Gloria signed when he declared his intent to run for Mayor, the form he signed for re-election to the Assembly is signed "under penalty of perjury." (Exhibit 5.) When a reporter for *Times of San Diego* tweeted his story about Mr. Gloria filing to run for re-election to the Assembly, Mr. Gloria's campaign manager and Assembly communications director replied: "Nothing has changed, Ken. Todd is running for Mayor." (Exhibit 6.) The reply is entirely consistent with Mr. Gloria's website, Facebook page, and Twitter account, all of which promote his Mayoral candidacy without any mention of any candidacy for re-election to the Assembly. (Exhibit 7-9.)

In the City of San Diego, not only is it common knowledge that Mr. Gloria has never intended to run for re-election to the Assembly in 2020, but it is widely known that he endorsed Christopher Ward as his replacement in the Assembly and that Mr. Ward in turn endorsed him for Mayor; this announcement was made on Mr. Ward's Facebook page on February 19, 2019. (Exhibit 10.) More than a month later, Mr. Gloria's Assembly 2020 committee donated \$4,700 to Mr. Ward's Assembly committee; both committees reported the transaction as either to or from "Todd Gloria for Assembly 2020." (Exhibit 4, pg. 10.) (Based on the prior cross endorsements (Exhibit 10) Mr. Ward knew, at the time he received the donation from Mr. Gloria's Assembly 2020 committee, that he was receiving funds from an illegitimate committee controlled by a non-candidate.)

According to the latest disclosures by Tasha Boerner Horvath, who unlike Mr. Gloria is running for re-election to the Assembly, she received a donation from his Assembly 2020 committee on June 7, 2019. (Exhibit 11, pg. 36.) However, she endorsed him for Mayor more than two months earlier, according to his Facebook page (Exhibit 12) and therefore knew that his donation was from an illegitimate committee controlled by a non-candidate.

Ms. Boerner Horvath is not the only member of the Assembly who took tainted money from Mr. Gloria with the knowledge that he was not running for re-election. According to Mr. Gloria's Facebook page, by April 26, 2019, every Democratic member of the Assembly had

Mmes. Stephen & Elliott  
August 14, 2019  
Page three

endorsed him. (Exhibit 13.) Two months later, he rewarded five of them with a donation of \$1,000 each from his Assembly 2020 campaign: Christy Smith, Cottie Petrie-Norris, Rebecca Bauer-Kahan, James Ramos, and Sabrina Cervantes. They too knew that they were illegally receiving money from a non-candidate.

Aspiring and serving Assembly members are not the only ones involved in taking illegal payments from Mr. Gloria's illegitimate committee. On May 23, 2019, he appears to have made a down-payment toward a Mayoral endorsement by the San Diego County Democratic Party. (Exhibit 4, pg. 11.) That is when he delivered \$5,000 to the Party as evidence that he would transfer the remainder of his Assembly 2020 committee funds to the Party if it endorses him for Mayor.

Based on the information currently available to my clients, they believe Mr. Gloria has violated several provisions of the PRA. He repeatedly violated PRA section 85200 by soliciting and receiving multiple contributions to his Assembly 2020 committee before filing a statement of intent to run for the Assembly in 2020; without filing that statement, the FPPC's manual makes clear, he could not lawfully receive any funds into his Assembly 2020 committee, not even a transfer from his Assembly 2018 committee. Second, he violated PRA section 89519 by not distributing his Assembly 2018 campaign funds in accordance with the distribution requirements for surplus funds, which do not allow such funds to be used to support his own campaign or be donated to a candidate or to a political party to be used to support or oppose any candidate; however, he used those funds to support multiple candidates, including the candidate running to replace him in the Assembly. Third, he violated PRA sections 84301 and 85701 by using his Assembly 2020 committee as the nominal donor to pass through donations to other candidates and to the San Diego County Democratic Party in violation of the rules against campaign money laundering. Finally, it appears that Mr. Gloria as well as Mr. Ward, Ms. Boerner Horvath and her aforementioned colleagues in the Assembly, and someone at the highest level of the San Diego County Democratic Party knew about the illegal nature of Mr. Gloria's Assembly 2020 committee and the monies they received from it, making them liable for aiding, abetting, and conspiring with Mr. Gloria to violate a long list of campaign-related laws.

Please respond to this letter within 120 days as required by PRA section 91007(a). If you have any trouble finding the publicly available documents to substantiate my clients' claims, feel free to contact me and I will be happy to direct you to those documents.

Best personal regards,

David E. Kenney, Esq.

DEK:hs  
Encl.

**PROOF OF SERVICE**

**STATE OF CALIFORNIA, COUNTY OF LOS ANGELES**

I am employed in the County of Los Angeles, State of California. I am over the age of 18 years and not a party to the within entitled action. My business address is Kaufman Legal Group, 777 S. Figueroa St., Ste. 4050, Los Angeles, California 90017.

On August 28, 2019, I served the following document(s):

**OPPOSITION TO EX PARTE REQUEST FOR ISSUANCE OF TEMPORARY RESTRAINING ORDER**

**DECLARATION OF STEPHEN J. KAUFMAN IN SUPPORT OF OPPOSITION TO EX PARTE REQUEST FOR ISSUANCE OF TEMPORARY RESTRAINING ORDER**

on all interested parties in this action, as stated on the attached Service List.

(BY U.S. POSTAL SERVICE, 1013a, 2015.5 C.C.R.) By placing a true and correct copy of the aforementioned document(s) in a sealed envelope and placing it in the mail depository at my place of business with postage prepaid, addressed as listed on the Service List.

(BY CERTIFIED MAIL DELIVERY) I served the above described documents in said action by placing a true copy thereof enclosed in a sealed envelope with postage thereon prepaid for CERTIFIED MAIL, Tracking No. \_\_\_\_\_, in the U.S. mail at Los Angeles, CA to the individuals on the Service List below.

(BY ELECTRONIC DELIVERY) By transmitting/delivering a true and correct copy of the aforementioned document(s) to said parties at the electronic mail address(es) listed on the attached Service List.

(BY HAND DELIVERY) I delivered the foregoing envelope by hand to the individual(s) on the attached Service List.

(BY FACSIMILE) I served the document described herein on the interested parties in this action by transmitting a true copy thereof via facsimile from fax number (213) 452-6575 at [time], to the individual(s) on the attached Service List. The fax machine I used complied with Rule of Court 2.306, and no error was reported by the machine. Pursuant to Rule of Court 2.306(h)(4), I caused the machine to print a record of the transmission and have attached it hereto. Such transmission report was properly issued by the sending fax machine.

(STATE) I declare under penalty of perjury under the laws of the State of California that the above is true and correct.

(FEDERAL) I declare that I am employed in the office of a member of the bar of this Court at whose direction the service was made.

Executed on August 28, 2019, at Los Angeles, California.

Victoria C. Caiazza  
(Type or print name)

  
(Signature)

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**SERVICE LIST**

David E. Kenney  
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Suite 200  
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**Attorneys for Plaintiff**  
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Fax: (562) 928-2311  
E-Mail: wilshirelawyers@msn.com

Deborah B. Caplan  
Richard R. Rios  
OLSON HAGEL & FISHBURN LLP  
555 Capitol Mall, Suite 1425  
Sacramento, CA 95814

**Attorneys for Defendant**  
San Diego County Democratic Party  
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E-Mail: rrios@olsonhagel.com

KAUFMAN LEGAL GROUP, APC  
777 S. Figueroa St., Ste. 4050  
Los Angeles, CA 90017  
Tel: (213) 452-6565 Fax: (213) 452-6575

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# Exhibit 3

August 14, 2019

Direct (213) 452-6550

**VIA EMAIL & U.S. MAIL**

Galena West  
Chief, Enforcement Division  
Fair Political Practices Commission  
1102 Q Street, Suite 3000  
Sacramento, CA 95811

**Re: Self-Referral – Todd Gloria for Assembly 2020**  
**Our File No.: GLO2362.004**

Dear Ms. West:

We are writing on behalf of Todd Gloria for Assembly 2020 (“Committee”) (ID No. 1416118), to advise you of an issue regarding the Committee’s compliance with the Political Reform Act of 1974, as amended.

The Committee, which qualified in February of this year, is a controlled committee of Assemblymember Todd Gloria. Since its formation, the committee received a carryover transfer (*i.e.*, miscellaneous increase to cash) of \$293,078 from the Assemblymember’s 2018 Assembly committee, and approximately \$34,000 in contributions.

Upon review of the Committee’s records following a press inquiry, it appears that the Committee’s treasurer inadvertently failed to file a Form 501 – Candidate Intention Statement at the time the Committee was initially formed. The Form 501 has since been filed, a date-stamped copy of which is enclosed. Otherwise, the Committee has properly and timely reported all of its activities, as required by the Political Reform Act.

We do not believe that the Committee’s inadvertent oversight warrants any further action. Nonetheless, in determining what action, if any, to take against the Committee, we urge you to consider the totality of the facts and circumstances giving rise to this matter, including the fact that the Committee filed the Form 501 as soon as it discovered that it had not been filed; the public harm was negligible because the Form 410 – Statement of Organization filed by the committee upon its formation, contained virtually identical information as is listed on the Form 501; the contributions received by the Committee to date have been small in number and total a relatively small amount; and the Form 501 has been filed well before the election. Finally, we ask you to take into account that, upon becoming aware of this issue, the Committee decided to proactively present this matter to you for a speedy resolution of the issue.

Galena West  
August 14, 2019  
Page 2 of 2

Please feel free to contact us should you require any additional information to evaluate the facts and circumstances involved in this matter.

Very truly yours,

A handwritten signature in blue ink, appearing to read "S. Kaufman", with a long, sweeping horizontal line extending to the right.

Stephen J. Kaufman

SJK:vcc

Enclosure

**Candidate Intention Statement**

<b>RECEIVED AND FILED</b> in the office of the Secretary of State of the State of California <b>AUG 13 2019</b>	CALIFORNIA FORM <b>501</b> For Official Use Only
	Hand Delivered, Sacramento

Check One:  Initial  Amendment (Explain) \_\_\_\_\_

**1. Candidate Information:**

NAME OF CANDIDATE (Last, First Middle Initial)		DAYTIME TELEPHONE NUMBER	FAX NUMBER (optional)	EMAIL (optional)
Gloria, Todd		( 619 ) 708-9744	( )	
STREET ADDRESS		CITY	STATE	ZIP CODE
374 N. Coast Hwy 101, Suite 2		Encinitas	CA	92024
OFFICE SOUGHT (POSITION TITLE)	AGENCY NAME	DISTRICT NUMBER, if applicable.	<input type="checkbox"/> NON-PARTISAN OFFICE	
Assemblymember	State of California	78	PARTY PREFERENCE: Democratic	
OFFICE JURISDICTION		(Check one box, if applicable.)		
<input checked="" type="checkbox"/> State (Complete Part 2.)		2020 <input checked="" type="checkbox"/> PRIMARY / GENERAL		
<input type="checkbox"/> City <input type="checkbox"/> County <input type="checkbox"/> Multi-County: _____		<input type="checkbox"/> SPECIAL / RUNOFF		
		(Name of Multi-County Jurisdiction) (Year of Election)		

**2. State Candidate Expenditure Limit Statement:**

*(CalPERS and CalSTRS candidates, judges, judicial candidates, and candidates for local offices do not complete Part 2.)*

*(Check one box)*

I accept the voluntary expenditure ceiling for the election stated above.

I do not accept the voluntary expenditure ceiling for the election stated above.

Amendment:

I did not exceed the expenditure ceiling in the primary or special election held on: \_\_\_\_/\_\_\_\_/\_\_\_\_ and I accept the voluntary expenditure ceiling for the general or special run-off election.

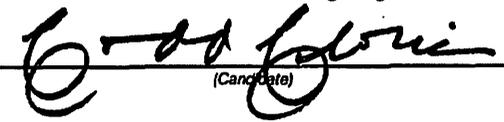
*(Mark if applicable)*

On \_\_\_\_/\_\_\_\_/\_\_\_\_, I contributed personal funds in excess of the expenditure ceiling for the election stated above.

**3. Verification:**

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 8/13/2019  
*(month, day, year)*

Signature   
*(Candidate)*

FPPC Form 501 (August/2018)  
FPPC Advice: advice@fppc.ca.gov (866/275-3772)  
www.fppc.ca.gov

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# Exhibit 4



STATE OF CALIFORNIA  
FAIR POLITICAL PRACTICES COMMISSION  
1102 Q Street • Suite 3000 • Sacramento, CA 95811

August 23, 2019

Stephen Kaufman  
Kaufman Legal Group  
o/b/o Assemblymember Todd Gloria & Todd Gloria for Assembly 2020  
Via email: [skaufman@kaufmanlegalgroup.com](mailto:skaufman@kaufmanlegalgroup.com)

Re: FPPC Case No. 19/969; Todd Gloria for Assembly 2020 & Todd Gloria

Dear Mr. Kaufman:

On August 14, 2019, on behalf of your client, Todd Gloria and Todd Gloria for Assembly 2020, you notified the Enforcement Division of the Fair Political Practices Commission of a potential violation of the Political Reform Act's (the "Act") campaign disclosure provisions related to Mr. Gloria's late filing of the Form 501 – Candidate Intention Statement.

In response to the letter, the Enforcement Division has opened a case on the matter. At this time, we have not made any determination about the possible violations. We are simply providing you with this information as a courtesy and may be contacting you to discuss the matter. If you have any questions regarding this letter, please contact Chloe Hackert at [chackert@fppc.ca.gov](mailto:chackert@fppc.ca.gov).

Sincerely,

Galena West, Chief  
Enforcement Division

GW:ch

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# Exhibit 5

# KAUFMAN LEGAL GROUP

A PROFESSIONAL CORPORATION

August 5, 2019

## VIA E-MAIL

Chair Richard C. Miadich  
Commissioner Allison Hayward  
Commissioner Brian Hatch  
Commissioner Frank Cardenas  
Fair Political Practices Commission  
1102 Q Street, Suite 3000  
Sacramento, CA 95811

**Re:        Enforcement Review Task Force**

Dear Chair Miadich and Commissioners:

We are writing as Chair and Vice Chair of the Commission's Enforcement Review Task Force to provide you with a summary of the Task Force's work and our recommendation for further action.

The Task Force was created by the Commission in May 2018. The Commission ultimately selected a Task Force of 32 members representing a broad spectrum of stakeholders who either are regulated by the Political Reform Act or who actively monitor or report on the activities of those who are regulated by the Act.

The Task Force was given broad direction from the Commission and a deadline of December 2018 to complete its work. Given the scope of the potential issues, it became apparent at our initial meetings that the time-frame provided by the Commission for completing our work was insufficient. As a result, we requested additional time to complete our work, and the Commission extended the Task Force's deadline to allow for appropriate deliberations.

The Task Force began its work by examining ways to expand the Commission's streamlined enforcement program and warning letter criteria to include technical violations of the Act that result in minimal public harm and, therefore, do not warrant full enforcement. Working with the Commission's staff, the Task Force helped develop regulations to expand the Commission's streamlined enforcement program and clarify the criteria for issuing warning letters. We are pleased that these efforts resulted in the Commission's adoption of Regulations 18360.1 and 18360.2 at its January 17, 2019 meeting. We believe that these regulations will ensure that filers are held accountable, while allowing the Commission to focus its resources on more serious violations of the Act.

Chair Richard C. Miadich  
August 5, 2019  
Page 2

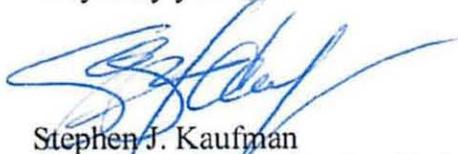
The Task Force next studied issues relating to the Commission's complaint and investigative process, including notification and due process considerations for respondents while a complaint is pending and the information available to the public throughout the investigative process. The Task Force had a lively debate about these competing considerations and presented its findings to the Commission at its March 21, 2019 meeting.

Finally, members of the Task Force firmly believe that the Commission should engage in an annual or periodic review of its own enforcement priorities to ensure that it is taking appropriate action through the enforcement process. Such regular reviews will provide the Commission with an opportunity to determine whether enforcement actions that may have been taken in response to a new statutory requirement may be too extreme or excessive in retrospect. In other instances, the Commission may conclude that it is not pursuing enough enforcement on an issue that has risen in public importance. Setting enforcement priorities in this manner will give direction to the staff on how the Commission would like cases enforced prior to them coming before the Commission, and will also provide the regulated community with notice that the Commission intends to pursue enforcement in areas that it may not have pursued previously. This is a preferable alternative to the Commission making important policy decisions through the enforcement process.

We believe that any remaining areas of interest to members of the Task Force should be addressed by the Commission through regular and ongoing communications between the Commission's staff and parties who have a direct interest in the subject matter. Thus, we believe it is an appropriate time for the Task Force to conclude its business.

We appreciate the opportunity to serve the Commission and the People of California on these significant issues of public importance. We look forward to working with the Commission as we enter this next election cycle.

Very truly yours,



Stephen J. Kaufman  
Chair, Enforcement Review Task Force



Norman Copping  
Vice Chair, Enforcement Review Task Force

cc: T.J. Jones, Executive Director  
Galena West, Director of Enforcement  
Dave Bainbridge, General Counsel  
Ryan P. O'Connor, Counsel, Legal Division

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# Exhibit 6



STATE OF CALIFORNIA  
FAIR POLITICAL PRACTICES COMMISSION  
1102 Q Street • Suite 3000 • Sacramento, CA 95811

September 24, 2019

Mat Wahlstrom  
Via email at: [mat92103@yahoo.com](mailto:mat92103@yahoo.com)

Re: COM-09112019-01765; Sworn Complaint Against Todd Gloria & Todd Gloria for  
Assembly 2020

Dear Mr. Wahlstrom:

This letter is in response to the sworn complaint you submitted on September 11, 2019, to the Enforcement Division of the Fair Political Practices Commission regarding the above-referenced committee. Since the allegations in your complaint are the subject of an existing case, we will not open a new complaint on this matter. The parts of your complaint regarding campaign filing have been incorporated into our existing case, FPPC Case No. 2019-00969.

However, after a review of the complaint and the documents submitted, the Enforcement Division found the allegation pertaining to surplus funds to be unfounded. Campaign funds held by an officeholder become surplus on the 90<sup>th</sup> day after the officeholder leaves the office for which the funds were raised, or on the 90<sup>th</sup> day after the end of the post-election reporting period following his defeat, whichever occurs last. Since Mr. Gloria won his election and did not leave the office of Assemblymember, the funds did not become surplus under the Act. Therefore, we will not pursue this specific allegation further.

You will next receive notification from us upon the final disposition of the case. However, please be advised that at this time we have not made any determination about the validity of the allegation(s) you have made or about the culpability, if any, of the person(s) you identify in your complaint.

Thank you for taking the time to bring this matter to our attention. If you have any questions regarding this decision, please contact Chloe Hackert at [chackert@fppc.ca.gov](mailto:chackert@fppc.ca.gov).

Sincerely,

Galena West, Chief  
Enforcement Division

GW/ch

cc: Stephen Kaufman  
Kaufman Legal Group  
o/b/o Todd Gloria & Todd Gloria for Assembly 2020

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# Exhibit 7



Home > Articles > Assemblyman Todd Gloria Gets Stern Finger Wag From FPPC On Campaign Violations



Assemblyman Todd Gloria. (Kevin Sanders for California Globe)

## Assemblyman Todd Gloria Gets Stern Finger Wag From FPPC on Campaign Violations

*Everyone in the Capitol knew he had no intention of running for re-election, and planned to run for San Diego Mayor*

By [Katy Grimes](#), November 9, 2019 9:20 am

San Diego Democrat Assemblyman Todd Gloria and the Fair Political Practices Commission have reached a settlement over Gloria's violations of the California Political Reform Act — a whopping \$200 fine.

If this tiny penalty suggests a tiny violation, think again. Gloria improperly funded a bogus committee for his re-election to the Assembly in order to use funds left over from his successful 2018 run for that office to now fund his campaign for Mayor of San Diego, which is totally illegal.

"The mission of the Enforcement Division of the Fair Political Practices Commission is to fairly, effectively, and efficiently enforce the provisions of the Political Reform Act," the FPPC website says. "If the case merits pursuit of a fine, the Enforcement Division will **00286**



Gloria was trying to skirt the fact that the two elected offices have significant differing campaign contribution limits. The Assembly limit is \$4,700 per donor and the Mayor limit in San Diego is \$1,150. By transferring the 2018 Assembly funds to another 2020 Assembly fund, no reduction in the amounts contributed was required. Had that money been transferred to the Mayor committee, any donation over the City imposed limit of \$1,150 would have had to be reduced.

The San Diego Union Tribune **reported**:

Less than a week after he filed the statement of intention, Gloria was sued by San Diego resident Mat Wahlstrom for allegedly raising hundreds of thousands of dollars for his state campaign even though he was instead seeking the mayor's job.

In August Wahlstrom and his attorney asked a judge in San Diego Superior Court to prevent Gloria from spending those funds to support his mayoral bid. The judge declined that request.

Wahlstrom called the \$200 payout a slap on the wrist.

California Globe covered Gloria's violations, and in August **interviewed** one of the attorneys representing Wahlstrom:

Speaking with attorney Julie Biggs, who is involved in the case, she offered the following facts:

1. Gloria was re-elected to the Assembly in November of 2018 and had funds remaining in his 2018 Assembly committee amounting to nearly \$300,000.
2. Gloria filed a Statement of Intention to run for the office of Mayor of San Diego (form 501) and established an election committee for that campaign on December 18, 2018. He formally announced his candidacy for that office and has been actively campaigning since that time.
3. Apparently to avoid having his 2018 funds declared surplus and therefore subject to strict limitations as to use, Gloria filed papers to form a new 2020 Assembly committee on March 19, 2019. That filing, however, did not include filing the required Statement of Intention (form 501) to run for that office.
4. Gloria transferred his 2018 Assembly committee funds to the 2020 Assembly committee on March 19, 2019.
5. Gloria contributed \$4,700 to the candidate who declared his candidacy for Gloria's seat on March 28, 2019.
6. The 2020 Assembly committee has raised an additional \$25,000 or so and has expended about \$45,000 to support other campaigns and to contribute to the Democratic party in support of events attended by Gloria as a candidate for Mayor.
7. Gloria has affirmatively stated in response to questioning by another candidate for Mayor that he is not running for the Assembly seat but is running for Mayor.
8. Gloria submitted his Statement of Intention to run for the Assembly seat on August 13, 2019, the day after the story was published locally.

Attorney Biggs explained that California law precludes a campaign committee from collecting contributions prior to the filing of the Statement of Intention (Form 501) to run for the office for which the committee will be raising funds. Knowing and willful violation of that law is a misdemeanor which may be punished by a fine of \$10,000 or three times the amount of the contribution illegally made or accepted, whichever is higher.

Wahlstrom called the \$200 payout a slap on the wrist, the UT **reported**. "I wish I could say I'm shocked that a politician who commits perjury and fraudulently raises corporate money for a mayoral race that does not allow corporate donors would be slapped on the wrist," Wahlstrom said. "But I'm not: the FPPC is designed to protect officeholders first and foremost. This is what's wrong with our system. This is why I filed suit in the first place — and why I will continue fighting to make sure that the public and Mr. Gloria both receive the justice they deserve."

Barbara Bry, Gloria's opponent in the Mayor's race said, "Todd Gloria has acknowledged that he has violated the Political Reform Act, but the FPPC findings don't address the fact that he is using this ruse to funnel \$300,000 of special interest corporate and PAC contributions — which are illegal under the city's campaign laws — into his mayoral campaign,"



impartial and effective administration of the judicial system, the court says, his decision and penalty of \$200 doesn't seem "independent or non-partisan."

Author [Recent Posts](#)

### Katy Grimes

Katy Grimes, the Editor of the California Globe, is a long-time Investigative Journalist covering the California State Capitol, and the co-author of *California's War Against Donald Trump: Who Wins? Who Loses?*

Spread the news:

Tagged Assemblyman Todd Gloria, Bogus Re-Election Committee, FPPC, Julie Biggs, Notice of Intent, San Diego City Attorney, San Diego District Attorney, San Diego Mayor

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#### Assemblyman Chad Mayes Leaving the GOP Over Party Issues

Mayes cites skewed focus of Republican leadership, lack of bipartisanship among other reasons for leaving.

December 6, 2019 2:32 pm

#### Former Assemblyman Terrence Goggin Pleaded Guilty to Defrauding BART Coffee Shop Investors

Justice Department said he used money for personal expenses, including a paramour in Thailand

December 9, 2019 10:30 am

#### California Assemblyman Bonta Announces the California Green New Deal Act

What happened to the 2019 Green New Deal joint resolution?

January 6, 2020 9:28 am

## 2 thoughts on "Assemblyman Todd Gloria Gets Stern Finger Wag From FPPC on Campaign Violations"

Showandtell

November 10, 2019 at 11:37 am

A \$200 slap on the wrist for Crooked Todd? Ridiculous. Lock him up!

Reply

Diane Mossbarger

November 13, 2019 at 11:35 am



...ally, not ostensibly, none the less we are not a nation. We have our commitments to law and not greed, by the Constitution and not "payola" and "partisanship" ON ANY SIDE OR BY ANY PARTY!!!

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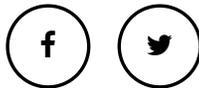
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# Exhibit 8

OPINION

# Does the FPPC help voters or those in power?: Susan Shelley



California Gov. Gavin Newsom, seated, gives a thumbs up to Assemblyman Todd Gloria, D-San Diego, right, after signing Gloria's measure that prohibits the sale of firearms and ammunition at the Del Mar Fairgrounds, during a ceremony the Capitol in Sacramento, Calif., Friday, Oct. 11, 2019. Gloria's measure was one of more than a dozen gun violence prevention bills the governor signed Friday. (AP Photo/Rich Pedroncelli)

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By **SUSAN SHELLEY** | [letters@ocregister.com](mailto:letters@ocregister.com) | Orange County Register

PUBLISHED: November 17, 2019 at 1:28 am | UPDATED: November 17, 2019 at 1:28 am

---

The California Fair Political Practices Commission (FPPC) is about to fine Assemblyman Todd Gloria, D-San Diego, for violating the law when he moved nearly \$300,000 in leftover funds from his 2018 Assembly campaign to a 2020 re-election committee even though he wasn't really running for re-election, then used the money in ways that supported his 2020 campaign for mayor of San Diego.

Total proposed fine: \$200.

Gloria created the 2020 re-election campaign early in 2019 and transferred the money from the 2018 committee before the funds became "surplus" under the law, which limits their use in politics. It was illegal because Gloria didn't file the required Statement of Intention to run in 2020 before opening the committee to raise money. Those forms have to be signed under penalty of perjury.

The statement was finally filed on August 13, after a community newspaper questioned the campaign manager about the missing form.

The FPPC says Gloria's campaign "self-reported" the omission. The Enforcement Division reached a quick settlement in its "streamline" program for minor violations, checking the box on the form marked, "Found no evidence of intent to conceal."

Judge for yourself.

By pretending to be a candidate for re-election in 2020, Gloria was able to raise more money from individual donors than was allowed by city election rules in San Diego. The per-donor contribution limit is \$4,700 for the Assembly campaign, but only \$1,150 in the mayor's race.

Gloria's \$200 fine is set to be considered by the FPPC at its meeting on November 21.

In comparison, another case on the November agenda involves Scott Jackson, who was elected in 2016 as a Superior Court judge in Alameda County. Jackson hired a professional treasurer, who filed the required pre-election campaign finance reports with the Alameda County Registrar of Voters. However, because the campaign raised more than \$25,000, it was also supposed to file the same reports with the Secretary of State's office, and the treasurer neglected to do that. The FPPC Enforcement Division contacted the campaign about the omission. Jackson hired a new treasurer, had all the reports reviewed and filed, and "fully cooperated" with the investigation, according to the FPPC's stipulated agreement.

The proposed fine against Judge Jackson is \$6,000 for three counts of "violating the Political Reform Act."

What is going on in this agency? We don't know, because there's no oversight of any kind. But consider its 2017 resolution of cases involving high-level political figures including former Gov. Jerry Brown.

In 2016, Consumer Watchdog filed a sworn complaint with the FPPC alleging that millions of dollars in political contributions from energy companies, including the investor-owned utilities such as PG&E, were being laundered through the California Democratic Party to evade contribution limits to campaigns and to influence decisions made by the Brown administration.

FPPC Enforcement Chief Galena West responded to the organization in a letter dated September 23, 2016, stating that the agency would open an investigation into the California Democratic Party, but not "the other persons identified in your complaint." Gov. Brown was not investigated.

Here's how that case, No. 16/19635, turned out: the FPPC determined that the California Democratic Party and its treasurer "failed to identify the committee bank account as 'all purpose,' failed to notify a recipient of contributions from which account the funds derived, and failed to deposit contributions into the correct account." Total fine: \$3,500.

In a scathing letter to the FPPC dated May 24, 2017, Consumer Watchdog accused the Enforcement Division of failing to interview witnesses and ignoring evidence in that case as well as in case No. 16/263, which involved Nancy McFadden, a former Pacific Gas & Electric executive who became a top adviser to Brown. She failed to disclose energy-related holdings on her required annual Statement of Economic Interests for three years straight, at a time when legislation affecting her holdings was moving through the state Legislature. The FPPC fined her a total of \$300.

As you know, I have more than a passing interest in this subject. Last month, the FPPC approved a fine against me for what they acknowledge is the unintentional late filing of some pre-election reports in a 2013 special election for the Assembly, when I was a first-time state candidate with an all-volunteer campaign. Although the Enforcement Division wrote in 2017 that my campaign had “substantially complied” with the law, that I had made a good-faith effort throughout, and that there was no evidence of intent to conceal or deceive, the commission approved a fine of \$11,500.

At the FPPC’s next meeting on November 21, the commissioners will consider whether to approve the stipulated agreements reached with Assemblyman Todd Gloria and Judge Scott Jackson. Then, at a second meeting on November 22, the commissioners will hear from Enforcement Division chief Galena West about “topics for input and discussion from the public and the Commission.” Among the topics: “Analysis of 2019 Enforcement Trends.”

You can find the agenda on the agency’s website at [fppc.ca.gov](http://fppc.ca.gov). Public comments may be sent by email to the commission assistant at [CommAsst@fppc.ca.gov](mailto:CommAsst@fppc.ca.gov).

The FPPC claims to be enforcing the law for the benefit of the voters. Technically, they work for you. Let them know what you think.

*Susan Shelley is an editorial writer and columnist for the Southern California News Group.  
Susan@SusanShelley.com. Twitter: @Susan\_Shelley*

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Tags: [Opinion Columns](#)



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By San Diego Gas & Electric



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## Susan Shelley

Susan Shelley is an editorial writer and columnist for the Southern California News Group, writing on local, state and national issues.

[VIEW COMMENTS](#)

## Join the Conversation

We invite you to use our commenting platform to engage in insightful conversations about issues in our community. Although we do not pre-screen comments, we reserve the right at all times to

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# Exhibit 9

## Sasha Linker

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**From:** jbailey63 <jbailey63@socal.rr.com>  
**Sent:** Monday, November 18, 2019 2:52 PM  
**To:** CommAsst  
**Subject:** Enforcement Bias

### EXTERNAL EMAIL

As a California taxpayer for over 50 years, I demand to know why the fines for Todd Gloria and Nancy McFadden are in the hundreds (and \$3500 for Democrat Party), while Judge Jackson and Susan Shelley were fined \$6000 and \$11500?

Please respond by 11/25/19.

Mike Bailey

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# Exhibit 10

**Sasha Linker**

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**From:** Doug Butler [REDACTED]  
**Sent:** Monday, November 18, 2019 6:34 AM  
**To:** CommAsst  
**Subject:** increase fine Todd Gloria Nov 21, 2019

Todd Gloria's fine should be increased to at least \$100,000.00. Experienced politicians and political parties, in power, should face high fines for their transgressions. New candidates, particularly without powerful political backers should not face high fines. The fine for Scott Jackson should be \$100.00.

Doug Butler

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Douglas Butler

[REDACTED]

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# Exhibit 11

David E. Kenney, Esq.

**KENNEY & KROPFF**  
LAWYERS  
8337 Telegraph Road, Suite 200  
Pico Rivera, California 90600  
Telephone (562) 928-2300

James B. Kropff, Esq.

October 1, 2019

Via UPS Overnight #  
1ZD469TH0192135838  
& Via CommAsst@fppc.ca.gov

Via UPS Overnight #  
1ZD5TN380103587830  
& Via CommAsst@fppc.ca.gov

Fair Political Practices Commission  
c/o Mr. Richard C. Miadich, Chair  
1102 Q Street, Suite 3000  
Sacramento, CA 95811

Ms. Galena West  
Chief, Enforcement Division  
Fair Political Practices Commission  
1102 Q Street, Suite 3000  
Sacramento, CA 95811

**Re: FPPC Case No. 19/969 and COM-09112019-01765**  
**Todd Gloria & Todd Gloria for Assembly 2020**  
**November 21, 2019 FPPC Agenda Item**

Dear Commissioners and Enforcement Division Chief:

I represent Mat Wahlstrom, who filed a sworn complaint alleging multiple violations of the Political Reform Act (PRA) in matter no. COM-09112019-01765 against Assemblymember Todd Gloria. By letter dated September 24, 2019, Chief of Enforcement Galena West notified my client that parts of his complaint have been incorporated into existing FPPC case no. 2019-00969, that a small portion was deemed to lack foundation, and that the remainder had not yet received a determination. Case no. 2019-00969 arises from a self-reported violation by Mr. Gloria and is discussed in the Chief of Enforcement's executive staff report for the upcoming meeting on November 21, 2019. My client objects to the proposed resolution of case no. 2019-00969.

In San Diego, Mr. Gloria has been openly campaigning for Mayor of San Diego since December 2018. However, he formed his Todd Gloria for Assembly 2020 committee in March 2019, transferred more than \$293,000 from his Assembly 2018 committee to his Assembly 2020 committee, and accepted more than \$25,000 in contributions to his Assembly 2020 committee. The problem is that he had not filed his Form 501 to run for re-election to the Assembly in 2020. When this fact was reported in a local San Diego newspaper, Mr. Gloria denied that he was running for Assembly and affirmed that he was running only for Mayor. Amid mounting public scrutiny, he filed his Form 501 for re-election to the Assembly in 2020 on August 13, 2019 – under penalty of perjury – but simultaneously issued a public statement that he was running for Mayor and was not running for re-election to the Assembly.

**Kenney & Kropff**

FPPC

November 18, 2019

Page 2 of 4

The reason Mr. Gloria created his Assembly 2020 committee despite having no intention to run for re-election to the Assembly – and despite filing Form 501 under penalty of perjury to the contrary – is to launder money raised in connection with his Assembly office into his Mayoral campaign. The City of San Diego limits the amount of contributions to \$1,150 per election cycle and limits the source of contributions to natural persons and political parties. For his phony Assembly re-election, however, Mr. Gloria enjoys contribution limits that are more than four times higher and can accept corporate donations. Mr. Gloria may now launder his Assembly monies into the San Diego County Democratic Party to spend on his behalf in the Mayoral race, which includes a donation in excess of \$25,000 directly to his Mayoral committee and party expenditure for his benefit.

The foregoing accusations are substantiated by the evidence that my client previously submitted to the FPPC when he submitted his sworn complaint. The executive staff report indicates that Ms. West will be imposing a paltry fine of \$200 on Mr. Gloria as part of the FPPC's streamlined penalty process. My client believes that this result is improper and illegal for multiple reasons.

First, there is no statutory authority for the streamlined program. FPPC Regulation 18360.1 authorizes the Chief of Enforcement to include or exclude any filer from the streamlined program. However, the PRA does not authorize this delegation of authority. The Legislature has authorized the FPPC to delegate authority to the Chair and to the Executive Director. Gov't Code § 83108. As a public agency with a special and limited jurisdiction, the FPPC has only those express (or necessarily implied) powers which are specifically granted to it by statute. *See, e.g., City of Ceres v. City of Modesto*, 274 Cal. App. 2D 545, 550 (1969). The authority for the FPPC to delegate an enforcement decision to the Chief of Enforcement does not exist.

Second, even if such authority existed and Regulation 18360.1 were valid, Mr. Gloria's belated filing of Form 501 declaring his candidacy for an office that he has never intended to occupy does fall under multiple exceptions to the process for streamlined treatment. The late filing is excluded by sub-section (c)(2)(B)(i) because there is evidence that Mr. Gloria, who has run for elected office multiple times and is thoroughly familiar with the rules, intended to conceal his fundraising for Mayor by abusing his Assembly 2020 campaign and intended to violate the PRA in the process; up until the day he filed his Form 501 and every moment since, he has denied that he is a candidate for re-election to that office. The late filing is also excluded by sub-section (c)(2)(B)(vii) because laundering any amount of money, or even attempting to do so, causes serious public harm and erodes the public's confidence in the integrity of the electoral process. The late filing is further excluded by sub-section (c)(2)(B)(viii) because Mr. Gloria remains under investigation for other aspects of my client's sworn complaint, as confirmed by Ms. West's letter dated September 24. At a minimum the matter should be put into abeyance until his Assembly 2020 committee and his Mayoral committee issue their next campaign-

**Kenney & Kropff**

FPPC

November 18, 2019

Page 3 of 4

finance reports so that you can follow the money and see exactly how badly he has abused the system.<sup>1</sup>

Third, even if Mr. Gloria arguably qualified for streamlined treatment under Regulation 18360.1, he is not being properly punished under Regulation 18360.2 with a meager penalty of \$200. His Assembly 2020 committee received nearly \$320,000 during the first half of 2019, and only Mr. Gloria knows how much he raised prior to the day he filed his Form 501 on August 13, 2019. Yet the worksheet showing how the penalty was calculated does not include the 1% of contributions required by the Regulation. Because the PRA defines “contributions” to include transfers from a candidate's own committee, the fine should include at least \$3,200 on top of the \$200 penalty. Under no circumstances should the fine be less than \$3,400, and you should be waiting until after his Assembly 2020 reports its contributions through August 13, 2019, in order to know the full amount of contributions that should be subject to the additional 1% penalty.

In closing, I urge you to carefully consider the propriety of allowing Mr. Gloria to be slapped on the wrist when the evidence clearly establishes a premeditated plan to launder money from his state-office committee into his local-office committee. Doing so would violate the PRA and your own regulations.

Perhaps more importantly, I urge you to consider how the public will perceive the fine imposed on Mr. Gloria for such egregious conduct. The press has already written multiple articles critical of the FPPC's minimization of Mr. Gloria's fraud on the public.<sup>2</sup> The fact that Mr. Gloria's attorney in the matter, Gary Winuk, is the FPPC's former enforcement chief fuels the public's perception that the FPPC is primarily an incumbent-protection racket rather than an agency charged with protecting the integrity of the electoral system.

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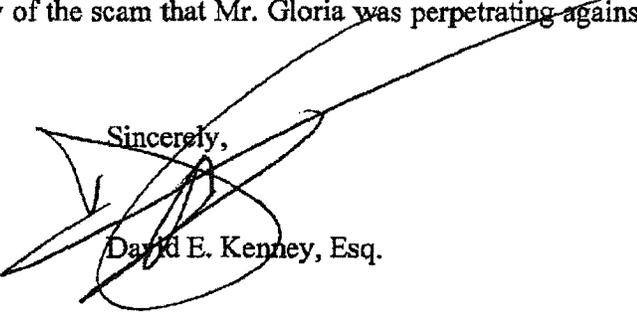
<sup>1</sup> The worksheet showing how the \$200 penalty was calculated is very disturbing. For example, it fails to recognize that Mr. Gloria has a high level of experience and sophistication on election matters, contrary to sub-section (c)(2)(A)(i); only “self-reported” after his violations were detailed by the press, contrary to sub-section (c)(2)(A)(ii); has caused major public harm by creating a scheme to launder excessive, corporate donations into a campaign that prohibits both, contrary to sub-section (c)(2)(A)(iii); received nearly \$320,000 into this Assembly 2020 committee before filing Form 501, contrary to sub-section (c)(2)(A)(iv); and the Form 501 is related to the re-election that triggered the filing obligation, contrary to sub-section (c)(2)(A)(xiv). None of those factors is mentioned in the worksheet.

<sup>2</sup> See, e.g., <https://californiaglobe.com/section-2/assemblyman-todd-gloria-gets-stern-finger-wag-from-fppc-on-campaign-violations/>; and <https://www.ocregister.com/2019/11/17/does-the-fppc-help-voters-or-those-in-power-susan-shelley/>.

**Kenney & Kropff**  
FPPC  
November 18, 2019  
Page 4 of 4

For these reasons, I encourage the FPPC to reject the proposed \$200 fine against Mr. Gloria, to conduct a thorough investigation of all the pending allegations against him (which means waiting until all relevant campaign-finance reports are available to the public), and then to take action that fully recognizes the gravity of the scam that Mr. Gloria was perpetrating against the public.

Sincerely,



David E. Kenney, Esq.

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# Exhibit 12



## November 2019 Agenda

### Table of Contents:

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## November 2019 Agenda

### NOTICE AND AGENDA

#### Commission Meeting

Fair Political Practices Commission

1102 Q Street, Suite 3800  
Sacramento, CA 95811

Thursday, November 21, 2019  
10:00 A.M.

[View Agenda as PDF](#)

Commission Meeting 2019-11-21



**NEW!** Public comments may be submitted in real time by  
following the link to our new public participation portal!  
<http://mediasite.fppc.ca.gov/>

Under Government Code section 11123(a), all meetings of a state body are open and public, and all persons are permitted to attend any meeting of a state body, except as otherwise provided in that article. The section further states that the portion of the teleconferenced meeting that is required to be open to the public must be audible to the public at the location specified in the notice of the meeting. The Commission may take action on any item listed on this agenda.

Commissioner Hatch will attend this meeting of the commission via teleconference from:

**Ka'Anapali Beach Club - Lobby**  
104 Ka'anapali Shores Place  
Maui, HI 96761  
8:00 a.m. UTC - 10:00 Hawaii-Aleutian Time

Welcome

**Richard C. Miadich, Chair**

#### 1. Public Comment

During this comment period, any person is invited to speak on any topic that is not listed on this agenda. Action may not be taken on any matter raised during this public comment period until the matter is specifically listed on a future agenda. Those who wish to comment on an item that has been listed on this agenda may comment when that item has been opened for consideration by the Commission and before any action is taken.

**Public Comment - Jorge Velez (item 1)**

**Public Comment - Jurell Snyder (item 1)**

**Public Comment - Dan Hubiak (item 1)**

**Public Comment - Suzanne Schroeder (item 1)**

**Public Comment - Claudio Balboni (item 1)**

**Public Comment - Cheryl Berkema (item 1)**

**Public Comment - Robert Peschko (item 1)**

**Public Comment - Lisa Hitomi (item 1)**

**Public Comment - Larissa Berry (item 1)**

**Public Comment - Holly Johnson (item 1)**

**Public Comment - Rick Diaz (item 1)**

**Public Comment - Scott Vaughan (item 1)**

**Public Comment - Wane Duty (item 3)**

**Digital Comments - item 3**

**Public Comment - Pamela Sheppard (item 16)**

**Public Comment - Kelly Liang, Olson Hagel & Fishburn (item 18)**

**00307**

**Public Comment - Doug Butler (item 24)**

**Public Comment - Mike Bailey (item 24)**

**Public Comment - David E. Kenney (item 24)**

## 2. Approval of Commission Minutes

### October 2019 Minutes

#### 3-15. Enforcement Consent Calendar

Items on the consent calendar will be taken up and voted on as a group. A Commissioner may request that an item be removed from consent, in which case it will be discussed separately in the meeting.

#### Misuse of Campaign Funds

**3. In the Matter of Joe Canciamilla for Contra Costa County Clerk/Recorder 2014/2018, Friends of Joe Canciamilla for Judge 2012/2014, and Joseph Canciamilla; FPPC No. 17/146.** Staff: Neal Bucknell, Senior Commission Counsel and Bob Perna, Program Specialist II. The Respondents were represented by the Law Office of Andreas Rockas and the Law Offices of Wayne Ordos. This matter arose from an audit performed by the Franchise Tax Board's Political Reform Audit Program. Joseph Canciamilla is the former Contra Costa County Clerk-Recorder and Registrar of Voters, as well as a former candidate for Contra Costa County Superior Court Judge. Joe Canciamilla for Contra Costa County Clerk/Recorder 2014/2018 and Joe Canciamilla for Judge 2012/2014 are his candidate-controlled committees. In 2011, 2014, and 2015, Canciamilla used campaign funds for personal purposes which were unrelated to a political, legislative, or governmental purpose, in violation of Government Code Sections 89510, subdivision (b), 89512, and 89513, subdivision (a) (15 counts). From 2011 through 2019, this activity was concealed on campaign statements by other reporting violations including non-reporting and the overstatement of available cash on hand, in violation of Government Code Section 84211 (14 counts). Also, in 2011 Canciamilla and his judge committee commingled campaign funds with personal funds, in violation of Government Code Section 84307 (1 count). **Total Proposed Penalty: \$150,000 - \$75,000 (Canciamilla personally) and \$75,000 (Canciamilla and his committees).**

#### Canciamilla - Stip

**4. In the Matter of Michael D. Jackson for School Board 2016, Michael D. Jackson, and Kelvin Barrios; FPPC Nos. 17/964 and 18/1465.** Staff: Theresa Gilbertson, Commission Counsel and Marshall Miller, Special Investigator. The respondents are represented by Matthew Soleimanpour of Soleiman, APC. Michael D. Jackson was an unsuccessful candidate for Chula Vista Elementary School District Board of Education in the November 8, 2016 General Election. Michael D. Jackson for School Board 2016 was his candidate-controlled committee. Kelvin Barrios was the Committee's treasurer. The Committee, Jackson, and Barrios failed to maintain adequate records to substantiate campaign statements, in violation of Government Code Section 84104 (1 count). Additionally, Jackson used campaign funds for personal purposes which were unrelated to a political, legislative, or governmental purpose, in violation of Government Code Section 89512 (1 count). Barrios also used the Jackson committee campaign funds in this way, and in addition impermissibly used campaign funds as treasurer from the California Young Democrats Latino Caucus committee for personal purposes, in violation of Government Code Sections 89512 and 89512.5 (1 count). **Total Proposed Penalty: \$8,500 – \$2,000 (Jackson, Barrios and Committee), \$2,500 (Jackson personally), and \$4,000 (Barrios personally).**

#### Jackson - Stip

#### San Bernardino Net Debt Violations and Campaign Bank Account

**5. In the Matter of Curt Hagman for San Bernardino County Supervisor 2014, Curt Hagman for Supervisor 2018, Curt Hagman, and John Fugatt; FPPC No. 16/795.** Staff: Michael W. Hamilton, Commission Counsel and Soni Mangat, Program Specialist II. Curt Hagman was a successful candidate for San Bernardino County Board of Supervisors in the November 4, 2014 General Election and the June 5, 2018 Primary Election. Curt Hagman for San Bernardino County Supervisor 2014 and Curt Hagman for Supervisor 2018 were his candidate-controlled committees. John Fugatt served as treasurer for both committees. The 2014 Committee, Hagman, and Fugatt received contributions after the election in excess of their campaign debt, in violation of San Bernardino Ordinance Section 12.4305, subdivision (b) (1 count). The 2018 Committee, Hagman, and Fugatt made expenditures from a bank account other than the one designated for campaign activity, in violation of Government Code Section 85201, subdivision (e) (1 count). Additionally, the 2018 Committee, Hagman, and Fugatt failed to maintain

adequate records for expenditures made from the 2014 committee's bank account, in violation of San Bernardino Ordinance Section 12.4313 (a) and Government Code Section 84104 (1 count). **Total Proposed Penalty: \$10,000.**

### Hagman - Stip

#### Advertisements

**6. In the Matter of Committee for Chrissa Gillies for School Board 2016, Chrissa Gillies, Elizabeth Rudick, Gabriele Schmitz, Committee to Elect Chrissa Gillies Governing Board 2018, and Ronna Moore; FPPC No. 16/19919.** Staff: Theresa Gilbertson, Commission Counsel and Lance Hachigian, Special Investigator. Chrissa Gillies was an unsuccessful candidate for Cotati-Rohnert Park Unified School District Board of Trustees in the November 8, 2016 General Election, and successful in the November 6, 2018 General Election. Committee for Chrissa Gillies for School Board 2016 and the Committee to Elect Chrissa Gillies Governing Board 2018 were her candidate-controlled committees. Elizabeth Rudick was the 2016 Committee's treasurer and Gabriele Schmitz was the 2016 Committee's principal officer. Ronna Moore served as the 2018 Committee's treasurer. The 2016 Committee, Gillies, and Rudick failed to timely file a semiannual campaign statement, a pre-election campaign statement, and four 24-Hour Reports, in violation of Government Code Sections 84200, 84200.5, and 84203 (1 count). The 2016 Committee, Gillies, and Schmitz failed to include the required disclosures on an advertisement opposing a local ballot measure, in violation of Government Code Section 84506 (1 count). The 2018 Committee, Gillies, and Moore failed to timely file two 24-Hour Reports, in violation of Government Code Section 84203 (1 count). **Total Proposed Penalty: \$7,000.**

### Gillies - Stip

**7. In the Matter of Alhambra Kids 2016 Support Measure AE & Measure HS, Robert Gin, and Stephen Perry; FPPC No. 17/917.** Staff: Christopher Burton, Senior Commission Counsel and Lance Hachigian, Special Investigator. Alhambra Kids 2016 Support Measure AE & Measure HS was a committee primarily formed to support Alhambra Unified School District Measures AE and HS in the November 8, 2016 General Election. Robert Gin was the Committee's treasurer and Stephen Perry was the Committee's principal officer. The Committee, Gin, and Perry failed to timely file five 24-Hour Reports, in violation of Government Code Section 84203 (1 count). The Committee and Perry also failed to include the required disclosures on a slate mailer, in violation of Government Code Section 84305.5 (1 count). **Total Proposed Penalty: \$6,000.**

### Alhambra Kids - Stip

**8. In the Matter of Tim Nonn for CRPUSD Board of Trustees 2016, Tim Nonn, Gabriele Schmitz, and Elizabeth Rudick; FPPC No. 16/19918.** Staff: Theresa Gilbertson, Commission Counsel and Lance Hachigian, Special Investigator. Tim Nonn was a successful candidate for the Cotati-Rohnert Park Unified School District Board of Trustees in the November 8, 2016 General Election. Tim Nonn for CRPUSD Board of Trustees 2016 was his candidate-controlled committee. Elizabeth Rudick was the Committee's treasurer and Gabriele Schmitz was the Committee's principal officer. The Committee, Nonn, and Rudick failed to timely file a semiannual campaign statement, a pre-election campaign statement, and four 24-Hour Reports, in violation of Government Code Sections 84200, 84200.5, and 84203 (1 count). The Committee, Nonn, and Schmitz also failed to include the required disclosures on door hangers, flyers, and a newspaper advertisement opposing a local ballot measure, in violation of Government Code Section 84506 (1 count). **Total Proposed Penalty: \$5,500.**

### CRPUSD - Stip

**9. In the Matter of Abraham Ghorbanian; FPPC No. 18/1266.** Staff: Christopher Burton, Senior Commission Counsel and Jeffrey Kamigaki, Supervising Special Investigator. Abraham Ghorbanian qualified as an independent expenditure committee supporting Ed Pope, candidate for Mayor of Irvine, and Farrah Khan, candidate for Irvine City Council, in the November 6, 2018 General Election. Ghorbanian failed to timely file two 24-Hour Reports, in violation of Government Code Section 84204 (1 count) and failed to include the required disclosures on a print advertisement distributed prior to the election, in violation of Government Code Sections 84502 and 84506.5 (1 count). **Total Proposed Penalty: \$3,500.**

### Ghorbanian - Stip

#### Campaign Late Filer

**10. In the Matter of Scott Jackson for Judge 2016, Scott Jackson, and Linda Perry; FPPC No. 16/19742.** Staff: Ruth Yang, Commission Counsel. The respondents were represented by Tom Willis of Remcho Johansen and Purcell LLC. Scott Jackson was a successful candidate for Alameda County Superior Court in the June 7, 2016 Primary Election and November 8, 2016 General Election. Scott Jackson for Judge 2016 is his candidate-controlled committee. Linda Perry was the Committee's treasurer. The Committee, Jackson, and Perry failed to timely file two pre-election campaign statements electronically for the reporting periods of January 1, 2016 through May 21, 2016, in violation of Government Code Sections 84200.5, 84200.8, 84215, subdivision (a), and 84605, subdivision (a)(1) (1 count); failed to timely file two semiannual campaign

statements in paper and electronic format for the reporting periods of May 22, 2016 through June 30, 2016 and October 23, 2016 through December 31, 2016, in violation of Government Code Sections 84200, 84215, subdivision (a), and 84605, subdivision (a)(1) (1 count); and failed to timely file two pre-election campaign statements in paper and electronic format for the reporting periods of July 1, 2016 through October 22, 2016, in violation of Government Code Sections 84200.5, 84200.8, 84215, subdivision (a), and 84605, subdivision (a)(1) (1 count). **Total Proposed Penalty: \$6,000.**

#### **Jackson - Stip**

**11. In the Matter of Jennifer Madden for Judge 2016, Jennifer Madden, and Linda Perry; FPPC No. 16/19744.** Staff: Ruth Yang, Commission Counsel. Jennifer Madden was a successful candidate for Alameda County Superior Court in the June 7, 2016 Primary Election. Jennifer Madden for Judge 2016 is her candidate-controlled committee. Linda Perry was the Committee's treasurer. The Committee, Madden, and Perry failed to timely file two pre-election campaign statements electronically for the reporting periods of January 1, 2016 through May 21, 2016, in violation of Government Code Sections 84200.5, 84200.8, 84215, subdivision (a), and 84605, subdivision (a)(1) (1 count); failed to timely file one semiannual campaign statement in paper and electronic format for the reporting period of May 22, 2016 through June 30, 2016, in violation of Government Code Sections 84200, 84215, subdivision (a), and 84605, subdivision (a)(1) (1 count); and failed to timely file two 24-Hour Reports, in violation of Government Code Section 84203 (1 count). **Total Proposed Penalty: \$4,000.**

#### **Madden - Stip**

**12. In the Matter of Committee to Ban Commercial Cultivation and William J. McManus, Jr.; FPPC No. 17/1334.** Staff: Christopher Burton, Senior Commission Counsel. Committee to Ban Commercial Cultivation was a committee primarily formed to support a ballot measure to ban the commercial cultivation of marijuana in Calaveras County. William J. McManus, Jr. was the Committee's treasurer and principal officer. The Committee and McManus failed to timely file three semiannual campaign statements and one pre-election campaign statement, in violation of Government Code Sections 84200, 84200.5, and 84200.8 (1 count). **Total Proposed Penalty: \$3,500.**

#### **McManus - Stip**

**13. In the Matter of Rohnert Park Cotati Educators Association Political Action Committee and Kristina (Ashley) Juarez; FPPC No. 16/19921.** Staff: Theresa Gilbertson, Commission Counsel and Lance Hachigian, Special Investigator. The Rohnert Park Cotati Educators Political Action Committee is a local general purpose committee. Kristina (Ashley) Juarez was the Committee's treasurer at the time. The Committee and Juarez failed to timely file two 24-Hour Reports, in violation of Government Code Section 84203 (1 count). **Total Proposed Penalty: \$1,500.**

#### **Juarez - Stip**

### **Statement of Economic Interests Late Reporter**

**14. In the Matter of Cheri Bromberger; FPPC No. 16/391.** Staff: Michael W. Hamilton, Commission Counsel and Garrett Micheels, Special Investigator. Cheri Bromberger, as a Board Member for June Lake Public Utilities District, failed to timely report income from the sale of her auto lift on her 2014 Annual Statement of Economic Interests, failed to timely report income from the sale of her motel on her 2015 Annual Statement of Economic Interests, and failed to timely report income from the sale of her condo on her 2018 Annual Leaving Office Combination Statement of Economic Interests, in violation of Government Code Section 87207 (1 count). **Total Proposed Penalty: \$1,500.**

#### **Bromberger - Stip**

### **Default Proceedings**

#### **Default Proceedings Requesting Commission Action**

**15. In the Matter of Murga for City Council 2016 and Raul Murga; FPPC No. 16/745.** Staff: Ruth Yang, Commission Counsel and Dominika Wojenska, Associate Governmental Program Analyst. Raul Murga was an unsuccessful candidate for Carson City Council in the November 8, 2016 General Election. Murga for City Council 2016 is his candidate-controlled committee. The Committee and Murga failed to timely file four semiannual campaign statements for the reporting periods ending on December 31, 2016; June 30, 2017; December 31, 2017; and June 30, 2018, in violation of Government Code Section 84200 (4 counts). **Total Proposed Penalty: \$16,000.**

#### **Murga - Default**

**16. Adoption of the 2019/2020 Statement of Economic Interests, Form 700 and related documents.** Staff: Sukhi Brar, Supervising Attorney, Legal Division. Forms and related documents have been revised to reflect current dates and filing deadlines.

**Staff Memo**

**Form 700**

**Reference Pamphlet**

**Form 700-A**

**Form 700-U**

**17. Updated Campaign Manuals and Lobbying Manual.** Staff: Sukhi Brar, Supervising Attorney, Legal Division. Campaign manuals have been revised with updates to campaign contribution limits, advertising disclosure requirements and other non-substantive technical changes. The Lobbying manual has been updated with the new gift limit.

**Staff Memo**

**Manual 1**

**Manual 2**

**Manual 3**

**Manual 4**

**Manual 5**

**Manual 6**

**Lobbying Manual**

**18. Amendments to Regulation 18450.1, Advertisement Disclosure and Regulation 18435, Definitions of Mass Mailing and Adoption of Regulation 18450.2, Authorized and Paid For, Regulation 18450.3, Top Contributor Disclosure for Affiliated Entities, and Regulation 18450.4, Video and Television Advertisement Disclosure.** Staff: Dave Bainbridge, General Counsel; Karen Harrison, Senior Commission Counsel, and Katelyn Greene, Commission Counsel, Legal Division. Staff proposes to amend Regulation 18435 to clarify the disclosure requirements for mass mailings and Regulation 18450.1 to make technical changes. Additionally, staff proposes three new regulations for adoption related to disclosure requirements for campaign advertisements. These new regulations would define the phrase “authorized and paid for” as used in the statutory definition of “advertisement;” clarify the top contributor disclosure for major donor committees that qualify as top contributors by virtue of affiliated entities; and address the required length of a disclosure for a video or television advertisement lasting less than four or five seconds. The proposed amendments and regulations were presented for pre-notice discussion and direction at the September 2019 meeting, and are now presented for adoption.

**Staff Memo**

**Proposed Amendments to Regulation 18435**

**Proposed Amendments to Regulation 18450.1**

**Proposed Adoption of Regulation 18450.2**

**Proposed Adoption of Regulation 18450.3**

**Proposed Adoption of Regulation 18450.4**

**19. Update on Task Force to Study Best Practices for Regulating Campaign Activity on Digital Media.** Chair Miadich and Commissioner Hayward.

**20. Memo regarding gift rules and college scholarships.** Staff: Dave Bainbridge, General Counsel and Brian Lau, Assistant General Counsel. Staff will present a memorandum about the application of the gift provisions of the Political Reform Act, and its regulations, to college scholarships received by officials or their family members.

**Staff Memo**

**21. Approval of Commissioner Compensation Request.** Staff: Loressa Hon, Chief of Administration. Consideration of Commissioner’s requests for compensation exceeding 40 hours in a calendar month as required by the Commission’s Compensation and Reimbursement Policy.

**Staff Memo**

**22. Committee Updates**

**Budget and Personnel Committee Update.**

**Law and Policy Committee Update.**

**23. Advice Letter Report and Commission Review.** Staff: Dave Bainbridge, General Counsel and Brian Lau, Assistant General Counsel. The Commission may review and discuss advice letters that have been issued since the October Executive Staff Report. The Commission may act to withdraw the advice provided.

### Advice Letter Report

#### 24. Executive Staff Reports.

Enforcement Division. Galena West, Chief of Enforcement

Legal Division. David Bainbridge, General Counsel

Administration & Technology Division, Loressa Hon, Chief of Administration

### Executive Staff Report

**25. Closed Session. Pending Litigation (Gov. Code § 11126(e)(1)).**Request for Authority to File Civil Complaints.

#### 26. Commissioner Comments and Proposed Future Agenda Items.

Note: Although any Commissioner may identify a topic of interest, the Commission may not substantially discuss or take action on any matter raised during the meeting that is not included on this agenda, except to decide to place the matter on the agenda of a future meeting. (Government Code Sections 11125 & 11125.7(a).)

### Additional Information

You can obtain further information about the meeting by contacting the Commission Assistant, 1102 Q Street, Suite 3000, Sacramento, CA, 95811, Tel. (916) 322-5745. Written comments on agenda items should be submitted to the Commission no later than 12:00 p.m. the day before the meeting in order to afford the Commissioners adequate time to fully consider the comments. E-mail comment letters directly to [CommAsst@fppc.ca.gov](mailto:CommAsst@fppc.ca.gov).

The agenda and related documents are posted on the FPPC website at [www.fppc.ca.gov](http://www.fppc.ca.gov). Materials submitted by the public regarding each agenda item will be made available at the meeting and on the website.

Members of the public may listen to the meeting by phone by calling (877) 411-9748; access code 723284; or watch the meeting via [YouTube](#).

The meeting location is accessible to the disabled. Persons who, due to a disability, need assistance in order to participate in this meeting should, prior to the meeting, contact the Commission Assistant at (916) 322-5745 or [CommAsst@fppc.ca.gov](mailto:CommAsst@fppc.ca.gov) and [ADACoordinator@fppc.ca.gov](mailto:ADACoordinator@fppc.ca.gov). TTY/TDD and Speech-to-Speech users may dial 7-1-1 for the California Relay Service to submit comments on an agenda item or to request special accommodations for persons with disabilities. Please allow three business days between the request and the meeting date.

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# Exhibit 13

# EXECUTIVE STAFF REPORTS

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*November 21, 2019 Commission Hearing*

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# I. ENFORCEMENT DIVISION

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STAFF: GALENA WEST, CHIEF OF ENFORCEMENT

## I. Overview

During the period of October 1, 2019 through October 31, 2019 the Enforcement Division received 80 complaints, opened 20 for investigation, and rejected 35. The Enforcement Division received 185 late filer referrals during this time. The Enforcement Division closed a total of 140 cases including:

- 51 warning letters – campaign violations (20), conflict of interest violations (1), and statement of economic interests violations (30);
- 49 no action closure letters alleging - campaign violations (15), statement of economic interests violations (29), advertisement violations (3) conflict of interest violations (1); behested payment violations (1);
- 1 advisory letter for an advertisement violation;
- 34 approved stipulations at the September Commission meeting; and
- 5 committees were administratively terminated.

The Division had 1,597 cases in various stages of resolution at the time of the October Monthly Report and currently has approximately 1,727 cases in various stages of resolution, including the 44 cases before the Commission as listed in the November 2019 Agenda.

On May 1, 2015, the Division received from the Secretary of State's office 2,460 \$50 Annual Fee referrals for 2013 fees not paid timely. Of those, 4 remain pending. On October 22, 2015, the Division received the \$50 Annual Fee referrals for 2014, which totaled 1,786. Of those, 10 remain pending. We are receiving 2015, 2016, 2017, and 2018 referrals periodically through the new Electronic Complaint System.

## II. Annual Statistics

On the next page, you will find a chart that details the annual statistics for enforcement complaints, referrals and cases. This chart includes the data from 2018, where consistent with other years, 966 cases were closed with violations found. The penalties collected decreased as the emphasis on providing warnings increased. The information in the chart has been gathered from public reports, information from the defunct and inactive database, and data that has been converted into current form, so the numbers are close estimates in some instances.

	<b>Year</b>	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>2018</b>
1	Complaints Received	609	1,180	564	1,352
2	Referrals Received	596	350	1,616	1,529
3	Total Complaint and Referrals Received	1,205	1,530	2,180	2,881
4	Cases opened	2,273 <sup>1</sup>	1,315	1,480	1,561
5	Cases closed	1,253	1,803	1,477	1,243
6	Cases with resolutions approved by the Commission <sup>2</sup>	333	311	340	235
	a Streamline cases approved by Commission	258	234	262	173
	b Mainline cases approved by Commission	69	70	66	56
	c Default cases approved by Commission	6	7	12	6
7	Total fines imposed by the Commission	\$703,821	\$894,257	\$1,126,933	\$499,606
8	Warning letters issued	442	489	505	554
9	Administrative terminations	116	668	297	177
10	Cases closed with violations found <sup>3</sup>	891	1,468	1,142	966
11	Advisory letters issued	19	14	17	20
12	No action closure letters	343	321	318	252

<sup>1</sup> In 2015, the Enforcement Division received information regarding 2,460 \$50 Annual Fee non-payors in May and another 1,786 in October of that year. None of these came through the typical “referral” process and had to be evaluated for: whether the committee had been terminated locally or with SOS, whether the committee never qualified, whether the fees were paid prior to the referral, and whether records existed to evaluate the committee, before the information could be opened into a case. Therefore, these do not appear in the Referral line since they did not follow that process.

<sup>2</sup> Total for lines 6a, 6b, and 6c.

<sup>3</sup> Total for lines 6, 8, and 9.

### **III. Unexecuted Streamline Stipulations**

Streamline penalty stipulations are approved by the Chief of Enforcement and reported to the Commission for discussion only before they are executed. The following streamline stipulations are presented for that purpose. After the close of the hearing, the Chief of Enforcement may execute all or any of the streamline penalty stipulations, at her discretion per Regulation 18360.2.

#### **Lobbying Late Reporter**

**In the Matter of American Civil Liberties Union/Northern California/Southern California/San Diego and Imperial Counties; FPPC No. 19/1233.** Staff: Galena West, Chief of Enforcement and Chloe Hackert, Political Reform Consultant. The respondent was represented by James Harrison of Remcho, Johansen, and Purcell LLP. American Civil Liberties Union/Northern California/Southern California/San Diego and Imperial Counties (the “ACLU”) is a lobbyist employer. The ACLU failed to timely report payments to influence legislative or administrative action, for the reporting periods of January 1, 2018 through September 30, 2018, and January 1, 2019 through June 30, 2019, in violation of Government Code Section 86116 (5 counts). **Total Proposed Penalty: \$685.**

#### **Campaign Bank Account**

**In the Matter of Friends of Adam Hill Supervisor 2016 and Adam Hill; FPPC No. 16/237.** Staff: Bridgette Castillo, Senior Commission Counsel and Jay Martin, Special Investigator. Adam Hill was a successful candidate for San Luis Obispo County Supervisor in the June 7, 2016 Primary Election, and is currently in office. Friends of Adam Hill Supervisor 2016 was his candidate-controlled committee. The Committee and Hill failed to pay expenses from the designated campaign bank account, in violation of Government Code Section 85201, subdivisions (d) and (e) (1 count). **Total Proposed Penalty: \$125.**

#### **Campaign Late Filer**

**In the Matter of El Tejon Excellence for Education – Yes on Measure D and Wendy Jones; FPPC No. 19/406.** Staff: Galena West, Chief of Enforcement and Tara Stock, Intake Manager. El Tejon Excellence for Education – Yes on Measure D is a local primarily formed committee supporting Measure D, which was approved by Kern County voters at the June 5, 2018 Primary Election. Wendy Jones is the Committee’s treasurer. The Committee and Jones failed to timely file a pre-election campaign statement for the reporting period of January 1, 2018 through May 19, 2018, in violation of Government Code Section 84200.5 (1 count); two semiannual campaign statements for the reporting periods of May 20, 2018 through June 30, 2018 and July 1, 2018 through December 31, 2018, in violation of Government Code Section 84200 (2 counts); and two 24-Hour Reports, in violation of Government Code Section 84203 (2 counts). **Total Proposed Penalty: \$1,592.**

**In the Matter of California Young Democrats Latino Caucus, Kelvin Barrios, and Sean Rivas; FPPC No. 17/1473.** Staff: Ruth Yang, Commission Counsel and Lance Hachigian, Special Investigator. The Committee and Sean Rivas were represented by Emily Andrews of Olson Hagel & Fishburn LLP, and Kelvin Barrios was represented by Matthew Soleimanpour of Soleiman, APC. California Young Democrats Latino Caucus is a local general purpose committee. Sean Rivas is the Committee's current treasurer and Kelvin Barrios was the Committee's treasurer from 2016 through 2017. The Committee, Rivas, and Barrios failed to timely file six semiannual campaign statements for the reporting periods of January 1, 2016 through June 30, 2016; July 1, 2016 through December 31, 2016; January 1, 2017 through June 30, 2017; July 1, 2017 through December 31, 2017; January 1, 2018 through June 30, 2018; and July 1, 2018 through December 31, 2018, in violation of Government Code Section 84200 (6 counts). **Total Proposed Penalty: \$1,369.**

**In the Matter of Jeffrey Greenberg and Affiliated Entities, including Global Personals LLC, Worldwide Connect, and WealthyMen.Com; FPPC No. 19/944.** Staff: Theresa Gilbertson, Commission Counsel and Ann Flaherty, Special Investigator. The respondents were represented by Mark Bryn of Bryn & Associates P.A. Greenberg and affiliated entities qualified as a major donor in 2016 after contributing to the No on Proposition 60, Californians Against Worker Harassment, Sponsored by the Free Speech Coalition. Greenberg failed to timely file a 24-Hour Report, in violation of Government Code Section 84203 (1 count). **Total Proposed Penalty: \$600.**

**In the Matter of Ybarra City Council District 2018, John Ybarra, and Lisa Kennedy; FPPC No. 18/892.** Staff: Galena West, Chief of Enforcement and Tara Stock, Intake Manager. John Ybarra was an unsuccessful candidate for Fullerton City Council in the November 6, 2018 General Election. Ybarra City Council District 2018 was his candidate-controlled committee. Lisa Kennedy was the Committee's treasurer. The Committee, Ybarra, and Kennedy failed to timely file a pre-election campaign statement for the reporting period of January 1, 2018 through September 22, 2018, in violation of Government Code Section 84200.5 (1 count), and failed to timely file a 24-Hour Report, in violation of Government Code Section 84203 (1 count). **Total Proposed Penalty: \$537.**

**In the Matter of Cook for Supervisor 2020 and David Cook; FPPC No. 19/1237.** Staff: Galena West, Chief of Enforcement and Tara Stock, Intake Manager. The respondents were represented by Michael Columbo of Neilsen Merksamer Parrinello Gross & Leoni, LLP. David Cook is a candidate for Sonoma County Supervisor in the upcoming March 3, 2020 Primary Election. Cook for Supervisor 2020 is his candidate-controlled committee. The Committee and Cook failed to timely file a semiannual campaign statement for the reporting period of January 1, 2019 through June 30, 2019, in violation of Government Code Section 84200 (1 count). Cook also failed to file a Candidate Intention Statement prior to receiving contributions related to the election, in violation of Government Code Section 85200 (1 count). **Total Proposed Penalty: \$478.**

**In the Matter of Elect G. Mario Fernandez for School Board 2018, G. Mario Fernandez, and Eric Paredes; FPPC No. 18/917.** Staff: Galena West, Chief of Enforcement and Dominika Wojenska, Associate Governmental Program Analyst. G. Mario Fernandez was an unsuccessful candidate for Eureka City Schools Governing Board in the November 6, 2018 General Election. Elect G. Mario Fernandez for School Board 2018 is his candidate-controlled committee. Eric Paredes is the Committee's treasurer. The Committee, Fernandez, and Paredes failed to timely file a pre-election campaign statement for the reporting period of July 1, 2018 through September 22, 2018, in violation of Government Code Section 84200.5 (1 count). **Total Proposed Penalty: \$422.**

**In the Matter of 43rd Republican Assembly District Central Committee and Bill Saracino; FPPC No. 18/219.** Staff: Galena West, Chief of Enforcement and Dominika Wojenska, Associate Governmental Program Analyst. 43rd Republican Assembly District Central Committee is a local general purpose committee. Bill Saracino is the Committee's treasurer. The Committee and Saracino failed to timely file two semiannual campaign statements for the reporting periods of July 1, 2016 through December 31, 2016 and January 1, 2017 through June 30, 2017, in violation of Government Code Section 84200 (2 counts). **Total Proposed Penalty: \$400.**

**In the Matter of Dime Systems LLC; FPPC No. 19/942.** Staff: Theresa Gilbertson, Commission Counsel and Ann Flaherty, Special Investigator. The respondent was represented by Mark Bryn of Bryn & Associates P.A. Dime Systems LLC qualified as a major donor in 2016 after contributing to the No on Proposition 60, Californians Against Worker Harassment, Sponsored by the Free Speech Coalition. Dime Systems LLC failed to timely file a 24-Hour Report, in violation of Government Code Section 84203 (1 count). **Total Proposed Penalty: \$300.**

**In the Matter of Pro Data Tech LLC; FPPC No. 19/943.** Staff: Theresa Gilbertson, Commission Counsel and Ann Flaherty, Special Investigator. The respondent was represented by Mark Bryn of Bryn & Associates P.A. Pro Data Tech LLC qualified as a major donor in 2016 after contributing to the No on Proposition 60, Californians Against Worker Harassment, Sponsored by the Free Speech Coalition. Pro Data Tech LLC failed to timely file a 24-Hour Report, in violation of Government Code Section 84203 (1 count). **Total Proposed Penalty: \$300.**

**In the Matter of Web Data Solutions LLC; FPPC No. 19/945.** Staff: Theresa Gilbertson, Commission Counsel and Ann Flaherty, Special Investigator. The respondent was represented by Mark Bryn of Bryn & Associates P.A. Web Data Solutions LLC qualified as a major donor in 2016 after contributing to the No on Proposition 60, Californians Against Worker Harassment, Sponsored by the Free Speech Coalition. Web Data Solutions LLC failed to timely file a 24-Hour Report, in violation of Government Code Section 84203 (1 count). **Total Proposed Penalty: \$300.**

**[In the Matter of Duarte Unified Education Association PAC and Tracy Hall; FPPC No. 19/583.](#)** Staff: Galena West, Chief of Enforcement and Tara Stock, Intake Manager. Duarte Unified Education Association PAC is a local general purpose committee. Tracy Hall was the Committee's treasurer at the time this violation occurred. The Committee and Hall failed to timely file a semiannual campaign statement for the reporting period of October 21, 2018 through December 31, 2018, in violation of Government Code Section 84200 (1 count). **Total Proposed Penalty: \$289.**

**[In the Matter of Flicker 4 School Board 2018 and Amy Flicker; FPPC No. 19/593.](#)** Staff: Galena West, Chief of Enforcement and Tara Stock, Intake Manager. Amy Flicker was an unsuccessful candidate for Trustee of San Dieguito Union High School District Board of Education in the November 6, 2018 General Election. Flicker 4 School Board 2018 was her candidate-controlled committee. The Committee and Flicker failed to timely file a pre-election campaign statement for the reporting period of September 23, 2018 through October 20, 2018, in violation of Government Code Section 84200.5 (1 count). **Total Proposed Penalty: \$234.**

**[In the Matter of Anaheim Neighborhood Association and Lewis A. Aguilar; FPPC No. 18/895.](#)** Staff: Galena West, Chief of Enforcement and Dominika Wojenska, Associate Governmental Program Analyst. Anaheim Neighborhood Association is a local general purpose committee. Lewis A. Aguilar is the Committee's treasurer. The Committee and Aguilar failed to timely file a pre-election campaign statement for the reporting period of July 1, 2018 through September 22, 2018, in violation of Government Code Section 84200.5 (1 count). **Total Proposed Penalty: \$220.**

**[In the Matter of Galt Certificated and Classified Employees Committee on Political Education and Julie Lewis; FPPC No. 18/752.](#)** Staff: Galena West, Chief of Enforcement and Chloe Hackert, Political Reform Consultant. Galt Certificated and Classified Employees Committee on Political Education is a local general purpose committee. Julie Lewis is the Committee's treasurer. The Committee and Lewis failed to timely file a semiannual campaign statement for the reporting period of January 1, 2018 through June 30, 2018, in violation of Government Code Section 84200 (1 count). **Total Proposed Penalty: \$217.**

**[In the Matter of Todd Gloria; FPPC No. 19/969.](#)** Staff: Bridgette Castillo, Senior Commission Counsel and Luz Bonetti, Supervising Management Auditor. Todd Gloria, a current member of the California State Assembly, was re-elected to the 78<sup>th</sup> District Assembly in the November 6, 2018 General Election. Gloria failed to timely file a Candidate Intention Statement prior to receiving contributions to his candidate-controlled committee, Todd Gloria for Assembly 2020, in violation of Government Code Section 85200 (1 count). **Total Proposed Penalty: \$200.**

### **Campaign Late Reporter**

**[In the Matter of Valencia for Orange City Council 2018, Betty Valencia, and Joana Barcelona; FPPC No. 19/006.](#)** Staff: Megan A. Van Arsdale, Commission Counsel and Special Investigator, Lance M. Hachigian. Betty Valencia was an unsuccessful candidate for Orange City Council in the November 6, 2018 General Election. Valencia for Orange City Council 2018 was her candidate-controlled committee. Joana Barcelona was the Committee's treasurer. The

Committee, Valencia, and Barcelona failed to timely disclose a non-monetary contribution on a pre-election campaign statement for the reporting period of September 23, 2018 through October 20, 2018, in violation of Government Code Section 84211 (1 count). **Total Proposed Penalty: \$128.**

**[In the Matter of Daysog for Council 2018 and Tony Daysog; FPPC No. 18/1235.](#)** Staff: Galena West, Chief of Enforcement and Ginny Lambing, Political Reform Consultant. Tony Daysog was a successful candidate for Alameda City Council in the November 6, 2018 General Election. Daysog for Council 2018 was his candidate-controlled committee. The Committee and Daysog failed to timely disclose expenditures on a semiannual campaign statement for the reporting period of October 21, 2018 through December 31, 2018, in violation of Government Code Section 84211 (1 count). **Total Proposed Penalty: \$105.**

#### **Statement of Economic Interests Late Filer**

**[In the Matter of Harry Dana Moore; FPPC No. 16/20053.](#)** Staff: Jenna C. Rinehart, Commission Counsel. The respondent was represented by Edwin Riddle of the Law Offices of Edwin M. Riddle. Harry Dana Moore, a Director of Classified Services for the Konocti Unified School District, and a former Board Member of the Schools Insurance Group Northern Alliance I, failed to timely file his 2015 and 2016 Annual Statements of Economic Interest, in violation of Government Code Section 87300 (2 counts). **Total Proposed Penalty: \$800.**

**[In the Matter of Michael Lubic; FPPC No. 18/057.](#)** Staff: Galena West, Chief of Enforcement and Tara Stock, Intake Manager. Michael Lubic, as a former Consultant for the California Public Employees Retirement System, failed to timely file an Assuming Office, a 2015 and 2016 Annual, and a Leaving Office Statement of Economic Interests, in violation of Government Code Section 87300 (4 counts). **Total Proposed Penalty: \$800.**

**[In the Matter of Catalina Aguilar; FPPC No. 18/1503.](#)** Staff: Jenna C. Rinehart, Commission Counsel. Catalina Aguilar, a Board Member for Darnall Charter School, failed to timely file a 2017 Annual Statement of Economic Interests, in violation of Government Code Section 87300 (1 count). **Total Proposed Penalty: \$400.**

**[In the Matter of Igor Weisz; FPPC No. 17/1264.](#)** Staff: Galena West, Chief of Enforcement and Chloe Hackert, Political Reform Consultant. Igor Weisz, a Staff Psychiatrist for the California Department of Corrections and Rehabilitation, failed to timely file a 2016 Annual Statement of Economic Interests, in violation of Government Code Section 87300 (1 count). **Total Proposed Penalty: \$200.**

**[In the Matter of Bryce Bannatyne; FPPC No. 17/990.](#)** Staff: Galena West, Chief of Enforcement and Tara Stock, Intake Manager. Bryce Bannatyne, an Alternate Member for the California Avocado Commission, failed to timely file a 2018 Annual Statement of Economic Interests, in violation of Government Code Section 87300 (1 count). **Total Proposed Penalty: \$200.**

**In the Matter of John Vinke; FPPC No. 19/1408.** Staff: Galena West, Chief of Enforcement and Chloe Hackert, Political Reform Consultant. The respondent was represented by Robert Feldhake of the Feldhake Law Firm, APC. John Vinke, as an Interim Chief Business Officer/Consultant for the Lennox School District, failed to timely file an Assuming Office Statement of Economic Interests, in violation of Section 87300 (1 count). **Total Proposed Penalty: \$200.**

**In the Matter of Matthew Girardot; FPPC No. 17/530.** Staff: Galena West, Chief of Enforcement and Chloe Hackert, Political Reform Consultant. Matthew Girardot, as a Program Administrator I/II/III for the Health Care Agency of County of Ventura, failed to timely file a 2016 Annual and Leaving Office Combination Statement of Economic Interests, in violation of Government Code Section 87300 (1 count). **Total Proposed Penalty: \$200.**

**In the Matter of Julia Hernandez; FPPC No. 19/841.** Staff: Galena West, Chief of Enforcement and Ginny Lambing, Political Reform Consultant. Julia Hernandez, Mayor for the City of San Joaquin, failed to timely file a 2018 Annual Statement of Economic Interests, in violation of Government Code Section 87203 (1 count). **Total Proposed Penalty: \$200.**

**In the Matter of Oscar Rosales; FPPC No. 19/293.** Staff: Galena West, Chief of Enforcement and Cheng Saetern, Staff Services Analyst. Oscar Rosales, a member of the Mendota City Council, failed to timely file a 2017 Annual Statement of Economic Interests, in violation of Government Code Section 87203 (1 count). **Total Proposed Penalty: \$200.**

**In the Matter of Abel Lua; FPPC No. 19/842.** Staff: Galena West, Chief of Enforcement and Ginny Lambing, Political Reform Consultant. Abel Lua, a member of the San Joaquin City Council, failed to timely file a 2018 Annual Statement of Economic Interests, in violation of Government Code Section 87203 (1 count). **Total Proposed Penalty: \$200.**

**In the Matter of Enrique Fernandez; FPPC No. 19/1173.** Staff: Galena West, Chief of Enforcement and Ginny Lambing, Political Reform Consultant. Enrique Fernandez, a member of the Woodland City Council, failed to timely file a 2018 Annual Statement of Economic Interests, in violation of Government Code Section 87203 (1 count). **Total Proposed Penalty: \$200.**

#### **Statement of Economic Interests Late Reporter**

**In the Matter of Scott Schneider; FPPC No. 18/1004.** Staff: Galena West, Chief of Enforcement and Ginny Lambing, Political Reform Consultant. Scott Schneider, a former Administrative Services Director for the City of Fort Bragg, failed to timely disclose a source of income on his 2015 and 2016 Annual Statements of Economic Interest, in violation of Government Code Section 87207 (2 counts). **Total Proposed Penalty: \$200.**

## II. LEGAL DIVISION

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STAFF: DAVID BAINBRIDGE, GENERAL COUNSEL

### A. Pending Litigation

*California State Association of Counties and California School Boards Association v. FPPC*  
Los Angeles County Superior Court, Central District, Case No. BS174653

On September 11, 2018, petitioners served a first amended petition for writ of mandate and complaint for declaratory relief. Petitioners allege that Regulations 18420.1 and 18901.1 are invalid as a matter of law, that the Commission has exceeded its jurisdiction in adopting these Regulations, and that the Regulations are unenforceable.

The Attorney General's Office is representing the Commission and timely filed an answer to the petition/complaint. On March 4, 2019, the Commission filed a Motion for Judgment on the Pleadings.

On March 29, 2019, the Commission's Motion for Judgment on the Pleadings was granted with leave to amend. The court vacated all hearing dates and set a new trial setting conference. Plaintiffs timely filed a Second Amended Complaint (SAC) on June 27, 2019. The Commission has filed a demurrer and the hearing is set for November 22, 2019. The trial setting conference is also set on November 22, 2019.

*Howard Jarvis Taxpayers Association, et al. v. Edmund Brown, et al.*  
Court of Appeal, Third Appellate District, Case No. C086334

On December 12, 2016, the Howard Jarvis Taxpayers Association and retired State Senator and Judge Quentin L. Kopp filed a lawsuit against Governor Brown and the Commission to invalidate a new law that would allow public funds to be used for political campaigning. In September of 2016, the Governor signed Senate Bill 1107 which authorizes the use of public funds to finance campaigns if a jurisdiction adopts a law or ordinance creating a public financing program. Plaintiffs allege the new law improperly eliminates the prohibition against public financing of campaigns, implemented pursuant to Proposition 73 in 1988, because it was done without voter approval. In addition, plaintiffs allege that the new law violates the Political Reform Act because it does not "further the purposes of the Act," an express requirement in the Act for legislative amendment.

The Attorney General's Office is representing both Governor Brown and the Commission in this litigation. A hearing was held in Superior Court on August 4, 2017. After taking the matter under submission, the Court issued a Ruling, dated August 23, 2017, "entering a judgment declaring that the amendments made to Government Code section 85300 by Senate Bill No. 1107 are void and have no legal effect; and an injunction restraining Respondents from enforcing the unconstitutional amendments made by Senate Bill No. 1107."

In closed session at its meeting on September 21, 2017, the Commission voted to appeal the Superior Court decision. The Notice of Appeal was filed with the Third District Court of Appeal on January 9, 2018. Appellants' Opening Brief was timely filed on September 21, 2018. Respondents' Brief was timely filed on December 10, 2018. Appellants' Reply Brief was timely filed on March 1, 2019.

The appellate court heard oral argument on July 17, 2019. On August 26, 2019, the court affirmed the trial court's order invalidating SB 1107. Appellants did not file a petition for review in the California Supreme Court. Respondents will be seeking an award of attorney's fees and costs.

### **B. Outreach and Training**

- Political Reform Consultant, Alexandra Castillo, presented a workshop in Norwalk from October 2-3 to candidates and treasurers, as well as two workshops to campaign filing officers. 29 people attended the candidate and treasurer workshop, 40 people attended the first campaign filing officer workshop, and 25 people attended the second.
- Political Reform Consultant, Aly Larson, presented a campaign filing officer workshop and a candidate and treasurer workshop in Fresno on October 17. 15 people attended the campaign filing officer workshop and 30 people attended the candidate and treasurer workshop.
- Political Reform Consultant, Alana Jeydel, presented a campaign filing officer workshop in Napa on October 25. 20 people attended this workshop.
- Political Reform Consultant, Alex Castillo, presented a candidate and treasurer workshop to the San Mateo and Santa Clara Democratic Clubs in Palo Alto on October 26. 35 people attended this workshop.
- Video Tutorials: Commission video tutorials were accessed a total of 346 times in October. Form 700 videos were accessed 173 times, the Candidate/Treasurer video was accessed 111 times, and the filing officer videos were accessed 62 times.

### **C. Advice**

In October 2019, the Legal Division responded to the following requests for advice:

- **Education Program:** The Education Program responded to 513 requests for technical assistance via phone.
- **Requests for Advice:** The Email Advice and Conflict of Interest Code Program and Legal Division attorneys collectively responded to more than 594 e-mail and telephone requests for advice, 34 of which were not responded to within 24 hours.

- **Advice Letters:** The Legal Division received 10 advice letter requests under the Political Reform Act and completed 18 letters.
- **Section 1090 Letters:** The Legal Division received three advice letter requests concerning Section 1090 and completed five letters. This year to date, we have received 62 advice requests regarding Section 1090.

#### **D. Miscellaneous Decisions**

None to report.

#### **E. Potential Upcoming Regulations**

*December 19<sup>th</sup>, 2019.*

- Regulation 18702.4: Conflict of Interest Analysis, Source of Gift Materiality Threshold. (Adoption.)
- Regulation 18702.5: Conflict of Interest Analysis, Personal Financial Effect Materiality Threshold. (Adoption.)
- Regulations 18308 through 18308.4: Governance Principles and Budget Process. (Adoption.)

*Scheduling to be Determined.*

- Regulation 18419: Sponsored Committee Rules including (1) Time Periods for Analyzing Whether a Committee Qualified as Sponsored, (2) Naming Requirements, and (3) Harmonizing with Section 84222. (Adoption.)

#### **F. Conflict of Interest Codes**

##### **Adoptions and Amendments**

*State Agency Conflict of Interest Codes*

- Department of Fair Employment and Housing
- Department of Food and Agriculture
- Department of Justice

*Multi-County Agency Conflict of Interest Codes*

- Dublin-San Ramon Services District
- East Bay Regional Park District
- Inland Empire Resource Conservation District
- Marin Clean Energy

- Peninsula Corridor Joint Powers Board
- San Diego County Schools Risk Management Joint Powers Authority

### **Exemptions**

None to report.

### **Extensions**

None to report.

## **G. Probable Cause Hearings**

*Please note, a finding of probable cause does not constitute a finding that a violation has occurred. The respondents are presumed to be innocent of any violation of the Act unless a violation is proven in a subsequent proceeding.*

*The following matters were decided based solely on the papers. The respondents did not request a probable cause hearing.*

1. ***In the Matter of Re-Elect Gary Mendez For Rio Hondo College Board 2015 And Gary Mendez, FPPC No. 16/20131.*** On October 7, 2019, probable cause was found to believe Respondents committed the following violations of the Act:

Count 1: The Committee and Mendez failed to timely file the pre-election campaign statement for the reporting period of July 1, 2016 through September 24, 2016, due September 29, 2016, in violation of Government Code sections 84200.5, subdivision (a), and 84200.8, subdivision (a).

Count 2: The Committee and Mendez failed to timely file the pre-election campaign statement for the reporting period of September 25, 2016 through October 22, 2016, due October 27, 2016, in violation of Government Code sections 84200.5, subdivision (a), and 84200.8, subdivision (b).

Count 3: The Committee and Mendez failed to timely file the semi-annual campaign statement for the reporting period of October 23, 2016 through December 31, 2016, due January 31, 2017, in violation of Section 84200.

Count 4: The Committee and Mendez failed to timely file the semi-annual campaign statement for the reporting period of January 1, 2017 through June 30, 2017, due July 31, 2017, in violation of Section 84200.

Count 5: The Committee and Mendez failed to timely file the semi-annual campaign statement for the reporting period of July 1, 2017 through December 31, 2017, due January 31, 2018, in violation of Section 84200.

Count 6: The Committee and Mendez failed to timely file the semi-annual campaign statement for the reporting period of January 1, 2018 through June 30, 2018, due July 31, 2018, in violation of Section 84200.

Count 7: The Committee and Mendez failed to timely file the semi-annual campaign statement for the reporting period of July 1, 2018 through December 31, 2018, due January 31, 2019, in violation of Section 84200.

2. ***In the Matter of California Cannabis Unity Campaign 2016 and Samuel H. Clauder II, FPPC No. 16/514.*** On October 11, 2019, probable cause was found to believe Respondents committed the following violations of the Act:

Count 1: The Committee and Clauder failed to timely file the semi-annual campaign statement for the period of January 1, 2015 through June 30, 2015, due July 31, 2015, in violation of Government Code Section 84200.

Count 2: The Committee and Clauder failed to timely file the semi-annual campaign statement for the period of July 1, 2015 through December 31, 2015, due February 1, 2016, in violation of Government Code Section 84200.

Count 3: The Committee and Clauder failed to timely file the semi-annual campaign statement for the period of January 1, 2016 through June 30, 2016, due August 1, 2016, in violation of Government Code Section 842000.

# III. ADMINISTRATION & TECHNOLOGY DIVISION

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STAFF: LORESSA HON, CHIEF OF ADMINISTRATION

## **New Employee Report**

### **Administration and Technology Division**

Laura Mandler, Staff Services Analyst

Elwyn Yabut, Information Technology Associate (Promotion)

### **Enforcement Division**

Judy Liu, Staff Services Management Auditor

### **Legal Division**

Brianne Kilbane, Political Reform Consultant (Promotion)

Alana Jeydel, Staff Services Manager (Promotion)

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# Exhibit 14

**(Approved December 19, 2019)**  
**CALIFORNIA FAIR POLITICAL PRACTICES COMMISSION**  
**MINUTES OF HEARING, Public Session**  
**Thursday, November 21, 2019**  
**10:00 a.m.**

Present: Chair Miadich, Commissioner Cardenas, Commissioner Hatch (by telephone), and Commissioner Hayward

Staff Present: Thomas Jones, Executive Director  
Dave Bainbridge, General Counsel  
Brian Lau, Assistant General Counsel  
Galena West, Enforcement Chief  
Loressa Hon, Chief of Administration  
Sukhi Brar, Supervising Attorney  
Karen Harrison, Senior Commission Counsel  
Katelyn Greene, Commission Counsel  
Sasha Linker, Commission Assistant

**A. Call to Order**

Chair Miadich called the meeting to order at 10:07 a.m.

**B. Public Comment for Items not on the Agenda**

Scott Vaughan gave public comment to discuss his believed facts regarding a complaint submitted to the Enforcement Division. Chair Miadich thanked Mr. Vaughan for bringing the underlying matter to the attention of the FPPC, and stated that the Commission would be reviewing its regulations for possible amendment.

**C. Approval of Minutes of Prior Meeting**

**MOTION:** Approve the October 18, 2019 meeting minutes. Moved by Commissioner Hayward, seconded by Commissioner Cardenas. Motion approved 4-0.

**D. Approval of Enforcement Consent Calendar.**

**MOTION:** Motion to approve the Enforcement Consent Calendar, agenda items 3-15. Moved by Commissioner Hayward, seconded by Commissioner Cardenas. Motion approved 4-0.

Multiple members of Contra Costa County gave public comment on agenda item 3, *In the Matter of Joe Canciamilla for Contra Costa County Clerk/Recorder 2014/2018, Friends of Joe Canciamilla for Judge 2012/2014, and Joseph Canciamilla*, to ask the Commission to reject the stipulation and seek a larger fine. Following public comment, and assurance from the Enforcement Division that a criminal referral had been made, the Commission approved the proposed stipulation, and requested that the Enforcement Division refer the matter to the Contra Costa County Employees' Retirement Association for any action the Association deemed appropriate.

**E. Adoption of the 2019/2020 Statement of Economic Interests, Form 700 and related documents.**

**MOTION:** Motion to approve the 2019/2020 Statement of Economic Interests forms. Moved by Commissioner Hatch, seconded by Commissioner Hayward. Approved 4-0.

**F. Updated Campaign Manuals and Lobbying Manual.**

**MOTION:** Motion to approve the updated campaign manuals and lobbying manual. Moved by Commissioner Hatch, seconded by Commissioner Hayward. Approved 4-0.

**G. Amendments to Regulation 18450.1, Advertisement Disclosure and Regulation 18435, Definitions of Mass Mailing and Adoption of Regulation 18450.2, Authorized and Paid For, Regulation 18450.3, Top Contributor Disclosure for Affiliated Entities, and Regulation 18450.4, Video and Television Advertisement Disclosure.**

**MOTION:** Motion to approve the proposed regulations with discussed amendments. Moved by Commissioner Hatch, seconded by Commissioner Hayward. Approved 4-0.

**H. Update on Task Force to Study Best Practices for Regulating Campaign Activity on Digital Media**

**I. Memo regarding gift rules and college scholarships.**

**MOTION:** Motion to instruct Staff to create a fact sheet on scholarships. Moved by Chair Miadich, seconded by Commissioner Hayward. Approved 4-0.

**J. Approval of Commissioner Compensation Request.**

**MOTION:** Motion to approve the July compensation request submitted by Commissioner Hatch. Moved by Chair Miadich, seconded by Commissioner Hayward. Approved 4-0.

**K. Committee Updates.**

Commissioner Hayward stated the Budget & Personnel Committee update is as submitted.

**L. Advice Letter Report and Commission Review.**

**MOTION:** Motion to withdraw advice letter A-19-169. Moved by Commissioner Hatch, seconded by Commissioner Cardenas. Motion failed 2-2, with Chair Miadich and Commissioner Hayward opposing.

Advice Letter Report accepted as submitted, without further action by the Commission after failed motion.

**M. Executive Staff Reports.**

The Executive Staff reports were accepted as submitted, without further action by the Commission.

**N. Closed Session**

The Commission adjourned for closed session at 11:51p.m. to discuss pending litigation pursuant to Gov. Code § 11126 (e) (1), and reconvened from closed session at 1:00 p.m.

**O. Commissioner Comments and Proposed Future Agenda Items.**

No Commissioner comments or future agenda items discussed.

**P. Adjourn**

**MOTION:** Motion to adjourn the meeting. Moved by Commissioner Hayward, seconded by Commissioner Cardenas. Motion approved 3-0.

The meeting adjourned at 1:01 p.m.

Respectfully Submitted,  
Sasha Linker  
Commission Assistant  
Approved December 9, 2019

Richard C. Miadich, Chair  
Fair Political Practices Commission

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# Exhibit 15

**(Approved December 19, 2019)**  
**CALIFORNIA FAIR POLITICAL PRACTICES COMMISSION**  
**MINUTES OF HEARING, Public Session**  
**Friday, November 22, 2019**  
**10:30 a.m.**

Present: Chair Miadich, Commissioner Cardenas, Commissioner Hatch (by telephone), and Commissioner Hayward

Staff Present: Thomas Jones, Executive Director  
Dave Bainbridge, General Counsel  
Galena West, Enforcement Chief  
Sasha Linker, Commission Assistant

**A. Call to Order**

Chair Miadich called the meeting to order at 10:31 a.m.

**B. Public Comment for Items not on the Agenda**

There was no public comment for items not on the agenda.

**C. Presentation by Galena West, Chief of Enforcement, regarding the following topics for input and discussion from the public and the Commission:**

1. **Analysis of 2019 Enforcement Trends**
2. **Summary of Allocation of Enforcement Division Resources - 2019/2020**
3. **Review of Authority for Penalties and Resolutions - 2019/2020**
4. **Review of Criteria for Warning Letters and “Streamline” Cases (18360.1 and 18360.2)**
5. **Summary of Roadblocks and Challenges Confronting Enforcement in Its Efforts to Enforce the PRA**
6. **Discussion of Legislative Proposals for the 2020 Legislative Session**
7. **Discussion of Regulatory Proposals for 2020**
8. **Discussion of 2020 Priorities and Challenges for the Enforcement Division**

A presentation of the above topics was given by Galena West, Chief of Enforcement. Chief West stated that a copy of the presentation materials was posted for the public on the FPPC's web site prior to the presentation. Following Commission discussion and questions, Chair Miadich stated that the Commission would be reviewing the Enforcement program, in light of the presentation, and considering potential legislative and regulatory changes at future meetings.

The Commission adjourned for a break at 11:44 a.m. and reconvened at 12:00 p.m.

Stephen Kaufman, Chair of the Enforcement Review Task Force, gave public comment on the presentation and suggested reforms to the Streamline Regulations (in particular, monetary thresholds; timelines for 24-hour reports; and, inclusion of major donors and behested payments into the Streamline program). Mr. Kaufman also encouraged the Commission to support a legislative change to limit private rights of actions for violations of the Political Reform Act.

Nick Sanders from the California Political Attorney's Association (CPAA) stated that the CPAA Enforcement Subcommittee would be meeting soon and will send in their comments to the presentation at a later date.

#### **D. Adjourn**

**MOTION:** Motion to adjourn the meeting. Motion approved 4-0.

The meeting adjourned at 1:22 p.m.

Respectfully Submitted,  
Sasha Linker  
Commission Assistant  
Approved December 9, 2019

Richard C. Miadich, Chair  
Fair Political Practices Commission

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# Exhibit 16

Barclays Official California Code of Regulations Currentness

Title 2. Administration

Division 6. Fair Political Practices Commission

Chapter 3. Fair Political Practices Commission (Refs & Annos)

2 CCR § 18360

§ 18360. Enforcement Complaints.

(a) Sworn complaint. The Enforcement Division considers each complaint it receives but only a sworn complaint entitles the complainant to the procedural rights provided for in subdivisions (b) through (e) of this regulation. In order to file a sworn complaint, the complainant must:

- (1) File the Complaint with the Enforcement Division on a form made available by the Commission.
- (2) Identify the person or persons who allegedly violated the Act and, if known, the specific provision or provisions of the Act allegedly violated.
- (3) Describe with as much particularity as possible the facts constituting each alleged violation.
- (4) State the facts that support the complainant's belief that a person has violated the Act and include any documents or other evidence supporting this conclusion.
- (5) Include or describe with as much particularity as possible evidence or means of obtaining evidence in support of the complaint.
- (6) Include names and addresses of potential witnesses, if known.
- (7) Sign the complaint under penalty of perjury.

(b) Notice of sworn complaint. Within three business days of receipt of a sworn complaint, the Enforcement Division will provide each person who the complaint alleges violated the Act a copy of the complaint, and notice that the person may respond to the complaint. The Enforcement Division may withhold notice of a complaint or the identity of the complainant if the Enforcement Division Chief determines that disclosing the information would jeopardize the investigation.

(c) Notice to complainant of intended action. Within 14 calendar days of receiving a sworn complaint, the Enforcement Division will notify the complainant in writing that it will do one or more of the following in response to the complaint:

(1) Open a case to further investigate the allegations in the complaint. This notice will include a statement that opening a case indicates the complaint alleges a potential violation of the Act, but the Enforcement Division has not necessarily determined that any violations actually occurred.

(2) Refer the complaint to another governmental agency.

(3) Take no action on the complaint because the Commission does not have jurisdiction to investigate based on the information provided.

(4) Take no action on the complaint because the allegations of the complaint, absent additional information, do not warrant further action for the reason stated.

(5) Take additional time to investigate the complaint to determine whether to open a case and provide an explanation for the delay. The Enforcement Division will provide the complainant with subsequent written notice of what action it will take in response to the complaint.

(d) Reconsideration of intended action. If the person who filed the sworn complaint disagrees with the response sent pursuant to paragraphs (c)(1)-(5), he or she may submit in writing, within 20 calendar days of receipt of the response, a request for reconsideration to the Executive Director of the Commission. The Executive Director may deny the request, or based on good cause, direct the Chief of the Enforcement Division to take specified action consistent with the Act and its regulations. The Executive Director will provide the complainant written notice of his or her decision within 20 calendar days of receipt of the request.

(e) Notice to subjects of a sworn complaint. The Enforcement Division will send a copy of the notice to the complainant of intended action to any person the complaint at issue alleges violated the Act at the same time the notice is sent to a complainant, unless the Enforcement Division Chief determines that providing the person with notice of the complaint would jeopardize the investigation.

(f) Commission initiated investigation. All investigations that do not result from a sworn complaint are opened on the initiative of the Commission as provided for under Section 83115. The Enforcement Division will determine whether to open Commission initiated investigations.

(1) Letters of Inquiry. The Enforcement Division may contact subjects of a Commission initiated investigation to gather additional information to determine whether to open an Enforcement case and further investigate potential violations.

(2) Notice of investigation initiated by the Commission: The Enforcement Division will send notice, as well as a copy of any document submitted to the Enforcement Division, to any identified subjects of a Commission initiated investigation after a case is opened. The Enforcement Division may withhold any document, the identity of any person providing information, or the notice and existence of an investigation if the Enforcement Division Chief determines disclosing the information would jeopardize the investigation, or if the information is privileged, private or confidential. No notice will be sent to the provider of the information regarding whether a case has been opened.

(g) Media and public inquiries. The Commission will respond to requests for information about complaints and investigations as follows:

(1) Sworn Complaints. The Commission may confirm receiving a sworn complaint at any time but will not provide a copy of the complaint until five calendar days after a copy of the complaint is sent to all persons the complaint alleges violated the Act as provided in subdivision (b). The Commission is not required to wait five calendar days before providing a copy of the complaint if the Enforcement Division has decided to take no action on the complaint. Commission staff may not disclose any action the Enforcement Division intends to take on a sworn complaint, other than no action, until after notice to complainant of intended action is sent under subdivision (c).

(2) Commission initiated investigation. The Commission may confirm that the Enforcement Division sent a letter of inquiry and may provide a copy of the letter and any documents submitted to the Enforcement Division by the complaining member of the public five calendar days after sending the letter of inquiry. The Commission may confirm that it has opened a case on its own initiative and provide a copy of the notice sent to all identified subjects of the Commission initiated investigation five calendar days after sending notice to all identified subjects of the investigation as provided in subdivision (f)(2).

(3) Referrals. The Commission will confirm that it has received a referral from filing officers upon receipt and will provide a copy of the referral upon request.

(4) Protected Information. The Commission may withhold any document, the identity of any person providing information, or the existence of an investigation or complaint if the Enforcement Division Chief determines that disclosing the information would jeopardize the investigation, or the information is privileged, private or confidential.

(h) Notice of resolution. The Enforcement Division will send a notice of resolution to each complainant who filed a sworn complaint with the Commission and was notified that the Enforcement Division would investigate the allegations in the complaint.

Note: Authority cited: Section 83112, Government Code. Reference: Section 83115, Government Code.

### HISTORY

1. New section filed 10-9-75 as an emergency; effective upon filing. Certificate of Compliance included (Register 75, No. 41).
2. Amendment filed 5-22-78; effective thirtieth day thereafter (Register 78, No. 21).
3. Amendment of subsection (g) filed 11-2-78; effective thirtieth day thereafter (Register 78, No. 44).
4. Amendment of section heading filed 10-29-81; effective thirtieth day thereafter (Register 81, No. 44).
5. Editorial correction of subsection (f) filed 10-29-82 (Register 82, No. 44).
6. Amendment of subsection (g) filed 9-24-2007; operative 10-24-2007. Submitted to OAL for filing pursuant to *Fair Political Practices Commission v. Office of Administrative Law*, 3 Civil C010924, California Court of Appeal, Third Appellate District,

nonpublished decision, April 27, 1992 (FPPC regulations only subject to 1974 Administrative Procedure Act rulemaking requirements and not subject to procedural or substantive review by OAL) (Register 2007, No. 39).

7. Amendment filed 6-11-2008; operative 6-11-2008. Submitted to OAL for filing pursuant to *Fair Political Practices Commission v. Office of Administrative Law*, 3 Civil C010924, California Court of Appeal, Third Appellate District, nonpublished decision, April 27, 1992 (FPPC regulations only subject to 1974 Administrative Procedure Act rulemaking requirements) (Register 2008, No. 24).

8. New subsection (f)(2), subsection renumbering and amendment of newly designated subsections (f)(3)-(4) filed 7-6-2011; operative 8-5-2011. Submitted to OAL for filing pursuant to *Fair Political Practices Commission v. Office of Administrative Law*, 3 Civil C010924, California Court of Appeal, Third Appellate District, nonpublished decision, April 27, 1992 (FPPC regulations only subject to 1974 Administrative Procedure Act rulemaking requirements and not subject to procedural or substantive review by OAL) (Register 2011, No. 27).

9. Amendment of subsections (f)(1), (f)(1)(E), (f)(3)-(4) and (h) filed 12-7-2011; operative 1-6-2012. Submitted to OAL for filing pursuant to *Fair Political Practices Commission v. Office of Administrative Law*, 3 Civil C010924, California Court of Appeal, Third Appellate District, nonpublished decision, April 27, 1992 (FPPC regulations only subject to 1974 Administrative Procedure Act rulemaking requirements and not subject to procedural or substantive review by OAL) (Register 2011, No. 49).

10. Repealer and new section heading and section filed 12-15-2015; operative 1-14-2016. Submitted to OAL for filing and printing only pursuant to *Fair Political Practices Commission v. Office of Administrative Law*, 3 Civil C010924, California Court of Appeal, Third Appellate District, nonpublished decision, April 27, 1992 (FPPC regulations only subject to 1974 Administrative Procedure Act rulemaking requirements and not subject to procedural or substantive review by OAL) (Register 2015, No. 51).

This database is current through 11/1/19 Register 2019, No. 44

2 CCR § 18360, 2 CA ADC § 18360

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Barclays Official California Code of Regulations Currentness

Title 2. Administration

Division 6. Fair Political Practices Commission

Chapter 3. Fair Political Practices Commission (Refs & Annos)

2 CCR § 18360.1

§ 18360.1. Eligibility Requirements and Considerations - Streamline and Warning Letters.

The Chief of Enforcement may include or exclude any filer from receiving a streamline penalty based upon mitigating or aggravating circumstances. Mitigating circumstances result in consideration of a Warning Letter being issued instead of a streamline penalty. Aggravating circumstances result in the consideration of a Mainline Stipulation instead of a streamline penalty. A Mainline Stipulation generally results in a higher penalty and includes a full description of the violation and surrounding events.

(a) Types of Violations Eligible.

(1) Late Statements and Reports, including Campaign, Statement of Economic Interests, and Lobbying.

(2) Unreported Economic Interest(s) on a Statement of Economic Interests.

(3) Unreported Lobbying Activity, Contributions or Expenditures.

(4) Cash Contribution(s) or Expenditure(s) of \$100 or More.

(5) Campaign Bank Account.

(6) Committee Naming.

(7) Advertising and Mass Mailing Disclosures.

(8) Recordkeeping.

(9) Gift Limit.

(10) Slate Mailer Organization Filing Issues.

(11) Proper Recusal of a Conflict of Interest.

(12) Major Donor Notifications.

(b) Penalty Amount. The streamline penalty for each violation is found in Regulation 18360.2.

(c) General Requirements for Eligibility, Considerations, Factors, and Exclusions.

(1) General Eligibility Requirements:

(A) Sign and submit to the Commission a streamline stipulation, decision, and order on a form provided by the Commission, and

(B) Pay a proposed penalty by cashier's check, electronic payment, or money order in an amount as determined in Regulation 18360.2.

(2) Considerations and Factors.

(A) Considerations for a Warning Letter include a balancing of the following factors:

(i) Low level of experience and sophistication of the party.

(ii) Self-reported violations before public discovery.

(iii) Violations resulted in minimal public harm.

(iv) Low amount raised and spent regarding the election.

(v) Unsuccessful.

(vi) Filer or candidate leaving office or never having obtained office.

(vii) Illness, incapacitation, death, or otherwise unable to perform duties.

(viii) Low activity during the missing or late reporting period.

(ix) Small percentage of overall activity for the reporting period.

(x) Committee has terminated.

(xi) Fraudulent activity of other caused the violation.

(xii) Activity was reported by other participant in the transaction.

(xiii) Activity was reported timely on another campaign statement of the filer.

(xiv) For late statements and reports, activity was unrelated to the election that triggered filing requirements.

(xv) The same candidate, committee, or principal officer has not been issued a Warning Letter for the same type of violation within the last five years.

(B) Exclusions from the Streamline Program include:

(i) Any evidence of an intent to conceal or violate the Political Reform Act or regulations relating to the Act.

(ii) Presented the FPPC false or altered evidence.

(iii) Made false statements to the FPPC regarding material facts.

(iv) Evidence of intentional interference with a witness in the FPPC matter.

(v) The same candidate, committee, or principal officer has paid a prior penalty to the Commission for the same type of violation occurring within the last five years.

(vi) For late statements and reports, history of not timely filing statements or reports.

(vii) Violations caused in the aggregate more than minimal public harm.

(viii) Has other violations under review for prosecution that do not qualify for a streamline penalty.

(d) Specific Requirements for Eligibility.

(1) Late Statements and Reports. To enter into a streamline settlement, an individual who failed to timely file a campaign statement or report, lobbying report, or Statement of Economic Interests must file the late statement or report with the

appropriate agency unless the relevant information was reported by the person elsewhere or the FPPC determines that the information has been sufficiently disclosed in the stipulation and/or other filings.

(A) Statement of Economic Interests - Considerations and Factors.

(i) Considerations for a Warning Letter include:

- a. The late filed Statement of Economic Interests is a Leaving Office Statement.
- b. The late filed Statements of Economic Interests are the Leaving Office Statement and the Annual Statement that was due within three months of the date the public official left office.

(ii) Exclusions from the Streamline Program include:

- a. For Statement of Economic Interests reporting violations, a public official also had a conflict of interest violation under Sections 1090 or 87100 involving the undisclosed economic interest.

(B) Campaign Statement or Report - Considerations and Factors.

(i) Considerations for a Warning Letter include:

- a. The committee had less than \$2,000 of activity for the statement period.
- b. The committee filed an electronic (or paper) statement or report timely for the same period.
- c. The committee meets the requirements for administrative termination.
- d. The late statement or report was filed prior to the relevant election.
- e. The statement due was a Form 470.

(ii) Exclusions from the Streamline Program include:

- a. For all committees, participation in the Streamline Program is limited based on the population of the jurisdiction of the committee, or for special districts, the population within the boundaries of the district. The population numbers are based on the U.S. Census. Special districts will be asked to supply the population information based on the U.S. Census. Candidates, committees, treasurers, or principal officers will be excluded from participating in the Streamline Program if their district does not provide population numbers.

For at large elections, use the entire population of the jurisdiction. For jurisdictions with districts, take the total population of the jurisdiction and divide by the number of districts. The campaign statement or report at issue was required to report contributions or expenditures totaling not more than:

1. \$4,000, for populations at or under 10,000;
2. \$5,700, for populations between 10,001-25,000;
3. \$8,200, for populations between 25,001-62,500;
4. \$11,700, for populations between 62,501-156,250;
5. \$16,700, for populations between 156,251-390,625;
6. \$23,900, for populations between 390,626-976,562;
7. \$34,200, for populations between 976,563-2,441,406;
8. \$48,900, for populations between 2,441,407-6,103,515;
9. \$69,900, for populations between 6,103,516-15,258,789;
10. \$100,000, for populations above 15,258,790.

b. Pattern of campaign statements or reports not timely filed.

c. A 24-Hour Report required to be filed by a committee as defined in Section 82013 (a) and (b) during the last 16 days before the election and not filed before the election unless the amount required to be reported was de minimis in relation to the total campaign.

d. Any 24-Hour Report required to be filed by a committee as defined in Section 82013 (a) and (b) and not filed before the election if the contribution or expenditure was not reported on another report or statement filed by the committee before the election.

e. The late filed report was filed more than five business days after it was due and the timely reporting of the contribution would have resulted in a change to the disclosure of the committees' top contributors, as required by Section 84503.

(C) Lobbying Reports Required under Chapter 6 of the Political Reform Act - Considerations and Factors.

(i) Considerations for a Warning Letter include:

- a. The lobbyist is a placement agent not active in the state.
- b. The lobbyist provided the report to the employer or firm who did not file the report.
- c. The total required activity to be reported for that reporting period was less than \$5,000.
- d. No history of not timely filing reports.
- e. The filer was ill or other extenuating circumstances.

(ii) Exclusions from the Streamline Program include:

- a. The total required activity to be reported for that reporting period is greater than \$100,000.
- b. Evidence that the filer tried to conceal lobbying activity.
- c. An individual lobbied without registering.
- d. Multiple reports not timely filed.
- e. There was evidence of a substantial amount of activity not disclosed in connection with legislative or administrative actions actively lobbied during the period.
- f. The undisclosed activity included campaign contributions.

(2) Unreported Economic Interest(s) on a Statement of Economic Interests.

(A) Eligibility Requirements: File an amended Statement of Economic Interests with the appropriate agency disclosing the previously undisclosed economic interest.

(B) Considerations and Factors.

(i) Considerations for a Warning Letter include:

- a. Information regarding the economic interest was reported elsewhere on the statement.
- b. The aggregate value of the gift(s) or income received from the economic interest was minimal (i.e., less than \$500).
- c. The filer has not performed the duties of the office/position for a significant period of time due to illness or otherwise.

(ii) Exclusions from the Streamline Program include:

- a. The undisclosed gift(s) or income received from a source that was regulated by or had business before the filer's agency.
- b. The undisclosed gift(s) or income caused a conflict of interest violation under Sections 1090 or 87100.

(3) Unreported Lobbying Activity, Contributions or Expenditures.

(A) Eligibility Requirements: File an amended campaign statement or report that includes all required information including contributions received, expenditures made, or other activity.

(B) Considerations and Factors.

(i) Considerations for a Warning Letter include:

- a. The committee, lobbyist, lobbying firm, lobbyist employer, or person had less than \$2,000 of activity not reported.
- b. The activity was reported prior to any relevant election on a different statement or report.
- c. The committee meets the requirements for administrative termination.
- d. The late reporting was due to illness or incapacity of the individual responsible for the filing.

(ii) Exclusions from the Streamline Program include:

- a. Campaign Reporting: The total amount unreported was more than 20% of the total contributions or expenditures for the committee for the reporting period or the total amount unreported is greater than the applicable population-based limit per reporting period on the committee otherwise found in this regulation.
  
- b. Lobbying Reporting: The total unreported activity to be reported for that reporting period is greater than 20% of the activity required to be reported for that reporting period or is greater than \$100,000.

(4) Cash Contribution(s) or Expenditure(s) of \$100 or More. Cash means legal tender and cashier's checks or similar instruments not drawn on the contributor's account and that does not include the name of the contributor on its face.

(A) Eligibility Requirements: Refund to the source of the contribution or the State of California General Fund, if the source of a contribution cannot be determined. Disclose the source of the contribution(s) or recipient(s) of the expenditure(s) on the appropriate campaign statement(s) or report(s).

(B) Considerations and Factors.

(i) Considerations for a Warning Letter include:

- a. The aggregate amount received or spent is less than \$500.

(ii) Exclusions from the Streamline Program include:

- a. Failure to timely disclose the cash contribution(s) or expenditure(s) on campaign statement(s) or report(s).
  
- b. The percentage of cash contributions and expenditures of \$100 or more exceeds 25% of total campaign contributions and expenditures of the committee for the reporting period.
  
- c. The total amount of cash contributions and expenditures for the reporting period exceeds \$10,000.
  
- d. The person made personal use of campaign funds.
  
- e. The campaign records were insufficient to determine if use of cash concealed other violations.

(5) Campaign Bank Account.

(A) Eligibility Requirements: Disclose the contribution(s) or expenditure(s) on the appropriate campaign statement(s) or report(s).

(B) Considerations and Factors.

(i) Considerations for a Warning Letter include:

- a. Disclosure of the contribution(s) or expenditure(s) was reported timely on the correct campaign statement or report of the committee.
- b. The candidate or his or her wholly owned business is the source of 50% or more of the committee funds for the election.
- c. Reimbursement, if any, occurred within the time periods prescribed by the Political Reform Act and regulations.
- d. The activity was isolated to the beginning of the campaign.

(ii) Exclusions from the Streamline Program include:

- a. The total amount of all contributions not deposited in a campaign bank account during the statement period exceeds 10% of the total contributions received for that period or the total amount exceeds \$10,000.
- b. The total amount of all campaign expenditures not from the campaign bank account during the statement period exceeds 10% of the total amount of all campaign expenditures for that period or the total amount exceeds \$10,000.
- c. The person made personal use of campaign funds.
- d. The campaign records were insufficient to determine if use of another account concealed other violations.

(6) Committee Naming.

(A) Eligibility Requirements: Committee name must be amended to comply with committee naming requirements.

(B) Consideration and Factors.

(i) Considerations for a Warning Letter include:

- a. Name included essentially all required elements and was not misleading.

(ii) Exclusions from the Streamline Program include:

- a. Name was misleading or ambiguous.
- b. Incorrect name caused advertisements to be incorrect.
- c. Incorrect name failed to disclose a major contributor, major donor, or sponsor.
- d. The committee had activity over \$10,000 for the reporting period during which the committee name was incorrect.

(7) Advertising and Mass Mailing Disclosures.

(A) Eligibility Requirements: Correction of the advertisement or mass mailing, if feasible, was done.

(B) Considerations and Factors.

(i) Exclusions from the Streamline Program include:

- a. The violation is likely to result in confusion regarding the identity of the candidate or committee responsible for the advertisement, or a top contributor, sponsor, controlling candidate, or ballot measure supported or opposed.
- b. The advertisement or mass mailing contains more than two missing or incorrect disclosures or display requirements in the disclaimer and one or more of the missing or incorrect disclosures is: (1) paid for by requirement, (2) top contributor information, or (3) the statement that the advertisement was not authorized by a candidate or committee controlled by a candidate.

(ii) Examples of minor violations that may qualify for a streamline penalty include the following:

- a. Failure to include “paid for by” in the same manner and immediately adjacent to a committee name;
- b. Disclosures in a font type, color, position, or size that does not comply with a requirement but is as legible as the requirement;
- c. Disclosures that include a committee name that does not match the name as stated in the statement of organization but clearly identifies the source of the advertisement;

- d. Failure to include a street address but other identifying information, such as a website address, is included;
- e. Inadvertent failure to list top contributors in proper order but they are all included;
- f. Required size or time length of disclosure is not correct, but the disclaimer is identifiable, and the remainder of the disclosure is correct;
- g. Failure to use the precise disclaimer language but the remainder of the disclosure is correct.

(8) Recordkeeping (as required by Section 84104 and Regulation 18401).

(A) Considerations and Factors.

(i) Considerations for a Warning Letter include:

- a. The missing records do not rise to the level to be considered a material violation.

(ii) Exclusions from the Streamline Program include:

- a. The lack of recordkeeping inhibited audit efforts.
- b. The lack of recordkeeping made it impracticable to determine if a person substantially complied with the Act's campaign reporting requirements.
- c. The lack of recordkeeping may have inhibited discovery of other violations.

(9) Gift Limit (contained within Sections 86203 or 89503).

(A) Eligibility: If a person receives a gift over the limit, they must return the gift or reimburse the giver for the difference between the gift's fair market value and the applicable gift limit.

(B) Considerations and Factors.

(i) Considerations for a Warning Letter include:

- a. No evidence that governmental decisions were made or effected regarding the gift giver by the recipient.

(ii) Exclusions from the Streamline Program include:

- a. The gift giver is a named party in, or the subject of, a governmental decision before the gift recipient or the gift recipient's agency.
- b. Failure to report the gift on a Statement of Economic Interests, if required.
- c. The fair market value of the gift is more than \$200 over the gift limit.

(10) Slate Mailer Organization Campaign Filings. Slate Mailer Organizations only qualify for possible participation in the Commission's Streamline Program if they have also filed timely campaign statements and reports as a committee under the Political Reform Act disclosing essentially all of the information required to be reported by the late filed slate mailer organization campaign statements and reports.

(11) Proper Recusal for a Conflict of Interest. An individual may qualify to participate in the Commission's Streamline Program if the individual identified a potential conflict of interest regarding the governmental decision and recused his or herself but failed to either:

(A) Publicly identify the financial interest that gives rise to the conflict of interest or potential conflict of interest in detail sufficient to be understood by the public as detailed in Regulation 18707, subdivision (a), or

(B) Properly leave the room until after the discussion, vote, and any other disposition of the matter is concluded.

(12) Major Donor Notifications. An individual or committee may qualify for participation in the Commission's Streamline Program if they failed to timely send out major donor notifications required by Section 84105.

(A) Considerations and Factors.

(i) Considerations for a Warning Letter include:

- a. The committee has been in existence less than one year.
- b. The individual or committee has not previously been required to send out the major donor notification.
- c. All persons who would have received the notifications timely filed as Major Donors, if required.

Note: Authority cited: Section 83112, Government Code. Reference: Sections 1090, 84104 and 87100, Government Code.

## HISTORY

1. New section filed 2-25-2019; operative 3-27-2019 pursuant to Cal. Code Regs., tit. 2, section 18312(e). Submitted to OAL for filing pursuant to Fair Political Practices Commission v. Office of Administrative Law, 3 Civil C010924, California Court of Appeal, Third Appellate District, nonpublished decision, April 27, 1992 (FPPC regulations only subject to 1974 Administrative Procedure Act rulemaking requirements and not subject to procedural or substantive review by OAL) (Register 2019, No. 9).

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2 CCR § 18360.1, 2 CA ADC § 18360.1

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2 CCR § 18360.2

§ 18360.2. Penalties in Streamline Cases.

Streamline penalty stipulations are approved by the Chief of Enforcement and are reported to the Commission for discussion only before they are executed. The Chief of Enforcement will include those stipulations in the next Commission agenda, as a part of the Executive Staff Report. After the close of the hearing, the Chief of Enforcement may execute all or any of the streamline penalty stipulations, at his or her discretion.

Penalties in streamline cases are not to exceed the prescribed maximum fine amount per violation found in Government Code Section 83116, subdivision (c).

(a) Late Statement of Economic Interests.

(1) Penalty Amount. The streamline penalty for failing to timely file a Statement of Economic Interests is calculated as follows:

- (A) \$200 per late statement if filed in response to the Enforcement Division's first contact with the filer.
- (B) \$400 per late statement if filed prior to issuance of a probable cause report by the Enforcement Division.
- (C) \$600 per late statement if filed prior to issuance of an accusation by the Enforcement Division.
- (D) \$800 per late statement if filed prior to adoption of a default decision and order by the Commission.

(b) Unreported Economic Interest(s).

(1) Penalty Amount. The penalty for failing to timely report an economic interest on a Statement of Economic Interests is calculated as follows:

- (A) \$100 per economic interest not disclosed per statement that did not include timely disclosure of an economic interest if filed in response to the Enforcement Division's first contact with the filer.

(B) \$200 per economic interest not disclosed per statement that did not include timely disclosure of an economic interest if filed prior to issuance of a probable cause report by the Enforcement Division.

(C) \$300 per economic interest not disclosed per statement that did not include timely disclosure of an economic interest if filed prior to issuance of an accusation by the Enforcement Division.

(D) \$400 per economic interest not disclosed per statement that did not include timely disclosure of an economic interest if filed prior to adoption of a default decision and order by the Commission.

(c) Late Campaign Statement or Report.

(1) Penalty Amount. The penalty for failing to timely file a campaign statement or report is calculated as follows:

(A) \$200 plus 1% of contributions received or expenditures made, whichever is greater, per late statement if filed in response to the Enforcement Division's first contact with the filer (unless statement or report was due before the relevant election, but filed later than seven days before the election, then the 1% is increased to 3%).

(B) \$400 plus 2% of contributions received or expenditures made, whichever is greater, per late statement if filed prior to issuance of a probable cause report by the Enforcement Division (unless statement or report was due before the relevant election, but filed later than seven days before the election, then the 2% is increased to 4%).

(C) \$600 plus 3% of contributions received or expenditures made, whichever is greater, per late statement if filed prior to issuance of an accusation by the Enforcement Division (unless statement or report was due before the relevant election, but filed later than seven days before the election, then the 3% is increased to 5%).

(D) \$800 plus 4% of contributions received or expenditures made, whichever is greater, per late statement if filed prior to adoption of a default decision and order by the Commission (unless statement or report was due before the relevant election, but filed later than seven days before the election, then the 4% is increased to 6%).

(d) Unreported Contributions or Expenditures.

(1) Penalty Amount. The penalty for failing to timely report is calculated as follows:

(A) \$100 plus 1% of contributions received or expenditures made, whichever is greater, per late statement if filed in response to the Enforcement Division's first contact with the filer (unless statement or report was due before the relevant election, but filed later than seven days before the election, then the 1% is increased to 3%).

(B) \$200 plus 2% of contributions received or expenditures made, whichever is greater, per late statement if filed prior to issuance of a probable cause report by the Enforcement Division (unless statement or report was due before the relevant election, but filed later than seven days before the election, then the 2% is increased to 4%).

(C) \$300 plus 3% of contributions received or expenditures made, whichever is greater, per late statement if filed prior to issuance of an accusation by the Enforcement Division (unless statement or report was due before the relevant election, but filed later than seven days before the election, then the 3% is increased to 5%).

(D) \$400 plus 4% of contributions received or expenditures made, whichever is greater, per late statement if filed prior to adoption of a default decision and order by the Commission (unless statement or report was due before the relevant election, but filed later than seven days before the election, then the 4% is increased to 6%).

(e) Late Lobbying Reports.

(1) Penalty Amount. The penalty for failing to timely file a report is calculated as follows:

(A) \$200 plus 1% of all payments received or payments made for lobbying activity, whichever is greater, if the report is filed in response to the Enforcement Division's first contact with the filer.

(B) \$400 plus 2% of all payments received or payments made for lobbying activity, whichever is greater, if the report is filed prior to issuance of a probable cause report by the Enforcement Division.

(C) \$600 plus 3% of all payments received or payments made for lobbying activity, which is greater, if the report is filed prior to issuance of an accusation by the Enforcement Division.

(D) \$800 plus 4% of all payments received or payments made for lobbying activity, whichever is greater, if the report is filed prior to adoption of a default decision and order by the Commission.

(f) Unreported Lobbying Activity.

(1) Penalty Amount. The penalty for failing to timely report lobbying activity is calculated as follows:

(A) \$100 plus 1% of all payments received or payments made for lobbying activity, whichever is greater, if the report is filed in response to the Enforcement Division's first contact with the filer.

(B) \$200 plus 2% of all payments received or payments made for lobbying activity, whichever is greater, if the report is filed prior to issuance of a probable cause report by the Enforcement Division.

(C) \$300 plus 3% of all payments received or payments made for lobbying activity, whichever is greater, if the report is filed prior to issuance of an accusation by the Enforcement Division.

(D) \$400 plus 4% of all payments received or payments made for lobbying activity, whichever is greater, if the report is filed prior to adoption of a default decision and order by the Commission.

(g) Cash Contributions or Expenditures of \$100 or More.

(1) Penalty Amount. The streamline penalty for receiving a contribution or making an expenditure of \$100 or more in cash is calculated as follows:

(A) An amount equal to 25% of the amount of the contribution or expenditure in response to the Enforcement Division's first contact with the person.

(B) An amount equal to 50% of the amount of the contribution or expenditure if prior to issuance of a probable cause report by the Enforcement Division.

(C) An amount equal to 100% of the amount of the contribution or expenditure if prior to issuance of an accusation by the Enforcement Division.

(D) An amount equal to two times the amount of the contribution or expenditure if prior to adoption of a default decision and order by the Commission.

(h) Campaign Bank Account.

(1) Penalty Amount. The streamline penalty for failing to deposit a contribution into a campaign bank account, or failing to make a campaign expenditure from the campaign bank account is calculated as follows:

(A) \$100 plus 1% of the amount not processed through the campaign bank account per statement period during which a violation occurred if settled in response to the Enforcement Division's first contact with the person.

(B) \$200 plus 2% of the amount not processed through the campaign bank account per statement period during which a violation occurred if settled prior to issuance of a probable cause report by the Enforcement Division.

(C) \$300 plus 3% of the amount not processed through the campaign bank account per statement period during which a violation occurred if settled prior to issuance of an accusation by the Enforcement Division.

(D) \$400 plus 4% of the amount not processed through the campaign bank account per statement period during which a violation occurred if settled prior to adoption of a default decision and order by the Commission.

(i) Committee Naming.

(1) Penalty Amount. The streamline penalty for failing to name the committee properly is calculated as follows:

(A) \$400 per reporting period if settled in response to the Enforcement Division's first contact with the person.

(B) \$600 per reporting period if settled prior to issuance of a probable cause report by the Enforcement Division.

(C) \$800 per reporting period if settled prior to issuance of an accusation by the Enforcement Division.

(D) \$1,000 per reporting period if settled prior to adoption of a default decision and order by the Commission.

(j) Advertising and Mass Mailing Disclosures.

(1) Penalty Amount. The streamline penalty for failing to include all required advertisement or mass mailing requirements is calculated as follows:

(A) \$100 plus 1% of each advertisement buy if settled in response to the Enforcement Division's first contact with the person.

(B) \$200 plus 2% of each advertisement buy if settled prior to issuance of a probable cause report by the Enforcement Division.

(C) \$300 plus 3% of each advertisement buy if settled prior to issuance of an accusation by the Enforcement Division.

(D) \$400 plus 4% of each advertisement buy if settled prior to adoption of a default decision and order by the Commission.

(k) Recordkeeping.

(1) Penalty Amount. The streamline penalty for failing to maintain adequate records is calculated as follows:

(A) \$200 per reporting period if settled in response to the Enforcement Division's first contact with the person.

(B) \$400 per reporting period if settled prior to issuance of a probable cause report by the Enforcement Division.

(C) \$600 per reporting period if settled prior to issuance of an accusation by the Enforcement Division.

(D) \$800 per reporting period if settled prior to adoption of a default decision and order by the Commission.

(l) Gift Limit.

(1) Penalty Amount. The penalty for a gift limit violation is calculated as follows:

(A) \$200 per gift received over the limit if settled in response to the Enforcement Division's first contact with the person.

(B) \$400 per gift received over the limit if settled prior to issuance of a probable cause report by the Enforcement Division.

(C) \$600 per gift received over the limit if settled prior to issuance of an accusation by the Enforcement Division.

(D) \$800 per gift received over the limit if settled prior to adoption of a default decision and order by the Commission.

(m) Slate Mailer Organization Filing Issues.

(1) Penalty Amount. The streamline penalty for failing to properly file slate mailer organization statements is calculated as follows:

(A) \$400 per reporting period if settled in response to the Enforcement Division's first contact with the person.

(B) \$600 per reporting period if settled prior to issuance of a probable cause report by the Enforcement Division.

(C) \$800 per reporting period if settled prior to issuance of an accusation by the Enforcement Division.

(D) \$1,000 per reporting period if settled prior to adoption of a default decision and order by the Commission.

(n) Proper Recusal for a Conflict of Interest.

(1) Penalty Amount. The streamline penalty for failing to properly recuse for a conflict of interest is calculated as follows:

(A) \$400 per incident if settled in response to the Enforcement Division's first contact with the person.

(B) \$600 per incident if settled prior to issuance of a probable cause report by the Enforcement Division.

(C) \$800 per incident if settled prior to issuance of an accusation by the Enforcement Division.

(D) \$1,000 per incident if settled prior to adoption of a default decision and order by the Commission.

(o) Major Donor Notifications.

(1) Penalty Amount. The streamline penalty for failing to properly notify a major donor is calculated as follows:

(A) \$200 per reporting period if settled in response to the Enforcement Division's first contact with the person.

(B) \$400 per reporting period if settled prior to issuance of a probable cause report by the Enforcement Division.

(C) \$600 per reporting period if settled prior to issuance of an accusation by the Enforcement Division.

(D) \$800 per reporting period if settled prior to adoption of a default decision and order by the Commission.

Note: Authority cited: Section 83112, Government Code. Reference: Section 83116, Government Code.

#### HISTORY

1. New section filed 2-25-2019; operative 3-27-2019 pursuant to Cal. Code Regs., tit. 2, section 18312(e). Submitted to OAL for filing pursuant to Fair Political Practices Commission v. Office of Administrative Law, 3 Civil C010924, California Court of Appeal, Third Appellate District, nonpublished decision, April 27, 1992 (FPPC regulations only subject to 1974 Administrative Procedure Act rulemaking requirements and not subject to procedural or substantive review by OAL) (Register 2019, No. 9).

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2 CCR § 18360.2, 2 CA ADC § 18360.2

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Title 2. Administration

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2 CCR § 18361

§ 18361. Delegation by the Executive Director Pertaining to Enforcement  
Proceedings and Authority to Hear Probable Cause Proceedings.

(a) If the Executive Director is unavailable, or believes he or she cannot be fair or impartial, the Executive Director may delegate in writing his or her authority under Regulations 18360, 18361.1 through 18361.3, and 18361.5 through 18361.8, to the General Counsel or to an attorney from the Legal Division. The Executive Director may also delegate his or her authority under Regulations 18361.1 and 18361.7, in writing, to an administrative law judge.

(b) Probable cause proceedings under Regulation 18361.4 shall be heard by the General Counsel or an attorney from the Legal Division. The General Counsel may delegate the authority to hear probable cause proceedings, in writing, to an administrative law judge.

Note: Authority cited: Section 83112, Government Code. Reference: Sections 83115, 83115.5 and 83116, Government Code.

**HISTORY**

1. New section filed 9-24-2007; operative 10-24-2007. Submitted to OAL for filing pursuant to Fair Political Practices Commission v. Office of Administrative Law, 3 Civil C010924, California Court of Appeal, Third Appellate District, nonpublished decision, April 27, 1992 (FPPC regulations only subject to 1974 Administrative Procedure Act rulemaking requirements and not subject to procedural or substantive review by OAL) (Register 2007, No. 39). For prior history, see Register 2004, No. 44.

2. Amendment filed 6-11-2008; operative 6-11-2008. Submitted to OAL for filing pursuant to Fair Political Practices Commission v. Office of Administrative Law, 3 Civil C010924, California Court of Appeal, Third Appellate District, nonpublished decision, April 27, 1992 (FPPC regulations only subject to 1974 Administrative Procedure Act rulemaking requirements) (Register 2008, No. 24).

3. Amendment of section heading and section filed 12-7-2011; operative 1-6-2012. Submitted to OAL for filing pursuant to Fair Political Practices Commission v. Office of Administrative Law, 3 Civil C010924, California Court of Appeal, Third Appellate District, nonpublished decision, April 27, 1992 (FPPC regulations only subject to 1974 Administrative Procedure Act rulemaking requirements and not subject to procedural or substantive review by OAL) (Register 2011, No. 49).

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2 CCR § 18361, 2 CA ADC § 18361

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2 CCR § 18362

§ 18362. Access to Enforcement Records.

(a) Production of Enforcement Records. The Commission will make complaints, investigative files, and related records available to the public and members of the media in accordance with the Public Records Act (Government Code Section 6250, et seq.) Enforcement records requests should specifically identify the documents sought.

(b) Format of Enforcement Records. The Commission will make records available for inspection at its office during office hours. The Commission may provide copies of requested records either in paper or electronic format to the person that requested the records in lieu of inspecting the records at the Commission offices. Any person requesting paper copies of records must reimburse the Commission ten cents (\$0.10) per page to pay the cost of copying, or supply copying equipment and make copies in the offices of the Commission. Original records of the Commission may not be removed from the offices of the Commission.

(c) Complaint Notice Period. The Commission may not provide or make available complaints or related records until after the disclosure periods provided in Regulation 18360.

Note: Authority cited: Section 83112, Government Code. Reference: Sections 6250, et seq., 83115, 83115.5 and 83116, Government Code.

**HISTORY**

1. New section filed 11-13-75; effective thirtieth day thereafter (Register 75, No. 47).
2. Amendment of subsections (a) and (c) filed 11-2-78; effective thirtieth day thereafter (Register 78, No. 44).
3. Amendment filed 6-21-79; effective thirtieth day thereafter (Register 79, No. 25).
4. Amendment of section heading and NOTE filed 10-29-81; effective thirtieth day thereafter (Register 81, No. 44).
5. Amendment filed 7-14-83; effective thirtieth day thereafter (Register 83, No. 29).
6. Repealer and new section filed 2-18-88; operative 3-19-88 (Register 88, No. 9).
7. Amendment of section heading and section filed 12-15-2015; operative 1-14-2016. Submitted to OAL for filing and printing only pursuant to *Fair Political Practices Commission v. Office of Administrative Law*, 3 Civil C010924, California Court of Appeal, Third Appellate District, nonpublished decision, April 27, 1992 (FPPC regulations only subject to 1974 Administrative Procedure Act rulemaking requirements and not subject to procedural or substantive review by OAL) (Register 2015, No. 51).

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2 CCR § 18362, 2 CA ADC § 18362

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