

# DST Wealth Management, LLC

**Michael Joseph Boden**

**CRD # 7428870**

**45110 Club Drive, Suite B  
Indian Wells, CA 92210**

**Telephone: What telephone number should we use here?**

## Brochure Supplement

September 19, 2021

**This brochure supplement provides information about Michael Joseph Boden that supplements the Adviser' brochure. You should have received a copy of that brochure. Please contact Adviser Compliance Department at (858) 449-3545, if you did not receive Adviser' brochure or if you have any questions about the contents of this supplement.**

**Additional information about Mr. Boden is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## ***Item 2: Educational Background and Business Experience***

Michael Joseph Boden  
CRD # 7428870

*Year of birth:* 1985

*Formal education:*

- Need the Education background for this section.

*Business background:*

- Investment Adviser Representative, DST Wealth Management, LLC (08/2021 to Present)
- Estate Planning Team, Team Member, (08/2021 to Present)
- Director of Marketing, Elite Worldwide (09/2008 to 08/2021)

## ***Item 3: Disciplinary Information***

Michael Joseph Boden has not been the subject of any legal or disciplinary event that is required to be disclosed in this brochure. Additional information about Mr. Boden may be found at the Investment Advisor Public Disclosure website.

## ***Item 4: Other Business Activities***

Mr. Ed Boden is a team member of the Estate Planning Team.

Estate Planning Team

The Estate Planning Team is a membership-based company that provides administrative, marketing and support services to assist its members in referring clients for various types of estate and tax planning programs for their clients. The Estate Planning Team offers a service to qualified clients, certain strategies that may reduce capital gains tax liabilities. This arrangement presents a conflict of interest because it creates an incentive to recommend this service based upon the amount of compensation that Mr. Boden may receive if you choose to become a client of Estate Planning Team and establish a Deferred Sales Trust™, which he would be in a position to service and earn a fee, rather than based upon your needs. Mr. Boden spends (how many hours) hours per week marketing the DST concept.

The above arrangements present a conflict of interest because they create an incentive to make recommendations based upon the amount of compensation we receive rather than based upon your needs. We will explain the specific costs associated with any recommended investments with you upon request.

## ***Item 5: Additional Compensation***

Mr. Boden does not receive any additional compensation related to the advisory services provided to you.

## ***Item 6: Supervision***

Mr. Boden is supervised by Edward Woolery, President and CCO of DST Wealth Management. Mr. Woolery can be reached at (858) 449-3545. We supervise Mr. Boden by requiring that he adhere to our processes and procedures as described in our firm's Compliance Manual and Code of Ethics and through monitoring his activities accordingly.

# DST Wealth Management, LLC

**Edward R. Woolery**

**CRD # 6064191**

**45110 Club Drive, Suite B  
Indian Wells, CA 92210**

**Telephone: (858) 449-3545**

## Brochure Supplement

**July 17, 2022**

**This brochure supplement provides information about Ed Woolery that supplements the Adviser' brochure. You should have received a copy of that brochure. Please contact Adviser Compliance Department at (858) 449-3545, if you did not receive Adviser' brochure or if you have any questions about the contents of this supplement.**

**Additional information about Ed Woolery is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## ***Item 2: Educational Background and Business Experience***

Edward R. Woolery  
CRD # 6064191

*Year of birth:* 1943

### ***Formal education:***

- Bachelor of Arts, Michigan State University, 1965
- Attended John Marshall Law School, No Degree Attained, 1966

### ***Business background:***

- Founder & Investment Adviser Representative, DST Wealth Management, LLC (04/2019 to Present)
- Team Member, Estate Planning Team, (10/2013 to Present)
- Real Estate Broker, Edward R. Woolery, (01/1985 to Present)
- Registered Representative, J.P. Turner & Company, LLC (05/2012 to 10/2015)

## ***Item 3: Disciplinary Information***

Ed Woolery has not been the subject of any legal or disciplinary event that is required to be disclosed in this brochure. Additional information about Mr. Woolery may be found at the Investment Advisor Public Disclosure website.

## ***Item 4: Other Business Activities***

Mr. Ed Woolery is a team member of the Estate Planning Team.

### ***Estate Planning Team***

The Estate Planning Team is a membership-based company that provides administrative, marketing and support services to assist its members in referring clients for various types of estate and tax planning programs for their clients.

IAR's of Adviser are members of the Estate Planning Team. The Estate Planning Team offers a service to qualified clients, certain strategies that may reduce capital gains tax liabilities. This arrangement presents a conflict of interest because it creates an incentive to recommend this service based upon the amount of compensation that Mr. Woolery may receive if you choose to become a client of Estate Planning Team and establish a Deferred Sales Trust <sup>™</sup>, which he would be in a position to service and earn a fee, rather than based upon your needs. Mr. Woolery spends 5 hours per week marketing the DST concept.

Mr. Woolery is licensed to sell insurance and does so by holding appointments directly with various insurance companies. Commissions may be earned by Mr. Woolery if insurance products are purchased through these insurance companies. Mr. Woolery spends 1 hour per week selling insurance products.

Mr. Woolery is a licensed real estate broker.

We may receive benefits such as assistance with conferences and educational meetings from product sponsors.

Our advisory representatives may also recommend various asset management firms through their affiliation with Adviser. If you establish an investment advisory relationship with one of these firms, our financial advisors may share in the advisory fees you pay to these asset management firms.

The above arrangements present a conflict of interest because they create an incentive to make recommendations based upon the amount of compensation we receive rather than based upon your needs. We will explain the specific costs associated with any recommended investments with you upon request. Additionally, you have the option to purchase investment and insurance products through other brokers or agents who are not affiliated with us.

***Item 5: Additional Compensation***

Mr. Woolery does not receive any additional compensation related to the advisory services provided to you.

***Item 6: Supervision***

Mr. Woolery is an Investment Adviser Representative, CEO, and sole executive officer of Adviser. There are no other employees to supervise or to monitor his activities. Mr. Woolery adheres to the processes and procedures as described in the firm's Compliance Manual and Code of Ethics. Adviser can be reached at (858) 449-3545.

***Item 7: Requirements for State Registered Advisers***

Item 7 A 1: Mr. Woolery has not had an award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following: an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

Item 7 A 2: Mr. Woolery has not had an award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following: an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

Item 7 B: Mr. Woolery has not been the subject of a bankruptcy petition.

# DST Wealth Management, LLC

**Christopher Moore**

CRD # 2114648

45110 Club Drive, Suite B Indian Wells, CA 92210

Phone: (760) 340-1448

Fax: (760) 779-5338

## Brochure Supplement

February 06, 2023

**This brochure supplement provides information about Christopher Moore that supplements the Adviser's brochure. You should have received a copy of that brochure. Please contact Adviser Compliance Department at (858) 449-3545, if you did not receive Adviser' brochure or if you have any questions about the contents of this supplement.**

**Additional information about Christopher Moore is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## ***Item 2: Educational Background and Business Experience***

Christopher Moore  
CRD # 2114648

*Year of birth:* 1965

*Formal education:*

- Bachelor of Science in Business Administration in Finance, San Diego State University, 1991

*Business background:*

- Investment Adviser Representative (IAR), DST Wealth Management, LLC (09/2022 to Present)
- Musician, Self Employed (02/2014 to Present)
- Registered Representative, Patrick Capital Markets (01/2016 to 08/2021)
- Registered Representative, Patrick Financial Corp (07/2009 to 02/2014)

## ***Item 3: Disciplinary Information***

The SEC requires that I disclose to clients and prospective clients any disciplinary activity which material information would be impacting the client's ability to make an informed decision with regard to engaging my services. As such I am required to disclose any administrative, legal or regulatory events which occurred within the last ten years or those events which may have occurred more than 10 years ago, but which would still be material to your decision-making process. Such events may include without limitation: suspension or revocation of a license or designation; an administrative proceeding brought by a state, federal or foreign regulatory agency as well as any self-regulatory organization; imposition of a civil fine or penalty or arbitration award of more than \$2,500; the filing or participation in a bankruptcy petition; involvement in an arbitration or criminal action whereby I have plead guilty, no contest or have been convicted of a felony or a misdemeanor involving, investment related activities, fraud, making of false statements, conversion of property, perjury, forgery or counterfeiting; or conspiracy to commit any such misdemeanor. As a result of these requirements, I note that there are no reportable disciplinary disclosures.

## ***Item 4: Other Business Activities***

Mr. Christopher Moore is also a professional musician and author. He has not other outside business activities to report.

## ***Item 5: Additional Compensation***

Mr. Moore does not receive any economic benefit from anyone, who is not a client, for providing advisory services.

## ***Item 6: Supervision***

Mr. Moore is supervised by Edward Woolery, President and CCO of DST Wealth Management. Mr. Woolery can be reached at (858) 449-3545. We supervise Mr. Moore by requiring that he adhere to our processes and procedures as described in our firm's Compliance Manual and Code of Ethics and through monitoring his activities accordingly.

# DST Wealth Management, LLC

**John B. Balmer**

CRD # 4569902

13844 Alton Parkway, Suite 134  
Irvine, CA 92618  
Phone: (949) 478-2256

## Brochure Supplement

September 19, 2021

**This brochure supplement provides information about John B. Balmer that supplements the Adviser' brochure. You should have received a copy of that brochure. Please contact Adviser Compliance Department at (858) 449-3545, if you did not receive Adviser' brochure or if you have any questions about the contents of this supplement.**

**Additional information about John B. Balmer is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**



## ***Item 2: Educational Background and Business Experience***

John B. Balmer  
CRD # 4569902

*Year of birth:* 1974

*Formal education:*

- Bachelor of Science, California Polytechnic State University, San Luis Obispo

*Business background:*

- Investment Adviser Representative (IAR), DST Wealth Management, LLC (09/2019 to Present)
- Registered Representative (RR), Benchmark Investments, Inc (02/2020 to Present)
- Owner, Clearsight Wealth Management, Inc. (08/2014 to Present)
- Team Member, Estate Planning Team, (08/2016 to Present)
- Registered Representative (RR) & IAR, Centaurus Financial, Inc. (07/2016 to 09/2019)
- Girard Securities, Inc., RR, (10/2013 to 07/2016)

## ***Item 3: Disciplinary Information***

Mr. Balmer has not been the subject of any legal or disciplinary event that is required to be disclosed in this brochure. Additional information about Mr. Balmer may be found at the Investment Advisor Public Disclosure website.

## ***Item 4: Other Business Activities***

Mr. John B. Balmer is a team member of the Estate Planning Team.

Estate Planning Team

The Estate Planning Team is a membership-based company that provides administrative, marketing and support services to assist its members in referring clients for various types of estate and tax planning programs for their clients.

IAR's of Adviser are members of the Estate Planning Team. The Estate Planning Team offers a service to qualified clients, certain strategies that may reduce capital gains tax liabilities. This arrangement presents a conflict of interest because it creates an incentive to recommend this service based upon the amount of compensation that Mr. Woolery may receive if you choose to become a client of Estate Planning Team and establish a Deferred Sales Trust <sup>TM</sup>, which he would be in a position to service and earn a commission and/or a fee, rather than based upon your needs.

Mr. Balmer is also a registered securities representative for Benchmark Investments, Inc. (Benchmark). A relationship where an investment adviser representative (IAR) is also a registered representative of a securities firm creates inherent conflicts of interest. As the result of his affiliation with Benchmark, Mr. Balmer will receive commissions or other compensation from the sale of mutual funds or other products sold to you in his capacity as a broker-dealer registered representative. For example, Mr. Balmer is eligible to be paid 12b-1 fees, which are paid by mutual fund companies for the on-going marketing of their investment products. This additional compensation presents a conflict of interest, because it creates an incentive to recommend certain investments to clients over others that may not offer similar compensation to the IAR.

Mr. Balmer is licensed to sell insurance and does so by holding appointments directly with various insurance companies. Commissions may be earned by Mr. Balmer if insurance products are purchased through these insurance companies.

In these capacities Mr. Balmer may recommend securities, insurance, advisory, or other products, and receive commissions and other compensation if products are purchased through any firms with which Mr. Balmer is affiliated. Thus, a conflict of interest may exist between the interests of Mr. Balmer and those of the advisory clients.

We may receive benefits such as assistance with conferences and educational meetings from product sponsors.

Our advisory representatives may also recommend various asset management firms through their affiliation with Adviser. If you establish an investment advisory relationship with one of these firms, our financial advisors may share in the advisory fees you pay to these asset management firms.

The above arrangements present a conflict of interest because they create an incentive to make recommendations based upon the amount of compensation we receive rather than based upon your needs. We will explain the specific costs associated with any recommended investments with you upon request. Additionally, you have the option to purchase investment and insurance products through other brokers or agents who are not affiliated with us.

***Item 5: Additional Compensation***

Mr. Balmer does not receive any additional compensation related to the advisory services provided to you. He does receive compensation from his Other Business Activities as disclosed in Item 4 above.

***Item 6: Supervision***

Mr. Balmer is supervised by Edward Woolery, President and CCO of DST Wealth Management. Mr. Woolery can be reached at (858) 449-3545. We supervise Mr. Balmer by requiring that he adhere to our processes and procedures as described in our firm's Compliance Manual and Code of Ethics and through monitoring his activities accordingly.