

# Privacy Notice

## FACTS

### WHAT DOES DST WEALTH MANAGEMENT, LLC DO WITH YOUR PERSONAL INFORMATION?

#### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

#### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security Number
- Assets
- Employment Information
- Transaction History
- Checking Account Information
- Risk Tolerance
- Account Balances
- Investment Experience
- Wire Transfer Instructions

When you are *no longer* our customer, we continue to share your information as described in this notice.

#### How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons the DST Wealth Management, LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does DST Wealth Management, LLC share?	Can you limit this sharing?
<b>For our everyday business purposes –</b> Such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes –</b> to offer our products and services to you	No	We don't share
<b>For joint marketing with other financial companies</b>	No	We don't share
<b>For our affiliates' everyday business purposes –</b> information about your transactions and experiences	No	We don't share
<b>For our affiliates' everyday business purposes –</b> information about your creditworthiness	No	We don't share
<b>For our affiliates to market to you</b>	No	We don't share
<b>For nonaffiliates to market to you</b>	No	We don't share
<b>For non-affiliated broker-dealers to supervise registered representatives who are also Investment Advisor Representatives (IAR) of DST Wealth Management, LLC. Client account and transaction information.</b>	Yes	No
<b>If your IAR leaves DST Wealth Management, LLC, we may allow him/her to take your contact and account information in order to continue to service your account.</b>	Yes	Yes

#### Questions?

Call (858) 449-3545

Who we are	
Who is providing this notice?	<i>DST Wealth Management, LLC</i>
What we do	
How does DST Wealth Management, LLC protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include limiting access to information, computer safeguards, and secured files and buildings.
How does DST Wealth Management, LLC collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> <li>▪ Open an account</li> <li>▪ Seek advice about your investments</li> <li>▪ Give us your income information</li> <li>▪ Make deposits or withdrawals from your account</li> <li>▪ Make a wire transfer</li> <li>▪ Enter into an advisory contract with us</li> <li>▪ Show your government-issued ID</li> </ul> <p>We also collect your personal information from others, such as credit bureaus, affiliates or other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> <li>▪ Sharing for affiliates' everyday business purposes – information about your creditworthiness</li> <li>▪ Affiliates from using your information to market to you</li> <li>▪ Sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>

Definitions	
<b>Affiliates</b>	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>▪ <i>DST Wealth Management, LLC does not have affiliated companies.</i></li> </ul>
<b>Nonaffiliates</b>	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies</p> <ul style="list-style-type: none"> <li>▪ <i>DST Wealth Management, LLC does not share with nonaffiliates, so they can market to you.</i></li> </ul>
<b>Joint marketing</b>	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> <li>▪ <i>DST Wealth Management, LLC does not jointly market.</i></li> </ul>

### Other important information

Investment advisor Representatives (IAR) may change investment advisory firms and the personal information collected by DST Wealth Management, LLC and your advisor may be provided to the new company, so your IAR can continue to service your account(s). If you do not want your IAR to provide this information to the new company, please call (858) 449-3545 to opt out of this sharing. Opt-in states, such as California and Vermont and others, require your affirmative consent before the advisor can provide your nonpublic information to the new firm. You can provide or withdraw this consent at any time by contacting (858) 449-3545.

<b>California</b>	Pursuant to California law, we will not share information we collect about you with companies outside of DST Wealth Management unless the law allows. For example, we may share information with your consent, to service your accounts. We will limit sharing among our companies to the extent required by California law.
<b>Vermont</b>	Pursuant to Vermont law, we will not disclose information about your creditworthiness and will not disclose your personal information, financial information, credit report, or health information to nonaffiliated third parties to market to you, other than as permitted by Vermont law, unless authorized by you to make those disclosures. Additional information concerning our privacy policies can be found by calling (858) 449-3545.