

# DST Wealth Management, LLC

**Edward R. Woolery**

CRD # 6064191

**45110 Club Drive, Suite B  
Indian Wells, CA 92210**

**Telephone: (858) 449-3545**

**Brochure Supplement**

July 17, 2022

**This brochure supplement provides information about Ed Woolery that supplements the Adviser' brochure. You should have received a copy of that brochure. Please contact Adviser Compliance Department at (858) 449-3545, if you did not receive Adviser' brochure or if you have any questions about the contents of this supplement.**

**Additional information about Ed Woolery is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## ***Item 2: Educational Background and Business Experience***

Edward R. Woolery  
CRD # 6064191

*Year of birth:* 1943

### ***Formal education:***

- Bachelor of Arts, Michigan State University, 1965
- Attended John Marshall Law School, No Degree Attained, 1966

### ***Business background:***

- Founder & Investment Adviser Representative, DST Wealth Management, LLC (04/2019 to Present)
- Team Member, Estate Planning Team, (10/2013 to Present)
- Real Estate Broker, Edward R. Woolery, (01/1985 to Present)
- Registered Representative, J.P. Turner & Company, LLC (05/2012 to 10/2015)

## ***Item 3: Disciplinary Information***

Ed Woolery has not been the subject of any legal or disciplinary event that is required to be disclosed in this brochure. Additional information about Mr. Woolery may be found at the Investment Advisor Public Disclosure website.

## ***Item 4: Other Business Activities***

Mr. Ed Woolery is a team member of the Estate Planning Team.

### **Estate Planning Team**

The Estate Planning Team is a membership-based company that provides administrative, marketing and support services to assist its members in referring clients for various types of estate and tax planning programs for their clients.

IAR's of Adviser are members of the Estate Planning Team. The Estate Planning Team offers a service to qualified clients, certain strategies that may reduce capital gains tax liabilities. This arrangement presents a conflict of interest because it creates an incentive to recommend this service based upon the amount of compensation that Mr. Woolery may receive if you choose to become a client of Estate Planning Team and establish a Deferred Sales Trust <sup>™</sup>, which he would be in a position to service and earn a fee, rather than based upon your needs. Mr. Woolery spends 5 hours per week marketing the DST concept.

Mr. Woolery is licensed to sell insurance and does so by holding appointments directly with various insurance companies. Commissions may be earned by Mr. Woolery if insurance products are purchased through these insurance companies. Mr. Woolery spends 1 hour per week selling insurance products.

Mr. Woolery is a licensed real estate broker.

We may receive benefits such as assistance with conferences and educational meetings from product sponsors.

Our advisory representatives may also recommend various asset management firms through their affiliation with Adviser. If you establish an investment advisory relationship with one of these firms, our financial advisors may share in the advisory fees you pay to these asset management firms.

The above arrangements present a conflict of interest because they create an incentive to make recommendations based upon the amount of compensation we receive rather than based upon your needs. We will explain the specific costs associated with any recommended investments with you upon request. Additionally, you have the option to purchase investment and insurance products through other brokers or agents who are not affiliated with us.

***Item 5: Additional Compensation***

Mr. Woolery does not receive any additional compensation related to the advisory services provided to you.

***Item 6: Supervision***

Mr. Woolery is an Investment Adviser Representative, CEO, and sole executive officer of Adviser. There are no other employees to supervise or to monitor his activities. Mr. Woolery adheres to the processes and procedures as described in the firm's Compliance Manual and Code of Ethics. Adviser can be reached at (858) 449-3545.

***Item 7: Requirements for State Registered Advisers***

Item 7 A 1: Mr. Woolery has not had an award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following: an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

Item 7 A 2: Mr. Woolery has not had an award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following: an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

Item 7 B: Mr. Woolery has not been the subject of a bankruptcy petition.