MARQUIS
WEALTH GROUP

We can't control the wind,
but we can adjust the sails.

6909 E Greenway Pkwy
Suite 295
Scottsdale, AZ 85254
(480) 581-5330 Call, Text, Fax
marquiswg.com

Financial Plan Creation Checklist

So that we can complete our understanding of your financial situation, it is essential that you provide the following documents and/or information.

Names and Birth Date(s) for
- ☐ Children (if applicable)
- ☐ Dependents (if applicable)

Retirement Planning
- ☐ Retirement Pension Plan Statements (private and public pensions)
- ☐ Employer's Qualified Retirement Plan [401(k), 403(b) etc.]
- ☐ Statements from your Individual Retirement Accounts (IRAs)
- ☐ Statements from Non-Qualified Retirement Investments
- ☐ Social Security Benefits Statement

Investment Strategies
- ☐ Brokerage Account Statements
- ☐ Mutual Fund Statements
- ☐ Statement from Trust Companies
- ☐ Bank Statements pertaining to investments
- ☐ Stock and/or Bond Certificates
- ☐ Annuity Contract Statements

Cash Flow Planning
- ☐ Monthly budget
- ☐ Mortgage Statements
- ☐ Statements for Saving Accounts
- ☐ Statements for Checking Accounts
- ☐ Statement of Loan and/or Line of Credit
- ☐ Latest Social Security benefits statement

Strategic Partner Information
- ☐ Tax Specialist or Accountant
- ☐ Lawyer/Attorney

Educational Savings
- ☐ Statements from your 529 Plan (Qualified State Tuition Program)

Your Family's Security
- ☐ Health Insurance Policy
- ☐ Life Insurance Policy
- ☐ Umbrella coverage
- ☐ Disability Insurance Information
- ☐ Extended Care or Critical Illness Insurance Policy

Estate Planning - Personal
- ☐ A current copy of your Will
- ☐ A copy of your Power of Attorney
- ☐ A copy of Durable Power of Attorney
- ☐ Trust information
- ☐ Divorce decrees, prenuptial agreements.

Estate Planning - Business
- ☐ Buy-Sell Agreements
- ☐ Operating Agreement
- ☐ Articles of Incorporation
- ☐ SS4

Tax Planning
- ☐ Tax Returns from the previous 2 years
- ☐ Information on Holding Companies or Limited Partnerships

Reference materials to consider before meeting:
- ☐ Questions to ask your financial advisor
- ☐ Menu of services