

DEFECTS IN SOLIDS

NILESH PANCHOLI

B.E. (Mech.), M.E. (Mech.), Ph. D.

Email: nhpancholi@gmail.com

www.nileshpancholi.com

DEFECTS IN SOLIDS

1 mole Fe = 55.85g; $V = 7.10 \text{ cm}^3$ ($D = 7.87 \text{ g/cm}^3$); $\sim 6 \times 10^{23}$ atoms

It would be nearly impossible to arrange so many atoms in exact 3D periodicity. So, formation of defects is not unexpected!

ISSUES TO ADDRESS...

- What types of defects arise in solids?
- How do defects affect material properties?
- Are defects undesirable?

Defects in Solids

There is no such thing as a perfect crystal.

- What are these imperfections?
- Why are they important?

Many of the important properties of materials are due to the presence of imperfections.

TYPES OF DEFECTS IN SOLIDS

Perfect crystals do not exist

Defect: imperfection or "mistake" in the regular periodic arrangement of atoms in a crystal

Defects, even in very small concentrations, can have a serious effect on the properties of a material.

Point defects

Confined to single crystallographic sites



Intrinsic defects

Occur in pure substances

Extrinsic defects

Due to impurities

Line Defect

Throughout the whole crystal

Area (Surface) Defect

Types of Imperfections

- Vacancy atoms
- Interstitial atoms
- Substitutional atoms

Point defects

- Dislocations

Line defects

- Grain Boundaries

Area defects

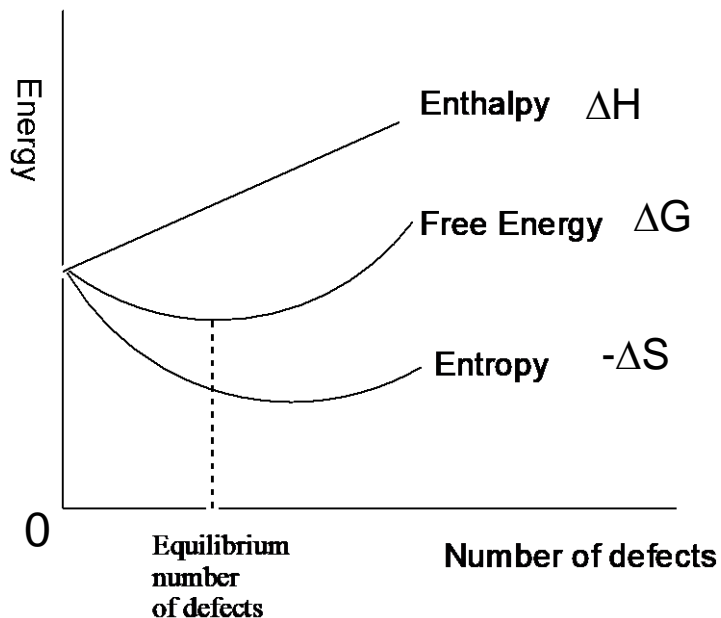
Point defects

Intrinsic defects do not involve changes in the overall composition

Extrinsic defects involve changes in the overall composition

Why do defects form?

The introduction of defects increases entropy ΔS and decreases free energy ΔG



$$\Delta G = \Delta H - T\Delta S$$

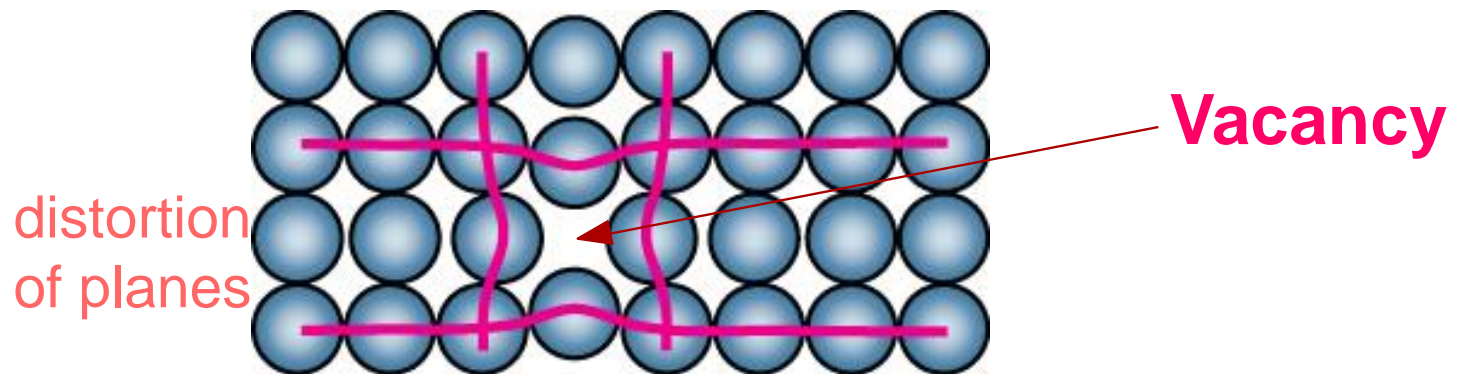
A minimum value for ΔG is reached for an optimum concentration of defects



A structure with defects is more stable

Point Defects (*Intrinsic*)

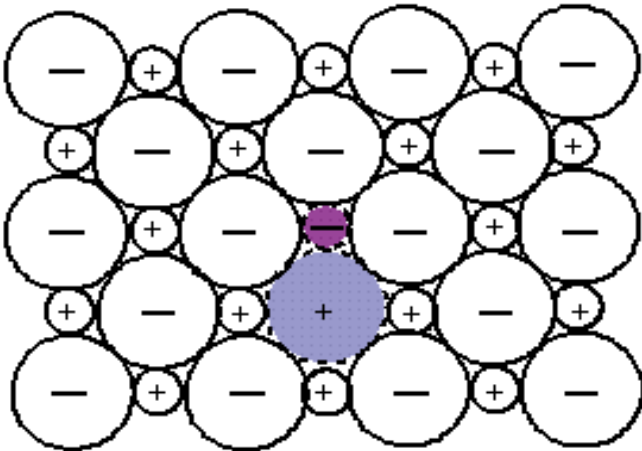
- **Vacancies:**
-vacant atomic sites in a structure.



absence of an atom (ion) from
its location in a perfect crystal

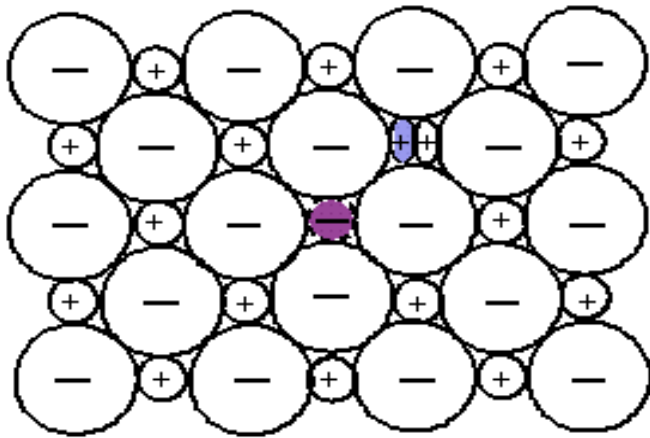
Schottky defects

A vacant cation site and a vacant anion site. In NaCl: one Na^+ and one Cl^- missing



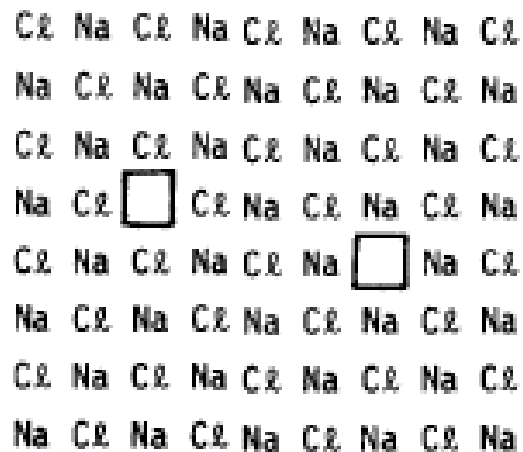
Charge neutrality is maintained within the crystal and there is no change in the composition

Frenkel defects



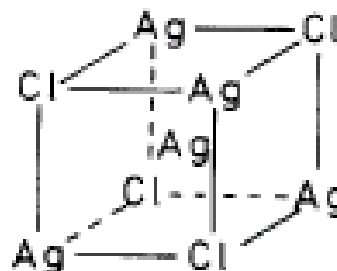
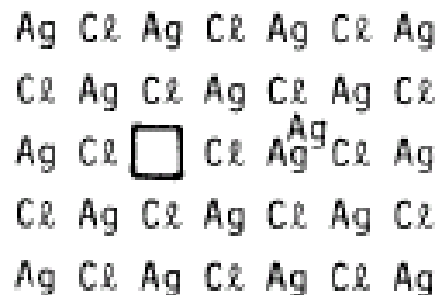
an atom (ion) moving into an interstitial site and creating a vacancy

Frenkel defects are common in fluorite structures (CaF_2 , ZrO_2) and AgCl



Schottky defects

-200 kJmol⁻¹ creation energy



Frenkel defects

-130 kJmol⁻¹ creation energy

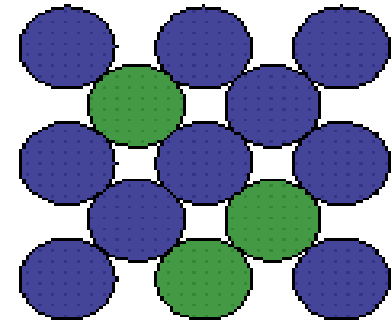
Extrinsic defects (due to impurities)

Impurities in a solid are any atom(s) of a type that do not belong in the perfect crystal structure (see 'extrinsic semiconductors')

Substitutional solid solutions

Impurity atoms occupy the same sites of the **host atoms**

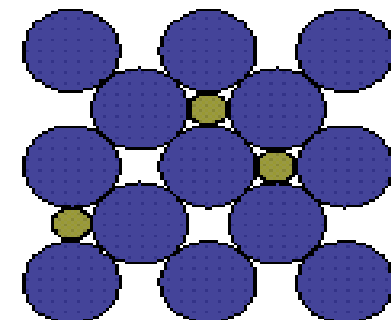
Impurities "substitute" for the host atoms



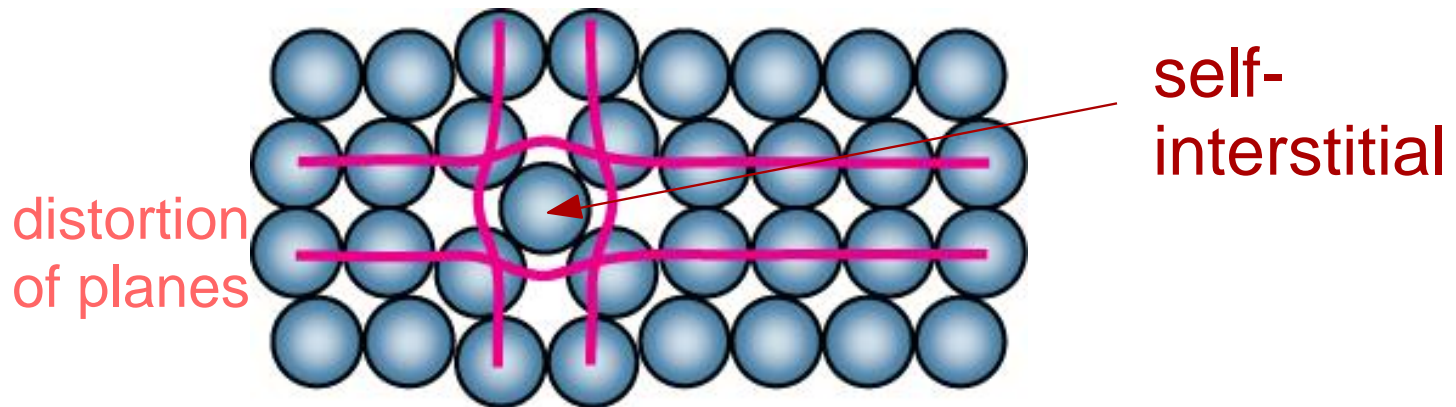
Interstitial solid solutions

Impurity atoms occupy interstices in the **host crystal** structure

Impurities usually have a small size compared to the host atoms



- **Self-Interstitials:**
-"extra" atoms positioned between atomic sites.



Equilibrium Concentration: Point Defects

- Equilibrium concentration varies with temperature!

No. of defects N_v (pink text, arrow pointing to N_v)

No. of potential defect sites. N (black text, arrow pointing to N)

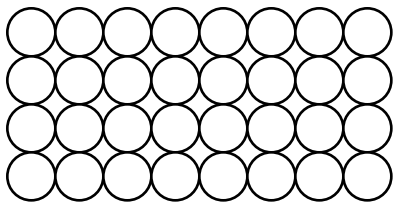
Activation energy Q_v (red text, arrow pointing to Q_v)

unitless; probability (pink text, arrow pointing to the fraction in the exponent)

Absolute Temperature kT (yellow text, arrow pointing to kT)

Boltzmann's constant (green text, arrow pointing to k)

$$\frac{N_v}{N} = \exp \left(\frac{-Q_v}{kT} \right)$$



Each lattice site
is a potential
vacancy site

$$(1.38 \times 10^{-23} \text{ J/atom-K})$$

$$(8.62 \times 10^{-5} \text{ eV/atom-K})$$

$$1 \text{ eV} = 1.6 \times 10^{-19} \text{ J}$$

Estimating Vacancy Concentration

- Find the equil. # of vacancies in 1 m³ of Cu at 1000°C.
- Given:

$$\rho = 8.4 \text{ g/cm}^3 \quad A_{\text{Cu}} = 63.5 \text{ g/mol}$$

$$Q_V = 0.9 \text{ eV/atom} \quad N_A = 6.02 \times 10^{23} \text{ atoms/mol}$$

$$\frac{N_V}{N} = \exp \left(\frac{-Q_V}{kT} \right) = 2.7 \times 10^{-4}$$

Annotations for the equation above:

- Q_V is labeled 0.9 eV/atom (red arrow)
- kT is labeled 1273K (yellow arrow) and $8.62 \times 10^{-5} \text{ eV/atom-K}$ (green arrow)

Cu $T_m = 1083^\circ\text{C}$, so at $T \sim T_m$
 ~ $1/10^4$ sites are vacant
 (general rule)

For 1 m³, $N = \rho \times \frac{N_A}{A_{\text{Cu}}} \times 1 \text{ m}^3 = 8.0 \times 10^{28} \text{ sites}$

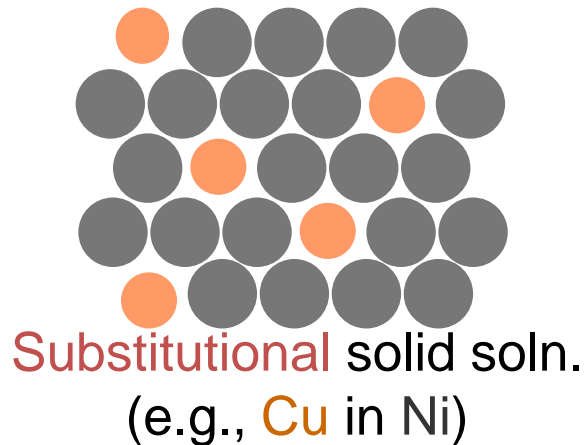
- Answer:

$$N_V = (2.7 \times 10^{-4})(8.0 \times 10^{28}) \text{ sites} = 2.2 \times 10^{25} \text{ vacancies}$$

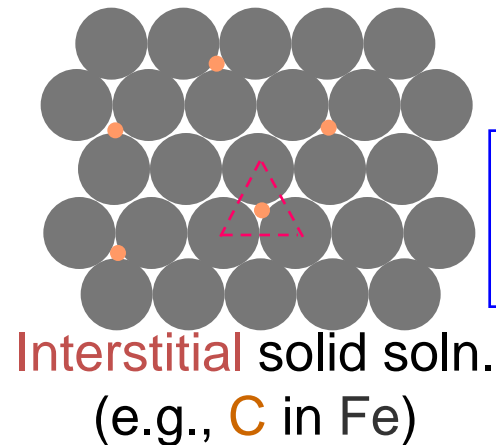
Point Defects in Alloys

Two outcomes if impurity (B) added to host (A):

- Solid solution of B in A (i.e., random dist. of point defects)

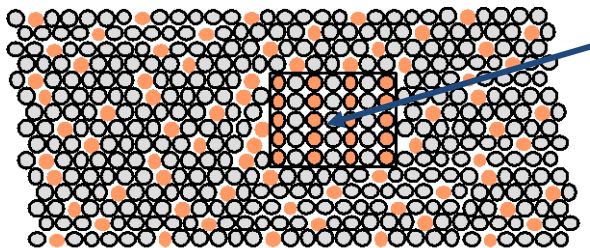


OR



Interstitial site can
be calculated using
simple geometry.

- Solid solution of B in A plus particles of a new phase (usually for a larger amount of B)



Second phase particle
--different composition
--often different structure.

Line Defects in Solids

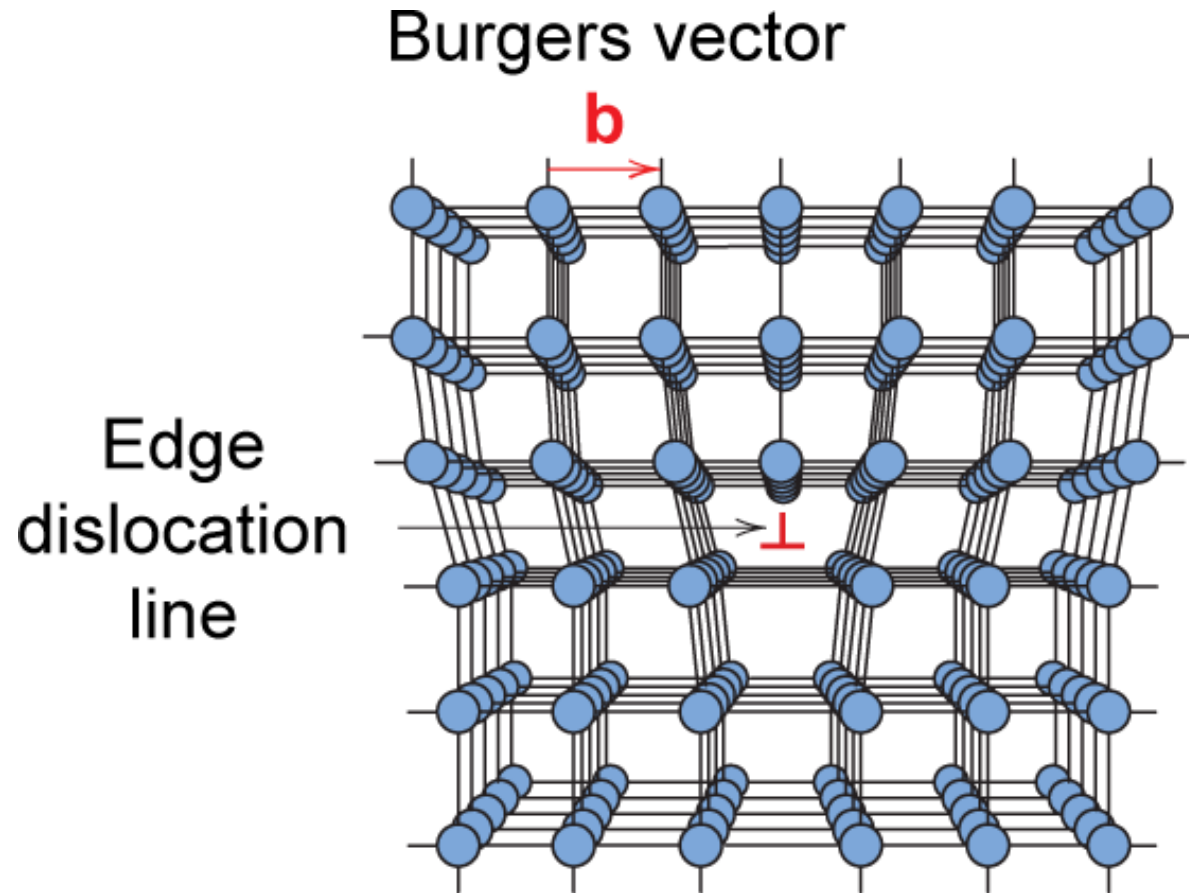
Linear Defects (Dislocations)

- Are one-dimensional defects around which atoms are misaligned
- **Edge dislocation:**
 - extra half-plane of atoms inserted in a crystal structure
 - $\mathbf{b} \perp$ to dislocation line
- **Screw dislocation:**
 - spiral planar ramp resulting from shear deformation
 - $\mathbf{b} \parallel$ to dislocation line

Burger's vector, \mathbf{b} : measure of lattice distortion

Line Defects in Solids

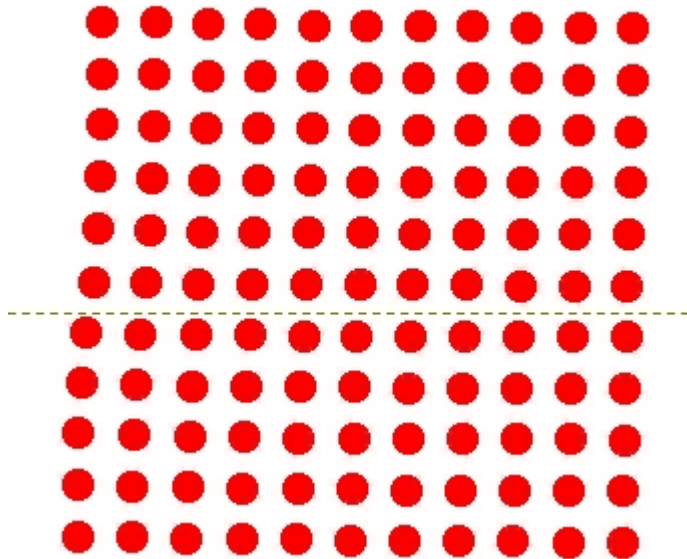
One dimensional defect in which atoms are mispositioned.



Edge Dislocation

Motion of Edge Dislocation

- Dislocation motion requires the successive bumping of a half plane of atoms (from left to right here).
 - Bonds across the slipping planes are broken and remade in succession.
- materials can be deformed rather easily.....

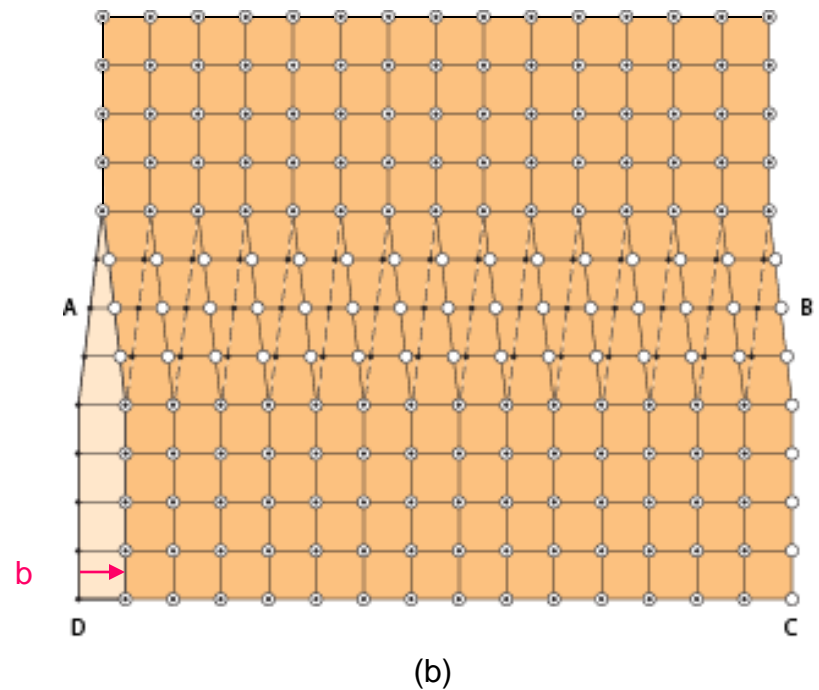
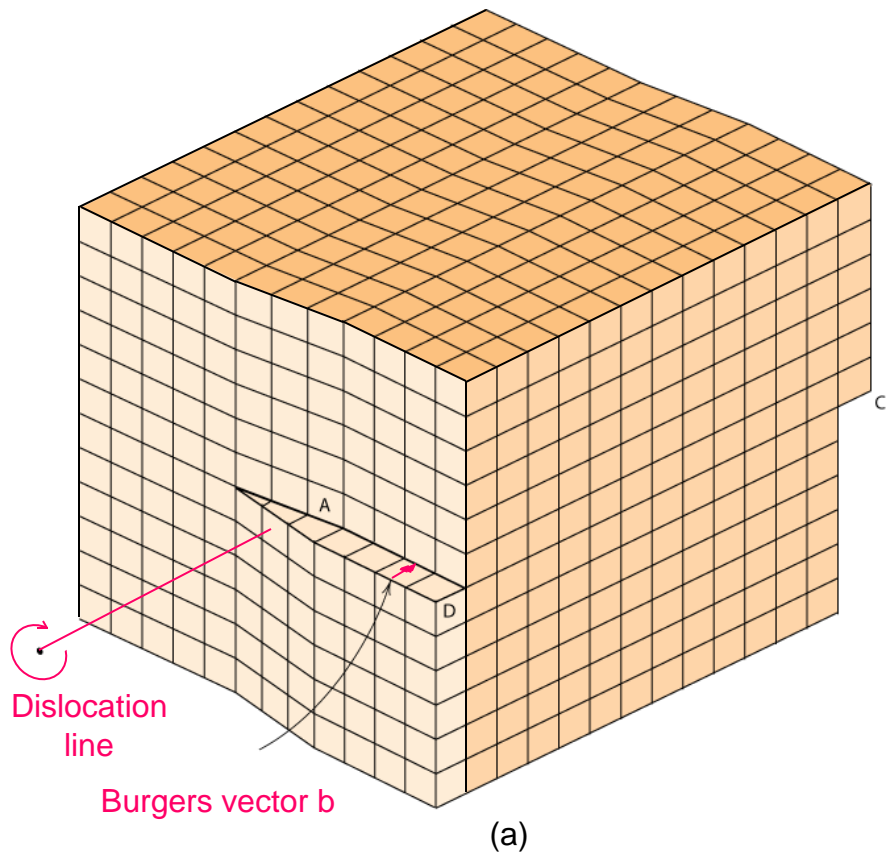


Atomic view of edge dislocation motion from left to right as a crystal is sheared.

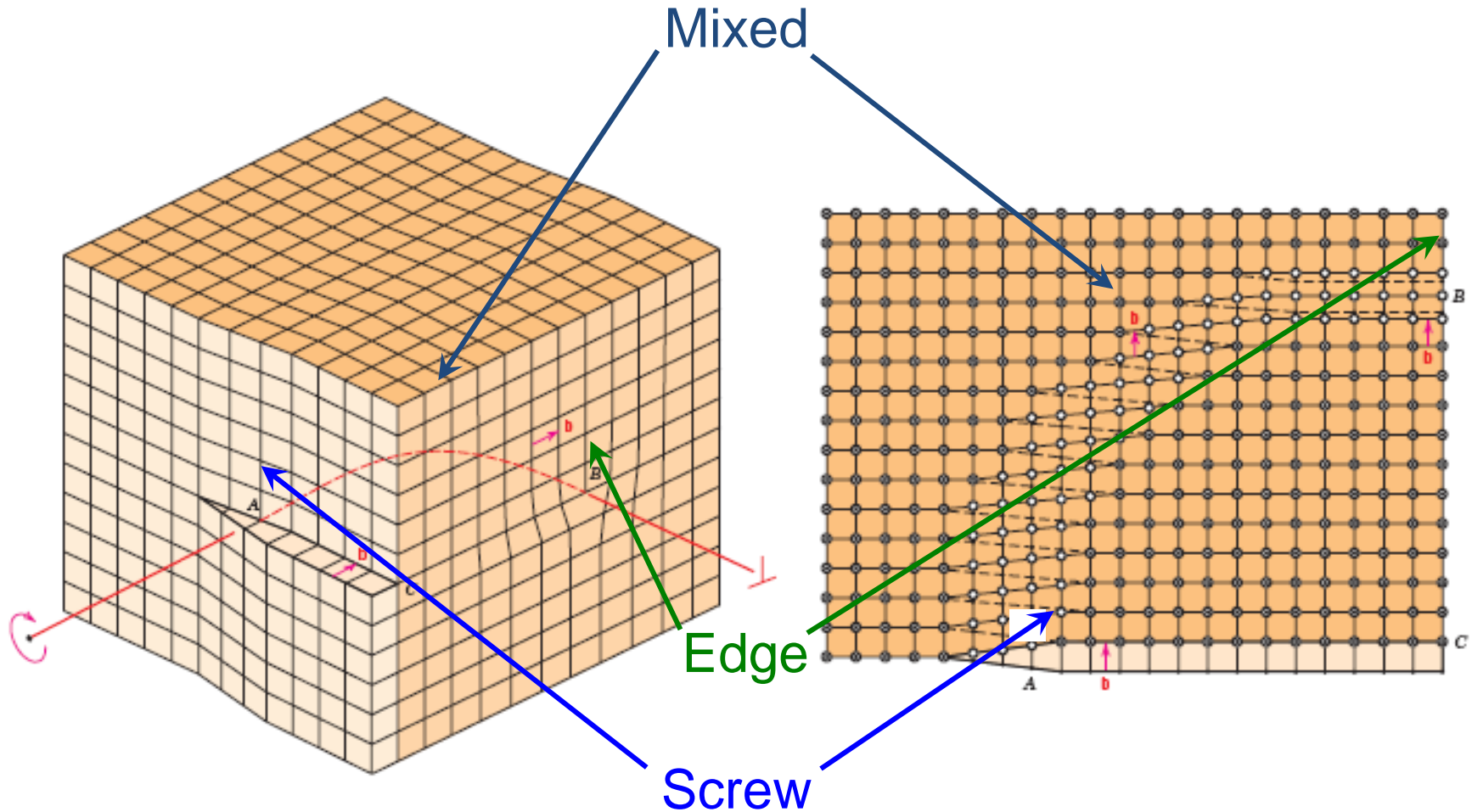
(Courtesy P.M. Anderson)

Line Defects in Solids

Screw Dislocation

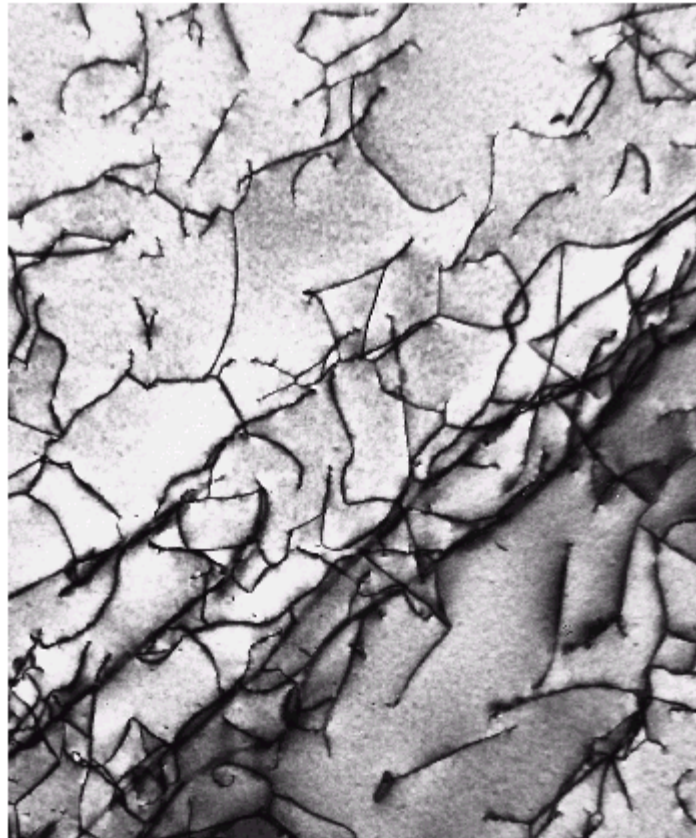


Edge, Screw, and Mixed Dislocations



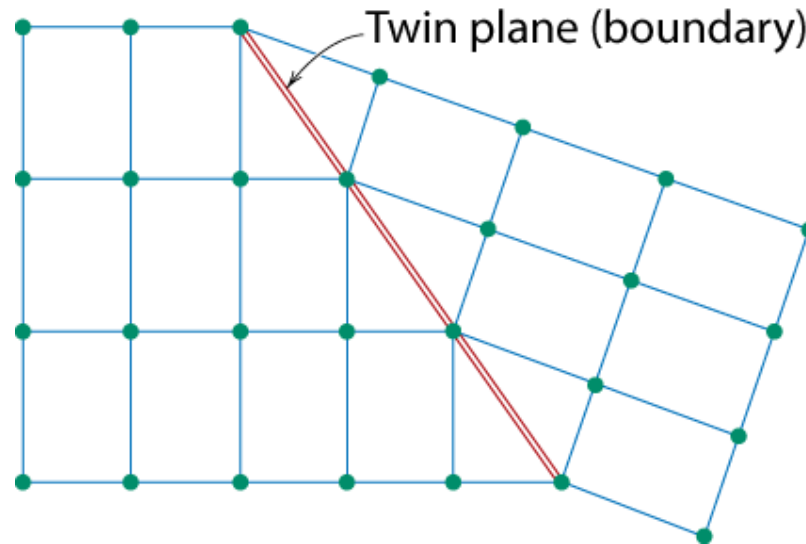
Imperfections in Solids

Dislocations are visible in electron micrographs



Planar Defects in Solids

- One case is a **twin boundary (plane)**
 - Essentially a reflection of atom positions across the **twin plane**.
- **Stacking faults**
 - For FCC metals an error in ABCABC packing sequence
 - Ex: ABCABABC

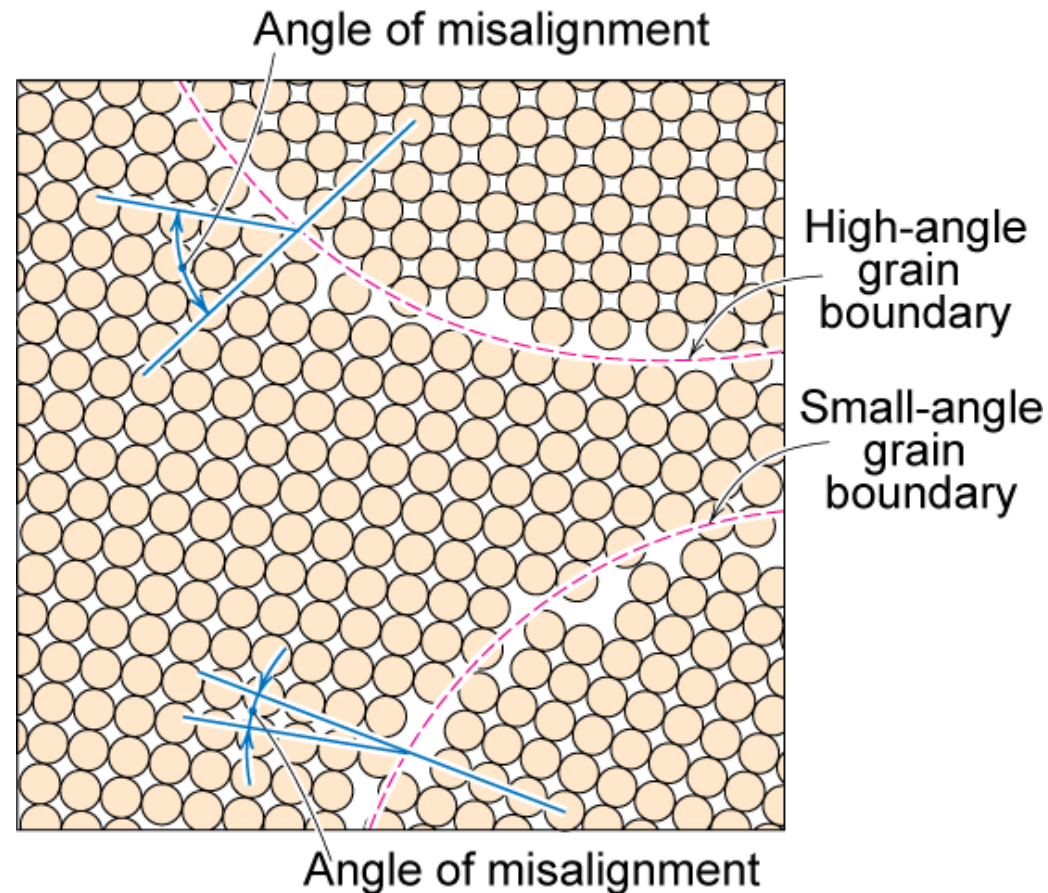


Adapted from Fig. 4.9, *Callister 7e*.

Polycrystalline Materials

Grain Boundaries

- regions between crystals
- transition from lattice of one region to that of the other
- slightly disordered
- low density in grain boundaries
 - high mobility
 - high diffusivity
 - high chemical reactivity



Adapted from Fig. 4.7, *Callister 7e*.

Summary

- Point, Line, and Area defects exist in solids.
- The number and type of defects can be varied and controlled (e.g., T controls vacancy conc.)
- Defects affect material properties (e.g., grain boundaries control crystal slip).
- Defects may be desirable or undesirable (e.g., dislocations may be good or bad, depending on whether plastic deformation is desirable or not.)