

THE TRADITIONAL APPROACH

vs.

THE AMALIE WAY

Focused on rules cascaded down by a central compliance department



Reactive and siloed



Owned by compliance officers



“Do this or else we’ll get in trouble”



Slow to adjust to changing regulations



Embedded into daily operations, systems, and culture



Preventative, proactive and continuously improving



Shared responsibilities across the business functions



“Do this because it’s your role in the process”



Flexible, agile, and quick to adapt



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