



iKAB SECURITIES & INVESTMENT LTD.

Regd. Off.: Raja Bahadur Compound, Building No. 5, 2nd Floor, 43 Tamarind Lane, Fort, Mumbai 400 001.
© 4046 3500 / 01 • Fax : 4046 3502 • E-mail : info@ikabsecurities.com
CIN No. : L17100MH1991PLC059848 • Website : www.ikabsecurities.com

April 12, 2017

The Listing Department (DCS-CRD)
Bombay Stock Exchange Limited
Phiroze Jeejeebhoy Towers
Dalal Street, Fort
Mumbai - 400 001

Sir / Madam,

Re: Scrip Code 514238

Enclosed herewith is the Certificate under Regulation 40(9) read with 40(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 from a practicing Company Secretary for the period from October 1, 2016 to March 31, 2017

Kindly acknowledge receipt.

Thank you.

Yours truly,
for Ikab Securities and Investment Limited

Indra Kumar Bagri
Director

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE
REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 31st MARCH, 2017

We have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to IKAB SECURITIES & INVESTMENT LIMITED (the company) maintained by Satellite Corporate Services Private Limited, Registrar and Share Transfer Agents pertaining to transfer of equity shares of the company for the period from 1st October, 2016 to 31st March, 2017 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, We hereby certify that :

A) As per the records made available to us there were no requests relating to transfers, sub-division (split), consolidation, renewal, exchange or endorsement of calls/allotment monies received during the period from 1st October, 2016 to 31st March, 2017.

B) Any other information, if applicable: ---

For Parikh Parekh & Associates

Place: Mumbai
Date: 10th April, 2017



Shalini

Ms. Shalini Bhat
Partner
FCS: 6484 CP: 6994