



IKAB SECURITIES & INVESTMENT LTD.

Regd. Off.: Raja Bahadur Compound, Building No. 5, 2nd Floor, 43 Tamarind Lane, Fort, Mumbai 400 001.
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CIN No. : L17100MH1991PLC059848 • Website : www.ikabsecurities.com

October 22, 2019

The General Manager
BSE LIMITED
P. J. Towers, Dalal Street
Mumbai – 400 001

Scrip code: 514238

Sub: Certificate under Clause 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations 2015

Sir / Madam,

Pursuant to the Clause 40 (9) of the SEBI (Listing Obligations and Disclosure requirements) Regulations 2015, enclosed is copy of the Certificate from the practicing Company Secretary for the half year ended 30.09.2019

Thank you.

Yours truly,
For Ikab Securities and Investment Limited


Abhishek Bagri
Whole time Director

Encl: As above

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2019

The Securities and Exchange Board of India vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 1st April, 2019. Further, SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 1st April, 2019.

Accordingly, we have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **IKAB SECURITIES & INVESTMENT LIMITED** (the Company) maintained by Satellite Corporate Services Private Limited, Registrar and Share Transfer Agents pertaining to transfer deeds which were lodged prior to 1st April, 2019 and re-lodged thereafter, for transfer of equity shares of the company for the period from 1st April, 2019 to 30th September, 2019 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, We hereby certify that:

A) As per the records made available to us there were no requests relating to transfers, sub-division (split), consolidation, renewal, exchange or endorsement of calls/allotment monies received during the period from 1st April, 2019 to 30th September, 2019.

B) Any other information, if applicable: ---



For PARIKH PAREKH & ASSOCIATES

Place: Mumbai

Date: October 19, 2019

Signature: 

Name of Company Secretary: **Mohammad Pillikandlu**

ACS: 39232 CP: 14603

UDIN: A039232A000125791