

IKAB SECURITIES AND INVESTMENT LIMITED

REGD OFF - Express Towers, 11th floor, Ramnath Goenka Marg, Nariman Point, Mumbai - 400 021,
CIN NO - L17100MH1991PLC059848
EMAIL - sanket@skothari.com, Tel: 022 - 24224480/81

=====

Date: 13.04.2022

The General Manager
DCS - CRD, BSE LIMITED
Phiroze Jeejeebhoy Towers
Dalal Street, Mumbai - 400 001

Scrip code: 514238

Dear Sir / Madam,

Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligation and Disclosure Requirements), Regulations, 2015

Pursuant to the Regulation 40(9) and 40(10) of the SEBI (Listing Obligation and Disclosure Requirements), Regulation 2015, we hereby submit the Certificate from Practicing Company Secretary for the Period from 1st April, 2021 to 31st March, 2022.

You are requested to take the same on your record.

Thank you

Yours truly,
For Ikab Securities & Investment Ltd.



Mr. Madhusudan Kela
Managing Director
DIN: 05109767



Encl.: a/a



CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31ST MARCH, 2022.

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 01st April, 2019. SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019. Further, SEBI vide its circular dated 07th September, 2020 has fixed 31st March, 2021 as the cut-off date for re-lodgment of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02nd December, 2020.

SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 has mandated that companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to IKAB Securities & Investment Limited ('the Company') maintained and provided electronically by Satellite Corporate Services Pvt. Ltd., Registrar and Share Transfer Agents (RTA), pertaining to the financial year ended 31st March, 2022 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

A) 1.a. The Company has not received any requests relating to transfers of securities during the financial year ended 31st March, 2022.

1. b. The Company has delivered during year ended on 31st March, 2022, share certificates/ letters of confirmation as per SEBI's operational guidelines, for execution of transmission of securities received during the financial year ended 31st March, 2022, within prescribed time from the respective date of lodgement. As informed to us there were no requests relating to deletion of name, transposition of securities, sub-division (split), consolidation, renewal, exchange or endorsement of calls/allotment monies during the said period.

B) Any other information, if applicable: -

Place: Mumbai
Date: April 11, 2022

Signature:

For Parikh Parekh & Associates
Company Secretaries

MOHAMMAD
FAKRUDDIN
PILLIKANDLU

Digitally signed by MOHAMMAD FAKRUDDIN
PILLIKANDLU
DN: c=IN, o=Personal, postalCode=400058,
st=Maharashtra,
2.5.4.20=d9be88ef5a68b50c0717769cb8c6f8a254f79
23b514a3344d45a9e7b1968072,
pkcsidempv=EABE37D0F501F5D405FCF36442F9D8326
FC1D46A,
serialNumber=572EBCD0431E121C4DC8AC818193B64
12E2B2ED0485121C598ED04864657C088,
cn=MOHAMMAD FAKRUDDIN PILLIKANDLU
Date: 2022.04.11 14:14:05 +05'30'

Mohammad Pillikandlu
FCS No: 10619 CP No: 14603
UDIN: F010619D000067357
PR No.: 723/2020