

FINANCIAL INSTITUTION SUPPLEMENT

NOTE: Financial Institution means any savings and loan association, bank, credit union, savings bank, banking and loan association, commercial banking institution or any similar subsidiary or affiliate thereof. Please attach a separate sheet should you need more space in order to explain your activities more fully.

1. Name of Applicant: _____

2. Since January 1, 1981, has any member of the Applicant or any of its predecessors represented any financial institution in which has been declared insolvent or operated under regulatory direction or a regulatory agreement? Yes No

(If "yes", please provide the name and location of the financial institution, dates and nature of services provided)

3. Since January 1, 1981, has any member of the Applicant or any of its predecessors served as general counsel, CEO, chairman President or any other officer, director or member of any committee of any financial institution? Yes No

(If "yes", please provide the of the attorney(s), dates and description of services provided, official capacity and the name and location of the financial institution represented)

4. Since January 1, 1981, has any member of the Applicant or any of its predecessors had any equity interest in any financial institution? ? Yes No

(If "yes", please provide the of the attorney(s), dates and description of services provided, official capacity, dollar and percentage value of equity and the name and location of the financial institution represented)

NOTICE

I understand that the information submitted herein becomes a part of my professional liability application and is subject to the same warranty and conditions. Must be signed and dated by an Owner, Partner or Principal as duly authorized on behalf of the Applicant.

nature of Owner, Partner or Principal

Title

Date

Sig