

## **Preliminary Statement**

Senior attorney with experience in private practice and government service, resolving complex civil, criminal, and regulatory matters in litigation, arbitration and administrative proceedings in multiple jurisdictions, foreign and domestic. Trusted counselor to senior financial and corporate executives and government officials. Proven, cost-effective leader of multinational legal teams. Admitted to practice in New York and Texas.

Available for dispute resolution advice and service as neutral for mock trials and arbitrations, and assignments as an arbitrator and Special Master.

Available to mediate disputes involving departing or terminated executives or partners in senior positions in corporate entities and in legal, financial and other professional firms

## **Significant Past Full-Time Employment**

### **James M. Rhodes**

Arbitrator & Independent Neutral  
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- Partner, **Battle Fowler** (now **Paul Hastings LLP**), New York, NY
- Associate and Partner, **Coudert Brothers**, New York, NY
- Associate Attorney, **Simpson Thacher & Bartlett**, New York, NY
- Trial Attorney, **Antitrust Division, U.S. Department of Justice**, Washington, DC
- Legislative Attorney (Department of Transportation legislation), **U.S. Maritime Administration, U.S. Department of Transportation**, Washington, DC

## **Present Activities**

- Independent Neutral, commercial and investment matters and professorial firm disputes. Mock arbitrations and trials

## **Previous Affiliations and Experience**

- Founding Member of the International Arbitration Club of New York
- Member of the London Court of International Arbitration
- Managing Director and Founder, Merrion Street Partners LLC, a New York investment firm
- Managing Director and founder, River Run Financial Advisors LLC, a New York real estate investment firm
- Director, ICA International, a New York financial services firm
- President and General Counsel, Stanwich Financial Services LLC, New York a New York financial services firm

## Education

JD from the University of Texas School of Law in Austin, Texas, and BA from Rice University in Houston, Texas. Post-graduate Economics studies, George Washington University, Washington, DC

## Select Publications:

- *Obtaining Evidence from Non-Parties in International Arbitration in the United States* (The American Review of International Arbitration, Vol. 20, No. 4), New York City Bar Association International Commercial Disputes Committee, Member of Subcommittee that drafted the Report
- *Environmental Disputes Mediation: Public Construction Projects*; New York State Bar Association Dispute Resolution Lawyer, Spring 2010, Vol. 3, No. 1
- *Obtaining Discovery in Aid of International Commercial Arbitration – Applicability and Best Practices*, 28 U.S.C. 1782, NYCBA Committee on International Commercial Disputes, 2008, Member of Subcommittee that drafted the Report
- *E-Discovery & Securities Arbitration*, Bloomberg Law Reports, Securities Arbitration, vol. 2, no. 11, December 2006
- *The Arbitrability of U.S. Antitrust Claims*, The International Arbitration News, vol. 6, no. 1, Spring 2006
- *NAFTA Binational Panel Decisions*, The International Lawyer Year in Review, 2005
- *The Pitfalls of International Commercial Arbitration*, Vanderbilt Journal of Transnational Law, vol. 17, no. 1, Winter 1984

## Selected Conferences and Presentations:

- Program Faculty and Speaker: NYSBA Dispute Resolution Section Commercial Arbitration Training, Benjamin N. Cardozo School of Law, New York City, June 28-30, 2011
- Program organizer and Speaker: Roundtable on Third Party Funding of International Arbitration: The Newest “New New Thing”, NYSBA Dispute Resolution Section and Fordham University School of Law: Fordham Law School, New York City, June 15, 2011;
- UNCITRAL Working Group III (Online Dispute Resolution), participating observer for New York City Bar Association, United Nations, New York, NY, May 23-27, 2011
- Program Co-Chair and Panel Moderator: Topics in International Arbitration. 2011 Annual Meeting of New York State Bar Association, Dispute Resolution Section, New York City, January 27, 2011

- UNCITRAL Working Group on Arbitration and Conciliation, participating observer for the New York City Bar Association, United Nations, New York, NY, February 9-13, 2009
- Panelist, Critical Junctures in Mediation and How Best to Handle Them, New York Marriott Marquis, January 29, 2009
- Arbitrator, 13<sup>th</sup> Annual Willem C. Vis International Commercial Arbitration Moot, Vienna, Austria, April 6-10, 2006
- Arbitrator, 14<sup>th</sup> Annual Willem C. Vis International Commercial Arbitration Moot, Vienna, Austria, March 30-April 5, 2007

## Board Memberships

- Former member of the Council of Overseers of the Jones Business School at Rice University, Houston, Texas
- Former member of the Board of the Association of Conflict Resolution Greater New York, New York, NY
- Former member of the Advisory Board of the Institute for Transnational Arbitration
- Member of the Advisory Board of Adirondack Capital Management, a New York hedge fund
- Member of Advisory Board of Hutchinson Global Center for Energy, University of Texas School of Law, Austin, Texas

## Credentials and Awards

- Panels of arbitrators for FedArb, FINRA
- Panel of Arbitrators for the Singapore International Arbitration Centre
- Panel of Arbitrators of the Shenzhen Court of International Arbitration
- Arbitration Panel of the U.S. District Court for the EDNY
- Eisenhower Exchange Fellowship, *Stagiaire* at the Commission of the European Communities, Brussels, Belgium (1977)
- Member, London Court of International Arbitration
- Senior Advisor, Burford Capital

## Admissions

### Other Items

- State Bar of Texas
  - New York bar
  - U.S Supreme Court
  - Court of Appeals for the Second Circuit
  - Court of Appeals for the Federal Circuit
  - Various U.S. District Courts
- Has served as arbitrator, whether as Chair, sole arbitrator, or panelist, in over 100 commercial or investment arbitrations, under the rules of various institutions, including the ICC, AAA, ICDR, in Federal Court, or FINRA, and in mock arbitrations conducted by Fed Arb.
  - Investigations and proceedings before the Antitrust Division of the U.S. Department of Justice, state antitrust authorities, the Securities & Exchange Commission, Federal Trade Commission, International Trade Commission, U.S. Customs Service, Commodity Futures

Trading Commission and the Office of the U.S. Trade Representative, and state and local agencies concerned with employment discrimination matters.

- Tried large hearing in a Section 337 proceeding in the International Trade Commission
- Hiring partner for a large New York international law firm with offices in the United States, Europe, Japan, East Asia, the Middle East and Latin America. Recruited candidates at the twelve leading U.S. Law Schools
- Eisenhower Exchange Fellowship, and *Stagiaire* at the European Commission for The Communities in Brussels. Belgium

